

Upper semicontinuous decompositions of convex metric spaces *

by

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Abstract. All decompositions in this paper are upper semicontinuous.

THEOREM A. *If G is a locally null, properly starlike-equivalent decomposition of a locally compact, SC-WR-CE metric space (X, d) , then G is radially-shrinkable in (X, d) and $X/G \approx X$.*

COROLLARY. *If G is a locally null, starlike-equivalent decomposition of E^n , then $E^n/G \approx E^n$.*

THEOREM B. *If G is a star-0-dimensional decomposition of a locally compact, SC-WR-CE metric space (X, d) , then G is shrinkable and $X/G \approx X$.*

1. Introduction. All decompositions in this paper are upper semicontinuous. The famous “dogbone” space of R. H. Bing [5] has spawned an amazing array of results and questions. In [4], Bing showed that if G is a decomposition of E^3 into at most countably many tame arcs and points, then $E^3/G \approx E^3$. This raised the following question (see S. Armentrout [2], Question 1): Suppose G is a decomposition of E^3 into tame 3-cells and points; is $E^3/G \approx E^3$? A partial answer was given by D. V. Meyer [11]: A null decomposition of E^3 into tame 3-cells and points is E^3 . This result was improved by R. J. Bean [3]: Null, starlike-equivalent decompositions of E^3 yield E^3 . This led J. W. Lamoreaux in [8] to ask whether locally null, starlike-equivalent decompositions of a SC-WR metric space (X, d) yield X . In this paper we show the answer is no (see Example 1 of Section 2) yet obtain the following theorem.

THEOREM A. *If G is a locally null, properly starlike-equivalent decomposition of a locally compact, SC-WR-CE metric space (X, d) , then G is radially-shrinkable in (X, d) and $X/G \approx X$.*

T. M. Price [13] has proved that if G is a decomposition of E^n such that for each $g \in H(G)$ and for each open set V containing g there is an n -cell B such that $g \subset \text{Int} B \subset V$ and $\text{Bd} B \cap [\cup H(G)] = \emptyset$, then $E^n/G \approx E^n$. The condition that B is an n -cell is weakened in this paper. We strengthen Price’s theorem and extend it to SC-WR-CE metric spaces in the following theorem.

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THEOREM B. *If G is a star-0-dimensional decomposition of a locally compact, SC-WR-CE metric space (X, d) , then G is shrinkable and $X/G \approx X$.*

To illustrate Theorems A and B, we give three examples; Examples 1 and 2 are consequences of Theorem A, Example 3 of Theorem B.

EXAMPLE 1. If G is a locally null, starlike-equivalent decomposition of E^n , then $E^n/G \approx E^n$. In particular, we can choose G to be a locally null decomposition of E^n into tame cells (dimension $\leq n$) and points such that given $\varepsilon > 0$, infinitely many of the cells have diameter $\geq \varepsilon$.

EXAMPLE 2. Let $X(n) = \{(x_1, \dots, x_{n+1}) : \sum_{i=1}^{n+1} x_i^2 = 1, x_i \geq 0, \dots, x_n \geq 0, \text{ and } x_{n+1} \geq \frac{1}{2}\}$, and let $X(n)$ be topologized by d_n , the "great S^{n-1} " metric of S^n . Let G be a locally null decomposition of $X(n)$ such that $\bigcup H(G)$ is contained in the manifold interior of $X(n)$, $H(G)$ is a collection of tame cells (dimension $\leq n$), tame whisk-brooms, tame fan-spaces, etc., and given $\varepsilon > 0$, infinitely many members of $H(G)$ have diameter $\geq \varepsilon$. Then G is radially-shrinkable in $(X(n), d_n)$ and $X(n)/G \approx X(n)$.

EXAMPLE 3. Let G be a decomposition of E^n such that each $g \in G$ possesses a neighborhood base $\{U_n\}$ such that $\text{Bd } U_n \cap [\bigcup H(G)] = \emptyset$, $U_n \supset \text{Cl } U_{n+1}$, U_n is an open n -cell, and $\text{Cl } U_n$ is starlike but not an n -cell ($\text{Bd } U_n$ could have a "sin $(1/x)$ configuration"). Then G is shrinkable and $E^n/G \approx E^n$.

Example 3 can be modified for non-Euclidean spaces ala Example 2. It is the principal goal of this paper to prove Theorems A and B: Theorem A is established in Section 5 and Theorem B in Section 6. In Section 2 we give preliminaries and in Section 3 we develop the machinery used in Sections 4, 5, and 6.

2. Preliminaries. We are always in a locally compact, strongly convex metric space (X, d) . For the definitions of *betweenness*, *midpoint*, *convexity*, *strong convexity* (SC), and *without ramifications* (WR), see D. Rolfen [15]. We do not assume that strongly convex spaces are separable or complete. Let $a, b \in X$. We say L is a *segment* between a and b (or from a to b) if $a, b \in L$, each point of L is between a and b , and L is isometric to a real line interval of length $d(a, b)$. If L is the unique segment from a to b we write $L = [ab]$. A segment L from p to y is *maximal* if there is no $x \in X$ such that some segment from p to x properly contains L . It is well known (see [15]) that in a complete, convex metric space, there is a segment between each two points. In the presence of local compactness and strong convexity, the requirement of completeness may be dropped.

PROPOSITION 2.1. *Let (X, d) be a locally compact, SC metric space.*

- (1) *If $a, b \in X$ then there is a segment from a to b (see [14]).*
- (2) *X is arc-wise and locally arc-wise connected.*
- (3) *For each $a, b \in X$, there is a unique segment joining a and b . If (X, d) is also a WR space, $y \neq y'$, $[xy]$ and $[xy']$ are segments in X , $y \notin [xy']$, and $y' \notin [xy]$, then $[xy] \cap [xy'] = \{x\}$.*
- (4) *Let $a, b, x \in X$ such that x is between a and b . Then $x \in [ab]$.*

Let $[ab]$ be a segment in X and let h be the isometry of $[ab]$ onto $[0, d(a, b)]$ such that $h(a) = 0$. For $x, y \in [ab]$ and $\lambda \in [0, 1]$, define $(1-\lambda)x + \lambda y$ to be $h^{-1}[(1-\lambda)h(x) + \lambda h(y)]$. This algebraic operation has many useful properties (including that it is jointly continuous in λ, x, y if x, y are contained in a compact subspace of X) which will be extensively used in this paper (see [10], [14], and [15]).

The closure of a set A is denoted by $\text{Cl } A$ and its boundary by $\text{Bd } A$. A collection of neighborhoods containing a set A is a neighborhood base for A if each open set containing A contains an element of the base. Neighborhoods are open. If N is a neighborhood of p , then the *edge of N w.r.t. p* , or $\text{Ed}_p N$, is $\{y \in \text{Cl } N : [py] \text{ is maximal}\}$. We say (X, d) has *closed edges* (or (X, d) is CE) if for each point p of X , $\text{Ed}_p X \cup \{p\}$ is closed (the class of convex metric spaces satisfying the closed edge property strictly contains the class of normed linear spaces). A set A is *starlike w.r.t. p* if for each $x \in A$, $[px] \subset A$; the point p is called a *reference point* of A . A starlike w.r.t. p set A is *properly starlike w.r.t. p* if for each $x \in A - p$, the segment $[px]$ is not maximal. A neighborhood N of p is *ideally starlike w.r.t. p* if N is starlike w.r.t. p and for each $x \in X - N$, $[px]$ intersects $\text{Bd } N$ in at most one point. A set A is *radially pointlike w.r.t. p* if A is starlike w.r.t. p and for each neighborhood U of A , there is an ideally starlike w.r.t. p neighborhood V of A and homeomorphism H from $X - A$ onto $X - p$ such that (1) $\text{Cl } V \subset U$, (2) H takes $\text{Cl } V - A$ onto $\text{Cl } V - p$, and (3) for each $x \in X - A$, $H(x) \in [px]$. A collection J of subsets of X is *locally null* if for each $x \in X$, there is an open set U containing x such that the collection of all sets of J that intersect U is a null collection.

For the definitions of *upper semicontinuous* (u.s.c.) *decomposition*, *decomposition space* (X/G) , *monotone*, *pointlike*, *0-dimensional*, and *shrinkable* (or Condition B) see [1], [9], or [17]. Let G be a decomposition of X . Let $H(G)$ denote the collection of nondegenerate elements of G and let $G(\delta) = \{g \in H(G) : \text{diam } g \geq \delta\}$ where $\delta > 0$. We say G is *null* (*locally null*) if $H(G)$ is a null (locally null) collection.

PROPOSITION 2.2. *Let G be an u.s.c., monotone decomposition of (X, d) . Then G is locally null if and only if for each $\delta > 0$, every subcollection of $G(\delta)$ has a closed point-set union. In either case, $H(G)$ is countable and hence G is 0-dimensional (see [14]).*

We say K is an *open covering* of $H(G)$ if K is a collection of open sets such that each element of $H(G)$ is contained in some element of K . We say G is *starlike* if each $g \in H(G)$ is compact and starlike. We say G is *starlike-equivalent* (*properly starlike-equivalent*; *radially-pointlike*) if each $g \in H(G)$ is equivalent under a space homeomorphism to a compact, starlike set (compact, properly starlike set; compact, radially-pointlike set). Often when showing a decomposition to be shrinkable, the nondegenerate elements are shrunk along arcs (e.g. see [3] and [11]). We isolate this property, calling it *radial-shrinkability*. Intuitively, a decomposition is radially-shrinkable if for each $g \in H(G)$ we can choose a space homeomorphism H , a compact, starlike set q , and a reference point p of q such that H takes g onto q , and q can be shrunk along segments toward p in such a way that g is shrunk along arcs toward $H^{-1}(p)$.

Let $H(G) = \{g_\alpha: \alpha \in \mathfrak{A}\}$. We say G is *radially-shrinkable* in (X, d) if there are collections of maps $\{h_\alpha\}$, compact, starlike sets $\{q_\alpha\}$, and points $\{p_\alpha\}$ such that for each $g_\alpha \in H(G)$, h_α is a space homeomorphism taking g_α onto q_α and q_α is starlike w.r.t. p_α , and such that for each $\varepsilon > 0$ and for each open set U containing $\bigcup H(G)$, there is h such that

- (1) h is a homeomorphism from X onto X and $h|_{(X-U)}$ is the identity;
- (2) $\text{diam} h(g_\alpha) < \varepsilon$ for each $g_\alpha \in H(G)$; and
- (3) if $g_\alpha \in G(\varepsilon)$, there is a neighborhood V_α and there is a map f_α such that $g_\alpha \subset V_\alpha \subset \text{Cl} V_\alpha$ and $h_\alpha[\text{Cl} V_\alpha]$ is starlike w.r.t. p_α , f_α is an embedding of $h_\alpha[\text{Cl} V_\alpha]$ into $h_\alpha[\text{Cl} V_\alpha]$ and $f_\alpha|_{\text{Ed}_{p_\alpha} h_\alpha(V_\alpha)}$ is the identity, $f_\alpha(h_\alpha(x)) \in [p_\alpha h_\alpha(x)]$ for each $x \in \text{Cl} V_\alpha$, and $h|_{\text{Cl} V_\alpha} = h_\alpha^{-1} \circ f_\alpha \circ h_\alpha$.

If $B \subset X$, then let $G(B)$ be the decomposition of X such that $H(G(B)) = \{g \in H(G): g \subset B\}$. We say G is *shrinkable (radially-shrinkable)* at $g \in H(G)$ if there is an open set U containing g such that $\text{Bd} U \cap [\bigcup H(G)] = \emptyset$ and $G(U)$ is shrinkable (radially-shrinkable). We say G is *star-0-dimensional* if for each $g \in H(G)$, there is a neighborhood base $\{U_n\}$ for g such that for each n $\text{Bd} U_n \cap [\bigcup H(G)] = \emptyset$, $U_n \supset \text{Cl} U_{n+1}$, and $\text{Cl} U_n$ is compact and homeomorphic to the closure of an open, starlike w.r.t. p_n set with empty edge w.r.t. p_n .

EXAMPLES. Let $(X(2), d_2)$ be as defined in Example 2 of Section 1. Then $(X(2), d_2)$ is a compact, SC-WR-CE metric space (which is not the linear subspace of any normed linear space). Let $p = (\frac{1}{2}\sqrt{3}, 0, \frac{1}{2})$ and let $N(p, \varepsilon)$ be the neighborhood of p with radius ε . Then $p \in \text{Cl}(\text{Ed}_p N(p, \varepsilon))$. Circumstances like this will force us to be careful when constructing shrinkings which move points radially toward a given point.

EXAMPLE 1. Let G be the decomposition of $X(2)$ such that $H(G_1) = \{g\}$, where $g = \{(x, y, z): (x, y, z) \in X(2) \text{ and } y = x\}$. Then G is a null, starlike decomposition of $(X(2), d_2)$ and $X(2)/G \approx X(2)$.

EXAMPLE 2. Let G_2 be the decomposition of $X(2)$ such that $H(G_2) = \{g\}$, where $g = \{(x, y, z): (x, y, z) \in X(2) \text{ and } y = 0\}$. Then G_2 is a null, starlike decomposition of $X(2)$ which is shrinkable and pointlike but neither radially-shrinkable nor radially-pointlike in $(X(2), d_2)$.

3. Neighborhood bases for starlike sets. One key to constructing the shrinkings used by Bing [4], Meyer [11], and Bean [3] is the fact that in E^3 starlike sets possess neighborhood bases of ideally starlike sets. In a convex metric setting, showing the existence of such neighborhood bases is non-trivial. In this section we show that in locally compact, SC-WR metric spaces, compact, starlike sets have neighborhood bases of ideally starlike sets. Using this result, we establish two results needed to construct the shrinkings of Sections 5 and 6.

LEMMA 3.1. *Let (X, d) be a locally compact, SC-WR metric space. Let $p \in N \subset X$ and let h be a continuous map of $N-p$ into N such that $h(x) \in [px]$ for each $x \in N$. Then h is extended continuously to N by letting $h(p) = p$, and h , thus extended, is*

one-to-one if and only if $y \in [px] - \{x, p\}$ implies $h(y) \in [ph(x)] - h(x)$ for each $x \in N-p$.

LEMMA 3.2. *Let A and N be subsets of a locally compact, SC-WR metric space (X, d) such that N is a neighborhood of A , and each of A and $\text{Cl} N$ is a compact, starlike w.r.t. p set. Let h be an embedding of $\text{Cl} N$ into $\text{Cl} N$ such that for each $x \in \text{Cl} N$, $h(x) \in [px]$. Then there is an embedding H of $\text{Cl} N$ into $\text{Cl} N$ such that $H(x) \in [px]$ for each $x \in \text{Cl} N$, $H|_A = h$, $H|_{\text{Bd} N}$ is the identity, and if $h|_{\text{Ed}_p N}$ is the identity, then H is a homeomorphism of $\text{Cl} N$ onto $\text{Cl} N$.*

Proof. Let f be a continuous function of $\text{Cl} N$ onto $[0, 1]$ such that $f(A) = 0$ and $f(\text{Bd} N) = 1$ and let $F(x) = \max\{f(y): y \in [px]\}$ for each $x \in \text{Cl} N$. It follows that F is a continuous function of $\text{Cl} N$ onto $[0, 1]$ such that $F(A) = 0$ and $F(\text{Bd} N) = 1$. Furthermore, if $y \in [px]$ then $F(y) \leq F(x)$. Now for each $x \in \text{Cl} N-p$, define

$$G(x) = F(x) \left[1 - \frac{d(p, h(x))}{d(p, x)} \right] + \frac{d(p, h(x))}{d(p, x)}$$

It follows that G is a continuous function of $\text{Cl} N-p$ into $[0, 1]$. We now construct H . For each $x \in \text{Cl} N$, define

$$H(x) = \begin{cases} G(x)x + (1-G(x))p & \text{for } x \neq p, \\ p & \text{for } x = p. \end{cases}$$

Clearly H satisfies the requirements of the conclusion providing H is an embedding. The continuity of H follows from Lemma 3.1. We need only show H is one-to-one, and this is done by satisfying Lemma 3.1. Let $x \in \text{Cl} N-p$ and let $y \in [px] - \{x, p\}$. It is not hard to show that $H(y) \in [pH(x)] - H(x)$ if and only if $G(y)d(p, y) < G(x)d(p, x)$. We establish this inequality by considering, three cases: $F(y) = 1$, $F(y) = 0$, and $0 < F(y) < 1$. The inequality holds trivially in the first two cases. Now suppose $0 < F(y) < 1$. Observing that $h(y) \in [ph(x)] - h(x)$ by Lemma 3.1 and hence $d(p, h(y)) < d(p, h(x))$, it follows that

$$d(p, y) - d(p, x) < [d(p, h(x)) - d(p, h(y))][1/F(y) - 1]$$

Manipulating algebraically, we have

$$\begin{aligned} F(y)[d(p, y) - d(p, h(y))] + d(p, h(y)) &< F(y)[d(p, x) - d(p, h(x))] + d(p, h(x)) \\ &\leq F(x)[d(p, x) - d(p, h(x))] + d(p, h(x)). \end{aligned}$$

This completes the proof.

LEMMA 3.3. *Let A be a compact, starlike w.r.t. p set in a locally compact, SC-WR metric space (X, d) and let U be an open set containing A . Then there is a neighborhood N of A such that $\text{Cl} N$ is compact, $\text{Cl} N \subset U$, and N is ideally starlike w.r.t. p .*

Proof. Let $\delta > 0$ such that $\text{Cl} N(A, \delta)$ is compact and contained in U . Define N^* to be $\{y: [py] \cap \text{Bd} N(A, \delta) = \emptyset\}$. It follows that $\text{Cl} N^*$ is compact, $\text{Cl} N^* \subset U$, and N^* is starlike w.r.t. p . It follows from Proposition 2.1 (4) that N^* is a neighborhood

of A . Let $\Delta = \text{diam} A$. Choose a circular neighborhood S of p such that $\text{Cl} S \subset N^*$. Let $\varepsilon > 0$ such that $0 < \varepsilon < \Delta$ and $\text{Cl} N(p, \varepsilon) \subset S$, and let $\lambda = \varepsilon/\Delta$. Define h by

$$h(x) = \begin{cases} \lambda x + (1-\lambda)p & \text{for } x \neq p, \\ p & \text{for } x = p. \end{cases}$$

As in the proof of Lemma 3.2, it can be shown that h is an embedding of $\text{Cl} N^*$ into $\text{Cl} N^*$. By Lemma 3.2, there is an embedding H of $\text{Cl} N^*$ into $\text{Cl} N^*$ such that $H(x) \in [px]$ for each $x \in \text{Cl} N^*$, $H|A = h$, and $H|Bd N^*$ is the identity. It follows that $H(A) \subset S$. We choose N to be $H^{-1}(S)$. It is straightforward to show that N is the required neighborhood of A .

LEMMA 3.4. *Let A be a compact, properly starlike w.r.t. p set in a locally compact, SC-WR metric space (X, d) and let U be an open set containing A . Then there is an ideally starlike w.r.t. p neighborhood N of A such that $\text{Cl} N$ is compact, $\text{Cl} N \subset U$, and no nondegenerate segment from p in A has its terminal point in $\text{Ed}_p N$.*

LEMMA 3.5. *Let A be a compact, properly starlike w.r.t. p set in a locally compact, SC-WR-CE metric space (X, d) , let U be an open set containing A , and let $\varepsilon > 0$. Then there is an ideally starlike w.r.t. p neighborhood N of A such that $\text{Cl} N$ is compact, $N \subset U$, and $\text{Ed}_p N \subset N(p, \varepsilon)$.*

Proof. Let S denote the collection of segments in A from p which cannot be extended in A . Let $s \in S$ and suppose the conclusion is false for s as a properly starlike w.r.t. p set. Let $\{N_n(s)\}$ be a nested neighborhood base of ideally starlike w.r.t. p sets for s such that $\text{Cl} N_1(s)$ is compact and $s \cap \text{Ed}_p N_n(s) = \emptyset$ for each n (Lemma 3.4). We choose $x_n \in \text{Ed}_p N_n(s) - N(p, \varepsilon)$ for each n . Then $\{x_n\}$ is contained in the compact set $\text{Ed}_p N_1(s) - N(p, \varepsilon)$.

We may assume $x_n \rightarrow x$, where $x \in \text{Ed}_p N_1(s) - N(p, \varepsilon)$. But $x \in [\cap \text{Cl} N_n(s)] - N(p, \varepsilon)$; this implies $x \in s - p$, a contradiction. Thus for each $s \in S$, we have a neighborhood $N(s)$ of s such that $N(s) \subset U$, $\text{Cl} N$ is compact, and $\text{Ed}_p N(s) \subset N(p, \varepsilon)$. Since A is covered by $\{N(s) : s \in S\}$, we may choose an ideally starlike w.r.t. p neighborhood N of A such that $\text{Cl} N$ is compact and $\text{Cl} N \subset \cup N(s)$ (Lemma 3.3). Since $\text{Ed}_p N \subset \cup \text{Ed}_p N(s)$, we have $\text{Ed}_p N \subset N(p, \varepsilon)$.

LEMMA 3.6. *Let (X, d) be a locally compact, SC-WR metric space and let U be an open set in X containing p such that $\text{Cl} U$ is compact and $\text{Ed}_p U = \emptyset$. Then U is starlike w.r.t. p if and only if $U = \cup V_n$ where each V_n is ideally starlike w.r.t. p neighborhood and $\text{Cl} V_n \subset V_{n+1}$ for each n .*

Proof. Sufficiency is straightforward. As for necessity, let s be a segment from p to $\text{Bd} U$ and let p be considered the first point of s . Let $x(s)$ be the first point on s where s hits $\text{Bd} U$. Then U is starlike w.r.t. p implies $U = \cup ([px(s)] - x(s))$. Now let $\varepsilon_1 > 0$ such that $\varepsilon_1 < \frac{d(p, \text{Bd} U)}{2}$. It can be shown that there is $\delta_1 > 0$ such that $y \notin N(\text{Bd} U, \varepsilon_1)$ implies $[py] \cap N(\text{Bd} U, \delta_1) = \emptyset$. Fix a segment s . With respect to the linear ordering on $[px(s)]$, let $y_1(s) = \sup \{y \in [px(s)] : \text{there is } y' \in [yx(s)]$

such that $d(y', \text{Bd} U) > \varepsilon_1\}$. Let $A_1 = \cup [py_1(s)]$. Then $\text{Cl} A_1 \subset U$. Since A_1 is starlike w.r.t. p and $\text{Cl} A_1$ is compact, $\text{Cl} A_1$ is starlike w.r.t. p . By Lemma 3.3 we obtain an ideally starlike w.r.t. p neighborhood V_1 such that $C(A_1) \subset V_1 \subset \text{Cl} V_1 \subset U$. It follows that $d(\text{Bd} V_1, \text{Bd} U) < \varepsilon_1$. Let $\varepsilon_2 > 0$ such that $\varepsilon_2 < \frac{1}{2} d(\text{Bd} V_1, \text{Bd} U)$. As above, we obtain an ideally starlike w.r.t. p neighborhood V_2 such that $\text{Cl} V_1 \subset V_2 \subset \text{Cl} V_2 \subset U$, and $d(\text{Bd} V_2, \text{Bd} U) \leq \varepsilon_2$. Necessity now follows by induction.

4. Radially-shrinkable and radially-pointlike decompositions. All spaces in this section are locally compact SC-WR metric spaces. We show that radially-shrinkable decompositions are radially-pointlike (Theorem 4.3); this result is an important cog of Section 5. We also establish two results for radially-shrinkable decompositions previously established for shrinkable decompositions (Theorems 7 and 10 of [9]).

LEMMA 4.1. *Let U be an open set in (X, d) containing a compact, starlike w.r.t. p set A and let f be an embedding of $\text{Cl} U$ into $\text{Cl} U$ such that $f(x) \in [px]$ for each $x \in \text{Cl} U$. Then (1) if V is a starlike w.r.t. p neighborhood of A such that $\text{Cl} V$ is compact and $V \subset U$, then $f(\text{Cl} V) \subset \text{Cl} V$, and (2) if $f|_{\text{Ed}_p U}$ is the identity, then for each neighborhood V of A such that $V \subset U$, there is a homeomorphism F of $\text{Cl} V$ onto $\text{Cl} V$ such that $F(x) \in [px]$ for each $x \in \text{Cl} V$, $F|A = f$, and $F|_{\text{Bd} V}$ is the identity.*

Proof. (1) follows from the fact that $\text{Cl} V$ is starlike w.r.t. p . (2) follows from (1), Lemma 3.3, and Lemma 3.2.

THEOREM 4.1. *Let G and G' be 0-dimensional decompositions of (X, d) such that $H(G) \supset H(G')$. If G is radially shrinkable in (X, d) , then G' is radially-shrinkable in (X, d) .*

Proof. Some details are the same as in Theorem 7 of [9]; we sketch the differences. Let $H(G) = \{g_\alpha : \alpha \in \mathfrak{A}\}$ and let $\{h_\alpha\}$, $\{q_\alpha\}$, and $\{p_\alpha\}$ be the collections of maps, compact, starlike sets, and points, respectively, given us by the radial-shrinkability of G . We claim that $\{h_\alpha : g_\alpha \in H(G')\}$, $\{q_\alpha : g_\alpha \in H(G')\}$, and $\{p_\alpha : g_\alpha \in H(G')\}$ are the required collections for G' . Let $\varepsilon > 0$ and let U be an open set containing $\cup H(G')$. Then $\{U, X - \cup G'(\varepsilon)\}$ is an open cover of $H(G)$ and is refined by K , a disjoint collection of open sets ([9], Theorem 1). Let U' be the union of all components of $\cup K$ which intersect $\cup G'(\varepsilon)$. Then U' is an open subset of U (Proposition 2.1(2)). Since G is radially-shrinkable, there is a homeomorphism h of X onto X such that $h|(X - \cup K)$ is the identity, $\text{diam} h(g_\alpha) < \varepsilon$ for each $g_\alpha \in H(G)$, and for each $g_\alpha \in G(\varepsilon)$ there are V_α and f_α such that $h_\alpha, q_\alpha, p_\alpha, U, h, V_\alpha$, and f_α satisfy the remaining radial-shrinkability conditions at g_α for G . Define

$$H(x) = \begin{cases} x & \text{for } x \in X - U', \\ h(x) & \text{for } x \in U'; \end{cases}$$

then H is a homeomorphism of X onto X such that $H|(X - U)$ is the identity and $\text{diam} H(g) < \varepsilon$ for each $g \in H(G')$. Let $g_\alpha \in G'(\varepsilon)$. Choose a starlike w.r.t. p_α neighborhood W_α such that $q_\alpha \in W_\alpha \subset h_\alpha(V_\alpha \cap U')$ and $\text{Cl} W_\alpha$ is compact (Lemma 3.3). Letting $F_\alpha = f_\alpha|_{\text{Cl} W_\alpha}$, it is easy to verify using Lemma 4.1(1) that $h_\alpha, q_\alpha, p_\alpha, U, H, h_\alpha^{-1}(W_\alpha)$, and F_α satisfy the remaining radial-shrinkability conditions at g_α for G' .

THEOREM 4.2. *Let G be a 0-dimensional decomposition of (X, d) . Then G is radially-shrinkable in (X, d) if and only if G is radially-shrinkable in (X, d) at each element of $H(G)$.*

Proof. The proof of Theorem 10 of [9] may be modified to obtain this proof in virtually the same way the proof of Theorem 7 of [9] is modified to obtain the proof of Theorem 4.1 (see [14]).

THEOREM 4.3. *Let G be a 0-dimensional radially-shrinkable decomposition of (X, d) . Then G is radially-pointlike in (X, d) .*

Proof. Let $g \in H(G)$ and let h, q, p be such that h is a space homeomorphism taking g onto q and q is a compact, starlike w.r.t. p set, given us by the radial-shrinkability of G . Let U be an open set containing g . We must construct V and H satisfying the radially-pointlike conditions for g in order to conclude G is radially pointlike. The rest of the proof is divided into several parts.

(i) For each $\varepsilon > 0$, there is an open set O containing g such that for each open subset W of O containing g , there are homeomorphisms H_ε and F_ε such that H_ε is a homeomorphism of X onto X , $H_\varepsilon|_{(X-h^{-1}(U))}$ is the identity, $\text{diam } H_\varepsilon(g) < \varepsilon$, F_ε is a homeomorphism of $h(\text{Cl } W)$ onto $h(\text{Cl } W)$, $F_\varepsilon|_{\text{Bd } h(W)}$ is the identity, $F_\varepsilon(h(x)) \in [ph(x)]$ for each $x \in \text{Cl } W$, and $H_\varepsilon|_{\text{Cl } W} = h^{-1} \circ F_\varepsilon \circ h$.

Let G_1 be the decomposition of X such that $H(G_1) = \{g\}$. Then by Theorem 4.1 G_1 is a radially-shrinkable decomposition. Thus we have a homeomorphism h_g from X onto X such that $h_g|_{(X-h^{-1}(U))}$ is the identity $\text{diam } h_g(g) < \varepsilon$, and there are O and f such that $h, q, p, h^{-1}(U), h_g, O$, and f satisfy the remaining radial-shrinkability conditions at g for G_1 . Let W be any open set containing g such that $W \subset O$. By Lemma 4.1 there is a homeomorphism F_g taking $h(\text{Cl } W)$ onto $h(\text{Cl } W)$ such that $F_g(h(x)) \in [ph(x)]$ for each $x \in \text{Cl } W$, $F_g|_q = f$, and $F_g|_{\text{Bd } h(W)}$ is the identity. Define

$$H_\varepsilon(x) = \begin{cases} x & \text{for } x \in X - \text{Cl } W, \\ h^{-1}(F_g(h(x))) & \text{for } x \in \text{Cl } W. \end{cases}$$

It follows that H_ε is a homeomorphism of X onto X and $H_\varepsilon|_{(X-h^{-1}(U))}$ is the identity. It also follows that $H_\varepsilon(g) = h_g(g)$; hence $\text{diam } H_\varepsilon(g) < \varepsilon$.

(ii) Construction of V of H .

Let $\{N_k\}$ be a neighborhood base for g such that $N_1 \supset \text{Cl } N_2 \supset N_2 \supset \dots$, $\text{Cl } N_1$ is compact, $h(\text{Cl } N_1) \subset U$, and $h(N_k)$ is ideally starlike w.r.t. p for each k (Lemma 3.3). Choose M_1 to be N_1 and let $V = h(N_1)$. By the uniform continuity of h on $\text{Cl } M_1$, there is a sequence of positive numbers $\{\delta_n\}$ such that $d(x, y) < 2\delta_n$ implies $d(h(x), h(y)) < 1/n$ for each $x, y \in \text{Cl } M_1$. For each positive integer n , let O_n be the open set containing g given us by (i) for δ_n and $h^{-1}(U)$. Assuming $M_n \in \{N_k\}$ has been chosen, choose $M_{n+1} \in \{N_k\}$ such that $\text{Cl } M_{n+1} \subset M_n \cap O_{n+1}$. Then by (i) we have collections of homeomorphisms $\{H_n\}$ and $\{F_n\}$ such that for each n the following hold: H_n is a homeomorphism of X onto X , $H_n|_{(X-h^{-1}(U))}$ is the identity, $\text{diam } H_n(g) < \delta_n$, F_n is a homeomorphism of $h(\text{Cl } M_n)$ onto $h(\text{Cl } M_n)$, $F_n|_{\text{Bd } h(M_n)}$

is the identity, $F_n(h(x)) \in [ph(x)]$ for each $x \in \text{Cl } M_n$, and $H_n|_{\text{Cl } M_n} = h^{-1} \circ F_n \circ h$. For each n define $h_n(x) = F_n(\dots(F_1(x))\dots)$ for $x \in \text{Cl}(h(M_n) - h(\text{Cl } M_{n+1}))$. Define

$$H^*(x) = \begin{cases} x & \text{for } x \in X - h(M_1), \\ h_n(x) & \text{for } x \in \text{Bd}(h(M_{n+1})) \end{cases}$$

and

$$H(x) = \begin{cases} H^*(x) & \text{for } x \in X - \cup[h(M_n) - h(\text{Cl } M_{n+1})], \\ h_n(x) & \text{for } x \in h(M_n) - h(\text{Cl } M_{n+1}). \end{cases}$$

Clearly H is well defined on $X - q$.

(iii) $x_m \rightarrow q$ implies $H(x_m) \rightarrow p$.

Let $y_m = h^{-1}(x_m)$, let $\varepsilon > 0$, and let N be so large that $2/N < \varepsilon$. Since H_N is uniformly continuous on $\text{Cl } M_N$, there is $\xi > 0$ such that $\xi < \text{diam } g$ and $d(x, y) < \xi$ implies $d(H_N(x), H_N(y)) < \delta_N$ for $x, y \in \text{Cl } M_N$. Let J be so large that $m \geq J$ implies $\{x_m\} \subset \text{Cl } M_N$ and $d(y_m, g) < \text{diam } g$. Then $m \geq J$ implies $d(H_N(y_m), H_N(g)) < 2\delta_N$ which implies

$$d(F_N(x_m), F_N(g)) = d(h(H_N(y_m)), h(H_N(g))) < 1/N.$$

So $d(F_N(x_m), p) < 2/N < \varepsilon$. Now suppose $x_m \in h(M_n) - h(\text{Cl } M_{n+1})$, where $m \geq J$ and $n \geq N$. Since $H(x_m) = F_n(\dots(F_1(x_m))\dots)$, it follows that

$$d(H(x_m), p) \leq d(F_n(\dots(F_1(x_m))\dots), p) \leq d(F_n(x_m), p) < 2/N < \varepsilon.$$

It follows that $x_m \rightarrow q$ implies $H(x_m) \rightarrow p$.

(iv) H is continuous on $X - q$.

Since $\{h(M_n)\}$ is a neighborhood base for q , then $\{h(M_n) - h(\text{Cl } M_{n+1})\}$ is a locally null collection of disjoint, open sets. Since each of H^* and h_n is continuous on its domain, it follows from Theorem 2 of [9], that H is continuous on $X - q$.

(v) $H(x) \in [px]$ for $x \in X - q$.

If $x \in X - V$, then $H(x) = x$. If $x \in V$, assume x is in some $h(M_n) - h(\text{Cl } M_{n+1})$. Then

$$H(x) = F_n(\dots(F_1(x))\dots) \in [pF_{n-1}(\dots(F_1(x))\dots)] \subset \dots \subset [pF_1(x)] \subset [px]$$

by applying Lemma 3.1 inductively to $\{F_1, \dots, F_n\}$.

(vi) $H(X - q) = X - p$ and $H(\text{Cl } V - q) = \text{Cl } V - p$.

Since H is the identity on $X - V$, we need only show $H(V - q) = V - p$. Let $x \in X - q$ and assume $x \in h(M_n) - h(\text{Cl } M_{n+1})$. For each k , $F_k(x) = p$ if and only if $x = p$. Since $x \in V - q$ implies $x \neq p$, then none of $F_1(x), \dots, F_n(x)$ equals p . By induction, $H(x) \neq p$. Thus $H(V - q) \subset V - p$. Now let $y \in V - p$ and suppose $y \in [pz] - z$ where we assume $H(z) = z$. From (v) we have $H^{-1}(y) \subset [pz]$. We suppose there is no preimage of y on $[pz]$; then by the continuity of H , $H([pz] - q) \subset [yz]$. From (iii) we have $d(x, q) \rightarrow 0$ implies $d(H(x), p) \rightarrow 0$. This contradicts the fact that $d(y, p) > 0$. Thus $H(V - q) \supset V - p$.

(vii) H^{-1} is continuous on $X-p$.

It is straightforward to show (using (v), the properties of the F_n 's, and Lemma 3.1) that H is one-to-one. It is also straightforward to show that for each n , F_n takes open sets of $h(M_k)$ onto open sets of $h(M_n)$. Hence it follows from (iii) that $\{h_n[h(M_n)-h(\text{Cl}M_{n+1})]\}$ is a locally null collection of disjoint, open sets. We now apply Theorem 2 of [9], to obtain the continuity of H^{-1} on $X-p$.

5. Properly starlike-equivalent decompositions. In this section (X, d) is a locally compact, SC-WR-CE metric space. We show that locally null, properly starlike-equivalent decompositions of (X, d) are radially-shrinkable. We then show that for locally null decompositions of (X, d) , some of the properties studied in this paper are equivalent. These two results include Theorem A as stated in Section 1. We must first establish a result (Lemma 5.1) in which we construct a preliminary shrinking which moves along segments and moves any edge points; if a map moves along segments and moves edge points, it cannot be an onto map and hence cannot be a shrinking. The reader might find it helpful to refer to the space $(X(2), d_2)$ of the examples of Section 2 while working the proof of Lemma 5.1; he may also wish to consult [14].

LEMMA 5.1. *Let G be a monotone, locally null decomposition of (X, d) , let $g \in H(G)$ be a compact, properly starlike w.r.t. p set, let W be an open set containing g , and let $\varepsilon > 0$. Then there is an open set U , an open set M , and a homeomorphism h from X onto X satisfying.*

- (1) $g \subset M \subset \text{Cl}M \subset U \subset W$;
- (2) $U \cap \text{Bd}[\cup H(G)] = \emptyset$;
- (3) M is ideally starlike w.r.t. p ;
- (4) $h(x) \in [px]$ for each $x \in \text{Cl}M$;
- (5) $h|_{(X-M)}$ is the identity; and
- (6) $\text{diam}h(g') < \varepsilon$ for each $g' \in H(G(U))$.

Proof. The proof is given in three parts.

(i) Construction of the "controls" and the open sets U and M .

Because of Proposition 2.2 we may choose a neighborhood base $\{U_n\}$ for g such that $\text{Bd}U_n \cap [\cup H(G)] = \emptyset$ and $U_n \subset W$ for each n . Let G' be the decomposition of X such that $H(G') = H(G) - \{g\}$. Because of Proposition 2.2 we may choose a neighborhood base $\{V_n\}$ for p such that $\text{Bd}V_n \cap [\cup H(G')] = \emptyset$ for each n . There is a nested neighborhood base $\{N_n\}$ for g such that $\text{Cl}N_1$ is compact, each N_n is ideally starlike w.r.t. p , and no nondegenerate segment from p in g has its terminal point on the edge w.r.t. p of any N_n (Lemma 3.4). Choose $V \in \{V_n\}$ such that $\text{Cl}V \subset N_1 \cap N(p, \frac{1}{3}\varepsilon)$. Let $\delta_1 > 0$ such that if $a, b \in \text{Bd}N_1 \cap \text{Ed}_pN_1$ and $d(a, b) < \delta_1$, then for every ideally starlike w.r.t. p neighborhood N with $N \subset N_1$,

$$d([pa] \cap [\text{Bd}N \cup \text{Ed}_pN], [pb] \cap [\text{Bd}N \cup \text{Ed}_pN]) < \frac{1}{6}\varepsilon.$$

Let $\delta_2 > 0$ such that if $a, b \in \text{Bd}N_1 \cup \text{Ed}_pN_1$, $c \in [pa] - V$, $d \in [pb] - V$, and $d(c, d) < \delta_2$, then $d(a, b) < \delta_1$. Let $\delta_3 = d(\text{Bd}N_1, g)$ and let $\delta > 0$ such that $\delta < \min\{\delta_1, \delta_2, \delta_3, \frac{1}{3}\varepsilon\}$. Since G is locally null and g is compact, we may choose $U \in \{U_n\}$ such that $U \subset N_1$ and if $g' \in H(G) - \{g\}$, then $g' \subset U$ only if $\text{diam}g' < \delta$. Let $R_i = N(p, i\delta)$, $i = 1, 2, 3, \dots$ We now choose M_1, \dots, M_k members of $\{N_n\}$ such that

- (1) R_k contains N_1 ;
- (2) $g \subset M_1 \subset \text{Cl}M_1 \subset M_2 \subset \dots \subset M_k \subset \text{Cl}M_k \subset U \cap N(g, \delta)$;
- (3) if $g' \in H(G) - \{g\}$, and $g' \cap \text{Bd}M_i \neq \emptyset$, then $g' \cap \text{Bd}M_{i-1} = \emptyset$, $i \neq k$ implies $g' \subset M_{i+1}$, and in any case $\text{diam}g' < \delta$;
- (4) for each i , $g \cap \text{Ed}_pM_i = \emptyset$ and $\text{Ed}_pM_i \subset R_i$ (Lemma 3.5); and
- (5) if $a \in [\text{Bd}N_1 \cup \text{Ed}_pN_1] - R_i$ and $i, j \in \{1, \dots, k\}$, then

$$d([pa] \cap [\text{Bd}M_i \cup \text{Ed}_pM_i], [pa] \cap [\text{Bd}M_j \cup \text{Ed}_pM_j]) < \delta.$$

We choose M to be M_k .

(ii) Construction of the shrinking h satisfying conditions (4) and (5) of the conclusion.

Let $x \in \text{Cl}M_k - p$ and let s be the segment from p to a point on $\text{Bd}N_1 \cup \text{Ed}_pN_1$ so that $[px] \subset s$. For $i \in \{1, \dots, k\}$, let

$$m_i(x) = s \cap [\text{Bd}M_i \cup \text{Ed}_pM_i] \quad \text{and} \quad r_i(x) = s \cap [\text{Bd}R_i \cup \text{Ed}_pR_i].$$

It follows that each of $m_i(x)$ and $r_i(x)$ is continuous on $\text{Cl}M_k - p$. We now define a map H from $\cup [\text{Bd}M_i \cup \text{Ed}_pM_i]$ into $\text{Cl}M_k$ by

$$H(m_i) = \begin{cases} m_i & \text{for } d(p, m_i) \leq d(p, r_i(m_i)), \\ r_i(m_i), & \text{otherwise,} \end{cases}$$

where $m_i \in [\text{Bd}M_i \cup \text{Ed}_pM_i]$ and $i \in \{1, \dots, k\}$. It follows from properties (1) and (4) of $\{M_1, \dots, M_k\}$ that $H|_{[\text{Bd}M_k \cup \text{Ed}_pM_k]}$ is the identity. It can be shown that H is continuous on its domain. We now define h from $\text{Cl}M_k$ into $\text{Cl}M_k$ by

$$h(x) = \begin{cases} \frac{d(m_{i+1}(x), x)}{d(m_{i+1}(x), m_i(x))} H(m_i(x)) + \frac{d(x, m_i(x))}{d(m_{i+1}(x), m_i(x))} H(m_{i-1}(x)) & \text{for } x \in \text{Cl}M_{i+1} - \text{Cl}M_i \text{ and } 1 \leq i \leq k-1, \\ \frac{d(m_1(x), x)}{d(m_1(x), p)} p + \frac{d(x, p)}{d(m_1(x), p)} H(m_1(x)) & \text{for } x \in \text{Cl}M_k - p, \\ p & \text{for } x = p. \end{cases}$$

It follows that $h(x) \in [px]$ for each $x \in \text{Cl}M_k$ and that h is continuous on $\text{Cl}M_k - p$ and hence, by Lemma 3.1, on $\text{Cl}M_k$. It can be shown that h is one-to-one on $\text{Cl}M_k$ by satisfying Lemma 3.1. Now let $x \in \text{Cl}M_i - p$. From the definition and continuity of h we have $h([pm_k(x)]) = [pm_k(x)]$. It can now be shown that $h(\text{Cl}M_k) = \text{Cl}M_k$.

If we extend h to $X - \text{Cl}M_k$ by $h(x) = x$, then h is a homeomorphism of X onto X such that $h|(X - M)$ is the identity.

(iii) The shrinking h satisfies condition (6) of the conclusion.

Let $g' \in H(G(U))$. If $g' \subset U - \text{Cl}M_k$, then $\text{diam } h(g') < \delta < \varepsilon$. Let $g' \cap \text{Cl}M_k \neq \emptyset$. Then $g' \subset U$ and hence $\text{diam } g' < \delta$. We assume for the time being that

$$g' \cap [\text{Cl}R_1 \cup \text{Cl}M_1 \cup V] = \emptyset.$$

Now suppose $g' \subset \text{Cl}M_k$. Then $g' \subset M_{i+1} - \text{Cl}M_{i-1}$ for some $i > 1$. Let $x, y \in g'$. We distinguish three cases.

(1) $d(m_{i-1}(x), p) > d(r_{i-1}(x), p)$ and $d(m_{i-1}(y), p) > d(r_{i-1}(y), p)$; it follows that $d(h(x), h(y)) < \delta + \frac{1}{6}\varepsilon + \delta < \frac{1}{2}\varepsilon$.

(2) $d(m_{i-1}(x), p) \leq d(r_{i-1}(x), p)$ and $d(m_{i-1}(y), p) \leq d(r_{i-1}(y), p)$; it follows that $d(h(x), h(y)) < \delta + \frac{1}{6}\varepsilon + \delta < \frac{1}{2}\varepsilon$.

(3) $d(m_{i-1}(x), p) \leq d(r_{i-1}(x), p)$ and $d(m_{i-1}(y), p) > d(r_{i-1}(y), p)$; it follows that $d(h(x), h(y)) < \delta + \frac{1}{6}\varepsilon + \frac{1}{2}\varepsilon + 2\delta < \frac{5}{6}\varepsilon$.

Thus if $g' \subset \text{Cl}M_k$, $\text{diam } h(g') \leq \frac{5}{6}\varepsilon$. Now suppose $g' \cap (X - \text{Cl}M_k) \neq \emptyset$. From the connectedness of g' and the above three cases it follows that

$$\text{diam } h(g') \leq \text{diam } h(g' - \text{Cl}M_k) + \text{diam } h(g' \cap \text{Cl}M_k) \leq \delta + \frac{5}{6}\varepsilon < \varepsilon.$$

Now let $g' \cap [\text{Cl}R_1 \cup \text{Cl}M_1 \cup V] \neq \emptyset$. Then it follows that $\text{diam } h(g') \leq 4\delta < \varepsilon$, $\text{diam } h(g') \leq 4\delta < \varepsilon$, and $\text{diam } h(g') < \frac{2}{3}\varepsilon$, respectively. Thus h satisfies condition (6) of the conclusion.

THEOREM 5.1. *Let G be a locally null, properly starlike-equivalent decomposition of (X, d) . Then G is radially-shrinkable in (X, d) .*

Proof. For each $g_n \in H(G)$, we have h_n , q_n , and p_n such that h_n is a space homeomorphism taking g_n onto q_n and q_n is properly starlike w.r.t. p_n . We claim $\{h_n\}$, $\{q_n\}$, and $\{p_n\}$ are the required collections for radial-shrinkability. Let $\varepsilon > 0$ and let U be an open set containing $\bigcup H(G)$. We set $G(\varepsilon) = \{g_1, g_2, \dots\}$. Using Proposition 2.2 we obtain a locally null, open covering $\{O_n\}$ of $G(\varepsilon)$ such that $g_n \subset \text{Cl } O_n$ is compact and $O_n \cap \text{Bd}[\bigcup H(G)] = \emptyset$ for each n , and $\text{Cl } O_n \cap \text{Cl } O_m = \emptyset$ if $n \neq m$. For each n let G_n be the decomposition of X such that $H(G_n) = \{h_n(g) : g \subset O_n\}$. Then each G_n is an u.s.c., monotone, locally null decomposition of X with q_n as a nondegenerate element. We choose $\delta_n > 0$ such that $d(h_n(x), h_n(y)) < \delta_n$ implies $d(x, y) < \frac{1}{2}\varepsilon$ for $x, y \in \text{Cl } O_n$. From Lemma 5.1 we have U_n, V_n, f_n for each n such that

(1) $g_n \subset V_n \subset \text{Cl } V_n \subset U_n \subset h_n(O_n)$, $U_n \cap \text{Bd}[\bigcup H(G_n)] = \emptyset$, and V_n is ideally starlike w.r.t. p_n ;

(2) f_n is a homeomorphism of X onto X such that $f_n(x) \in [px]$ for each $x \in \text{Cl } V_n$ and $f_n|(X - V_n)$ is the identity; and

(3) $\text{diam } f_n(g) < \delta_n$ for each $g \in H(G_n(U_n))$.

We now define h from X onto X by

$$h(x) = \begin{cases} h_n^{-1}(f_n(h_n(x))) & \text{for } x \in f_n^{-1}(U_n) \text{ and } n \geq 1, \\ x & \text{for } x \in X - [\bigcup f_n^{-1}(U_n)]. \end{cases}$$

It can be shown that h and h^{-1} satisfy the conditions of Theorem 2 of [9] and thus h is a homeomorphism. It is not difficult to show that $\{h_n\}$, $\{q_n\}$, $\{p_n\}$, U , h , $\{f_n^{-1}(V_n)\}$, and $\{f_n\}$ satisfy the conditions of radial-shrinkability for G .

PROPOSITION 5.1. *Let G be a decomposition of a locally compact, SC metric space (Y, e) , where G need not be u.s.c. If G is radially-pointlike in (Y, e) , then G is properly starlike-equivalent in (Y, e) .*

Proof. The proof follows by contradiction.

THEOREM 5.2 (Theorem A). *Let G be a locally null decomposition of (X, d) . Then the following are equivalent:*

(1) G is properly starlike-equivalent in (X, d) ;

(2) G is radially shrinkable in (X, d) ;

(3) G is radially shrinkable in (X, d) at each element of $H(G)$; and

(4) G is radially-pointlike in (X, d) .

If any of the above hold, $X/G \approx X$.

Proof. The circle of implications follows from the theorems of Sections 4 and 5. That $X/G \approx X$ follows from (2) and Theorem 4 of [9].

COROLLARY 5.1. *Each compact starlike subset of E^n is radially-pointlike.*

Other consequences of Theorem 5.2 are given in Examples 1 and 2 of Section 1.

6. Star-0-dimensional decompositions. In this section (X, d) is a locally compact, SC-WR-CE metric space. We recall from Section 1 that Price has shown [13] that a decomposition G of E^n yields E^n if for each $g \in H(G)$, there is a collection of n -cells $\{B_k\}$ such that $\{\text{Int } B_k\}$ is a neighborhood base for g and $\text{Bd } B_k \cap [\bigcup H(G)] = \emptyset$ for each k . Such a decomposition is star-0-dimensional, but star-0-dimensional decompositions may not satisfy Price's conditions because there are open n -cells which are starlike but whose closures are not n -cells. In this section we prove Theorem B after first proving Lemma 6.1, a result analogous to Lemma 5.1.

Lemma 6.1. *Let G be a monotone decomposition of an open starlike w.r.t. p set U in (X, d) such that $\text{Cl } U$ is compact and $\text{Ed}_p U = \emptyset$. Then for each $\varepsilon > 0$, there is an ideally starlike w.r.t. p neighborhood V such that $\text{Cl } V \subset U$, and there is a homeomorphism h from $\text{Cl } U$ onto $\text{Cl } U$ satisfying these conditions: $h(x) \in [px]$ for each $x \in \text{Cl } U$, $h|(U - V)$ is the identity, and $\text{diam } h(g) < \varepsilon$ for each $g \in H(G)$.*

Proof. Let $\varepsilon > 0$. From Lemma 3.3 we may obtain an ideally starlike w.r.t. p neighborhood N containing $\text{Cl } U$ such that $\text{Cl } N$ is compact and $\text{Ed}_p N = \emptyset$.

By Lemma 3.6, $U = \bigcup V_n$ where each V_n is ideally starlike w.r.t. p , $\text{Cl } V_n \subset V_{n+1}$ for each n , and each $\text{Ed}_p V_n = \emptyset$. Let $\delta_1 > 0$ such that if $a, b \in \text{Bd } N$ and $d(a, b) < \delta_1$, then $d([pa] \cap \text{Bd } M, [pb] \cap \text{Bd } M) < \frac{1}{3}\varepsilon$ for each ideally starlike w.r.t. p neighbor-

hood $M \subset N$. Let $\delta_2 > 0$ such that if $a, b \in \text{Bd}N$, $c \in [pa] - V_1$ and $d \in [pb] - V_1$, and $d(c, d) < \delta_2$, then $d(a, b) < \delta_1$. Let $\delta > 0$ such that $\delta < \min\{\delta_1, \delta_2, \frac{1}{8}\epsilon\}$. It follows that there is an integer J such that if $a \in \text{Bd}N$ and $n, m \geq J$, then

$$d([pa] \cap \text{Bd}V_n, [pa] \cap \text{Bd}V_m) < \delta.$$

Let $V_{n_1} \in \{V_n\}$ such that $n_1 \geq J$ and if $\text{diam}g \geq \delta$ (where $g \in H(G)$), then $g \subset \text{Cl}V_{n_1}$. Let $V_{n_2} \in \{V_n\}$ such that $n_2 > n_1$ and if $g \cap \text{Cl}V_{n_1} \neq \emptyset$, then $g \subset V_{n_2}$. We continue this process inductively until a V_{n_k} has been chosen and k is so large that $\text{Cl}U \subset N(p, k\delta)$. We choose V to be V_{n_k} . Let $R_i = N(p, i\delta) \cap N$ for $i \in \{1, \dots, k\}$. Each R_i is ideally starlike w.r.t. p . Let $x \in \text{Cl}U - p$. Then $x \in [pa]$ where $a \in \text{Bd}N$. For $i \in \{1, \dots, k\}$, let $r_i(x) = [pa] \cap \text{Bd}R_i$ and $v_i(x) = [pa] \cap \text{Bd}V_n$. The procedure is now completely analogous to that of Lemma 5.1.

THEOREM 6.1 (Theorem B). *Let G be a star-0-dimensional decomposition of (X, d) . Then G is shrinkable. Hence $X/G \approx X$.*

Proof. Let $g \in H(G)$, and let W be an open set about g such that $\text{Cl}W$ is compact and $\text{Bd}W \cap [\cup H(G)] = \emptyset$. Let $\epsilon > 0$ and let U be an open set containing $\cup H(G(W))$. Let $G'_w(\epsilon) = \{g' \in H(G(W)) : \text{diam}g' \geq \epsilon\}$. Then $\cup G'_w(\epsilon)$ is compact. For each $g' \in G'_w(\epsilon)$, let $O(g')$ be an open set containing g' such that $O(g') \subset U$, $\text{Bd}(O(g')) \cap [\cup H(G)] = \emptyset$, and $\text{Cl}(O(g'))$ is homeomorphic to an open, starlike set with compact closure and empty edge w.r.t. p . Using Lemma 6.1, we proceed exactly as in Lemma 1.2 of [13] to obtain a homeomorphism h of X onto X such that $h|(X-U)$ is the identity and $\text{diam}h(g') < \epsilon$ for each $g' \in H(G(W))$. Hence $G(W)$ is shrinkable, i.e. G is shrinkable at g . By Theorem 10 of [9], G is shrinkable and by Theorem 4 of [9], $X/G \approx X$.

COROLLARY 6.1. *Let G be a decomposition of E^3 such that $H(G)$ is countable.*

(1) *The following are equivalent:*

- (i) *G is star-0-dimensional;*
- (ii) *G is shrinkable;*
- (iii) *$E^3/G \approx E^3$; and*
- (iv) *G satisfies Prices condition.*

(2) *If G is starlike, then G is star-0-dimensional.*

Proof. (1) follows from Theorem 6.1 and Theorem 1.4 of [13]; (2) follows from (1) and Theorem 2 of [4].

Other consequences of Theorem 6.1 are given in Example 3 of Section 1.

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Remarks of the elementary theories of formal and convergent power series

by

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Abstract. In § 1 an example is given of two fields F_1, F_2 of characteristic 0 such that $F_1 \equiv F_2$ but $F_1[[x_1, x_2]] \not\equiv F_2[[x_1, x_2]]$. In § 2 it is shown that $\langle C\{x, y\}, C\{x\} \rangle < \langle C[[x, y]], C[[x]] \rangle$, where $x = (x_1, x_2)$ and $y = (y_1, y_2, y_3, y_4)$.

In [3] and [4] Ax and Kochen and Eršov showed among other things that the ring of convergent power series $C\{x\}$, over the complex numbers C , is an elementary subring of the ring of formal power series $C[[x]]$ over C . This means that the same first order statements (in the language of valued rings) with constants from $C\{x\}$, are true in both rings. (This is denoted $C\{x\} < C[[x]]$.) Also they showed that if fields F_1 and F_2 of characteristic 0 are elementarily equivalent, denoted $F_1 \equiv F_2$ (i.e. the same first order statements in the language of fields are true of F_1 and F_2) then $F_1[[x]] \equiv F_2[[x]]$ as valued rings (i.e. the same first order statements, in the language of valued rings, are true about $F_1[[x]]$ and $F_2[[x]]$). It is natural to ask whether these results extend to power series rings in several variables. In Section 1, we show that one can have fields $F_1 \equiv F_2$ but $F_1[[x_1, x_2]] \not\equiv F_2[[x_1, x_2]]$. In Section 2 we show that a slightly stronger statement than $C\{x_1, \dots, x_6\} < C[[x_1, \dots, x_6]]$ is false ⁽¹⁾. These remarks contradict some results claimed in [7].

Section 1. Eršov [4] showed that for any field F and for $n \geq 2$, $F[[x_1, \dots, x_n]]$ is undecidable. We shall give a slightly different proof of this for the case that F has characteristic zero and use this proof to show that we can have $F_1 \equiv F_2$ of characteristic 0 but $F_1[[x_1, \dots, x_n]] \not\equiv F_2[[x_1, \dots, x_n]]$ ($n \geq 2$) as rings. Let F be a field of characteristic zero.

For the sake of clarity, we begin by showing that $\mathcal{F} = F[[x_1, \dots, x_n]]$ is undecidable as an F algebra with x_1 and x_2 picked out, i.e., that \mathcal{F} as a ring under the operations of addition and multiplication, with constants for x_1 and x_2 , and with an additional predicate which picks out a particular lifting of the residue field F

⁽¹⁾ (Added in proof) Some of the results of this paper and some extensions have been discovered independently by F. Delon, *Résultats d'indécidabilité dans les anneaux de séries formelles* (to appear).