

‘THE MOTHER OF ALL CONTINUED FRACTIONS’

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Dedicated to the memory of Anzelm Iwanik

Abstract. We give the relationship between regular continued fractions and Lehner fractions, using a procedure known as *insertion*. Starting from the regular continued fraction expansion of any real irrational x , when the maximal number of insertions is applied one obtains the Lehner fraction of x . Insertions (and singularizations) show how these (and other) continued fraction expansions are related. We also investigate the relation between Lehner fractions and the Farey expansion (also known as the full continued fraction), and obtain the ergodic system underlying the Farey expansion.

1. Introduction. In 1994, J. Lehner [L] showed that every irrational number $x \in [1, 2)$ has a unique continued fraction expansion of the form

$$(1) \quad b_0 + \frac{e_1}{b_1 + \frac{e_2}{b_2 + \ddots + \frac{e_n}{b_n + \ddots}}} = [b_0; e_1/b_1, e_2/b_2, \dots, e_n/b_n, \dots],$$

where (b_i, e_{i+1}) equals either $(1, 1)$ or $(2, -1)$. We call these continued fractions *Lehner fractions* or *Lehner expansions*. Each rational number has two finite Lehner expansions. Lehner expansions can be generated dynamically by the map $L : [1, 2) \rightarrow [1, 2)$ given by

$$Lx := \begin{cases} 1/(2-x), & 1 \leq x < 3/2, \\ 1/(x-1), & 3/2 \leq x < 2. \end{cases}$$

Notice that in this expansion for $x \in [1, 2)$ one has

$$(b_i, e_{i+1}) = \begin{cases} (1, 1) & \text{if } L^i(x) \in [3/2, 2), \\ (2, -1) & \text{if } L^i(x) \in [1, 3/2). \end{cases}$$

Lehner fractions are examples of the so-called *semi-regular continued fraction expansions*. In general a semi-regular continued fraction expansion

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(SRCF) is a finite or infinite fraction

$$(2) \quad b_0 + \frac{e_1}{b_1 + \frac{e_2}{b_2 + \ddots + \frac{e_n}{b_n + \ddots}}} = [b_0; e_1/b_1, e_2/b_2, \dots, e_n/b_n, \dots],$$

with $e_n = \pm 1$; $b_0 \in \mathbb{Z}$; $b_n \in \mathbb{N}$, for $n \geq 1$, subject to the condition

$$e_{n+1} + b_n \geq 1 \quad \text{for } n \geq 1,$$

and with the restriction that in the infinite case

$$e_{n+1} + b_n \geq 2 \quad \text{infinitely often.}$$

Moreover we demand that $e_n + b_n \geq 1$ for $n \geq 1$.

Finite truncation in (2) yields the SRCF-convergents

$$A_n/B_n := [b_0; e_1/b_1, e_2/b_2, \dots, e_n/b_n],$$

where it is always assumed that $\gcd(A_n, B_n) = 1$. We say that (2) is a *SRCF-expansion* of x if

$$x = \lim_{n \rightarrow \infty} \frac{A_n}{B_n}.$$

The best known example of a SRCF is the so-called *regular continued fraction expansion* (RCF); it is well known that every real irrational number x has a unique RCF-expansion

$$(3) \quad a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \ddots}} = [a_0; a_1, a_2, \dots],$$

where $a_0 \in \mathbb{Z}$ is such that $x - a_0 \in [0, 1)$, and $a_n \in \mathbb{N}$ for $n \in \mathbb{N}$. Underlying the RCF is the ergodic system

$$([0, 1), \mathcal{B}, \mu, T),$$

where \mathcal{B} is the collection of Borel subsets of $[0, 1)$, μ is the Gauss measure on $[0, 1)$, i.e., the measure with density $(\log 2)^{-1}(1+x)^{-1}$ on $[0, 1)$, and $T: [0, 1) \rightarrow [0, 1)$ is defined by

$$Tx := 1/x - [1/x], \quad x \neq 0; \quad T0 := 0.$$

If we put $a_1 = a_1(x) := [1/(x - a_0)]$ and $a_n = a_n(x) := a_1(T^n(x - a_0))$, $n \geq 1$, then (3) easily follows from the definition of T . It should be noticed that a rational number has (two) finite RCF-expansions. Denote the RCF-convergents of a real x by $(P_n/Q_n)_{n \geq 1}$, and define the *mediant convergents* of x by

$$\frac{kP_n + P_{n-1}}{kQ_n + Q_{n-1}}, \quad 1 \leq k < a_{n+1}.$$

In Section 2 we will see that the set of Lehner convergents of x equals the set of RCF-convergents and mediant convergents of x . Perhaps a more appropriate name for the Lehner fractions would be *the mother of all semi-regular continued fractions*. This becomes transparent with the ideas of *singularization* and *insertion*, discussed in Section 2.

The map L was implicitly given by J. Lehner [L], and is isomorphic to the map $I : [0, 1) \rightarrow [0, 1)$ given by

$$Ix := \begin{cases} x/(1-x), & 0 \leq x < 1/2, \\ (1-x)/x, & 1/2 \leq x < 1. \end{cases}$$

This map was used by Shunji Ito [I] to generate for every $x \in [0, 1)$ the mediant and RCF-convergents of x . However, no semi-regular expansion was associated with this transformation. Ito studied the ergodic properties of this transformation, and showed that ⁽¹⁾

$$([0, 1), \mathcal{B}, \nu, I)$$

forms an ergodic system, where ν is an infinite σ -finite invariant measure for I with density x^{-1} on $[0, 1)$. Due to this, one immediately finds that

$$([1, 2), \mathcal{B}, \varrho, L)$$

forms an ergodic system, where ϱ is an infinite σ -finite invariant measure for L with density $(x-1)^{-1}$ on $[1, 2)$. Ito's map is also closely related to the *additive continued fraction*, and the *Farey shift map*. For more details on this, see [Rich]. The additive continued fraction yields, like the Lehner fraction, all the RCF- and mediant convergents of any x . In [G], J. Goldman showed that for any $x > 0$ the additive continued fraction is related to the so-called *unitary* continued fraction expansion of x of the form (2), with $(b_i, e_i) \in \{(1, 1), (2, -1)\}$ and $b_0 = 1$. Notice that this continued fraction expansion (which from now on we will call the *Farey expansion* ⁽²⁾ of x) is **not** a SRCF-expansion of x . The map F generating the Farey expansion was given implicitly (in the form of an algorithm) in [G], and no dynamical properties of F were studied. Due to the close relation with the Lehner fractions we were able to find F in a direct way, show that it is ergodic and preserves a σ -finite infinite measure with density $(x-1)^{-1} - (x+2)^{-1}$ on $[-1, \infty)$; see also Section 3.

Ito also obtained the natural extension

$$([0, 1) \times [0, 1], \mathcal{B}, \bar{\nu}, \mathcal{I})$$

⁽¹⁾ All σ -algebras considered are the 1-dimensional or 2-dimensional Lebesgue σ -algebras on the appropriate space. We will always use the notation \mathcal{B} to denote these various σ -algebras, unless it causes confusion.

⁽²⁾ In [G], Goldman called this continued fraction the *full continued fraction* of x .

of $([0, 1], \mathcal{B}, \nu, I)$. This system was used by Ito to study the distribution of the sequences of the first and “last” mediants, and by G. Brown and Q. Yin [BY] to study any sequence of mediant convergents of x of a given order. This was also done by W. Bosma [B], by using the regular system T . Brown and Yin needed the Ratio Ergodic Theorem to circumvent the fact that the invariant measure $\bar{\nu}$ has infinite mass, but Bosma only needed the ergodicity of T . Applying $\phi : [0, 1) \times [0, 1) \rightarrow [0, 1) \times [0, 1)$ defined by $\phi(x, y) = (x + 1, y + 1)$ to Ito’s natural extension $([0, 1) \times [0, 1), \mathcal{B}, \bar{\nu}, T)$ yields $([1, 2) \times [1, 2), \mathcal{B}, \hat{\varrho}, \mathbf{L})$ as a version of the natural extension of the ergodic system $([0, 1), \mathcal{B}, \varrho, L)$ underlying the Lehner fraction. Here \mathbf{L} is defined by

$$\mathbf{L}(x, y) = \begin{cases} \left(\frac{1}{2-x}, 2 - \frac{1}{y} \right), & 1 \leq x < 3/2, \\ \left(\frac{1}{x-1}, 1 + \frac{1}{y} \right), & 3/2 \leq x < 2, \end{cases}$$

and $\hat{\varrho}$ has density $(2x + 2y - xy - 3)^{-2}$ on $[1, 2) \times [1, 2)$. In Section 3 we will study another natural extension of $([0, 1), \mathcal{B}, \varrho, L)$, which will bring out the relation between the Lehner fractions and the Farey expansion in an easy and clear-cut way. In Section 4 we extend some classical results of the RCF to the Lehner fractions (and the Farey expansion). These results cannot be obtained from their own underlying ergodic system $([0, 1), \mathcal{B}, \varrho, L)$, but follow easily from that of the regular.

2. Insertions. There are two operations on the sequence of digits of a SRCF-expansion (2) of any real x , which transform this expansion into another one: *singularization* and *insertion*. In this section we will deal only with insertions, while singularizations will be discussed in Section 5. See also [K], which is a general reference for all statements concerning singularizations and insertions.

An insertion is based upon the identity

$$A + \frac{1}{B + \xi} = A + 1 + \frac{-1}{1 + \frac{1}{B - 1 + \xi}}.$$

Let (2) be a SRCF-expansion of x , and suppose that for some $n \geq 0$ one has

$$b_{n+1} > 1, \quad e_{n+1} = 1.$$

An *insertion* is the transformation τ_n which changes the continued fraction

$$(4) \quad [b_0; e_1/b_1, \dots, e_n/b_n, 1/b_{n+1}, \dots]$$

into

$$[b_0; e_1/b_1, \dots, e_n/(b_n + 1), -1/1, 1/(b_{n+1} - 1), \dots],$$

which is again a SRCF-expansion of x , with convergents, say, $(p_k/q_k)_{k \geq -1}$.

Let $(r_k/s_k)_{k \geq -1}$ be the sequence of convergents connected with (4). Using some matrix identities one easily shows that the sequence $(p_k/q_k)_{k \geq -1}$ of vectors is obtained from $(r_k/s_k)_{k \geq -1}$ by inserting the term $(r_n+r_{n-1}/s_n+s_{n-1})$ before the n th term of the latter sequence, i.e.,

$$\begin{pmatrix} p_k \\ q_k \end{pmatrix}_{k \geq -1} \equiv \begin{pmatrix} r_{-1} \\ s_{-1} \end{pmatrix}, \begin{pmatrix} r_0 \\ s_0 \end{pmatrix}, \dots, \begin{pmatrix} r_{n-1} \\ s_{n-1} \end{pmatrix}, \begin{pmatrix} r_n+r_{n-1} \\ s_n+s_{n-1} \end{pmatrix}, \begin{pmatrix} r_n \\ s_n \end{pmatrix}, \begin{pmatrix} r_{n+1} \\ s_{n+1} \end{pmatrix}, \dots$$

We leave the proof of the following proposition to the reader.

PROPOSITION 1. *Let $x \in [1, 2)$ with RCF-expansion (3), i.e., $a_0 = 1$. Then the following algorithm yields the Lehner expansion (1) of x .*

(I) *Let $n \geq 0$ be the first index for which $a_{n+1} > 1$. In case $n = 0$ (i.e., $a_1 > 1$) we replace $[a_0; a_1, \dots]$ by*

$$\left[2; \underbrace{-1/2, \dots, -1/2}_{a_1-2 \text{ times}}, -1/1, 1/1, 1/a_2, \dots\right].$$

In case $n \geq 1$ we replace

$$[a_0; 1, \dots, 1, a_{n+1}, \dots]$$

by

$$\begin{aligned} &\tau_{n+a_{n+1}-1}(\dots(\tau_{n+1}(\tau_n([a_0; 1; \dots, 1, a_{n+1}, \dots])))\dots) \\ &= [a_0; 1/1, \dots, 1/2, \underbrace{-1/2, \dots, -1/2}_{a_{n+1}-2 \text{ times}}, -1/1, 1/1, 1/a_{n+2}, \dots], \end{aligned}$$

where τ_n is defined as in (4). Denote this new SRCF-expansion of x by $[b_0; e_1/b_1, e_2/b_2, \dots, e_n/b_n, \dots]$.

(II) *Let $m \geq n + 1$ be the first index in this new SRCF-expansion of x for which $e_{m+1} = 1$ and $b_{m+1} > 1$. Repeat the procedure from (I) for this new SCRF-expansion with this value of m .*

Due to the insertion mechanism it follows that every RCF-convergent or mediant convergent of x is a Lehner convergent of x .

It is well known that every quadratic irrational x has a RCF-expansion which is (eventually, i.e., from some point on) periodic. Due to the above algorithm the following corollary is immediate.

COROLLARY 1. *Let x be a quadratic irrational number. Then the Lehner expansion of x is (eventually) periodic.*

3. Farey expansions. If we define the map $\mathcal{L} : [1, 2) \times [-1, \infty) \rightarrow [1, 2) \times [-1, \infty)$ by

$$(5) \quad \mathcal{L}(x, y) := \left(\frac{e(x)}{x - b(x)}, \frac{e(x)}{b(x) + y} \right), \quad 1 \leq x < 2, \quad y \geq -1,$$

where

$$(b(x), e(x)) := \begin{cases} (2, -1), & 1 \leq x < 3/2, \\ (1, 1), & 3/2 \leq x < 2, \end{cases}$$

then \mathcal{L} is bijective, apart from a set of Lebesgue measure zero. The first coordinate map of \mathcal{L} is the Lehner map L , while the second coordinate map yields the “past” of any $x \in [1, 2)$ as a Farey expansion. By this we mean the following. Let $x \in [1, 2) \setminus \mathbb{Q}$ with Lehner fraction (1), and let

$$(T_n, V_n) := \mathcal{L}^n(x, 0) \quad \text{for } n \geq 0.$$

Then $V_n = [0; e_n/b_{n-1}, \dots, e_1/b_0]$ for $n \geq 1$, and $V_0 = 0$. Thus we see that the second coordinate of \mathcal{L} is the inverted Farey map F , and “re-inverting” shows that $F : [-1, \infty) \rightarrow [-1, \infty)$ is given by

$$F(x) = \begin{cases} -1/x - 2, & -1 \leq x < 0, \\ 0, & x = 0, \\ 1/x - 1, & x > 0, \end{cases}$$

i.e., $F(x) = f(x)/x - d(x)$ where

$$(d(x), f(x)) := \begin{cases} (2, -1), & -1 \leq x < 0, \\ (1, 1), & x \geq 0. \end{cases}$$

We have the following theorem.

THEOREM 1. *The system*

$$([1, 2) \times [-1, \infty), \overline{\mathcal{B}}, \overline{\varrho}, \mathcal{L})$$

forms an ergodic system, which is the natural extension of $([1, 2), \mathcal{B}, \varrho, L)$. Here $\overline{\varrho}$ is a σ -finite, infinite measure, which is invariant under \mathcal{L} , with density $(x + y)^{-2}$ on $[1, 2) \times [-1, \infty)$.

Proof. Let $\pi : [1, 2) \times [-1, \infty) \rightarrow [1, 2)$ be the natural projection on the first coordinate. Then it is easily seen that $\pi\mathcal{L} = L\pi$, and that for any measurable set A in $[1, 2)$,

$$\overline{\varrho}(\pi^{-1}A) = \overline{\varrho}(A \times [-1, \infty)) = \varrho(A).$$

Further, $\overline{\varrho}$ is an invariant measure; for this it suffices to show that $\overline{\varrho}((a, b) \times (c, d)) = \overline{\varrho}(\mathcal{L}((a, b) \times (c, d)))$ for any $1 < a < b < 2$ and $-1 < c < d < \infty$. We consider two cases.

(I) For $1 < a < b \leq 3/2$, one has

$$\mathcal{L}((a, b) \times (c, d)) = \left(\frac{-1}{a-2}, \frac{-1}{b-2} \right) \times \left(\frac{-1}{c+2}, \frac{-1}{d+2} \right).$$

An easy calculation shows that

$$\begin{aligned} \bar{\varrho}\left(\left(\frac{-1}{a-2}, \frac{-1}{b-2}\right) \times \left(\frac{-1}{c+2}, \frac{-1}{d+2}\right)\right) &= \log\left(\frac{(a+d)(b+c)}{(a+c)(b+d)}\right) \\ &= \bar{\varrho}((a, b) \times (c, d)). \end{aligned}$$

(II) For $3/2 < a < b < 2$, one has

$$\mathcal{L}((a, b) \times (c, d)) = \left(\frac{1}{b-1}, \frac{1}{a-1}\right) \times \left(\frac{1}{d+1}, \frac{1}{c+1}\right).$$

Again a straightforward calculation shows that

$$\bar{\varrho}(\mathcal{L}((a, b) \times (c, d))) = \log\left(\frac{(a+d)(b+c)}{(a+c)(b+d)}\right).$$

Finally, we show that $\bar{\mathcal{B}} = \bigvee_{n \geq 0} \mathcal{L}^n \pi^{-1} \mathcal{B}$. To see this, for $m, n \geq 1$, the Farey map F and the Lehner map L we define cylinders $C_m = C_m(f_1/d_1, f_2/d_2, \dots, f_m/d_m)$ resp. $D_n = D_n(b_0; e_1/b_1, \dots, e_n/)$ by

$$C_m(f_1/d_1, \dots, f_m/d_m) := \{x \in [-1, \infty); x = [0; f_1/d_1, \dots, f_m/d_m, \underbrace{\dots}_{\text{“free”}}]\}$$

and

$$D_n(b_0; e_1/b_1, \dots, e_n/) := \{x \in [1, 2); x = [b_0; e_1/b_1, e_2/b_2, \dots, e_n/, \underbrace{\dots}_{\text{“free”}}]\},$$

where $(d_i, f_i) \in \{(1, 1), (2, -1)\}$ for $i = 1, \dots, m$ and $(b_{i-1}, e_i) \in \{(1, 1), (2, -1)\}$ for $i = 1, \dots, n$.

Then

$$\begin{aligned} D_n \times C_m &= \mathcal{L}^m(D_{m+n}(d_m; f_m/d_{m-1}, \dots, f_2/d_1, f_1/b_0, e_1/b_1, \dots, e_n/) \times [-1, \infty)). \end{aligned}$$

Since the set of all possible cylinders of the form $D_n \times C_m$ generates $\bar{\mathcal{B}}$, this gives the desired result. ■

From Theorem 1 the following corollary is immediate.

COROLLARY 2. *The dynamical system $([-1, \infty), \mathcal{B}, \tau, F)$ underlying the Farey expansion is measure preserving. Here τ is a σ -finite, infinite measure, with density $(x+1)^{-1} - (x+2)^{-1}$ on $[-1, \infty)$.*

Since natural extensions of a system are isomorphic, the fact that $([1, 2), \mathcal{B}, \varrho, L)$ has two natural extensions, both having the Lehner map as the first coordinate, and for the second coordinate, one has the (inverted) Lehner map L and the other the (inverted) Farey map, suggests that L and F must be isomorphic. We have the following theorem.

THEOREM 2. Let $x \in [-1, \infty)$ with Farey expansion

$$x = [0; f_1/d_1, f_2/d_2, \dots].$$

Then the map $\xi : [-1, \infty) \rightarrow [1, 2)$ defined by

$$\xi(x) = [d_1; f_1/d_2, f_2/d_3, \dots]$$

is an isomorphism from $([-1, \infty), \mathcal{B}, \tau, F)$ to $([1, 2), \mathcal{B}, \varrho, L)$.

PROOF. Clearly $\xi : [-1, \infty) \setminus \mathbb{Q} \rightarrow [1, 2) \setminus \mathbb{Q}$ is a bijection, and since

$$\begin{aligned} \xi(F(x)) &= \xi([0; f_2/d_2, f_3/d_3, \dots]) \\ &= [d_2; f_2/d_3, f_2/d_3, \dots] \\ &= L([d_1; f_1/d_2, f_2/d_3, \dots]) = L(\xi(x)), \end{aligned}$$

we only need to show that ξ is measurable and measure preserving.

For each Farey cylinder $C_n = C_n(f_1/d_1, f_2/d_2, \dots, f_n/d_n)$ as defined above, $\xi(C_n)$ equals the Lehner cylinder $D_n = D_n(d_1; f_1/d_2, f_2/d_3, \dots, f_n/)$, so that ξ is clearly measurable. It remains to show that

$$\tau(C_n) = \varrho(D_n).$$

For $D_n = D_n(b_0; e_1/b_1, e_2/b_2, \dots, e_n/)$ define

$$D_n^* := D_n(b_{n-1}; e_n/b_{n-2}, e_{n-1}/b_{n-3}, \dots, e_1/).$$

From the fact that \mathcal{L} is measure preserving with respect to $\bar{\varrho}$ one has

$$\begin{aligned} \tau(C_n) &= \bar{\varrho}([1, 2) \times C_n) = \bar{\varrho}(\mathcal{L}^{-n}([1, 2) \times C_n)) \\ &= \bar{\varrho}(D_n^* \times [-1, \infty)) = \varrho(D_n^*). \end{aligned}$$

Furthermore, since \mathbf{L} is $\hat{\varrho}$ -preserving, one has

$$\begin{aligned} \varrho(D_n^*) &= \hat{\varrho}(D_n^* \times [1, 2)) = \hat{\varrho}(\mathbf{L}^n(D_n^* \times [1, 2))) \\ &= \hat{\varrho}([1, 2) \times D_n) = \varrho(D_n), \end{aligned}$$

and the result follows. ■

From Theorem 2 one immediately gets the following corollary.

COROLLARY 3. The dynamical system $([-1, \infty), \mathcal{B}, \tau, F)$ is ergodic.

4. Some classical theorems for Lehner fractions and Farey expansions. In 1935, A. Ya. Khinchin [Kh] obtained the following, classical results on the means of the RCF-digits of almost all x . His proofs are based on the Theorem of Gauss–Kuz'min, but easier proofs can be obtained via the Ergodic Theorem.

THEOREM 3 (A. Ya. Khinchin). *Let x be a real irrational number with RCF-expansion (3). Then almost surely one has*

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{n}{\frac{1}{a_1} + \dots + \frac{1}{a_n}} &= 1.277\dots, \\ \lim_{n \rightarrow \infty} \sqrt[n]{a_1 \dots a_n} &= \prod_{k=1}^{\infty} \left(1 + \frac{1}{k(k+2)}\right)^{(\log k)/\log 2} = 2.6\dots, \\ \lim_{n \rightarrow \infty} \frac{a_1 + \dots + a_n}{n} &= \infty.\end{aligned}$$

Notice that Khinchin's Theorem 3 plus the concept of insertion provide a heuristic argument why the Lehner system $([0, 1], \mathcal{B}, \varrho, L)$ should be ergodic, with an infinite, σ -finite invariant measure ϱ . After all an insertion before the digit $a > 1$ is simply building a tower over the RCF-cylinder corresponding to this digit. Since the Lehner expansion of a number x is obtained by using insertion as many times as possible in order to "shrink away" any (regular digit) $a > 1$, it follows that the system thus obtained must be ergodic (it contains the RCF-system $([0, 1], \mathcal{B}, \mu, T)$ as an induced system), but due to the third statement in Khinchin's Theorem 3 it must also have infinite mass.

With respect to Khinchin's result the situation is quite different for the Lehner expansion; there does not exist a Gauss–Kuz'min Theorem for these continued fraction expansions, and we cannot apply the Ergodic Theorem directly using the Lehner map L (or the Ito map I), since the underlying dynamical system has an invariant measure which is infinite. In spite of this we will show that for almost all x these *means* do exist, and equal 2. By insertion we know that each RCF-digit corresponds to a certain block of digits of the Lehner fractions, as given in Proposition 1. We have the following theorem.

THEOREM 4. *Let x be a real irrational number with RCF-expansion (3) and Lehner expansion $[b_0; e_1/b_1, e_2/b_2, \dots, e_n/b_n, \dots]$. Then for almost all x one has*

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{n}{\frac{1}{b_1} + \dots + \frac{1}{b_n}} &= 2, \\ \lim_{n \rightarrow \infty} \sqrt[n]{b_1 \dots b_n} &= 2, \\ \lim_{n \rightarrow \infty} \frac{b_1 + \dots + b_n}{n} &= 2.\end{aligned}$$

Proof. Let $N \in \mathbb{N}$ be sufficiently large. Then there exist unique integers k and j such that

$$N = a_1 + \dots + a_k + j, \quad \text{where } 0 \leq j < a_{k+1}.$$

In Proposition 1 we saw that each RCF-digit a_i is replaced by a block of Lehner digits of length a_i , of the form consisting of $a_i - 1$ 2's followed by a digit 1. Then

$$\frac{1}{b_1} + \dots + \frac{1}{b_N} = k + \frac{1}{2} \sum_{i=1}^k (a_i - 1) + \frac{j}{2} = \frac{N+k}{2}.$$

This implies that

$$\frac{N}{\frac{1}{b_1} + \dots + \frac{1}{b_N}} = \frac{1}{\frac{1}{2} \left(1 + \frac{k}{N}\right)}.$$

Since $0 \leq j < a_{k+1}$ it follows that

$$\frac{k}{N} \leq \frac{1}{\frac{1}{k} \sum_{i=1}^k a_i},$$

which tends to zero almost surely due to Khinchin's Theorem 3, and we find that

$$\lim_{N \rightarrow \infty} \frac{N}{\frac{1}{b_1} + \dots + \frac{1}{b_N}} = 2.$$

Since all digits in the Lehner fraction of any x are either 1 or 2, and one always has

$$\frac{N}{\frac{1}{b_1} + \dots + \frac{1}{b_N}} \leq \sqrt[N]{b_1 \dots b_N} \leq \frac{b_1 + \dots + b_N}{N} \leq 2$$

(see also pp. 375–377 in [C]), the result follows. ■

From the above theorem and Theorem 2 we get the following corollary.

COROLLARY 4. *Let x be a real irrational number with RCF-expansion (3) and Farey expansion $[0; f_1/d_1, f_2/d_2, \dots, f_n/d_n, \dots]$. Then for almost all x one has*

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{n}{\frac{1}{d_1} + \dots + \frac{1}{d_n}} &= 2, \\ \lim_{n \rightarrow \infty} \sqrt[n]{d_1 \dots d_n} &= 2, \\ \lim_{n \rightarrow \infty} \frac{d_1 + \dots + d_n}{n} &= 2. \end{aligned}$$

Proof. We give only the proof of the second statement; the other two are obtained in exactly the same way.

Let \mathcal{K} be the set of those $x \in [1, 2)$ for which

$$\lim_{n \rightarrow \infty} \sqrt[n]{b_0 b_1 \dots b_{n-1}} = 2,$$

where $[b_0; e_1/b_1, e_2/b_2, \dots, e_n/b_n, \dots]$ is the Lehner expansion of x . Due to Theorem 4 we see that \mathcal{K}^c is a set of measure zero. But then so is $\xi^{-1}(\mathcal{K}^c)$. Now let $y \in \xi^{-1}(\mathcal{K})$ with Farey expansion $[0; f_1/d_1, f_2/d_2, \dots, f_n/d_n, \dots]$. Then for each $n \geq 1$ there are as many 2's among the first n digits of y as there are among the first n digits of $x = \xi(y)$, i.e.,

$$\lim_{n \rightarrow \infty} \sqrt[n]{d_1 \dots d_n} = \lim_{n \rightarrow \infty} \sqrt[n]{b_0 \dots b_{n-1}} = 2. \blacksquare$$

5. Singularizations. In this section we discuss the concept of singularization of a partial quotient. It is based upon the identity

$$A + \frac{e}{1 + \frac{1}{B + \xi}} = A + e + \frac{-e}{B + 1 + \xi}.$$

To see the effect of a singularization, let

$$x = [b_0; e_1/b_1, e_2/b_2, \dots], \quad b_n \in \mathbb{N}, \quad n > 0; \quad e_i \in \{\pm 1\}, \quad i \geq 1,$$

be a SRCF-expansion of x . Finite truncation yields the sequence of convergents $(r_k/s_k)_{k \geq -1}$. Suppose that for some $n \geq 0$ one has

$$b_{n+1} = 1, \quad e_{n+2} = 1,$$

i.e.,

$$[b_0; e_1/b_1, \dots] = [b_0; e_1/a_1, \dots, e_n/b_n, e_{n+1}/\mathbf{1}, \mathbf{1}/b_{n+2}, \dots].$$

The transformation σ_n which changes this continued fraction into the continued fraction

$$(6) \quad [b_0; e_1/b_1, \dots, e_n/(b_n + e_{n+1}), -e_{n+1}/(b_{n+2} + 1), \dots],$$

which is again a continued fraction expansion of x , with convergents, say $(p_k/q_k)_{k \geq -1}$, is called a *singularization*. One easily shows that the sequence $(\binom{p_k}{q_k})_{k \geq -1}$ of vectors is obtained from $(\binom{r_k}{s_k})_{k \geq -1}$ by removing the term $(\binom{r_n}{s_n})$ from the latter. Singularizations, and the underlying ergodic theory of a new class of continued fractions, have been extensively studied in [K].

By combining the operations of singularization and insertion one can obtain any semi-regular continued fraction expansion. In [K] a whole class of semi-regular continued fractions was introduced via singularizations only (some of these SRCF's were new, some classical—like the continued fraction to the nearest integer), and their ergodic theory was studied (the main idea in [K] is that the operation of singularization is equivalent to having an induced map on the natural extension of the RCF). As an example of combining the operations of singularization and insertion we discuss here the *backward continued fraction*.

Each irrational number x in the interval $[0,1)$ has a unique continued fraction expansion of the form

$$(7) \quad 1 - \frac{1}{c_1 - \frac{1}{c_2 - \ddots}} = [1; -1/c_1, -1/c_2, \dots],$$

where each c_i is an integer greater than one. As with the RCF, there is a naturally defined transformation $B : [0, 1) \rightarrow [0, 1)$ which acts as the shift on the continued fraction (7), and which is given by

$$B(x) := \frac{1}{1-x} - \left\lfloor \frac{1}{1-x} \right\rfloor, \quad x \neq 0; \quad B(0) := 0.$$

The graph of B can be obtained from that of the RCF-map T by reflecting the latter in the line $x = 1/2$. It is for this reason that the continued fraction (7) has been called “backward”. It was shown by A. Rényi [R] that B is ergodic, and has a σ -finite, infinite invariant measure with density $1/x$ (see also the paper by R. L. Adler and L. Flatto [AF]).

As in the case of Proposition 1, we leave the proof of the following proposition to the reader.

PROPOSITION 2. *Let $x \in [0, 1)$ with RCF-expansion (3), i.e., $a_0 = 0$. Then the following algorithm yields the backward expansion (7) of x .*

(I) *If $a_1 = 1$, singularize a_1 to arrive at*

$$[1; -1/(a_2 + 1), 1/a_3, \dots]$$

as a new SRCF-expansion of x . If $a_1 > 1$, insert $-1/1$ $a_1 - 1$ times before a_1 to arrive at

$$[1; \underbrace{-1/2, \dots, -1/2}_{a_1 - 2 \text{ times}}, -1/1, 1/1, 1/a_2, \dots]$$

as a new SRCF-expansion of x . Now singularize $1/1$ appearing at the a_1 th position of this new continued fraction expansion of x . In either case we find as SRCF-expansion of x

$$(8) \quad [1; (-1/2)^{a_1-1}, -1/(a_2 + 1), 1/a_3, \dots],$$

where $(-1/2)^{a_1-1}$ is an abbreviation of $\underbrace{-1/2, \dots, -1/2}_{a_1-1 \text{ times}}$.

(II) *Let $m \geq 1$ be the first index in this new SRCF-expansion of x for which $e_m = 1$. Repeat the procedure from (I) for this new SRCF-expansion with this value of m .*

REMARK 1. Due to the above insertion/singularization mechanism it follows that x has as backward expansion

$$(9) \quad [1; (-1/2)^{a_1-1}, -1/(a_2 + 2), (-1/2)^{a_3-1}, -1/(a_3 + 2), \dots]$$

(see also Aufgabe 3, p. 131, in [Z]). From (9) it also follows easily that every quadratic irrational number x has an (eventually) periodic backward expansion.

Again, as for the Lehner fractions, it heuristically follows from Khinchin's Theorem 3 and the notion of insertion that the backward continued fraction map B should be ergodic, with an invariant measure of infinite mass. For the Lehner fractions it was also intuitively clear that almost surely $\sqrt[n]{b_1 \dots b_n} \rightarrow 2$ as $n \rightarrow \infty$, since there are only digits 1 and 2, and "there are very few 1's among the 2's" (due to Khinchin's Theorem). For the backward continued fraction clearly such an argument does not exist. We have the following theorem.

THEOREM 5. *Let x be a real irrational number with RCF-expansion (3) and backward expansion (7). Then for almost all x one has*

$$\lim_{n \rightarrow \infty} \sqrt[n]{c_1 \dots c_n} = 2.$$

Proof. Let N be a sufficiently large positive integer. Then from (9) we see that there exist unique integers $k \geq 1$ and j , with $0 \leq j < a_{2k+1}$, such that

$$N = a_1 + a_3 + \dots + a_{2k-1} + j.$$

Then

$$c_1 \dots c_N = 2^{\sum_{i=1}^k (a_{2i-1}-1)+j-1} \prod_{i=1}^k (a_{2i} + 2),$$

and therefore

$$\begin{aligned} \frac{1}{N} \sum_{i=1}^N \log c_i &= \frac{\log 2}{N} \left(\sum_{i=1}^k a_{2i-1} - k + j - 1 \right) + \frac{1}{N} \sum_{i=1}^k \log(a_{2i} + 2) \\ &= \log 2 \left(1 - \frac{k+1}{\sum_{i=1}^k a_{2i-1} + j} \right) + \frac{\sum_{i=1}^k \log(a_{2i} + 2)}{\sum_{i=1}^k a_{2i-1} + j}. \end{aligned}$$

Since

$$\frac{k+1}{\sum_{i=1}^k a_{2i-1} + j} = \frac{1}{\frac{1}{k+1} \sum_{i=1}^k a_{2i-1} + \frac{j}{k+1}} \rightarrow 0 \quad \text{as } N \rightarrow \infty,$$

almost surely, and

$$\frac{\sum_{i=1}^k \log(a_{2i} + 2)}{\sum_{i=1}^k a_{2i-1} + j} \rightarrow 0 \quad \text{as } N \rightarrow \infty,$$

almost surely, we find that for almost all x ,

$$\sqrt[N]{c_1 \dots c_N} \rightarrow 2 \quad \text{as } N \rightarrow \infty \text{ a.e. } \blacksquare$$

REMARK 2. Since $c_i \geq 2$ for all $i \geq 1$, it follows that

$$\frac{N}{\frac{1}{c_1} + \dots + \frac{1}{c_N}} \geq 2,$$

and therefore by Cauchy's result [C] we have

$$2 \leq \lim_{N \rightarrow \infty} \frac{N}{\frac{1}{c_1} + \dots + \frac{1}{c_N}} \leq \lim_{N \rightarrow \infty} \sqrt[N]{c_1 \dots c_N} = 2,$$

i.e.,

$$\lim_{N \rightarrow \infty} \frac{N}{\frac{1}{c_1} + \dots + \frac{1}{c_N}} = 2 \quad \text{a.e.}$$

The asymptotic behaviour of the third mean

$$\frac{c_1 + \dots + c_N}{N}$$

is still an open problem. If we write N as in the proof of Theorem 5, an easy calculation yields

$$\frac{c_1 + \dots + c_N}{N} = 2 + \frac{\sum_{i=1}^k a_{2i}}{\sum_{i=1}^k a_{2i-1} + j},$$

where $0 \leq j < a_{2k+1}$. Thus we need to study the behaviour of

$$(10) \quad \frac{\sum_{i=1}^k a_{2i}}{\sum_{i=1}^k a_{2i-1}}, \quad k \geq 1.$$

In (10), the asymptotic behaviour of the numerator as a sequence is the same as that of the denominator, but one expects that infinitely often the denominator in (10) is much larger than the numerator, and vice versa. Thus we are led to believe that almost surely the liminf of (10) equals zero, and the limsup is infinite. We end the paper with this open question.

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