

## ON MÜNTZ RATIONAL APPROXIMATION IN MULTIVARIABLES

BY

S. P. ZHOU (EDMONTON, ALBERTA)

The present paper shows that for any  $s$  sequences of real numbers, each with infinitely many distinct elements,  $\{\lambda_n^j\}$ ,  $j = 1, \dots, s$ , the rational combinations of  $x_1^{\lambda_{m_1}^1} x_2^{\lambda_{m_2}^2} \dots x_s^{\lambda_{m_s}^s}$  are always dense in  $C_{I^s}$ .

**1. Introduction.** Let  $C_{[0,1]}$  be the class of all real continuous functions in  $[0, 1]$ . For  $f \in C_{[0,1]}$ ,

$$\omega(f, t) = \max_{0 < h < t, x \in [0, 1-h]} |f(x+h) - f(x)|,$$

$$\|f\| = \max_{x \in [0,1]} |f(x)|.$$

Given a subspace  $S$  of  $C_{[0,1]}$ , let

$$R(S) = \{P(x)/Q(x) : P(x) \in S, Q(x) \in S, Q(x) > 0, x \in (0, 1]\},$$

where we assume that  $\lim_{x \rightarrow 0+} P(x)/Q(x) = P(0)/Q(0)$  is finite in the case  $Q(0) = 0$ . For a sequence of real numbers  $\Lambda = \{\lambda_n\}_{n=0}^{\infty}$ , write

$$R(\Lambda) = R(\text{span}\{x^{\lambda_n}\}).$$

From Müntz's theorem (cf. [2]), it is well-known that the combinations of  $x^{\lambda_n}$  for

$$(1) \quad 0 = \lambda_0 < \lambda_1 < \lambda_2 < \dots$$

are dense in  $C_{[0,1]}$  if and only if

$$\sum_{n=1}^{\infty} \frac{1}{\lambda_n} = \infty.$$

As to the rational case, in 1976, Somorjai [6] showed a beautiful result that under (1),  $R(\Lambda)$  is always dense in  $C_{[0,1]}$ . In 1978, Bak and Newman [1] proved that if  $\lambda_n$  is a sequence of distinct positive numbers, then  $R(\Lambda)$  is dense in  $C_{[0,1]}$  as well. Recently, our work [7] showed that the above result

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also holds for any sequence of real numbers with infinitely many distinct elements.

On the other hand, S. Ogawa and K. Kitahara [5] gave a generalization of Müntz's theorem to multivariable cases. They proved <sup>(1)</sup> that for two given positive monotone sequences  $\{\alpha_i\}$ ,  $\{\beta_j\}$ , the set  $\{1\} \cup \{x^{\alpha_i}\} \cup \{y^{\beta_j}\}$  is complete in  $C_{I^2}$  if and only if  $\sum_{i=1}^{\infty} 1/\alpha_i$  and  $\sum_{j=1}^{\infty} 1/\beta_j$  diverge, where

$$I^s = \{X = (x_1, \dots, x_s) : 0 \leq x_j \leq 1, 1 \leq j \leq s\},$$

and  $C_{I^s}$  is the class of all continuous functions on  $I^s$ .

For many reasons, it is quite reasonable to conjecture that the conclusion corresponding to that of [7] will hold for Müntz rational approximation in the multivariable case, that is, for any  $s$  sequences of real numbers  $\{\lambda_n^j\}$ ,  $j = 1, \dots, s$ , each with infinitely many distinct elements, the rational combinations of  $\{x_1^{\lambda_{m_1}^1} x_2^{\lambda_{m_2}^2} \dots x_s^{\lambda_{m_s}^s}\}$  are always dense in  $C_{I^s}$ . Since rational combinations are not linear, it is not a trivial work.

The present paper will prove that this is true.

## 2. Result and proof

**THEOREM.** *Let  $\Lambda^j = \{\lambda_n^j\}$ ,  $j = 1, \dots, s$ , be  $s$  sequences of real numbers, each with infinitely many distinct elements. Then  $R(\Lambda^1 \times \dots \times \Lambda^s)$  is dense in  $C_{I^s}$ .*

We need the following lemmas from the univariable case, the first two of which are due to Somorjai [6] and the author [7]. We will, however, give the sketch of proofs here for the sake of completeness.

**LEMMA 1** (Somorjai [6]). *Let  $\{\lambda_n\}$  be a sequence of real numbers such that  $\lambda_n \rightarrow +\infty$  as  $n \rightarrow \infty$ . Given  $N \geq 1$ , for any  $f \in C_{[0,1]}$ , there are an integer  $n_N$  and an operator*

$$\sum_{k=0}^N f\left(\frac{k}{N}\right) \frac{Z_k(x)}{Z(x)} =: r_N^1(f, x) \in R(\{\lambda_j\}_{j=0}^{n_N})$$

with

$$0 \leq Z_k(x) \in \text{span}\{x^{\lambda_j}\}_{j=0}^{n_N}, \quad Z(x) = \sum_{k=0}^N Z_k(x)$$

such that

$$\|f - r_N^1(f)\| = O(\omega(f, N^{-1})).$$

**Proof.** We select a sequence  $\{\lambda_{n_j}\}_{j=1}^{n_N}$  from  $\Lambda$  by induction. Let  $\lambda_{n_0}$  be any element from  $\Lambda$ , and  $Z_0(x) = x^{\lambda_{n_0}}$ . Choose  $\lambda_{n_{j+1}}$  with the following properties:

<sup>(1)</sup> For convenience, we only state their result for two variables.

$$Z_{j+1}(x) = \left( \frac{N}{j+1} x \right)^{\lambda_{n_{j+1}}} \leq N^{-1} Z_j(x) \quad \text{for } x < \frac{j}{N},$$

$$Z_{j+1}(x) > N Z_j(x) \quad \text{for } x > \frac{j+2}{N}.$$

Define

$$r_N^1(f, x) = \sum_{k=0}^N f\left(\frac{k}{N}\right) \frac{Z_k(x)}{\sum_{v=0}^N Z_v(x)}$$

for  $f \in C_{[0,1]}$ . Then by calculation

$$f(x) - r_N^1(f, x) = O(\omega(f, N^{-1})). \quad \blacksquare$$

LEMMA 2 (Zhou [7]). Let  $\{\lambda_n\}$  be a sequence of real numbers such that  $\lambda_n \rightarrow -\infty$  as  $n \rightarrow \infty$ . Given  $N \geq 1$ , for any  $f \in C_{[0,1]}$ , there are an integer  $n_N$  and an operator

$$\sum_{k=0}^N f\left(\frac{k}{N}\right) \frac{C_k(x)}{C(x)} =: r_N^2(f, x) \in R(\{\lambda_j\}_{j=0}^{n_N})$$

with

$$0 \leq C_k(x) \in \text{span}\{x^{\lambda_j}\}_{j=0}^{n_N}, \quad C(x) = \sum_{k=0}^N C_k(x)$$

such that

$$\|f - r_N^2(f)\| = O(\omega(f, N^{-1})).$$

Proof. Similar to Lemma 1, let  $\lambda_{n_1}$  be any element from  $\Lambda$ , and  $C_1^*(x) = x^{\lambda_{n_1}}$ . Choose  $\lambda_{n_{j+1}}$  satisfying

$$C_{j+1}^*(x) = \left( \frac{N}{N-j} x \right)^{\lambda_{n_{j+1}}} \geq N C_j^*(x) \quad \text{for } x < \frac{N-j-1}{N},$$

$$C_{j+1}^*(x) < N^{-1} C_j^*(x) \quad \text{for } x > \frac{N-j+2}{N}.$$

For  $f \in C_{[0,1]}$ , define

$$r_N^2(f, x) = \sum_{k=1}^N f\left(\frac{N-k+1}{N}\right) \frac{C_k^*(x)}{\sum_{v=1}^N C_v^*(x)}.$$

Then the required result follows.  $\blacksquare$

LEMMA 3. Let  $\{\lambda_n\}$  be a sequence of real numbers with infinitely many distinct elements such that  $\lambda_n \rightarrow l$  as  $n \rightarrow \infty$  with  $-\infty < l < \infty$ . Given  $N \geq 1$  and  $\varepsilon > 0$ , there are an integer  $n_N$  and an operator

$$\sum_{k=0}^N f(e^{1-N/k}) \frac{D_k(x)}{D(x)} =: r_N^3(f, x) \in R(\{\lambda_j\}_{j=0}^{n_N})$$

with  $D_k(x), D(x) \in \text{span}\{x^{\lambda_j}\}_{j=0}^{n_N}$  such that

$$\|f - r_N^3(f)\| \leq 2\omega(g, N^{-1/2}) + \|f\|\varepsilon,$$

where  $g(u) = f(e^{1-1/u})$ . Precisely, we have

$$(2) \quad \frac{D_k(x)}{D(x)} = G_k(x) + H_k(x),$$

$$(3) \quad G_k(x) = \binom{N}{k} \left( \frac{-1}{\ln(x/e)} \right)^k \left( 1 + \frac{1}{\ln(x/e)} \right)^{N-k},$$

and

$$(4) \quad |H_k(x)| \leq \frac{\varepsilon}{N+1}.$$

**Proof.** There are two possibilities: (i) There is a subsequence  $\{\lambda_{n_k}\}$  of  $\{\lambda_n\}$  which strictly increases to  $\lambda < +\infty$  (in symbols  $\lambda_{n_k} \nearrow \lambda < +\infty$ ) as  $k \rightarrow \infty$ ; (ii) there is a subsequence  $\{\lambda_{n_k}\}$  which strictly decreases to  $\lambda > -\infty$  (in symbols  $\lambda_{n_k} \searrow \lambda > -\infty$ ) as  $k \rightarrow \infty$ . We will prove Lemma 3 in these two cases separately.

**Case (i).** For convenience, we still write  $\lambda_{n_k}$  as  $\lambda_n$ . So under the hypothesis,  $\lambda_n \nearrow \lambda < +\infty$  as  $n \rightarrow \infty$ . Let  $\alpha_0 < \alpha_1 < \dots$ , and let  $P_k(x)$  denote the  $k$ th divided difference of  $(x/e)^\alpha$  at  $\alpha = \alpha_{2N-1}, \alpha_{2N-2}, \dots, \alpha_{2N-k-1}$  for  $k = 0, 1, \dots, N-1$ , that is,

$$P_0(x) = P_0(x, \alpha_{2N-1}) = (x/e)^{\alpha_{2N-1}},$$

$$P_1(x) = P_1(x, \alpha_{2N-1}, \alpha_{2N-2}) = \frac{(x/e)^{\alpha_{2N-1}} - (x/e)^{\alpha_{2N-2}}}{\alpha_{2N-1} - \alpha_{2N-2}},$$

in general,

$$\begin{aligned} P_k(x) &= P_k(x, \alpha_{2N-1}, \dots, \alpha_{2N-k-1}) \\ &= \frac{P_{k-1}(x, \alpha_{2N-1}, \dots, \alpha_{2N-k}) - P_{k-1}(x, \alpha_{2N-2}, \dots, \alpha_{2N-k-1})}{\alpha_{2N-1} - \alpha_{2N-k-1}}, \end{aligned}$$

$$0 \leq k \leq N-1,$$

and

$$P_N(x) = P_N(x, \alpha_N, \dots, \alpha_0).$$

By the mean value theorem

$$(5) \quad P_k(x) = \frac{(x/e)^{\eta_k} \ln^k(x/e)}{k!},$$

$$\alpha_{2N-k-1} \leq \eta_k \leq \alpha_{2N-1}, \quad k = 0, 1, \dots, N-1,$$

$$(6) \quad P_N(x) = \frac{(x/e)^{\eta_N} \ln^N(x/e)}{N!}, \quad \alpha_0 \leq \eta_N \leq \alpha_N.$$

Now let  $f \in C_{[0,1]}$ . Then  $g(u) = f(e^{1-1/u}) \in C_{[0,1]}$ . Write

$$\begin{aligned} B_N(f, x) &= \sum_{k=0}^N f\left(\frac{k}{N}\right) \binom{N}{k} x^k (1-x)^{N-k} \\ &= \sum_{k=0}^N f\left(\frac{k}{N}\right) \binom{N}{k} \sum_{j=0}^{N-k} (-1)^j \binom{N-k}{j} x^{j+k} \\ &= \sum_{k=0}^N f\left(\frac{k}{N}\right) \binom{N}{k} \sum_{j=k}^N (-1)^{j-k} \binom{N-k}{j-k} x^j. \end{aligned}$$

For given  $N \geq 1$ , the well-known Bernstein theorem implies that

$$\|g(u) - B_N(g, u)\| < \frac{3}{2}\omega(g, N^{-1/2}),$$

that is,

$$(7) \quad \|f(x) - B_N(g, -1/\ln(x/e))\| < \frac{3}{2}\omega(g, N^{-1/2}).$$

Choose sufficiently large  $m$  such that for  $k \geq m$ ,

$$0 < \lambda - \lambda_k < \varepsilon/(4^N(N+1)).$$

Set  $\alpha_k = \lambda_{m+k}$ ,  $k = 0, 1, \dots, 2N-1$ . Define

$$r_N^3(f, x) = \sum_{k=0}^N f(e^{1-N/k}) \binom{N}{k} \frac{\sum_{j=k}^N (-1)^{2j-k} (N-j)! \binom{N-k}{j-k} P_{N-j}(x)}{N! P_N(x)}.$$

Then  $r_N^3(f, x)$  is a rational combination of  $\{x^{\lambda_j}\}_{j=m}^{m+2N-1}$ , and by (5), (6),

$$r_N^3(f, x) = \sum_{k=0}^N f(e^{1-N/k}) \binom{N}{k} \sum_{j=k}^N (-1)^{j-k} \binom{N-k}{j-k} \left(\frac{-1}{\ln(x/e)}\right)^j (x/e)^{\eta_j^*}$$

with  $\eta_0^* = 0$ ,  $0 < \eta_j^* \leq \lambda_{m+N} - \lambda_m \leq \lambda - \lambda_m$ ,  $j = 1, \dots, N$ . Now write

$$\begin{aligned} &\sum_{j=k}^N (-1)^{j-k} \binom{N-k}{j-k} \left(\frac{-1}{\ln(x/e)}\right)^j (x/e)^{\eta_j^*} \\ &= \sum_{j=k}^N (-1)^{j-k} \binom{N-k}{j-k} \left(\frac{-1}{\ln(x/e)}\right)^j \\ &\quad + \sum_{j=k}^N (-1)^{j-k} \binom{N-k}{j-k} \left(\frac{-1}{\ln(x/e)}\right)^j ((x/e)^{\eta_j^*} - 1) \\ &= \left(\frac{-1}{\ln(x/e)}\right)^k \left(1 + \frac{1}{\ln(x/e)}\right)^{N-k} + \Sigma_1. \end{aligned}$$

Since for  $\eta > 0$ ,

$$\left\| \frac{1 - (x/e)^\eta}{\ln(x/e)} \right\| \leq \eta,$$

we have

$$\left\| \frac{1 - (x/e)^{\eta_k^*}}{\ln^k(x/e)} \right\| \leq \eta_k^* \leq \frac{\varepsilon}{4^N(N+1)}$$

for  $k \geq 1$ . Consequently,

$$|\Sigma_1| \leq 4^{-N}(N+1)^{-1}\varepsilon \sum_{j=k}^N \binom{N-k}{j-k} \leq \frac{\varepsilon}{2^N(N+1)},$$

and thus (2)–(4) are proved. Now from (4), (7), together with  $H_k(x) = \binom{N}{k}\Sigma_1$ ,

$$\begin{aligned} \|f(x) - r_N^3(f, x)\| &\leq \|f(x) - B_N(g, -1/\ln(x/e))\| + \|f\| \sum_{k=0}^N |H_k(x)| \\ &\leq \frac{3}{2}\omega(g, N^{-1/2}) + \|f\|\varepsilon, \end{aligned}$$

that is, Lemma 3 holds true in Case (i).

Case (ii). We may assume that  $\lambda_n \searrow \lambda > -\infty$  as  $n \rightarrow \infty$ . Take

$$\begin{aligned} P_k(x) &= P_k(x, \lambda_m, \dots, \lambda_{m+k}), \quad 0 \leq k \leq N-1, \\ P_N(x) &= P_N(x, \lambda_{m+N-1}, \dots, \lambda_{m+2N-1}), \end{aligned}$$

and

$$r_N^3(f, x) = \sum_{k=0}^N f(e^{1-N/k}) \binom{N}{k} \frac{\sum_{j=k}^N (-1)^{2j-k} (N-j)! \binom{N-k}{j-k} P_{N-j}(x)}{N! P_N(x)}.$$

Similar to Case (i), for given  $\varepsilon > 0$  and  $N \geq 1$ , we can prove (2)–(4) and for sufficiently large  $m$ ,

$$\|f(x) - r_N^3(f, x)\| \leq \frac{3}{2}\omega(g, N^{-1/2}) + \|f\|\varepsilon.$$

The proof of Lemma 3 is now complete. ■

**Proof of the Theorem.** Given a sequence with infinitely many distinct elements  $\{\lambda_n\}$ , there are three possibilities: (i)  $\{\lambda_n\}$  has at least one finite cluster point; (ii) one cluster point of  $\{\lambda_n\}$  is  $+\infty$ ; (iii) one cluster point of  $\{\lambda_n\}$  is  $-\infty$ . Without loss of generality, we may assume

$$\begin{aligned} \lambda_n^j &\rightarrow +\infty & \text{as } n \rightarrow \infty, \quad 1 \leq j \leq r, \\ \lambda_n^j &\rightarrow -\infty & \text{as } n \rightarrow \infty, \quad r+1 \leq j \leq t, \end{aligned}$$

and

$$\lambda_n^j \rightarrow l \quad \text{as } n \rightarrow \infty, \quad t+1 \leq j \leq s, \quad -\infty < l < +\infty.$$

For given  $N \geq 1$  and  $\varepsilon > 0$ , from Lemmas 1–3, we may select a common  $n_N$  such that

$$\begin{aligned} \|f(X) - r_N^1(f, x_j)\| &= O(\omega_{x_j}(f, N^{-1})), \quad 1 \leq j \leq r, \\ \|f(X) - r_N^2(f, x_j)\| &= O(\omega_{x_j}(f, N^{-1})), \quad r+1 \leq j \leq t, \end{aligned}$$

and

$$\|f(X) - B_N(g, -1/\ln(x_j/e))\| = O(\omega_{x_j}(g, N^{-1/2})), \quad t+1 \leq j \leq s,$$

hold at the same time, where

$$\begin{aligned} \omega_{x_j}(f, \delta) &= \max_{0 \leq h \leq \delta} |f(x_1, \dots, x_j + h, x_{j+1}, \dots, x_s) - f(x_1, \dots, x_j, x_{j+1}, \dots, x_s)|. \end{aligned}$$

Define

$$\begin{aligned} r_N(X) &= \sum_{0 \leq j_1 \leq N} \cdots \sum_{0 \leq j_s \leq N} f\left(\frac{j_1}{N}, \dots, \frac{j_t}{N}, \dots, e^{1-N/j_{t+1}}, \dots, e^{1-N/j_s}\right) \\ &\quad \times \frac{Z_{j_1}(x_1)}{Z(x_1)} \cdots \frac{Z_{j_r}(x_r)}{Z(x_r)} \frac{C_{j_{r+1}}(x_{r+1})}{C(x_{r+1})} \cdots \frac{C_{j_t}(x_t)}{C(x_t)} \\ &\quad \times \frac{D_{j_{t+1}}(x_{t+1})}{D(x_{t+1})} \cdots \frac{D_{j_s}(x_s)}{D(x_s)}. \end{aligned}$$

Evidently,

$$r_N(X) \in R(\text{span}\{x^{\lambda_i^1}\}_{i=0}^{n_N} \times \text{span}\{x^{\lambda_i^2}\}_{i=0}^{n_N} \times \cdots \times \text{span}\{x^{\lambda_i^s}\}_{i=0}^{n_N}).$$

From (2),

$$\begin{aligned} f(X) - r_N(X) &= \sum_{0 \leq j_1 \leq N} \cdots \sum_{0 \leq j_s \leq N} \left( f(X) - f\left(\frac{j_1}{N}, \dots, \frac{j_t}{N}, e^{1-N/j_{t+1}}, \dots, e^{1-N/j_s}\right) \right) \\ &\quad \times \frac{Z_{j_1}(x_1)}{Z(x_1)} \cdots \frac{Z_{j_r}(x_r)}{Z(x_r)} \frac{C_{j_{r+1}}(x_{r+1})}{C(x_{r+1})} \cdots \frac{C_{j_t}(x_t)}{C(x_t)} \\ &\quad \times G_{j_{t+1}}(x_{t+1}) \cdots G_{j_s}(x_s) + \Sigma_3 := \Sigma_2 + \Sigma_3, \end{aligned}$$

where by Lemma 3,

$$(8) \quad |\Sigma_3| \leq 4^s \|f\| \varepsilon.$$

Because  $f(X) \in C_{I^s}$ , there is a  $\delta > 0$  such that for  $|X - Y| = \sqrt{\sum_{j=1}^s (x_j - y_j)^2} < \delta$ ,

$$|f(X) - f(Y)| < \varepsilon,$$

while for  $|X - Y| \geq \delta$ ,

$$|f(X) - f(Y)| \leq 2\delta^{-2} \|f\| \sum_{j=1}^s (x_j - y_j)^2,$$

therefore in any case

$$|f(X) - f(Y)| \leq \varepsilon + 2\delta^{-2} \|f\| \sum_{j=1}^s (x_j - y_j)^2.$$

Now

$$\begin{aligned} |\Sigma_2| &\leq \varepsilon + 2\delta^{-2} \|f\| \left( \sum_{0 \leq j_1 \leq N} \cdots \sum_{0 \leq j_s \leq N} \sum_{i=1}^t \left( x_i - \frac{j_i}{N} \right)^2 \right. \\ &\quad \times \sum_{i=t+1}^s (x_i - e^{1-N/j_i})^2 \frac{Z_{j_1}(x_1)}{Z(x_1)} \cdots \frac{Z_{j_r}(x_r)}{Z(x_r)} \\ &\quad \times \frac{C_{j_{r+1}}(x_{r+1})}{C(x_{r+1})} \cdots \frac{C_{j_t}(x_t)}{C(x_t)} G_{j_{t+1}}(x_{t+1}) \cdots G_{j_s}(x_s) \Big) \\ &= \varepsilon + 2\delta^{-2} \|f\| \left( \sum_{i=1}^r \sum_{j_i=0}^N \left( x_i - \frac{j_i}{N} \right)^2 \frac{Z_{j_i}(x_i)}{Z(x_i)} \right. \\ &\quad \times \sum_{i=r+1}^t \sum_{j_i=0}^N \left( x_i - \frac{j_i}{N} \right)^2 \frac{C_{j_i}(x_i)}{C(x_i)} \sum_{i=t+1}^s \sum_{j_i=0}^N (x_i - e^{1-N/j_i})^2 G_{j_i}(x_i) \Big). \end{aligned}$$

Noting that

$$\sum_{k=0}^N \left( x - \frac{k}{N} \right)^2 \frac{Z_k(x)}{Z(x)} = 2x \left( x - \sum_{k=0}^N \frac{k}{N} \frac{Z_k(x)}{Z(x)} \right) - \left( x^2 - \sum_{k=0}^N \left( \frac{k}{N} \right)^2 \frac{Z_k(x)}{Z(x)} \right),$$

from Lemma 1 we deduce that

$$\sum_{k=0}^N \left( x - \frac{k}{N} \right)^2 \frac{Z_k(x)}{Z(x)} = O(N^{-1/2}).$$

The same results also hold for  $\sum_{k=0}^N (x - k/N)^2 C_k(x)/C(x)$  and for  $\sum_{k=0}^N (x - e^{1-N/k})^2 G_k(x)$  by applying Lemmas 2 and 3. Altogether we have

$$(9) \quad |\Sigma_2| \leq \varepsilon + 2\delta^{-2} \|f\| O(N^{-1/2}),$$

thus combining (8) and (9) we get

$$|f(X) - r_N(X)| = O(\varepsilon) + O(N^{-1/2}),$$

which is the required result. ■



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DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF ALBERTA  
EDMONTON, ALBERTA  
CANADA T6G 2G1

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