

SOME REMARKS ABOUT MYCIELSKI IDEALS

BY

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1. Introduction and definitions. Our set theoretic notation and terminology is standard (see e.g. [4], [5]). Let \mathfrak{c} denote $|\mathcal{P}(\omega)|$ (= the cardinality of $\mathcal{P}(\omega)$). Let X be a subset of ω . The set $\{Y \subset X \mid |Y| = \omega\}$ is denoted by $[X]^\omega$. ${}^\omega X$ (${}^{\omega>} X$) denotes the family of ω -sequences (finite sequences) of elements in X , respectively. $\forall^\infty n \in X$ (...) means that $\{n \in X \mid \text{not } \dots\}$ is finite. $\exists^\infty n \in X$ (...) means that $\{n \in X \mid \dots\}$ is infinite. For $f, g \in {}^\omega \omega$, g dominates f (denoted by $f \prec g$) if $\forall^\infty n < \omega$ ($f(n) < g(n)$). For $F \subset {}^\omega \omega$, F is called a *dominating family* of ${}^\omega \omega$ if $\forall g \in {}^\omega \omega \exists f \in F$ ($g \prec f$), and an *unbounded family* of ${}^\omega \omega$ if $\forall g \in {}^\omega \omega \exists f \in F$ ($\text{not } f \prec g$). Denote by \mathfrak{d} (\mathfrak{b}) the least cardinality of a dominating (unbounded) family of ${}^\omega \omega$, respectively.

Let $1 < \mathcal{X} \leq \omega$. For $X \subset \omega$ and $A \subset {}^\omega \mathcal{X}$, $\Gamma_{\mathcal{X}}(A, X)$ denotes the infinite game between two players, I and II. At each step $n < \omega$, player I chooses $k_n < \mathcal{X}$ if $n \in \omega \setminus X$ and player II chooses $k_n < \mathcal{X}$ if $n \in X$. Player I wins if $\langle k_n \mid n < \omega \rangle \in A$ and player II wins in the opposite case. A *strategy* is a function $\sigma : {}^{<\omega} \mathcal{X} \rightarrow \mathcal{X}$. $\text{STR}_{\mathcal{X}}$ denotes the set of strategies. For $\tau, \sigma \in \text{STR}_{\mathcal{X}}$ and $X \subset \omega$, $\tau *_X \sigma$ denotes the resulting ω -sequence of the game $\Gamma_{\mathcal{X}}(A, X)$ when player I follows the strategy τ and II follows σ , i.e.

$$\tau *_X \sigma(n) = \begin{cases} \tau(\tau *_X \sigma \upharpoonright n) & \text{if } n \in \omega \setminus X, \\ \sigma(\tau *_X \sigma \upharpoonright n) & \text{if } n \in X. \end{cases}$$

For $f : \omega \rightarrow \mathcal{X}$, we identify f with $\sigma_f \in \text{STR}_{\mathcal{X}}$ which is defined by

$$\sigma_f(s) = f(\text{length}(s)), \quad \text{for any } s \in {}^{<\omega} \mathcal{X}.$$

Note that f (i.e. σ_f) is a strategy which does not depend on the previous movements of the players. For $\sigma \in \text{STR}_{\mathcal{X}}$ and $X \subset \omega$, $\text{STR}_{\mathcal{X}} *_X \sigma$ denotes the set of all results of the game determined by X , in which the second player uses strategy σ , i.e.

$$\text{STR}_{\mathcal{X}} *_X \sigma = \{\tau *_X \sigma \mid \tau \in \text{STR}_{\mathcal{X}}\}.$$

The following fact is easily checked.

FACT 1.1. *For any $\sigma \in \text{STR}_{\mathcal{X}}$, $X \subset \omega$ and $f \in {}^\omega \mathcal{X}$, the following are equivalent.*

- (a) $f \in \text{STR}_{\mathcal{X}} *_{\mathcal{X}} \sigma$.
- (b) $f \in \{g *_{\mathcal{X}} \sigma \mid g \in {}^{\omega}\mathcal{X}\}$.
- (c) $f = f *_{\mathcal{X}} \sigma$.
- (d) $\forall n \in X (\sigma(f \upharpoonright n) = f(n))$.

A strategy σ is called a *winning strategy* for player II in the game $\Gamma_{\mathcal{X}}(A, X)$ if $(\text{STR}_{\mathcal{X}} *_{\mathcal{X}} \sigma) \cap A = \emptyset$. Denote by $V_{\text{II}}(\mathcal{X}, X)$ the family of all sets $A \subset {}^{\omega}\mathcal{X}$ for which player II has a winning strategy in $\Gamma_{\mathcal{X}}(A, X)$ and $V_{\text{II}}^*(\mathcal{X}, X)$ the family of all sets $A \subset {}^{\omega}\mathcal{X}$ for which player II has in $\Gamma_{\mathcal{X}}(A, X)$ a winning strategy which does not depend on the movements of player I, i.e.

$$V_{\text{II}}(\mathcal{X}, X) = \{A \subset {}^{\omega}\mathcal{X} \mid \exists \sigma \in \text{STR}_{\mathcal{X}} ((\text{STR}_{\mathcal{X}} *_{\mathcal{X}} \sigma) \cap A = \emptyset)\},$$

$$V_{\text{II}}^*(\mathcal{X}, X) = \{A \subset {}^{\omega}\mathcal{X} \mid \exists f \in {}^{\omega}\mathcal{X} ((\text{STR}_{\mathcal{X}} *_{\mathcal{X}} f) \cap A = \emptyset)\}.$$

A family $\mathcal{K} \subset [\omega]^{\omega}$ is said to be a *normal system* if for any $X \in \mathcal{K}$ there exist $X_1, X_2 \in \mathcal{K}$ such that $X_1, X_2 \subset X$ and $X_1 \cap X_2 = \emptyset$.

For any normal system \mathcal{K} , let

$$\begin{aligned} \mathcal{M}_{\mathcal{X}, \mathcal{K}} &= \bigcap_{X \in \mathcal{K}} V_{\text{II}}(\mathcal{X}, X) \\ &= \{A \subset {}^{\omega}\mathcal{X} \mid \forall X \in \mathcal{K} \exists \sigma \in \text{STR}_{\mathcal{X}} ((\text{STR}_{\mathcal{X}} *_{\mathcal{X}} \sigma) \cap A = \emptyset)\}, \end{aligned}$$

and

$$\begin{aligned} \mathcal{M}_{\mathcal{X}, \mathcal{K}}^* &= \bigcap_{X \in \mathcal{K}} V_{\text{II}}^*(\mathcal{X}, X) \\ &= \{A \subset {}^{\omega}\mathcal{X} \mid \forall X \in \mathcal{K} \exists f \in {}^{\omega}\mathcal{X} ((\text{STR}_{\mathcal{X}} *_{\mathcal{X}} f) \cap A = \emptyset)\}. \end{aligned}$$

These are σ -ideals (called *Mycielski ideals*), introduced by Mycielski [6], and generalized by Rosłanowski [9, 10] and studied in [1, 3, 8–10]. The ideals $\mathcal{M}_{\mathcal{X}, [\omega]^{\omega}}$ and $\mathcal{M}_{\mathcal{X}, [\omega]^{\omega}}^*$ will be denoted by $\mathcal{C}_{\mathcal{X}}$ and $\mathcal{P}_{\mathcal{X}}$, respectively.

We shall consider ${}^{\omega}\mathcal{X}$ with the product measure and the product topology. The σ -ideals of null sets and meager sets are denoted by $\mathbf{L}_{\mathcal{X}}$ and $\mathbf{K}_{\mathcal{X}}$, respectively.

2. Orthogonality. Throughout this section, we assume that $1 < \mathcal{X} < \omega$. Two ideals \mathcal{I}, \mathcal{J} of $\mathcal{P}({}^{\omega}\mathcal{X})$ are called *orthogonal* if there exist sets $A \in \mathcal{I}$ and $B \in \mathcal{J}$ such that $A \cup B = {}^{\omega}\mathcal{X}$. We study conditions on a normal system \mathcal{K} which imply the orthogonality of $\mathcal{M}_{\mathcal{X}, \mathcal{K}}$ and $\mathbf{L}_{\mathcal{X}}$. For each $X \in [\omega]^{\omega}$, let e_X denote the order isomorphism from ω to X . Rosłanowski [10] proved the following two results:

THEOREM 2.1. *If a normal system \mathcal{K} satisfies*

$$(2.1) \quad \forall Y \in [\omega]^{\omega} \exists X \in \mathcal{K} \forall^{\infty} n < \omega (|[e_Y(n), e_Y(n+1)) \cap X| \leq 1),$$

then $\mathcal{M}_{\mathcal{X}, \mathcal{K}}$ and $\mathbf{L}_{\mathcal{X}}$ are not orthogonal. ■

THEOREM 2.2. *There exists a normal system \mathcal{K} (with cardinality \mathfrak{c}) such that $\{e_X \mid X \in \mathcal{K}\}$ is unbounded in ${}^\omega\omega$ and $\mathcal{M}_{\mathcal{X},\mathcal{K}}$ and $\mathbf{L}_{\mathcal{X}}$ are orthogonal. ■*

He called a normal system \mathcal{K} which satisfies the condition (2.1) *dominating*. This condition is a little stronger than the condition that $\{e_X \mid X \in \mathcal{K}\}$ is a dominating family of ${}^\omega\omega$. In fact, it is easy to check that, for any $\mathcal{U} \subset [{}^\omega\omega]$, $\{e_X \mid X \in \mathcal{U}\}$ is a dominating family of ${}^\omega\omega$ if and only if for each $Y \in [{}^\omega\omega]$ there exists an $X \in \mathcal{U}$ such that $\forall^\infty n < \omega$ ($([e_Y(n), e_Y(n+1)) \cap X] \leq n$). Using this and the fact that a small set $(I_n, S_n)_{n < \omega}$ can be chosen which satisfies $|S_n| \cdot \mathcal{X}^{-|I_n|} < \mathcal{X}^{-2n}$ for any $n < \omega$, a slight modification of Rosłanowski's proof of Theorem 2.1 yields a proof of

THEOREM 2.3. *For any normal system \mathcal{K} , if $\{e_X \mid X \in \mathcal{K}\}$ is a dominating family of ${}^\omega\omega$, then $\mathcal{M}_{\mathcal{X},\mathcal{K}}$ and $\mathbf{L}_{\mathcal{X}}$ are not orthogonal. ■*

The following theorem and corollary show that unboundedness is not a sufficient condition for non-orthogonality.

THEOREM 2.4. *Let κ be an uncountable cardinal and P the notion of forcing adjoining κ Cohen reals. Then, in V^P , $\mathcal{M}_{\mathcal{X},\mathcal{K}}^*$ and $\mathbf{L}_{\mathcal{X}}$ are orthogonal, for any normal system $\mathcal{K} \subset [{}^\omega\omega]$ with cardinality $< \kappa$.*

Proof. Let $\mathcal{K} \in V^P$ be a normal system with cardinality $< \kappa$. Since $|\mathcal{K}| < \kappa$, we may assume that $\mathcal{K} \in V$. From now on, we work in V^P .

CLAIM 1. *There exists a sequence $\langle S_n \mid n < \omega \rangle$ such that*

- (1) $\forall n < m < \omega$ ($S_n \subset \omega$ & $|S_n| \geq n$ & $S_n \cap S_m = \emptyset$),
- (2) $\forall X \in \mathcal{K} \exists^\infty n < \omega$ ($S_n \subset X$).

Proof of Claim 1. Take a Cohen generic subset $U \subset \omega$ over V . For each $n < \omega$, set $S_n = [e_U(n^2), e_U((n+1)^2)) \cap U$. Then $\langle S_n \mid n < \omega \rangle$ is as required. ■

Take a sequence $\langle S_n \mid n < \omega \rangle$ which satisfies (1), (2) of Claim 1. Set

$$A = \{f \in {}^\omega\mathcal{X} \mid \exists^\infty n < \omega (f \upharpoonright S_n \equiv 0)\} \in \mathbf{L}_{\mathcal{X}}.$$

Since $\text{STR}_{\mathcal{X}} *_{\mathcal{X}} \text{Const}_0 \subset A$ for all $X \in \mathcal{K}$, we conclude that ${}^\omega\mathcal{X} \setminus A \in \mathcal{M}_{\mathcal{X},\mathcal{K}}^*$. ■

COROLLARY 2.5. *It is consistent with $\mathfrak{b} < \mathfrak{d} = \mathfrak{c}$ that “for any normal system \mathcal{K} with cardinality $< \mathfrak{c}$, $\mathcal{M}_{\mathcal{K},\mathcal{X}}$ and $\mathbf{L}_{\mathcal{X}}$ are orthogonal”. ■*

Relating to orthogonality, Balcerzak and Rosłanowski [1] proved that

THEOREM 2.6. *For each $A \in \mathbf{K}_{\mathcal{X}}$, there exists a normal system \mathcal{K} such that $A \in \mathcal{M}_{\mathcal{X},\mathcal{K}}^*$.*

They asked whether a measure analogue of Theorem 2.6 holds. I.e., does, for each $A \in \mathbf{L}_{\mathcal{X}}$, exist a normal system \mathcal{K} such that $A \in \mathcal{M}_{\mathcal{X}, \mathcal{K}}$? The following example gives a negative answer to this question.

EXAMPLE 2.7. Let s be the unique $t < \omega$ such that $2t \leq \mathcal{X} < 2(t+1)$ and set

$$A = \{f \in {}^\omega \mathcal{X} \mid \exists^\infty n < \omega \ (|\{k < n \mid f(k) \geq s\}| < n/4)\}.$$

Then A is a Lebesgue measure zero set and, for any normal system $\mathcal{K} \subset [\omega]^\omega$, $A \notin \mathcal{M}_{\mathcal{X}, \mathcal{K}}$.

PROOF. In order to show that $A \notin \mathcal{M}_{\mathcal{X}, \mathcal{K}}$ for all normal systems $\mathcal{K} \subset [\omega]^\omega$, we need the following lemma.

LEMMA 2.8. Let X be a subset of ω such that $A \in V_{\text{II}}(\mathcal{X}, X)$. Then

$$\forall^\infty n < \omega \ (|X \cap n| \geq n/4).$$

PROOF. Take $\tau \in \text{STR}_{\mathcal{X}}$ such that $(\text{STR}_{\mathcal{X}} *_{\mathcal{X}} \tau) \cap A = \emptyset$. Set $f = \text{Const}_0 *_{\mathcal{X}} \tau$. Since $f \notin A$, we have $\forall^\infty n < \omega \ (|\{k < n \mid f(k) \geq s\}| \geq n/4)$. The assertion follows from this and the fact that $\forall k \in \omega \setminus X \ (f(k) = 0 < s)$. ■

By Lemma 2.8, for any disjoint subsets X_i (for $i < 5$) of ω , there is some $i < 5$ such that $A \notin V_{\text{II}}(\mathcal{X}, X_i)$. So, $A \notin \mathcal{M}_{\mathcal{X}, \mathcal{K}}$ for all normal \mathcal{K} .

We must show that A has Lebesgue measure zero. Let μ denote the Lebesgue measure on ${}^\omega \mathcal{X}$. For each $n < \omega$, define $B_n = \{f \in {}^\omega \mathcal{X} \mid |\{k < n \mid f(k) \geq s\}| < n/4\}$. Since $A = \bigcap_{m < \omega} \bigcup_{n \leq m < \omega} B_n$, we have $\mu(A) \leq \lim_{m < \omega} (\sum_{n \leq m < \omega} \mu(B_n))$. So, it suffices to show

$$(C.1) \quad \sum_{n < \omega} \mu(B_n) < \omega.$$

$$\text{LEMMA 2.9.} \quad \binom{4(n+1)}{n} \leq \left(\frac{4^4}{3^3}\right)^n \quad \text{for all } 1 \leq n < \omega.$$

PROOF. Since $\binom{8}{1} = 8 \leq 4^4/3^3$, it suffices to show that

$$\binom{4(n+1)}{n} \leq \frac{4^4}{3^3} \cdot \binom{4n}{n-1} \quad \text{for } n \geq 2.$$

Indeed,

$$\binom{4(n+1)}{n} = \frac{4}{3} \cdot \frac{(4n+3)(4n+2)(4n+1)}{n(3n+4)(3n+2)} \binom{4n}{n-1} \leq \frac{4^4}{3^3} \cdot \binom{4n}{n-1}. \quad \blacksquare$$

By Lemma 2.9, for any $0 < m < \omega$,

$$\left(\frac{2}{3}\right)^m \left(\frac{1}{2}\right)^{3m} \sum_{k \leq m} \binom{4(m+1)}{k} \leq (m+1) \left(\frac{2 \cdot 4^4}{3 \cdot 8 \cdot 3^3}\right)^m = (m+1) \left(\frac{64}{81}\right)^m.$$

Using this, we have

$$\sum_{0 < m < \omega} \left(\frac{2}{3}\right)^m \left(\frac{1}{2}\right)^{3m} \sum_{k \leq m} \binom{4(m+1)}{k} < \omega.$$

(C.1) follows from this and from

$$\begin{aligned} \mu(B_n) &= \mathcal{X}^{-n} \sum_{X \in [n]^{<n/4}} ((\mathcal{X} - s)^{|X|} \cdot s^{|n \setminus X|}) \leq \sum_{X \in [n]^{<n/4}} \left(\frac{2}{3}\right)^{|X|} \left(\frac{1}{2}\right)^{n-|X|} \\ &\leq \left(\frac{2}{3}\right)^{n/4} \left(\frac{1}{2}\right)^{3n/4} \sum_{k < n/4} \binom{n}{k}, \quad \text{for any } n < \omega. \blacksquare \end{aligned}$$

Remark. In [3], the definition of the ideals $\mathcal{P}_{\mathcal{X}}$ was generalized to all functions $\mathcal{X} \in {}^\omega(\omega \setminus 2)$. A similar generalization is possible for the ideals $\mathcal{M}_{\mathcal{X}, \mathcal{K}}$ and $\mathcal{M}_{\mathcal{X}, \mathcal{K}}^*$, for each $\mathcal{X} : \omega \rightarrow (\omega + 1 \setminus 2)$. By modifying the construction of A in Example 2.7 a little, for each $\mathcal{X} \in {}^\omega(\omega \setminus 2)$ we can construct a Lebesgue measure zero subset A of $\prod_{n < \omega} \mathcal{X}(n)$ such that $A \notin \mathcal{M}_{\mathcal{X}, \mathcal{K}}$ for any normal system \mathcal{K} .

3. Cardinal coefficients. In this section, we study the cardinal coefficients of the ideals $\mathcal{C}_{\mathcal{X}}$ and $\mathcal{P}_{\mathcal{X}}$. For an ideal \mathcal{I} of $\mathcal{P}({}^\omega \mathcal{X})$, define

$$\begin{aligned} \text{cof}(\mathcal{I}) &= \min\{|\mathcal{S}| \mid \mathcal{S} \subset \mathcal{I} \ \& \ \forall A \in \mathcal{I} \ \exists B \in \mathcal{S} \ (A \subset B)\}, \\ \text{non}(\mathcal{I}) &= \min\{|A| \mid A \subset {}^\omega \mathcal{X} \ \& \ A \notin \mathcal{I}\}, \\ \text{cov}(\mathcal{I}) &= \min\{|\mathcal{S}| \mid \mathcal{S} \subset \mathcal{I} \ \& \ \bigcup \mathcal{S} = {}^\omega \mathcal{X}\}, \\ \text{add}(\mathcal{I}) &= \min\{|\mathcal{S}| \mid \mathcal{S} \subset \mathcal{I} \ \& \ \bigcup \mathcal{S} \notin \mathcal{I}\}. \end{aligned}$$

The following facts are well-known.

FACT 3.1. *Let \mathcal{I}, \mathcal{J} be σ -ideals of $\mathcal{P}({}^\omega \mathcal{X})$ such that ${}^\omega \mathcal{X} \notin \mathcal{I}$ and $\{f\} \in \mathcal{I}$, for all $f \in {}^\omega \mathcal{X}$. Then*

- (1) $\text{non}(\mathcal{I}), \text{cov}(\mathcal{I}) \leq \text{cof}(\mathcal{I})$.
- (2) $\omega_1 \leq \text{add}(\mathcal{I}) \leq \text{non}(\mathcal{I}), \text{cov}(\mathcal{I})$.
- (3) *If \mathcal{I} and \mathcal{J} are orthogonal and translation invariant, then $\text{cov}(\mathcal{I}) \leq \text{non}(\mathcal{J})$.*

FACT 3.2. *The cardinal coefficients of the ideals $\mathbf{K}_{\mathcal{X}}$ and $\mathbf{L}_{\mathcal{X}}$ do not depend on the choice of \mathcal{X} , i.e. for any $1 < \mathcal{X}, \mathcal{Y} \leq \omega$, $\text{cof}(\mathbf{K}_{\mathcal{X}}) = \text{cof}(\mathbf{K}_{\mathcal{Y}})$, $\text{cof}(\mathbf{L}_{\mathcal{X}}) = \text{cof}(\mathbf{L}_{\mathcal{Y}}), \dots$*

For the ideals $\mathcal{C}_{\mathcal{X}}$ and $\mathcal{P}_{\mathcal{X}}$, the following theorems are known.

- THEOREM 3.3** [8, 10]. (1) $\text{non}(\mathcal{C}_{\mathcal{X}}) = \text{non}(\mathcal{P}_{\mathcal{X}}) = \mathbf{c}$.
 (2) $\text{add}(\mathcal{C}_{\omega}) = \text{add}(\mathcal{P}_{\omega}) = \text{cov}(\mathcal{C}_{\omega}) = \text{cov}(\mathcal{P}_{\omega}) = \omega_1$.

- (3) $\text{cov}(\mathcal{P}_{\mathcal{X}}) = \text{add}(\mathcal{P}_{\mathcal{X}})$.
 (4) $\text{cof}(\mathcal{P}_{\omega}) > \mathbf{c}$ and $\text{cof}(\mathcal{C}_{\omega}) > \mathbf{c}$.
 (5) If $\text{cov}(\mathbf{K}) = \mathbf{c}$, then $\text{cof}(\mathcal{P}_{\mathcal{X}}) > \mathbf{c}$ and $\text{cof}(\mathcal{C}_{\mathcal{X}}) > \mathbf{c}$.
 (6) $\text{cov}(\mathcal{P}_2) \leq \text{cof}(\mathbf{L})^+$.

THEOREM 3.4 (I. Reclaw, see [8]). *The proper forcing axiom (PFA) implies that $\text{cov}(\mathcal{P}_2) > \omega_1$.*

THEOREM 3.5 [3]. *It is consistent with Martin's Axiom and $\mathbf{c} = \omega_2$ that $\text{cov}(\mathcal{P}_2) = \omega_1$.*

We shall show the following.

THEOREM 3.6. $\text{cof}(\mathcal{P}_{\mathcal{X}}) > \mathbf{c}$ and $\text{cof}(\mathcal{C}_{\mathcal{X}}) > \mathbf{c}$, for any $1 < \mathcal{X} < \omega$.

THEOREM 3.7. **PFA** implies that $\text{add}(\mathcal{C}_2) > \omega_1$.

THEOREM 3.8. $\text{add}(\mathcal{C}_2) \leq \text{cof}(\mathbf{L})$.

The case of $\mathcal{X} = 2$ in Theorem 3.6 gives an affirmative answer to Problem 5.3.18(c) of [9].

Proof of Theorem 3.6. It suffices to show:

- (*) For any $\{A_{\alpha} \mid \alpha < \mathbf{c}\} \subset \mathcal{C}_{\mathcal{X}}$, there exists $B \in \mathcal{P}_{\mathcal{X}}$ such that $\forall \alpha < \mathbf{c}$ ($B \not\subset A_{\alpha}$).

In order to show (*), we need several definitions and two lemmas.

For any $A \subset {}^{\omega}\mathcal{X}$ and $X \subset \omega$, the set $\{f \upharpoonright X \mid f \in A\}$ is denoted by $A \upharpoonright X$. Note that $\mathcal{P}_{\mathcal{X}} = \{A \subset {}^{\omega}\mathcal{X} \mid \forall X \in [\omega]^{\omega} (A \upharpoonright X \neq {}^X\mathcal{X})\}$.

Take a nonempty $A \in \mathcal{P}_{\mathcal{X}}$ such that

$$(3.1) \quad \forall c \in A \forall d \in {}^{\omega}\mathcal{X} (\forall^{\infty} n < \omega (c(n) = d(n)) \Rightarrow d \in A).$$

For each $X \in [\omega]^{\omega}$, take a sequence $\langle c_{\alpha, X} \mid \alpha < \mathbf{c} \rangle$ such that

$$c_{\alpha, X} \in {}^X\mathcal{X} \setminus A \upharpoonright X \quad \text{and} \quad c_{\alpha, X} \neq c_{\beta, X} \text{ if } \alpha \neq \beta.$$

LEMMA 3.9. *Suppose that $\mathcal{F} \subset \mathbf{c} \times [\omega]^{\omega}$ and $Y \in [\omega]^{\omega}$ satisfy*

$$\forall (\alpha, X) \in \mathcal{F} (X \setminus Y \text{ is finite) \& } |\mathcal{F}| < \mathbf{c}.$$

Then there exists $g \in {}^Y\mathcal{X}$ such that

$$\forall (\alpha, X) \in \mathcal{F} (c_{\alpha, X} \upharpoonright (X \cap Y) \not\subset g).$$

Proof. For each $(\alpha, X) \in \mathcal{F}$, let $d_{\alpha, X} = c_{\alpha, X} \upharpoonright (X \cap Y)$. By (3.1),

$$d_{\alpha, X} \not\subset A \upharpoonright (X \cap Y) \quad \text{for all } (\alpha, X) \in \mathcal{F}.$$

Then, since $\forall (\alpha, X) \in \mathcal{F} (\{f \in {}^Y\mathcal{X} \mid d_{\alpha, X} \subset f\}) \cap A \upharpoonright Y = \emptyset$, we have

$$\left(\bigcup_{(\alpha, X) \in \mathcal{F}} \{f \in {}^Y\mathcal{X} \mid d_{\alpha, X} \subset f\} \right) \cap A \upharpoonright Y = \emptyset.$$

Since $A \upharpoonright Y \neq \emptyset$, we can take $g \in A \upharpoonright Y$. This g is as required. ■

Recall that $X, Y \in [\omega]^\omega$ are *almost disjoint* if $X \cap Y$ is finite. A family $\mathcal{F} \subset [\omega]^\omega$ is said to be *pairwise almost disjoint* if any two distinct elements of \mathcal{F} are almost disjoint. A *MAD-family* is a maximal family (with the inclusion order) which is pairwise almost disjoint. Take a MAD-family $\mathcal{W} \subset [\omega]^\omega$ such that $|\mathcal{W}| = \mathfrak{c}$. Take an enumeration $\langle U_\alpha \mid \alpha < \mathfrak{c} \rangle$ of $\bigcup_{X \in \mathcal{W}} [X]^\omega$.

To prove Theorem 3.6, let $\{A_\alpha \mid \alpha < \mathfrak{c}\} \subset \mathcal{C}_X$. Take $\langle \tau_{\alpha, X} \mid \alpha < \mathfrak{c} \ \& \ X \in [\omega]^\omega \rangle$ such that

$$\tau_{\alpha, X} \in \text{STR}_X \quad \text{and} \quad ({}^\omega \mathcal{X} *_{X} \tau_{\alpha, X}) \cap A_\alpha = \emptyset \quad \text{for all } \alpha < \mathfrak{c}, X \in [\omega]^\omega.$$

LEMMA 3.10. *There exist sequences $\langle h_\alpha \mid \alpha < \mathfrak{c} \rangle$ and $\langle e_\alpha \mid \alpha < \mathfrak{c} \rangle$ which satisfy the following*

- (1) $h_\alpha \in {}^\omega \mathcal{X} \setminus A_\alpha$ and $e_\alpha \in U_\alpha \mathcal{X}$.
- (2) $e_\alpha \not\subset h_\beta$, for any $\alpha, \beta < \mathfrak{c}$.

Proof. We shall show, by induction on $\alpha < \mathfrak{c}$, that there exist $h_\alpha \in {}^\omega \mathcal{X} \setminus A_\alpha$ and $e_\alpha \in \{c_{\eta, U_\alpha} \mid \eta < \mathfrak{c}\}$ which satisfy $e_\xi \not\subset h_\alpha$ and $e_\alpha \not\subset h_\xi$ for all $\xi \leq \alpha$.

So, let $\alpha < \mathfrak{c}$. Take $X \in \mathcal{W}$ such that $\forall \xi < \alpha$ ($U_\xi \cap X$ is finite). Set $Y = \omega \setminus X$. Then by Lemma 3.9, there exists $g \in {}^Y \mathcal{X}$ such that $e_\xi \upharpoonright (U_\xi \cap Y) \not\subset g$ for all $\xi < \alpha$. Set $h_\alpha = g *_{X} \tau_{\alpha, X}$ ($\in {}^\omega \mathcal{X} \setminus A_\alpha$). Since $g \subset h_\alpha$, we have $e_\xi \not\subset h_\alpha$, for all $\xi < \alpha$. Take $e_\alpha \in \{c_{\eta, U_\alpha} \mid \eta < \mathfrak{c}\}$ such that $e_\alpha \not\subset \{h_\xi \upharpoonright U_\alpha \mid \xi \leq \alpha\}$. ■

Let $\langle h_\alpha \mid \alpha < \mathfrak{c} \rangle$ and $\langle e_\alpha \mid \alpha < \mathfrak{c} \rangle$ be sequences which satisfy (1) and (2) of Lemma 3.10. Set $B = \{h_\alpha \mid \alpha < \mathfrak{c}\}$. Since $\forall \alpha < \mathfrak{c}$ ($h_\alpha \notin A_\alpha$), we have $B \not\subset A_\alpha$ for all $\alpha < \mathfrak{c}$. To show $B \in \mathcal{P}_X$, let $X \in [\omega]^\omega$. Take $Y \in \mathcal{W}$ such that $X \cap Y$ is infinite and $\alpha < \mathfrak{c}$ such that $U_\alpha = X \cap Y$. Then, since $e_\alpha \not\subset B \upharpoonright U_\alpha$, it follows that $B \upharpoonright X \neq {}^X \mathcal{X}$. ■

Proof of Theorem 3.7. In order to show Theorem 3.7, we need to modify the notion of covering systems in [10].

DEFINITION. Let κ be a cardinal, $U \in [\omega]^\omega$, and $h : U \rightarrow \omega \setminus \{0\}$. A double indexed sequence $\langle f_{\alpha, X} \mid \alpha < \kappa \ \& \ X \in [U]^\omega \rangle$ is called a κ -covering system for h if it satisfies

- (1) $f_{\alpha, X} \in \prod_{n \in X} h(n)$,
- (2) $\forall g \in \prod_{n \in U} h(n) \ \exists \alpha < \kappa \ \forall X \in [U]^\omega$ ($f_{\alpha, X} \not\subset g$).

LEMMA 3.11 (PFA)

- (C) *There does not exist an ω_1 -covering system for h , for any $h : U \rightarrow \omega \setminus \{0\}$ and $U \in [\omega]^\omega$.*

Proof. Let $U \in [\omega]^\omega$ and $h : U \rightarrow \omega \setminus \{0\}$.

Suppose that a sequence $F = \langle f_{\alpha, X} \mid \alpha < \omega_1 \ \& \ X \in [U]^\omega \rangle$ satisfies the condition (1) in the definition of covering systems. (We show that F does not satisfy (2).)

Define the forcing notion $P (= P_F)$ by

$$P = \left\{ p \mid \exists X \in [U]^\omega \left(p \in \prod_{n \in U \setminus X} h(n) \right) \right\}, \quad p \leq q \text{ iff } p \supset q.$$

Since the partial ordering \leq_n (for $n < \omega$) on P defined by

$$p \leq_n q \text{ iff } p \leq q \text{ and "the set of the first } n \text{ elements of } U \setminus \text{dom}(p)\text{"} \\ = \text{"the set of the first } n \text{ elements of } U \setminus \text{dom}(q)\text{"}$$

satisfies Axiom A of Baumgartner, P is proper. For each $\alpha < \omega_1$, set

$$D_\alpha = \{ p \in P \mid \exists X \in [U]^\omega (f_{\alpha, X} \subset p) \}.$$

Since $\forall \alpha < \omega_1$ (D_α is dense in P), by **PFA**, there exists a $\{D_\alpha \mid \alpha < \omega_1\}$ -generic filter \mathcal{G} on P . Since \mathcal{G} is a filter, we can take $g \in \prod_{n \in U} h(n)$ such that $\bigcup \mathcal{G} \subset g$. Then $\forall \alpha < \omega_1 \ \exists X \in [U]^\omega (f_{\alpha, X} \subset g)$. ■

By Lemma 3.11, it suffices to show that (C) implies $\text{add}(\mathcal{C}_2) > \omega_1$. To show this, assume that (C) holds and let $\{A_\alpha \mid \alpha < \omega_1\} \subset \mathcal{C}_2$.

To show that $\bigcup_{\alpha < \omega_1} A_\alpha \in \mathcal{C}_2$, let $X \in [\omega]^\omega$. For each $\alpha < \omega_1$ and $Y \in [X]^\omega$, take $\tau_{\alpha, Y} \in \text{STR}_2$ such that $({}^\omega 2 *_{Y} \tau_{\alpha, Y}) \cap A_\alpha = \emptyset$. Set $f_{\alpha, Y} = \langle \tau_{\alpha, Y} \upharpoonright^{n 2} \mid n \in Y \rangle$. Using (C), take $g = \langle g_n \mid n \in X \rangle$ such that $\forall \alpha < \omega_1 \ \exists Y \in [X]^\omega (f_{\alpha, Y} \subset g)$. Define $\tau \in \text{STR}_2$ by

$$\tau(s) = \begin{cases} g_n(s) & \text{if } \text{length}(s) = n \in X, \\ 0 & \text{otherwise.} \end{cases}$$

To show that $({}^\omega 2 *_{X} \tau) \cap A_\alpha = \emptyset$ for all $\alpha < \omega_1$, let $\alpha < \omega_1$. Take $Y \in [X]^\omega$ such that $f_{\alpha, Y} \subset g$. Then $\tau_{\alpha, Y} \upharpoonright (\bigcup_{n \in Y} n 2) \subset \tau$. So, ${}^\omega 2 *_{X} \tau \subset {}^\omega 2 *_{Y} \tau = {}^\omega 2 *_{Y} \tau_{\alpha, Y}$. Since $({}^\omega 2 *_{Y} \tau_{\alpha, Y}) \cap A_\alpha = \emptyset$, we conclude $({}^\omega 2 *_{X} \tau) \cap A_\alpha = \emptyset$. ■

Proof of Theorem 3.8. Define $h : \omega \rightarrow \omega$ by

$$h(0) = 0, \quad h(n+1) = 2^n(h(n) + 1).$$

For each $n < \omega$, set

$$A_n = \{ u \mid u : {}^{h(n+1)} 2 \rightarrow 2 \}.$$

Set

$$\mathcal{T} = \{ \langle S_n \mid n < \omega \rangle \mid \forall n < \omega (S_n \subset A_n \ \& \ |S_n| \leq 2^n) \}.$$

Using Bartoszyński's Characterization Theorem [2], take $\mathcal{B} \subset \mathcal{T}$ such that

$$|\mathcal{B}| = \text{cof}(\mathbf{L}) \quad \& \quad \forall g \in \prod_{n < \omega} A_n \ \exists S \in \mathcal{B} \ \forall n < \omega (g(n) \in S(n)).$$

A tree $T \subset {}^\omega 2$ is called a *thin tree* if it satisfies

$$\forall n < \omega \ (T_n \text{ has at most one branch which ramifies}).$$

Note that if T is a thin tree then $\{f \in {}^\omega 2 \mid \forall n < \omega \ (f \upharpoonright n \in T)\} \in \mathcal{C}_2$. For each $S \in \mathcal{B}$, take a thin tree T_S such that

$$(**) \ \forall s \in T_S \cap {}^{h(n)+1} 2 \ \forall \rho \in S(n) \ \exists t \in T_S \cap {}^{h(n+1)} 2 \ (s \subset t \ \& \ t \wedge \langle \rho(t) \rangle \in T_S),$$

and set

$$A_S = \{d \in {}^\omega 2 \mid \forall n < \omega \ (d \upharpoonright n \in T_S)\}.$$

Since $A_S \in \mathcal{C}_2$ for all $S \in \mathcal{B}$, we can complete the proof by showing that $\bigcup_{S \in \mathcal{B}} A_S \notin \mathcal{C}_2$. Let $X = \{h(n+1) \mid n < \omega\}$. We claim that, for any $\sigma \in \text{STR}_2$, σ is not a winning strategy for player II in the game $\Gamma_2(\bigcup_{S \in \mathcal{B}} A_S, X)$.

To show this, set $g = \langle \sigma \upharpoonright ({}^{h(n+1)} 2) \mid n < \omega \rangle \in \mathcal{T}$. Take $S \in \mathcal{B}$ such that $\forall n < \omega \ (g(n) \in S(n))$. By induction on $n < \omega$, define $s_n \in T_S \cap {}^{h(n)} 2$ as follows. For $n = 0$, take an arbitrary $s_0 \in T_S \cap {}^{h(0)} 2$. Assume that s_n was defined. Then, using (**), take $s_{n+1} \in T_S \cap {}^{h(n+1)} 2$ such that $s_n \wedge \langle \sigma(s_n) \rangle \subset s_{n+1}$ and $s_{n+1} \wedge \langle \sigma(s_{n+1}) \rangle \in T_S$.

Set $f = \bigcup_{n < \omega} s_n \in A_S$. Since $\forall k \in X \ (\sigma(f \upharpoonright k) = f(k))$, $f \in \text{STR}_2 *_{X} \sigma$. Thus, $(\text{STR}_2 *_{X} \sigma) \cap A_S \neq \emptyset$. So, $\bigcup_{S \in \mathcal{B}} A_S \notin \mathcal{C}_2$. ■

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