

HOMOMORPHISMS, MAPPINGS AND RETRACTS

BY

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In my earlier paper [1] I examined the problem: Under what condition every homomorphism h of a given Boolean algebra \mathbf{A} into any Boolean algebra \mathbf{B} is induced by a point mapping. The three following cases were there considered ¹⁾:

- (1) \mathbf{A} and \mathbf{B} are (finitely additive) fields of sets;
- (2) \mathbf{A} and \mathbf{B} are σ -fields of sets;
- (3) \mathbf{A} is a σ -field of sets and \mathbf{B} is a σ -quotient algebra, i.e. $\mathbf{B} = \mathbf{X}/\mathbf{I}$ where \mathbf{X} and \mathbf{I} are respectively a σ -field and a σ -ideal of sets.

The subject of the present paper is the study of the remaining case ²⁾:

- (4) \mathbf{A} is a (finitely additive) field \mathbf{Y} of subsets of a set \mathfrak{Y} , and \mathbf{B} is a quotient algebra, i.e. $\mathbf{B} = \mathbf{X}/\mathbf{I}$ where \mathbf{X} and \mathbf{I} are respectively a (finitely additive) field and an ideal of subsets of a set \mathfrak{X} .

The main result (theorems 4.1—3) is a complete characterization of fields \mathbf{Y} with the property

- (P) Every homomorphism h of \mathbf{Y} into any quotient algebra \mathbf{X}/\mathbf{I} is induced by a point mapping φ .

This characterization is topological. The essential notion is here Borsuk's ³⁾ definition of a retract and of an absolute retract.

The final §§ 6 and 7 contain some applications of the main result to the case (3), and a generalization of the concept of retract.

Terminology and notation. A mapping h of a Boolean algebra \mathbf{A} into another Boolean algebra \mathbf{B} is said to be a *homomorphism* if $h(A+B) = h(A) + h(B)$ and $h(A') = h(A)'$ for all $A, B \in \mathbf{A}$

¹⁾ In the case (2) and (3), homomorphisms h are supposed to be σ -additive.

²⁾ The case where \mathbf{A} is a quotient or σ -quotient algebra is not interesting since it can be reduced to the case (1) and (4), or (2) and (3) respectively. See 1.1 and Sikorski [1], p. 19.

³⁾ Borsuk [1], p. 153 and p. 159.

($A+B$ and A' denote always the Boolean operations corresponding to the addition and complementation of sets).

A one-one homomorphism of \mathbf{A} onto \mathbf{B} is called an *isomorphism*. If it exists, \mathbf{A} and \mathbf{B} are said to be *isomorphic*.

A non-empty class \mathbf{X} of subsets of a set \mathfrak{X} is said to be a *field* if it is a Boolean algebra with respect to the usual set-theoretical operations, that is, if $X, X_1 \in \mathbf{X}$ implies $X + X_1 \in \mathbf{X}$ and $X' = \mathfrak{X} - X \in \mathbf{X}$.

Let \mathbf{X} and \mathbf{Y} be two fields of sets. An isomorphism g of \mathbf{X} onto \mathbf{Y} is called a *total isomorphism* ⁴⁾ if it can be extended to an isomorphism between the least totally additive fields containing \mathbf{X} and \mathbf{Y} respectively. If it exists, then \mathbf{X} and \mathbf{Y} are said to be *totally isomorphic*.

An *ideal* \mathbf{I} of a field of sets is a class such that:

- 1° $0 \neq \mathbf{I} \subset \mathbf{X}$;
- 2° if $X_1, X_2 \in \mathbf{I}$, then $X_1 + X_2 \in \mathbf{I}$;
- 3° if $X_2 \subset X_1 \in \mathbf{I}$ and $X_2 \in \mathbf{X}$, then $X_2 \in \mathbf{I}$.

For any $X \in \mathbf{X}$ the symbol $[X]$ will denote the class of all $X_1 \in \mathbf{X}$ such that $(X - X_1) + (X_1 - X) \in \mathbf{I}$. The collection of all (mutually disjoint) classes $[X]$ forms a Boolean algebra denoted by \mathbf{X}/\mathbf{I} and called a *quotient algebra*. The Boolean operations in \mathbf{X}/\mathbf{I} are defined by the formulae:

$$[X_1] + [X_2] = [X_1 + X_2], [X]' = [\mathfrak{X} - X].$$

Let \mathbf{X} and \mathbf{Y} be fields of subsets of sets \mathfrak{X} and \mathfrak{Y} respectively, and let \mathbf{I} and \mathbf{J} be ideals of \mathbf{X} and \mathbf{Y} respectively. We say that a homomorphism h of \mathbf{Y}/\mathbf{J} into \mathbf{X}/\mathbf{I} is *induced* by a point mapping φ of \mathfrak{X} into \mathfrak{Y} if

$$\varphi^{-1}(Y) \in \mathbf{X} \text{ and } h([Y]) = [\varphi^{-1}(Y)] \text{ for every } Y \in \mathbf{Y}.$$

In particular, a homomorphism h of \mathbf{Y} into \mathbf{X}/\mathbf{I} is induced by a mapping φ of \mathfrak{X} into \mathfrak{Y} if

$$\varphi^{-1}(Y) \in \mathbf{X} \text{ and } h(Y) = [\varphi^{-1}(Y)] \text{ for every } Y \in \mathbf{Y};$$

and a homomorphism h of \mathbf{Y} into \mathbf{X} is induced by φ if

$$\varphi^{-1}(Y) \in \mathbf{X} \text{ and } h(Y) = \varphi^{-1}(Y) \text{ for every } Y \in \mathbf{Y}.$$

A topological space \mathfrak{S} is *totally disconnected* if for every pair $s_1, s_2 \in \mathfrak{S}$ ($s_1 \neq s_2$) there is a both open and closed set H such

⁴⁾ This notion is due to Marczewski [2], p. 136.

that $s, \epsilon H$ and $s_0 \in \mathcal{S} - H$. A totally disconnected bicomact space will be termed a *B-space*.

A (closed) subset \mathcal{S} of a topological space \mathcal{S}_0 is a *retract* of \mathcal{S}_0 if there exists a continuous mapping κ of \mathcal{S}_0 onto \mathcal{S} such that $\kappa(s) = s$ for every $s \in \mathcal{S}$. The mapping κ is called a *retract mapping* $(\mathcal{S}, \mathcal{S}_0)$.

A topological space \mathcal{S} is said to be an *absolute B-retract* provided \mathcal{S} is a retract of every B-space \mathcal{S}_0 , $\mathcal{S} \subset \mathcal{S}_0$. An absolute B-retract is also a B-space.

1. Lemmas. In this section X, Y , and Z are fields of subsets of sets $\mathfrak{X}, \mathfrak{Y}$, and \mathfrak{Z} respectively. I and J are ideals of X and Y respectively.

It follows directly from the definition that

1.1 A mapping φ induces a homomorphism h of Y/J into X/I if and only if φ induces the homomorphism g (of Y into X/I) defined by the formula

$$g(Y) = h(\{\varphi(Y)\}) \in X/I \text{ for } Y \in Y.$$

The following lemma is obvious:

1.2 If a mapping φ of \mathfrak{X} into \mathfrak{Y} induces a homomorphism h of Y/J (or: of Y) into X/I , and if a mapping ψ of \mathfrak{Y} in \mathfrak{Z} induces a homomorphism g of Z into Y/J (or: into Y), the mapping $\psi\varphi$ of \mathfrak{X} into \mathfrak{Z} induces the homomorphism hg of Z into X/I .

The property (P) is not invariant under isomorphisms. However,

1.3 The property (P) is invariant under total isomorphisms (i.e. if Y has the property (P), and Z is totally isomorphic to Y , then Z has also the property (P)).

This follows from 1.2 and the following lemma which is an easy consequence of a theorem of Marczewski²⁾:

1.4 An isomorphism g of Z onto Y is a total isomorphism if and only if both g and g^{-1} are induced by point mappings.

2. B-spaces. For every topological space \mathcal{S} , the symbol $K(\mathcal{S})$ will denote the field of all both open and closed subsets of \mathcal{S} . By 1.3 and 1.4 the property (P) of $K(\mathcal{S})$ is a topological invariant of the space \mathcal{S} .

²⁾ See Marczewski [2], p. 140, (ii).

Stone³⁾ has proved that

2.1 Every Boolean algebra (in particular, every field of sets) A is isomorphic to the field $K(\mathcal{S})$ of a B-space \mathcal{S} .

If \mathcal{S}_1 is another B-space such that $K(\mathcal{S}_1)$ is isomorphic to A , then \mathcal{S} and \mathcal{S}_1 are homeomorphic. Stone's space \mathcal{S} is thus uniquely determined by A . It will be denoted by \mathcal{S}_A .

If a space \mathcal{S} is bicomact, every two-valued measure on $K(\mathcal{S})$ is trivial. Consequently⁴⁾,

2.2 If \mathcal{S} is a B-space, every homomorphism of $K(\mathcal{S})$ into any field of sets X is induced by a point mapping φ .

Suppose \mathcal{S}_0 is a B-space and \mathcal{S} is a closed subset of \mathcal{S}_0 . Then \mathcal{S} is also a B-space. Let J be the class of all sets $T \in K(\mathcal{S}_0)$ with $S_0 \in T = 0$. J is an ideal of $K(\mathcal{S}_0)$. For every $S \in K(\mathcal{S})$ there is a set $T \in K(\mathcal{S}_0)$ such that $S = \mathcal{S} \cap T$. If $S_1 \in K(\mathcal{S}_0)$ be another set with $S = \mathcal{S} \cap S_1$, then $(S_1 - S_0) \cup (S_0 - S_1) \in J$. Consequently the formula

$$g(S) = [S_0], \text{ where } S \in K(\mathcal{S}), S_0 \in K(\mathcal{S}_0), \text{ and } S = \mathcal{S} \cap S_0,$$

defines an isomorphism of $K(\mathcal{S})$ onto $K(\mathcal{S}_0)/J$, called the *natural isomorphism* $(\mathcal{S}, \mathcal{S}_0)$.

2.3 A closed subset \mathcal{S} of a B-space \mathcal{S}_0 is a retract of \mathcal{S}_0 if and only if the natural isomorphism $(\mathcal{S}, \mathcal{S}_0)$ is induced by a mapping κ of \mathcal{S}_0 into \mathcal{S} .

More precisely:

A mapping κ is a retract mapping $(\mathcal{S}, \mathcal{S}_0)$ if and only if κ induces the natural isomorphism $(\mathcal{S}, \mathcal{S}_0)$.

This follows from the fact that a mapping κ of \mathcal{S}_0 into \mathcal{S} is a retract mapping $(\mathcal{S}, \mathcal{S}_0)$ if and only if

$$\kappa^{-1}(S) \in K(\mathcal{S}_0) \text{ and } S = \mathcal{S} \cap \kappa^{-1}(S) \text{ for every } S \in K(\mathcal{S}),$$

i. e. if $g(S) = [\kappa^{-1}(S)] \in K(\mathcal{S}_0)/J$, q. e. d.

3. The space \mathcal{C}_μ . Let \mathcal{C}_μ denote the set of all sequences $c = \{c_\xi\}_\xi < \omega_\mu$ where $c_\xi = 0$ or 1. Let \mathcal{C}_μ^ξ be the set of all sequences $c \in \mathcal{C}_\mu$ such that $c_\xi = 1$, and let \mathcal{C}_μ be the least field (of subsets of \mathcal{C}_μ) containing all sets \mathcal{C}_μ^ξ . Consider \mathcal{C}_μ as a topological space

³⁾ Stone [1], p. 378.

⁴⁾ See my paper [1], pp. 9-10.

with C_μ as the class of neighbourhoods. The so-defined space \mathfrak{C}_μ is a B -space and $C_\mu = K(\mathfrak{C}_\mu)$.

Every closed subset of \mathfrak{C}_μ is also a B -space. Conversely:

3.1 If \mathfrak{S} is a B -space and $\overline{K(\mathfrak{S})} \prec \aleph_\mu$, then \mathfrak{S} is homeomorphic to a closed subset of \mathfrak{C}_μ .

The homeomorphism is given by the characteristic function ⁹⁾ of a (transfinite) sequence $\{S_\xi\}_{\xi < \omega_\mu}$ which contains all sets $S \in K(\mathfrak{S})$.

3.2 Let J be an ideal of C_μ . Every homomorphism h of C_μ/J into any quotient algebra X/I is induced by a point mapping.

On account of 1.1 it is sufficient to prove that

3.3 The field C_μ has the property (P).

Let h be a homomorphism of C_μ into a quotient algebra X/I , and let $X_\xi \in X$ be a set such that $h(C_\mu^\xi) = [X_\xi]$. The characteristic function φ of the sequence $\{X_\xi\}_{\xi < \omega_\mu}$ satisfies the equation $\varphi^{-1}(C_\mu^\xi) = X_\xi$. Consequently

$$h(C_\mu^\xi) = [\varphi^{-1}(C_\mu^\xi)].$$

Hence, by induction,

$$h(C) = [\varphi^{-1}(C)] \text{ for every } C \in C_\mu,$$

i. e. the mapping φ induces the homomorphism h .

4. Fundamental theorems on the inducing of homomorphisms. We shall now prove the following theorem:

4.1 The three following conditions are equivalent for a B -space \mathfrak{S} :

- (i) $K(\mathfrak{S})$ has the property (P);
- (ii) \mathfrak{S} is an absolute B -retract;
- (iii) \mathfrak{S} is homeomorphic to a retract of a space \mathfrak{C}_μ .

(i) \rightarrow (ii). Suppose $\mathfrak{S} \subset \mathfrak{S}_0$ where \mathfrak{S}_0 is a B -space. By (i), the natural isomorphism $(\mathfrak{S}, \mathfrak{S}_0)$ is induced by a point mapping. Consequently, on account of 2.5, \mathfrak{S} is a retract of \mathfrak{S}_0 .

(ii) \rightarrow (iii) follows from 3.1.

(iii) \rightarrow (i). Since the property (P) of $K(\mathfrak{S})$ is a topological invariant, it is sufficient to prove this implication in the case where \mathfrak{S} is a retract of \mathfrak{C}_μ . Let h be a homomorphism of $K(\mathfrak{S})$ into a quotient algebra X/I , let J be the ideal of all sets $C \in C_\mu$ such that $\mathfrak{S}C = 0$, and let g be the natural isomorphism $(\mathfrak{S}, \mathfrak{C}_\mu)$. By 3.2, the

⁹⁾ That is, a mapping $\varphi(s) = \{c_\xi\} \in \mathfrak{C}_\mu$ such that $c_\xi = 1$ if $s \in S_\xi$ and $c_\xi = 0$ if $s \notin S_\xi$. See Stone [2], p. 29 and 32, and Marczewski [1], p. 211.

homomorphism hg^{-1} of C_μ/J in X/I is induced by a mapping φ . By 2.3, the isomorphism g is induced by a retract mapping $(\mathfrak{S}, \mathfrak{C}_\mu)\kappa$. By 1.2, the homomorphism $h = (hg^{-1})g$ is induced by the mapping $\varphi = \kappa\varphi$.

4.2 A field Y has the property (P) if and only if it is totally isomorphic to the field $K(\mathfrak{S})$, where \mathfrak{S} is an absolute B -retract.

More precisely (see 2.1):

4.3 In order that a field Y have the property (P) it is necessary and sufficient that Y be totally isomorphic to $K(\mathfrak{S}_X)$ and that Stone's space \mathfrak{S}_X be an absolute B -retract.

The sufficiency follows from 1.3 and 4.1. Suppose Y has the property (P) and let g be an isomorphism of Y onto $K(\mathfrak{S}_X)$. Thus g is induced by a point mapping. By 2.2 the converse isomorphism g^{-1} is also induced by a point mapping. Consequently, by 1.4, Y and $K(\mathfrak{S}_X)$ are totally isomorphic. On account of 1.3 and 4.1, the space \mathfrak{S}_X is an absolute B -retract.

Consider the following property of a class L of sets:

(S) every subclass $L_0 \subset L$ of mutually disjoint sets is at most enumerable.

4.4 If a field Y has the property (P), it has also the property (S).

By theorem 4.3, the space \mathfrak{S}_X is then a continuous image of \mathfrak{C}_μ . The property (S) is invariant under continuous mappings and the field C_μ has this property ⁹⁾. Consequently $K(\mathfrak{S}_X)$ has the property (S). Since Y is isomorphic to $K(\mathfrak{S}_X)$, the field Y has also this property, q. e. d.

It follows from 4.4 that, for instance, if Y is the field of all subsets of a non-enumerable set or the field of all Borel subsets of a non-enumerable space, then the field $K(\mathfrak{S}_X)$ has not the property (P).

The property (S) is not a sufficient condition for the field $K(\mathfrak{S}_X)$ to have the property (P) ¹⁰⁾.

⁹⁾ See Marczewski [3], p. 131, (vi) and p. 142, (i).

¹⁰⁾ Let \mathfrak{S} be the B -space obtained by splitting every point of the segment $\langle 0, 1 \rangle$ into two new points. Then $K(\mathfrak{S})$ has the property (S). Since \mathfrak{S} is not a continuous image of a space \mathfrak{C}_μ , the field $K(\mathfrak{S})$ has not the property (P). See Šanin [1].

5. The representation problem of von Neumann and Stone.
 J. v. Neumann and M. H. Stone [1] have examined the question under what condition a quotient algebra X/I has the following property:

(R) X contains a subfield X_0 such that, for every $X \in X$, there is exactly one set $X_0 \in X_0$ with $[X] = [X_0]$.

5.1 A quotient algebra $A = X/I$ has the property (R) if and only if, for every B -space \mathfrak{S} , every homomorphism h of $K(\mathfrak{S})$ into X/I is induced by a point mapping φ .

Suppose X/I has the property (R). Then the formula

$$g(S) = X_0, \text{ where } X_0 \in X_0, [X_0] = h(S), S \in K(\mathfrak{S}),$$

defines a homomorphism g of $K(\mathfrak{S})$ into X_0 induced by a mapping φ on account of 2.2. We have

$$h(S) = [\varphi^{-1}(S)] \text{ for every } S \in K(\mathfrak{S}),$$

that is, φ induces h .

(On the other hand, if Stone's isomorphism g of $K(\mathfrak{S}_A)$ onto A is induced by a mapping φ , then the class X_0 of all sets $\varphi^{-1}(S)$ where $S \in K(\mathfrak{S}_A)$ satisfies the condition (R).

6. σ -homomorphisms. X/I is called a σ -quotient algebra if X is a σ -field¹¹⁾ of sets, and I is a σ -ideal¹²⁾.

For every B -space \mathfrak{S} , the symbol $K_\sigma(\mathfrak{S})$ denotes the least σ -field containing the field $K(\mathfrak{S})$.

Analogously as lemma 1.3 one can prove that

6.1 The following property of a σ -field Y is invariant under total isomorphisms:

(P _{σ}) every σ -homomorphism (i.e. a σ -additive homomorphism) of Y into any σ -quotient algebra X/I is induced by a point mapping φ .

6.2 If \mathfrak{S} is an absolute B -retract, every σ -homomorphism h of $K_\sigma(\mathfrak{S})$ into any σ -quotient algebra X/I is induced by a mapping φ .

On account of 4.1 there is a mapping φ such that

$$h(S) = [\varphi^{-1}(S)] \text{ for every } S \in K(\mathfrak{S}).$$

h being a σ -homomorphism, the last formula holds also for every $S \in K_\sigma(\mathfrak{S})$, q. e. d.

¹¹⁾ A field X is called a σ -field if $X_n \in X$ ($n = 1, 2, \dots$) implies $X_1 + X_2 + \dots \in X$.

¹²⁾ An ideal I is called a σ -ideal if $X_n \in X$ ($n = 1, 2, \dots$) implies $X_1 + X_2 + \dots \in I$.

The field of all Borel subsets of a topological space \mathfrak{T} will be denoted by $B(\mathfrak{T})$. \mathfrak{T} is said to be a Borel space provided it is homeomorphic to a Borel subset of the Hilbert cube.

6.3 If \mathfrak{T} is a Borel space, every σ -homomorphism h of $B(\mathfrak{T})$ in any σ -quotient algebra X/I is induced by a point mapping¹³⁾.

If \mathfrak{T} is at most enumerable, $\mathfrak{T} = (t_1, t_2, \dots)$, let X_1, X_2, \dots be disjoint sets of X such that $h((t_n)) = [X_n]$ and $X = X_1 + X_2 + \dots$. Then the mapping $\varphi(x) = t_n$ for $x \in X_n$ induces h .

If \mathfrak{T} is non-enumerable, theorem 6.3 follows from 6.1-2 and from the fact that the field $B(\mathfrak{T})$ is totally isomorphic¹⁴⁾ to the field $B(\mathfrak{C}_0) = K_\sigma(\mathfrak{C}_0)$ (\mathfrak{C}_0 is obviously Cantor's discontinuous set).

7. Final remarks. Theorem 4.2 gives a topological characterization of fields with the property (P). It is also possible to characterize such fields without introducing the topological terminology. In fact, the study of B -spaces is the study of perfect¹⁵⁾ reduced¹⁶⁾ fields of sets, and conversely.

The topological notion „retract” which is fundamental in this paper can be so defined in the terms of the theory of fields:

Let Z be a field of subsets of a set \mathfrak{Z} , and let U be a subset of \mathfrak{Z} which does belong to Z or not. The class of all sets UZ where $Z \in Z$ will be denoted by UZ . It is a field of subsets of U .

The field UZ is said to be a retract of Z if there is a mapping κ of \mathfrak{Z} into U (called retract mapping (U, Z)) such that¹⁷⁾

$$\kappa^{-1}(Z) \in Z \text{ and } U\kappa^{-1}(Z) = Z \text{ for every } Z \in UZ.$$

A field Y is called an absolute retract if, for every field Z , every field UZ totally isomorphic to Y is a retract of Z .

¹³⁾ This theorem was proved in another way in my paper [1] (theorem 4.3, p. 17).

¹⁴⁾ For there is a generalized homeomorphism (in the sense of Kuratowski) of \mathfrak{S} onto \mathfrak{C}_0 . See Kuratowski [1], p. 280 and p. 358.

¹⁵⁾ A field Y is perfect if every two-valued measure on Y is trivial. See Sikorski [1], p. 9.

¹⁶⁾ A field Y of sets is reduced if for every pair $y_1 \neq y_2$ there is a set $Y \in Y$ such that $y_1 \in Y$ and $y_2 \notin Y$.

¹⁷⁾ If UZ is reduced, then κ has the characteristic property: $\kappa(z) = z$ for every $z \in U$.

The natural isomorphism (U, \mathbf{Z}) is obviously the isomorphism

$$g(\mathbf{Z}) = [Z_0] \in \mathbf{Z}/\mathbf{J} \text{ for } Z \in U\mathbf{Z},$$

where $Z_0 \in \mathbf{Z}$, $Z = UZ_0$, and \mathbf{J} is the ideal of all $Z \in \mathbf{Z}$ such that $UZ = 0$.

The following theorem analogous to 2.3 follows immediately from the definition:

7.1 A mapping κ of \mathfrak{S} into U is a retract mapping (U, \mathbf{Z}) if and only if it induces the natural isomorphism (U, \mathbf{Z}) .

The following theorem is a generalization of 3.1:

7.2 If $\bar{\mathbf{Y}} \leq \mathfrak{S}_\mu$, there is a set $U \subset \mathfrak{C}_\mu$ such that the field \mathbf{Y} is totally isomorphic to UC_μ .

The following theorem corresponds to 4.1:

7.3 The three following conditions are equivalent for any field \mathbf{Y} :

- (i) \mathbf{Y} has the property (P);
- (ii) \mathbf{Y} is an absolute retract;
- (iii) \mathbf{Y} is totally isomorphic to a retract of a field C_μ .

It must be remarked that theorems analogous to 7.1-3 hold also for σ -fields. In order to obtain these theorems we must only replace the words: "field", "quotient algebra", "the property (P)" by the words: " σ -field", " σ -quotient algebra", "the property (P_σ) ", etc. Instead of $C_\mu = \mathbf{K}(\mathfrak{C}_\mu)$ we must consider the σ -field $\mathbf{K}_\sigma(\mathfrak{C}_\mu)$. It follows from a theorem of Sierpiński¹⁸⁾ that every class $L \subset \mathbf{K}_\sigma(\mathfrak{C}_\mu)$ of mutually disjoint sets is of potency $\leq 2^{\aleph_0}$. Consequently, if a σ -field \mathbf{Y} has the property (P_σ) every class $\mathbf{K} \subset \mathbf{Y}$ of mutually disjoint sets is of potency $\leq 2^{\aleph_0}$ (see the analogous theorem 4.4).

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