

## THE LATTICE OF LINEAR CLASSES IN PRIME-VALUED LOGICS

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### 1. Introduction

In this paper we study the superposition of certain linear functions. The complete lattice of closed classes for 2-valued logics was given by E. Post in 1921 ([8], [6]). Several results about closed and maximal sets in  $P_k$  for  $k \geq 3$  were given in a paper of Jablonskiĭ in 1958 [5]. All maximal sets in  $P_3$  were determined by Jablonskiĭ in 1953 [5]. According to a result of Janov and Mučnik [7], in  $k$ -valued logics, for  $k \geq 3$ , there are both closed subsets infinitely generated and a continuum of closed subsets, unlike the case  $k = 2$ . Consequently, Post's method of determining all closed subsets in  $P_k$  cannot be successful for  $k \geq 3$ .

Still we think that in spite of these principal difficulties the structure of  $P_k$  is "almost completely" describable. In our opinion, the whole structure—except some sublattices of cardinality continuum which are well separated in the complete lattice—can be described. This is in accordance with a result of Salomaa ([12], Theorem 8) stating within a "large enough" (but not sequentially infinite) distance from the identity  $P_k$  there are only countably many elements of the lattice.

*The method of Post* consists of the following steps:

- (1) determine a base set  $B$  of the closed set  $P$ ,
- (2) determine maximal sets  $P'$  in  $P$ ,
- (3) prove that all maximal sets are given in step 2.

Ivo Rosenberg presented all maximal sets in  $P_k$ ,  $k \geq 3$ , by a sieve method in relation terminology in 1965 [10]. Infinitely generated maximal sets contained only in finitely generated closed sets were constructed by Salomaa at 1964, [11], and also some maximal classes in  $L(k)$  with the proof that  $L(p)$ 's have only a finite number of closed subsets, where  $p$  is a prime number.

This is the state of  $k$ -valued logics in brief. We rediscovered the results of Salomaa about  $L(p)$  (as we had not known about it) [1]. Moreover, our paper contains

(a) The complete lattice of closed linear classes in  $L(p)$ , and therefore the exact (finite) number of these classes;

(b) All bases with a minimal number of elements and the rank of each linear class;

(c) The lengths of the maximal and minimal chains of the lattice.

In preprint [2] we deal with a (regular) language-representation of linear classes. A forthcoming paper presents the corresponding complete lattice for a generalized case where the number  $k$  is square free [3].

For integer  $k \geq 2$ , let  $V_0 = \{0, 1, \dots, k-1\}$ ,  $V = V_0 \setminus \{0\}$ ,  $P_k^{(n)} = \{f \mid f(x_1, \dots, x_n): V_0^n \rightarrow V_0\}$ ,  $n = 1, 2, \dots$ , and let  $P_k = \bigcup_{n=0}^{\infty} P_k^{(n)}$ , where  $P_k^{(0)}$  is the set of constant functions. In this paper addition “+” and multiplication “ $\cdot$ ” are carried out modulo  $k$ . The main purpose of this paper is to investigate the set of linear functions (= linear polynomial functions) over the ring  $R_0 = \langle V_0, +, \cdot \rangle$ . This set is denoted by  $L(k)$ . It is known that for a commutative ring  $R$  with identity, which is not a field, there are functions  $f \in P_k$  that are not  $R$ -polynomials, but for a field  $R$  each element of  $P_k$  is an  $R$ -polynomial function [9]. It is also known [5] that  $L(k)$  is maximal in  $P_k$  if and only if  $k = p$  is prime. We shall also use the fact that  $a^{p-1} = 1 \pmod{p}$  by the Fermat principle, and therefore the value  $x = a^{p-2}$  is a solution of the equation  $ax = 1 \pmod{p}$ .

Let

$$\tilde{x} = (x_1, \dots, x_n), \quad E(\tilde{x}) = \{e \mid e = e_j(\tilde{x}) = x_j, 1 \leq j \leq n\}.$$

*Superpositions* over the set  $P \subseteq P_k$  are functions obtained from  $P$  by using the operation  $f(x_1, \dots, x_n) \square_i g(y_1, \dots, y_m) = f(x_1, \dots, x_{i-1}, g(y_1, \dots, y_m), x_{i+1}, \dots, x_n)$  with  $f \in P$ ,  $g \in P \cup E(\tilde{x})$  a finite number of times.

The *closure*  $[P]$  of a subset  $P \subseteq P_k$  is the set of all superpositions over  $P$ .

A set  $P \subseteq P_k$  is said to be a *closed set* if  $[P] = P$ . Let  $P \subseteq P_k$  be a closed set,  $P', P'' \subseteq P$ . The set  $P'$  is *complete* in  $P$  if  $[P'] = P$ . The set  $P'$  is a *base* in  $P$  if  $[P'] = P$  and  $[P''] \neq P$  for  $P' \setminus P'' \neq \emptyset$ ,  $P'' \subseteq P'$ .

The closed set  $P'$  is *maximal* (= *precomplete*) in  $P$  if for every  $P'' \neq P'$ ,  $P' \subset P'' \subseteq P$ , the equality  $[P''] = P$  holds. It can be checked that the following sets are closed subsets of linear functions (with the notation:  $a_0 \in V_0$ ,  $a_i \in V$  for  $i \geq 1$ ,

$$\sum_{i=1}^n a_i = a, f(\tilde{x}) = a_0 + a_1 x_1 + \dots + a_n x_n):$$

$$L(k) = \{f(\tilde{x}) \mid n = 1, 2, \dots\} \cup P_k^{(0)},$$

$$L_d = \{f(\tilde{x}) \mid a = 1, n = 1, 2, \dots\},$$

$$L_\alpha = \{f(\tilde{x}) \mid f(\alpha, \alpha, \dots, \alpha) = \alpha, \quad n = 1, 2, \dots\} \cup \{\alpha\}, \quad \alpha = 0, 1, \dots, k-1,$$

$$L^{(1)} = \{a_0 + a_1 x_1\} \cup P_k^{(0)},$$

$$L^{(0)} = P_k^{(0)},$$

$$L^{(1)} \setminus L^{(0)} = \{a_0 + a_1 x_1\},$$

$$L_{d\alpha} = L_d \cap L_\alpha = L_{d0},$$

$$L_d^{(1)} = L_d \cap L^{(1)} = \{x, x+1, \dots, x+k-1\},$$

$$L_\alpha^{(1)} = L_\alpha \cap L^{(1)} = \{a_0 + a_1 x_1 \mid a_0 = \alpha(1-a_1)\} \cup \{\alpha\}; \quad \alpha = 0, 1, \dots, k-1,$$

$$L_\alpha^{(1)} \setminus \{\alpha\} = L_\alpha \cap (L^{(1)} \setminus L^{(0)}); \quad \alpha = 0, 1, \dots, k-1,$$

$$L_\alpha^{(0)} = L_\alpha \cap L^{(0)} = \{\alpha\}; \quad \alpha = 0, 1, \dots, k-1,$$

$$L_\alpha^{(1)} \cup L^{(0)}, \quad \alpha = 0, 1, \dots, k-1.$$

*Remarks.* (1)  $L^{(n)}$  is not a closed subset of  $L(k)$  for  $n \geq 2$ .

(2) The closedness of the subsets  $L_{d\alpha}$ ,  $L_d^{(1)}$ ,  $L_\alpha^{(1)}$ ,  $L_\alpha^{(1)} \setminus \{\alpha\}$ ,  $L_\alpha^{(0)}$  is a consequence of the fact that the lattice of subalgebras of an algebra is also closed under the (set-theoretical) intersection “ $\cap$ ”.

It is a well-known theorem in algebra that every partially ordered set  $H$  having both  $\sup(h_1, h_2)$  and  $\inf(h_1, h_2)$  for all elements  $h_1, h_2 \in H$  constitutes a lattice.

Let  $\mathcal{L}$  denote the class of closed subsets of  $L(k)$ . Because of the fact that in the set  $\mathcal{L}$  with partial ordering there are elements  $\sup(L', L'')$  and  $\inf(L', L'')$  for every  $L', L'' \in \mathcal{L}$ , we infer that  $\langle \mathcal{L} \cup \emptyset, \subseteq \rangle$  is a lattice.

**THEOREM 1.** *If  $k = p$  is a prime number, then  $\langle \mathcal{L} \cup \emptyset, \subseteq \rangle$  is a finite lattice with the identity  $L(p)$  and zero element  $\emptyset$  (empty set).*

To prove this statement, we shall present the exact finite cardinal number  $|\mathcal{L}|$  in Theorem 15.

The lattice  $\langle \mathcal{L} \cup \emptyset, \subseteq \rangle$  for  $p = 2$  is given in Fig. 1. (This is a sublattice of the Post lattice.)

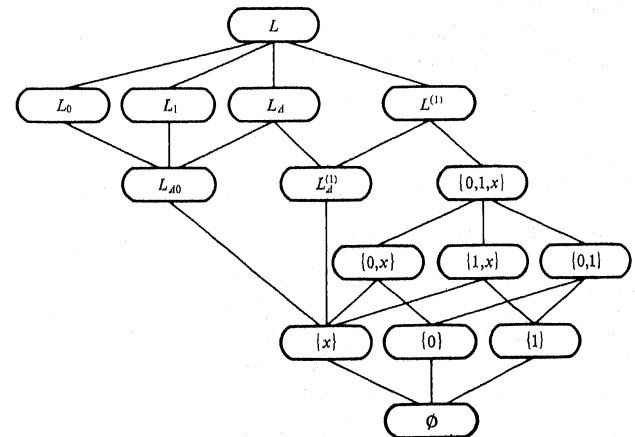


Fig. 1

We may assume further that  $k = p \geq 3$  (prime number). The next four lemmas are useful. The proofs are omitted, except in Lemma 3.

LEMMA 1. For elements of  $L^{(1)}$  we have:

- (a)  $a_0 + x \in L_\alpha^{(1)}$  if and only if  $a_0 = 0$ , for  $\alpha = 0, 1, \dots, p-1$ ;
- (b)  $a_0 + ax \in L_\alpha^{(1)}$ ,  $a > 1$  if and only if  $a_0 = \alpha(1-a)$  for  $\alpha = 0, 1, \dots, p-1$ ;
- (c)  $a_0 \in L_\alpha^{(1)}$  if and only if  $a_0 = \alpha$ . ■

LEMMA 2. Let  $L'$  be one of the sets  $L_A$ ,  $L_\alpha$ ,  $L^{(1)} \setminus L^{(0)}$ ,  $L_D^{(1)}$ ,  $L_\alpha^{(1)}$ , and  $f \notin L'$ ,  $g \in L'$ . Then  $g \square f \notin L'$ . ■

LEMMA 3. Let  $f(x_1, x_2) = a_0 + a_1 x_1 + a_2 x_2$ ,  $a_2^h = 1$ ,  $h \geq 2$ ,  $a_2^1 > 1$ , if  $1 \leq j < h$ . Then the functions

$$f_0(x_1, x_2, x_3) = a_{0h} + a_1 x_1 + a_{1h} x_2 + x_3$$

and

$$g_0(x_1, x_2, x_3) = b_{0h} + b_{1h} x_1 + x_2 + x_3$$

are contained in  $[\{f(x_1, x_2)\}]$  with

$$T_h = 1 + a_2 + \dots + a_2^{h-1}, \quad a_{0h} = a_0 T_h, \quad a_{1h} = a_1(T_h - 1),$$

$$b_{0h} = a_0 a_1^{p-2} T_h, \quad b_{1h} = T_h - 1.$$

Proof. With the notation

$$f_1(x_1, x_2) = f(x_1, x_2), \quad f_{m+1}(x_1, x_2) = f(x_1, f_m(x_1, x_2)), \quad m \geq 1,$$

$$f_0^{h+1}(x_1, x_2, x_3) = f_0(x_1, x_2, f_h^0(x_1, x_2, x_3))$$

the functions  $f_0(x_1, x_2, x_3) = f(x_1, f_{h-1}(x_2, x_3))$  and  $g_0(x_1, x_2, x_3) = f_0^{h_0}(x_2, x_1, x_3)$ ,  $n_0 = a_1^{p-2}$ , are obtained. ■

LEMMA 4.  $[\{a_0 + x\}] = L_D^{(1)}$  if and only if  $a_0 \neq 0$ .

From the definitions and Lemma 1 we have  $L(k) = L_A = L_D \cup \bigcup_{\alpha=0}^{k-1} L_\alpha$ . ■

## 2. Bases, maximal sets in $L(p)$ , and bases of those maximal sets

It is a well-known fact that the set  $\{x+1, x+y\}$  is a base of  $L(k)$  for every  $k \geq 2$ . In the next theorem we give all bases of  $L(p)$  having a function from  $L^{(2)}$  and a function from  $L^{(0)}$ .

THEOREM 2. The following sets are bases in  $L(p)$  (with the notation  $f = f(x_1, x_2) = a_0 + a_1 x_1 + a_2 x_2$ ):

- (a)  $\{f, b_0, c_0\}$ ,  $a = 1, a_0 = 0, b_0 \neq c_0$ ;
- (b)  $\{f, b_0\}$ ,  $a = 1, a_0 \neq 0$ ;
- (c)  $\{f, b_0\}$ ,  $a \neq 1, b_0 \neq (p-a_0)(a-1)^{p-2}$ .

Proof. We shall generate the base  $\{x+1, x+y\}$ .

(a)–(b): If  $a = 1$ , then  $a_1 > 1$ ,  $a_2 > 1$ . Moreover,

$$\{x_1 + x_2 + (p-1)x_3, a_1 x_1 + (p-a_1)x_2 + x_3\} \subseteq [\{f\}]$$

by Lemma 3. The function  $x+1$  is obtained from  $a_1 b_0 + (p-a_1)c_0 + x_3 = a_1(b_0 - c_0) + x_3$  in case (a) and from  $x+a_0$  in case (b) by Lemma 4. We have the function  $x_1 + x_2 + (p-1)b_0 = x_1 + x_2 + p - b_0$  and hence also the function  $x_1 + x_2$ .

(c): If  $a_1 = 1$  or  $a_2 = 1$ , for example  $a_1 = 1$ , then  $a_0 + x_1 + a_2 b_0 = a'_0 + x_1$ , with  $a'_0 = a_0 + a_2 b_0 \neq a_0 + a_2(p-a_0)(a_1 + a_2 - 1)^{p-2} = a_0 + p - a_0 = 0$ , therefore  $x+1 \in [\{a'_0 + x\}]$  by Lemma 4.

If  $a_1 \geq 2$  and  $a_2 \geq 2$ , then  $a'_0 + a'_1 x + y \in [\{f\}]$  holds with  $a'_1 \neq 0$  by Lemma 3. According to Lemma 1,  $f \in L_\alpha$  for  $\alpha = (p-a_0)(a-1)^{p-2}$ , and this fact implies  $a'_0 + a'_1 x + y \in L_\alpha$ , therefore  $a'_0 = \alpha(1-(a'_1+1)) = \alpha(p-a'_1) \neq 0$  if  $a_0 \neq 0$  as in the previous case. If  $a_0 = 0$ , then  $a'_0 = 0$ ,  $b_0 \neq (p-a_0)(a-1)^{p-2} = 0$ ; hence  $[\{a'_1 b_0 + y\}] \ni x+1$  by Lemma 4. In both cases the function  $x+y$  is obtained as at the points (a)–(b). To complete the proof, we must check the minimality of the sets in question. But it can be seen that

(1)  $L \setminus L^{(1)} = \emptyset$  implies  $[L] \neq L(k)$ ;

(2)  $[\{x+y\}] \not\ni x+1$ ;

(3)  $[\{a_1 x_1 + (1-a_1)x_2, b\}] \cap L^{(0)} = \{b\} \neq L^{(0)}$ ;

(4)  $[\{a_0 + a_1 x_1 + (1-a_1)x_2\}] \subseteq L_A \neq L(p)$ ;

(5)  $[\{a_0 + a_1 x_1 + a_2 x_2\}] \subseteq L_\alpha \neq L(p)$ ,  $\alpha = (p-a_0)(a-1)^{p-2}$  if  $a \neq 1$ . ■

COROLLARY.  $[\{f(\tilde{x}), g_1(y), g_2(z)\}] = L(p)$  for all  $f(\tilde{x}) \in L \setminus L^{(1)}$ ,  $g_1(y), g_2(z) \in L^{(0)}$ .

The maximal classes in  $L(p)$  are presented in the following theorem.

THEOREM 3. (a) The classes  $L_\alpha$  are maximal in  $L(p)$ ,  $\alpha = 0, 1, \dots, p-1$ .

(b) The class  $L_A$  is maximal in  $L(p)$ .

(c) The class  $L^1$  is maximal in  $L(p)$ .

Proof. It can be seen that the classes given in Theorem 3 are not complete in  $L(p)$ . Let us denote by  $L'$  one of the sets  $L_\alpha$ ,  $L_A$ ,  $L^{(1)}$ ,  $h \in L \setminus L'$  and  $\bar{h}(x) = h(x, x, \dots, x)$ .

In order to prove the theorem we shall generate over the set  $\{h(\tilde{x})\} \cup L'$  a set  $\{f(\tilde{x}), g_1(y), g_2(z)\}$  appearing in Corollary of Theorem 2.

(a)  $f(\tilde{x}) = x_1 + x_2 + (p-\alpha) \in L_\alpha$ ,  $g_1(y) = \alpha \in L_\alpha$ ,  $g_2(z) = \bar{h}(x) \in L^{(0)}$ ,  $\bar{h}(x) \neq \alpha$ .

(b) If  $\bar{h}(x) \in L^{(0)}$ , then the functions  $\bar{h}(y) = g_1(y)$ ,  $g_2(z) = \bar{h}(z) + 1$  ( $x+1 \in L_A$ ),  $f(\tilde{x}) = x_1 + (p-1)x_2 \in L_A$  constitute a suitable set.

Let us suppose that  $\bar{h}(x) = d_0 + dx \notin L^{(0)}$ ; therefore  $d > 1$ . Then  $f(\tilde{x}) \in L_A \setminus L_D^{(1)}$  holds for the function  $f(\tilde{x}) = e_1 x_1 + e_2 x_2$  with  $e_1 = (d-1)^{p-2} + 1$ ,  $e_2 = p - e_1 + 1 = (1-d)^{p-2}$ , hence the functions

$$\begin{aligned} f(x, h(x)) &= e_1 x + e_2 h(x) = e_2 d_0 + (e_1 + e_2 d) x \\ &= e_2 d_0 + (1 + (d-1)e_2) x = e_2 d_0 = g_1(x) \in L^{(0)}, \\ g_2(x) &= g_1(x) + 1 \end{aligned}$$

are obtained.

$$(c) f(\tilde{x}) = h(\tilde{x}), g_1(x) = 0, g_2(x) = 1. \blacksquare$$

To prove that all the maximal sets in  $L(p)$  are given in Theorem 3, we need the bases of those maximal sets. A base with one element is the simplest one.

**THEOREM 4.** (1) *The set of base functions (bases with one element) in the set  $L_{\alpha}$  is  $L_{\alpha} \setminus (L_d \cup L^{(1)})$ .*

$$(2) \text{ The set of base functions in the set } L_d \text{ is } L_d \setminus (L_{d0} \cup L^{(1)}).$$

$$(3) \text{ The set of base functions in the set } L_{d0} \text{ is } L_{d0} \setminus L^{(1)}.$$

*Proof.* The necessity of the conditions is clear.

(1): We shall first prove that  $f(\tilde{x}) = x_1 + x_2 + (p-\alpha)$  is a base function and second that for an arbitrary function  $\tilde{y}g() \in L_{\alpha} \setminus (L_d \cup L^{(1)})$  we have  $f(\tilde{x}) \in [\{\tilde{y}g()\}]$ . With the notations  $f_1 = f(\tilde{x}), f_{m+1} = f(x_1, f_m), m = 1, 2, \dots$ , functions  $f_m(x_1, x_2, \dots, x_{m+1}) = x_1 + x_2 + \dots + x_{m+1} + (p-m)\alpha$  are generated. To generate an arbitrary function  $g(\tilde{y}) = a_1 y_1 + \dots + a_n y_n + \alpha(1-a)$  for  $n \geq 1$ , we must choose  $m = a_1 + a_2 + \dots + a_n - 1 \geq 1$ ,  $y_1 = x_1 = x_2 = \dots = x_{a_1}$ ,  $y_2 = y_{a_1+1} = y_{a_1+2} = \dots = y_{a_1+a_2} = \dots, y_n = y_{a_1+\dots+a_{n-1}+1} = \dots = y_n$  in  $f_m(x_1, \dots, x_{m+1})$ :  $f(y_1, \dots, y_1, y_2, \dots, y_n) = g(\tilde{y})$ . The function  $g_0(\tilde{x}) = \alpha$  is obtained from the function  $f_{p-1}(x_1, \dots, x_p) = x_1 + \dots + x_p + \alpha$  by identifying the variables:  $g_0(\tilde{x}) = f_{p-1}(x, \dots, x)$ . Let  $g(\tilde{y}) = a_0 + a_1 y_1 + \dots + a_n y_n \in L_{\alpha} \setminus (L_d \cup L^{(1)})$  (therefore  $a_0 = \alpha(1-a), a \neq 1, n \geq 2$ ). The function  $g_0(x_1, x_2, x_3) = b_0 + b_0 x_1 + x_2 + x_3$  can be obtained by Lemma 3. Therefore we have the function  $f(\tilde{x}) = x_1 + x_2 + (p-\alpha) = g_{m_0}(x_1, x_2)$  from the following construction:

$$g_1(x_1, x_2) = g_0(x_1, x_2, x_1) = b_0 + (b_1 + 1)x_1 + x_2,$$

$$g_m(x_1, x_2) = g(x_1, g_{m-1}(x_1, x_2)) = mb_0 + m(b_1 + 1)x_1 + x_2, \quad m \geq 2,$$

with  $b_0 = (b_1 + 1)^{p-2}$ .

(2)-(3): Cases (2) and (3) can be considered together because of the fact that  $L_{d0}$  is a closed set and  $[L_{d0} \cup \{h(x, \dots, x)\}] = L_d$  if and only if  $h(\tilde{x}) \in L_d \setminus L_{d0}$ . A method similar to that used in part (1) can be used to prove that  $f(x, y, z) = x + y + (p-1)z + c_0$  is a base function in  $L_d$  if  $c_0 \neq 0$  (in  $L_{d0}$  if  $c_0 = 0$ ) and, moreover, that

$$f(x, y, z) \in [\{g(\tilde{x})\}] \quad \text{if} \quad g(\tilde{x}) \in L_d \setminus (L_{d0} \cup L^{(1)})$$

(in case  $c_0 = 0$ : if  $g(\tilde{x}) \in L_{d0} \setminus L^{(1)}$ ).  $\blacksquare$

We can see by Theorem 4 that almost all the elements of  $L_{\alpha} (L_d, L_{d0})$  constitute a base. In order to investigate the bases of  $L^{(1)}$  and  $L^{(1)} \setminus L^{(0)}$  we shall need some properties of the structure defined by multiplication " $\cdot$ " mod  $p$  over the set  $V$ . It is well

known that the set  $V$  constitutes a cyclic group having  $\varphi(p-1)$  one-element bases,  $\varphi(x)$  denoting Euler's  $\varphi$ -function.

We shall mean by the *multiplicative order* of  $a \in V$  the least integer  $r(a) = r \geq 1$  for which  $a^r = 1$  holds. If  $p-1$  is divisible by  $m$ , then  $V$  has  $\varphi(m)$  elements with order  $m$ . Let  $c_0 \in L^{(0)}$ ,  $a_{i0} + a_i x \in L^{(1)} \setminus L^{(0)}$ ,  $i \geq 1$ ,  $r(a_i) = r_i$ . Let us denote by l.c.m.  $\{r_1, r_2, \dots\}$  the least common multiple of the numbers  $r_1, r_2, \dots$

**THEOREM 5.** (A) *The following statements are all equivalent:*

$$(1) B = \{a_{i0} + a_i x, a_{20} + a_2 x, \dots, a_{s0} + a_s x\} \text{ is a basis of } L^{(1)} \setminus L^{(0)}.$$

$$(2) B_0 = \{c_0\} \cup B \text{ is a basis of } L^{(1)}.$$

$$(3) \text{ The following three statements hold true for the elements of } B:$$

$$(a) \text{ l.c.m. } \{r_1, \dots, r_s\} = p-1,$$

$$(b) B \setminus L_{\alpha}^1 \neq \emptyset, \alpha = 0, 1, \dots, p-1,$$

$$(c) \text{ statements (a) and (b) do not hold simultaneously for any non-trivial subset of } B.$$

(B) *The cardinality of the bases of  $L^{(1)}$  and of  $L^{(1)} \setminus L^{(0)}$  is  $\geq 3$  and  $\geq 2$ , respectively.*

*Proof.* (A). (1)  $\Rightarrow$  (2): As a consequence of  $[B] = L^{(1)} \setminus L^{(0)} \ni x+1$  we have  $(L^{(1)} \ni) [B_0] \ni [B] \cup \{x+1, c_0\} = (L^{(1)} \setminus L^{(0)}) \cup (L^{(0)} \cup L_d^{(1)}) = L^{(1)}$ .

(2)  $\Rightarrow$  (3): Let  $a \in V$ ,  $r(a) = p-1$ , and consider a function  $a_0 + ax \in L^{(1)}$ . As a consequence of  $a_0 + ax \in [B] \subseteq [B_0]$ ,  $r(a)$  will be a divisor of l.c.m.  $\{r_1, r_2, \dots, r_s\}$ . As  $(b_0 + bx) \square (c_0 + cx) = (b_0 + bc) + (bc)x$ , and  $b, c$  belong to the multiplicative group mod  $p$  over  $V$ , we have by a well-known group theory method

$$r(bc) = \text{l.c.m. } \{r(b), r(c)\}.$$

Thus, if  $a = \gamma_1 \gamma_2 \dots \gamma_u, \gamma_{j0} + \gamma_j x \in B, j = 1, 2, \dots, u$ , then  $r(\gamma_j) \in \{r_1, \dots, r_s\}$ , and so  $r(a) = \text{l.c.m. } \{r(\gamma_1), \dots, r(\gamma_u)\}$  is indeed a divisor of l.c.m.  $\{r_1, \dots, r_s\}$ . Finally, according to the theorem of Lagrange,  $r_1, \dots, r_s$  are divisors of  $p-1$  and thus so is the l.c.m.  $\{r_1, \dots, r_s\}$ , which implies (3a).

If statement (b) were not fulfilled, that is if  $B \subseteq L_{\alpha}^{(1)} \setminus \{\alpha\}$  did not hold for any  $\alpha$ , it would result in  $x+1 \in L^{(1)} \setminus L^{(0)} = [B] \subseteq [L_{\alpha}^{(1)} \setminus \{\alpha\}] \subseteq L_{\alpha}^{(1)}$ , contradicting Lemma 1. Statement (c) is a consequence of the fact that the set  $B$  is a basis.

(3)  $\Rightarrow$  (1): Suppose that (3a) and (3b) are valid. Let  $a = a_1 a_2 \dots a_s$  and let us compose the function  $a_0 + ax \in [B]$  from the elements of  $B$ . It remains to prove that the function  $x+1$  can also be constructed, since some composition of any function  $A_0 + Ax \in L^{(1)} \setminus L^{(0)}$  can be obtained in the following way:  $(x+p-a_0) \square (ax+a_0) = ax, A_0 + Ax \in [\{x+1, ax\}]$ ,  $u$  being a number satisfying the equation  $a^u = A$ . If  $a_i = 1$  (and thus, by statement (c),  $a_{i0} \neq 0$ ), for any  $t$  with  $1 \leq t \leq s$ , then it is easy to see that  $x+1 \in [B]$ . If  $a_i \geq 2$  for all  $t$ , then, according to Lemma 1, there is exactly one value of  $\alpha$ , namely  $\alpha = (p-1)a_0(a-1)^{p-2}$  fulfilling  $a_0 + ax \in L_{\alpha}^{(1)}$ . Thus choosing  $j$  so as to satisfy  $a_i a^j = 1$  for the function  $a_{i0} + a_i x \in B \setminus L_{\alpha}^{(1)}$ , we shall have  $b_0 + x \in [\{a_{i0} + a_i x, a_0 + ay\}]$  with  $b_0 + x \notin L_{\alpha}^{(1)}$ , as a consequence of Lemma 2.

In this case, using Lemma 4 again, we get  $b_0 \neq 0$  and thus  $x+1 \in \{b_0+x\}$ . So we have proved the completeness of the set  $B$ .

The fact that  $B$  is a minimal set and thus a basis follows from statement (3c). ■

To conclude this section we shall prove that no other maximal subsets are contained in  $L$  than the  $p+2$  ones described before.

**THEOREM 6.** Every non-trivial subset of  $L$  in  $\mathcal{L}$  is contained in at least one of the subsets  $L_0, L_1, \dots, L_{p-1}, L_d, L^{(1)}$ .

*Proof.* If we take for an indirect proposition a subset  $(L \neq) P \in \mathcal{L}$  not contained in any of the maximal sets specified in the statement of the theorem, it will consequently contain at least one function of each of the following types:

$$c_{\alpha 0} \neq \alpha \quad \text{or} \quad c_{\alpha 0} + c_{\alpha} x, \quad c_{\alpha 0} \neq \alpha(1 - c_{\alpha}), \quad \alpha = 0, 1, \dots, p-1,$$

$$c_{p0} \quad \text{or} \quad c_{p0} + c_p x, \quad c_p \neq 1,$$

$$\tilde{c} = c_{p+1,0} + c_{p+1,1}x_1 + \dots + c_{p+1,n}x_n, \quad n \geq 2.$$

Let

$$c_{p+1,0} + c_{p+1,1}x + \dots + c_{p+1,n}x = c_{p+1,0} + c_{p+1}x.$$

We shall distinguish three cases; in each of them we shall generate some of the maximal classes, which it will be complete together with an element of  $P$  chosen arbitrarily.

*Case 1.*  $c_{p+1} = 1, c_{p+1,0} = 0$ . According to Lemma 1 we have

$$\tilde{c} \in (L_d \setminus \bigcup_{\alpha=0}^{p-1} L_{\alpha}) \setminus L^{(1)}.$$

If  $c_0 = 1$ , then by Theorem 4 and Lemma 2 we have  $\{c_{00} + c_0 x, \tilde{c}\} = L_d$  and thus  $\{c_{00} + c_0 x, \tilde{c}, c_{p0} + c_p x\} = L$ . If  $c_0 > 1$ , let  $a_2 = (p-1)(c_0-1)^{p-2}$ ,  $a_1 = p - a_2 + 1$  and so  $a_1 x_1 + a_2 x_2 \in L_{d0}$ , and we have  $\{\tilde{c}\} = L_{d0}$  by Theorem 4. Therefore  $\{a_1 x_1 + a_2 x_2, c_{00} + c_0 x\} \ni c'_0 = a_2 c_{00}$  and  $x_1 + x_2 + (p-1)x_3 \in L_{d0}$  and thus  $x_1 + x_2 + (p-1)c'_0 \in L_{c'_1}$ . Using Theorems 3 and 4, we get  $\{x_1 + x_2 + (p-1)x_3, c'_0\} \ni [L_{c'_0} \cup \{c_{c'_0 0}\}] = L$ . Finally, if the contained function is  $c_{00}$ , then  $L_{d0}$  and  $L_{c'_0}$  can be obtained in the same way that as for  $c_0 > 1$ .

*Case 2.*  $c_{p+1} = 1, c_{p+1,0} \neq 0$ . By Theorem 4 we have  $\{\tilde{c}\} = L$ , and thus  $\tilde{c}$  together with the function of type  $p$  constitutes a complete system.

*Case 3.*  $c_{p+1} \neq 1$ . There is (by Lemma 1) exactly one  $\alpha_0$  with  $\tilde{c} \in L_{\alpha_0} \setminus (L_d \cup L^{(1)})$ , and so, by Theorem 4,  $\{\tilde{c}\} = L_{\alpha_0}$  holds. As  $L_{\alpha_0}$  is a maximal set, we have a complete system  $\{\tilde{c}, \tilde{d}\}$ ,  $\tilde{d}$  being a function of type  $\alpha_0$ .

### 3. The maximal subclasses of

$L_0, L_1, \dots, L_{p-1}, L_d, L^{(1)}$  and their bases

The intersection of any two classes is a subclass of both of them but not always a maximal one. We have seen that  $L_{\alpha d} = L_{d0}$ ; from Lemma 1 we can also deduce that  $L_{\alpha \beta} = L_{d0}$  if  $\alpha \neq \beta$ .

**THEOREM 7.** (1)  $L_{d0}$  is maximal in each of the classes  $L_0, L_1, \dots, L_{p-1}$ .

(2) For all  $\alpha \in V_0$ ,  $L_{\alpha}^{(1)}$  is maximal in the class  $L_{\alpha}$ .

(3) There is no other maximal class in  $L_{\alpha}$  for any  $\alpha \in V_0$  than  $L_{\alpha}^{(1)}$  and  $L_{d0}$ .

*Proof.* (1): With  $\alpha \in V_0$  fixed, let  $c_0 + c_1 x_1 + \dots + c_n x_n \in L_{\alpha} \setminus L_{d0}$ . Let us compose the function  $c_0 + c'x = c_0 + c_1 x + \dots + c_n x$ . Since, by our assumption,  $c' \neq 1$ , we have  $c_0 = (1 - c')\alpha$ . In the case  $c' = 0$  from the function  $x_1 + x_2 + (p-1)x_3 \in L_{d0}$  we get  $(p - \alpha) + x_1 + x_2$ , which we know by Theorem 4 to be a basis of the class  $L_{\alpha}$ .

In the case of  $c_0 = \alpha(1 - c')$ ,  $c' > 1$ , let  $a_2 = (p-1)(c'-1)^{p-2}$ ,  $a_1 = p - a_2 + 1$  ( $\neq 0$  with  $c' \neq 0$ ; hence  $a_2 \neq 1$ ). As

$$\begin{aligned} (a_1 x_1 + a_2 x_2) + (c_0 + c'x_1) &= a_2 c_0 + (a_1 + a_2 c')x_1 \\ &= \alpha + (p - a_2 + 1 + a_2 c')x_1 = \alpha + (1 + a_2(c' - 1))x_1 =: \alpha', \end{aligned}$$

the problem has been reduced to the previous case, i.e. to the case of  $c_0 = \alpha$ .

(2): Let  $\tilde{c} \in L_{\alpha} \setminus L_{\alpha}^{(1)}$ . If  $\tilde{c} \in L_{\alpha d} \setminus L_{\alpha}^{(1)} = L_{d0} \setminus L_{\alpha}^{(1)}$ , then by Theorem 4 we have  $\{\tilde{c}\} = L_{d0}$  and,  $L_{d0}$  being maximal in the class  $L_{\alpha}$  by (1), using  $2x + p - \alpha \in L_{\alpha}^{(1)} \setminus L_{d0}$ , we get  $[L_{d0} \cup \{(p - \alpha) + 2x\}] = L_{\alpha}$ . On the other hand, if  $\tilde{c} \notin L_{d0} \setminus L_{\alpha}^{(1)}$ , we have  $\tilde{c} \in L_{\alpha} \setminus (L_d \cup L^{(1)})$  and thus by Theorem 4  $\{\tilde{c}\} = L_{\alpha}$  as well.

(3): Let  $P \subseteq L_{\alpha}$ ,  $P \in \mathcal{L}$  and  $P \setminus L_{d0} \neq \emptyset$ ,  $P \setminus L_{\alpha}^{(1)} \neq \emptyset$ . We are going to prove that  $P = L_{\alpha}$ . Indeed, on the one hand, if  $P' = (P \setminus L_{d0}) \cap (P \setminus L_{\alpha}^{(1)}) \neq \emptyset$ , then by Theorem 4 we have  $\{\tilde{c}\} = L_{\alpha}$  for any  $\tilde{c} \in P'$ ; on the other hand, if  $P' = \emptyset$ , we have  $\{\tilde{c}\} = L_{d0}$  for the function  $c \in P \cap (L_{d0} \setminus L_{\alpha}^{(1)})$ ; thus  $\{[\tilde{c}, \tilde{c}']\} = L_{\alpha}$  with  $\tilde{c}' \in P \cap (L_{\alpha}^{(1)} \setminus L_{d0})$ . ■

**THEOREM 8.** (1)  $L_{d0}$  and  $L^{(1)}$  are maximal classes in  $L_d$ .

(2) The class  $L_d$  has no other maximal classes than  $L_{d0}$  and  $L^{(1)}$ .

*Proof.* (1): Let  $\tilde{c} \in L_d \setminus L_{d0}$ , as  $\tilde{c}(x, \dots, x) = c_0 + cx$  with  $c = 1$ ,  $c_0 \neq 0$ , since, as we have seen  $\{c_0 + x\} = L^{(1)}$ , it is enough to prove  $L^{(1)}$  to be maximal, because, having been enlarged by the function  $2x + (p-1)y \in L_{d0}$  the set  $\{2x + (p-1)y\} \cup L_d^{(1)}$  will be complete in the class  $L_d$  if  $L_d^{(1)}$  is maximal.

Let  $\tilde{d} \in L_d \setminus L_d^{(1)}$ ,  $\tilde{d} = d_0 + d_1 x_1 + \dots + d_n x_n$ ; owing to  $n \geq 2$  and using the fact that  $1 - d_0 + x \in L_d^{(1)}$ , we get  $((1 - d_0) + x) \square \tilde{d} = 1 + d_1 x_1 + \dots + d_n x_n$  which by Theorem 4 is a basis of the class  $L_d$ .

(2): Let  $P \subseteq L_d$ ,  $P \in \mathcal{L}$  satisfy  $P \setminus L_{d0} \neq \emptyset$ ,  $P \setminus L_d^{(1)} \neq \emptyset$ . We shall prove  $P = L_d$  in this case. Let  $\tilde{c} \in P \setminus L_{d0}$ ,  $\tilde{d} \in P \setminus L_d^{(1)}$ , i.e. let the functions  $c_0 + cx$  and  $\tilde{d}$  satisfy  $c = 1$ ,  $c_0 \neq 0$ ,  $d = 1$ ,  $n \geq 2$ . As  $\{c_0 + cx\} = L_d^{(1)}$  is a maximal class, we have  $[P] \supseteq [L_d^{(1)} \cup \{\tilde{d}\}] = L_d$ , i.e.  $P = L_d$ . ■

We are now going to investigate the maximal classes of  $L^{(1)}$  and  $L^{(1)} \setminus L^{(0)}$  together, as in determining the bases in Theorem 5. One can easily check that  $L^{(1)} \setminus L^{(0)}$  is a (non-commutative) group of order  $p(p-1)$  with respect to the superposition. Let the number  $p-1$  have the decomposition to powers of primes  $p-1 = q_1^{r_1} q_2^{r_2} \dots q_u^{r_u}$  with all  $q_1 = 2 < q_2 < \dots < q_u$  primes,  $r_i \geq 1$ ,  $p_i = (p-1)/q_i$  and  $L^{(1,u)} = \{a_0 + ax \mid r(a) (\geq 1) \text{ divides } p_i\}$ ,  $i = 1, 2, \dots, u$ .



**THEOREM 9.** (A) In the class  $L^{(1)} \setminus L^{(0)}$  the following  $p+u$  classes in  $\mathcal{L}$  are maximal:

- (1)  $L^{(1,i)}$ ,  $i = 1, 2, \dots, u$ ,
- (2)  $L_\alpha^{(1)} \setminus \{\alpha\}$ ,  $\alpha = 0, 1, \dots, p-1$ .

(B) In the class  $L^{(1)}$  the following  $p+u+1$  classes in  $\mathcal{L}$  are maximal:

- (1)  $L^{(1,i)} \cup L^{(0)}$ ,  $i = 1, 2, \dots, u$ ,
- (2)  $L_\alpha^{(1)} \cup L^{(0)}$ ,  $\alpha = 0, 1, \dots, p-1$ ,
- (3)  $L^{(1)} \setminus L^{(0)}$ .

(C) I. There are no more maximal classes of  $L^{(1)} \setminus L^{(0)}$  but those given in (A1), (A2).

II. There are no more maximal classes of  $L^{(1)}$  but those given in (B1)–(B3).

*Proof.* (A1): The closedness of  $L^{(1,i)}$  is a consequence of  $r(ab) = \text{l.c.m.}\{r(a), r(b)\}$  and thus,  $r(ab)$  being a divisor of  $p_i$  provided so are  $r(a)$  and  $r(b)$ . So  $L^{(1,i)}$  is a non-trivial subset of  $L^{(1)} \setminus L^{(0)}$  because  $r(a) = p-1$  implies  $a_0 + ax \notin L^{(1,i)}$ . So,  $L^{(1,i)}$  is maximal, because, according to the definition of  $L^{(1,i)}$ , if  $a_0 + ax \in (L^{(1)} \setminus L^{(0)}) \setminus L^{(1,i)}$ , then  $q_i^t$  divides  $r(a)$  and thus, by the use of a function  $b_0 + bx \in L^{(1,i)}$  satisfying  $r(b) = p_i$  assumption (3a) of Theorem 5 is satisfied for the set  $\{a_0 + ax, b_0 + bx\}$ . Assumption (3b) of the same theorem is fulfilled by the subset  $\{1+x\} \subset L^{(1,i)}$ .

(A2): Let  $a_0 + ax \in (L^{(1)} \setminus L^{(0)}) \setminus L_\alpha^{(1)}$ . Since, by definition  $\{a_0 + ax\} \setminus L_\alpha^{(1)}$  is non-void, the set  $(L_\alpha^{(1)} \setminus \{\alpha\}) \cup \{a_0 + ax\} = \{x, (p-\alpha)+2x, \dots, 2\alpha + (p-1)x, a_0 + ax\}$  fulfils assumptions (3a) and (3b) of Theorem 5.

(B1): It is a consequence of (A1) as  $L^{(1)} \setminus (L^{(1,i)} \cup L^{(0)}) = (L^{(1)} \setminus L^{(0)}) \setminus L^{(1,i)}$ . In a similar way we obtain (B2) from (A2) and the identity

$$L^{(1)} \setminus (L_\alpha^{(1)} \cup L^{(0)}) = (L^{(1)} \setminus L^{(0)}) \setminus (L_\alpha^{(1)} \setminus \{\alpha\}).$$

(B3): Let  $c_0 \in L^{(0)}$ . As  $L_\alpha^{(1)} \subset L^{(1)} \setminus L^{(0)}$ ,  $[\{c_0\} \cup L_\alpha^{(1)}] = L^{(0)} \cup L_\alpha^{(1)}$  implies  $[\{c_0\} \cup (L^{(1)} \setminus L^{(0)})]$ .

(CI): Suppose  $P \subseteq L^{(1)} \setminus L^{(0)}$ ,  $P \in \mathcal{L}$  and  $P \setminus L^{(1,i)} \neq \emptyset$ ,  $i = 1, 2, \dots, u$ ,  $P \setminus L_\alpha^{(1)} \neq \emptyset$ ,  $\alpha = 0, 1, \dots, p-1$ . Let  $a_{10} + a_1 x \in P \setminus L^{(1,i)}$ ,  $i = 1, 2, \dots, u$ , and  $b_{\alpha 0} + b_\alpha x \in P \setminus L_\alpha^{(1)}$ ,  $\alpha = 0, 1, \dots, p-1$ , i.e.  $b_{\alpha 0} = \alpha(1-b_\alpha)$ . So the set  $A = \{a_{10} + a_1 x, \dots, a_{u0} + a_u x_u\}$  will fulfil assumption (3a) of Theorem 5 and the set  $B = \{b_{00} + b_0 x_0, b_{10} + b_1 x_1, \dots, b_{p-1,0} + b_{p-1} x_{p-1}\}$  will fulfil (3b) of Theorem 5. Thus  $[A \cup B] = L^{(1)} \setminus L^{(0)}$ , and so  $P = L^{(1)} \setminus L^{(0)}$ .

(C II): The way we shall prove this statement is similar to that of (CI). We shall only use the class  $P'$  with  $P' \subseteq L^{(1)}$ ,  $P' \in \mathcal{L}$  satisfying  $P' \setminus L^{(0)} = P$ . As a consequence of the identities

$$\begin{aligned} P' \setminus (L_\alpha^{(1)} \cup L^{(0)}) &= (P' \setminus L^{(0)}) \setminus L_\alpha^{(1)}, \\ P' \setminus (L^{(1,i)} \cup L^{(0)}) &= (P' \setminus L^{(0)}) \setminus L^{(1,i)}, \end{aligned}$$

$P'$  contains the class  $L^{(1)} \setminus L^{(0)}$ ; hence by the assumption  $P' \setminus (L^{(1)} \setminus L^{(0)}) \neq \emptyset$  by (B3) we have  $P' = L^{(1)}$ . ■

Next we shall determine the bases of the maximal classes described in Theorems 7, 8 and 9.

**THEOREM 10.** (1) The bases of the class  $L_\alpha^{(1)}$  are elements of  $L_\alpha^{(1)} \setminus \{\alpha\}$ .

(2) The set of one-element bases of the class  $L_\alpha^{(1)} \setminus \{\alpha\}$  is  $\{a_0 + ax \mid a_0 = \alpha(1-a), r(a) = p-1\} = A$ .

(3) The minimal cardinality bases of the class  $L_\alpha^{(1)}$  have two elements;  $\{a(x), \alpha\}$  is a basis iff  $a(x) \in A$ .

(4) The minimal cardinality bases of the class  $L_\alpha^{(1)} \cup L^{(0)}$  have three elements;  $\{a(x), \alpha, \beta\}$  is a basis iff  $a(x) \in A$  and  $\beta \in L^{(0)} \setminus \{\alpha\}$ .

*Proof.* Statement (1) is equivalent to Lemma 4.

(2)–(3): As the set  $L_\alpha^{(1)} \setminus \{\alpha\} = \{a_0 + ax \mid a_0 = \alpha(1-a), a \in V\}$  has exactly  $p-1$  elements and  $|[b_0 + bx]| = r(b)$ ,  $\{a_0 + ax\}$  is a basis of the class  $L_\alpha^{(1)} \setminus \{\alpha\}$  if  $r(a) = p-1$ . This involves (3), also, for in order to generate  $\alpha$  we also need an element of  $L^{(0)}$ , and in  $L_\alpha^{(1)}$   $\alpha$  is the only such function.

(4): According to Lemma 2,  $\alpha \notin [L_\alpha^{(1)} \cup L^{(0)} \setminus \{\alpha\}]$  and so  $\alpha$  must belong to the basis considered. As both  $L_\alpha^{(1)} \setminus \{\alpha\}$  and  $L^{(0)} \setminus \{\alpha\}$  are closed with respect to the superposition, we shall need elements of both. However, one of each will suffice, for by (2) any of the elements of  $A$  generates the class  $L_\alpha^{(1)} \setminus \{\alpha\}$ , and  $[L_\alpha^{(1)} \cup \{\beta\}] = L_\alpha^{(1)} \cup \{\beta, 2\beta + (p-1)\alpha, 3\beta + (p-2)\alpha, \dots, (p-1)\beta + 2\alpha\} = L_\alpha^{(1)} \cup L^{(0)}$  also holds, since from the equality  $a_1\beta + (1-a_1)\alpha = a_2\beta + (1-a_2)\alpha$  we have  $(a_1 - a_2)(\beta - \alpha) = 0$ , which in the case of  $a_1 \neq a_2$  can hold only if  $\alpha = \beta$ . ■

*Remark.* The one-to-one correspondence between the  $\text{mod } p$  multiplicative group  $C_p$  and the group  $L_\alpha^{(1)} \setminus \{\alpha\}$  is:

$$C_p \ni c \leftrightarrow cx + \alpha(1-c) \in L_\alpha^{(1)} \setminus \{\alpha\}.$$

The next theorem with its proof is similar to Theorem 5; therefore we present it without proof. In this theorem  $L^{(1,i)} \cup L^{(0)}$ ,  $L^{(1,i)}$  and  $p_i$  are written instead of  $L^{(1)}$ ,  $L^{(1)} \setminus L^{(0)}$  and  $(p-1)$  in Theorem 5, respectively.

**THEOREM 11.** (A) The following statements are equivalent:

- (1) The set  $B = \{a_{1,0} + a_{1,1}x_1, a_{2,0} + a_{2,1}x_2, \dots, a_{s,0} + a_{s,1}x_s\}$  is a base in  $L^{(1,i)}$ .
- (2) The set  $B_0 = B \cup \{c_0\}$  is a base in  $L^{(1,i)} \cup L^{(0)}$ .
- (3) For elements of the set  $B$  we have:

(a) l.c.m.  $\{r_1, \dots, r_s\} = p_i$ ,

(b)  $B \setminus L_\alpha^{(1)} \neq \emptyset$ ,  $\alpha = 0, 1, \dots, p-1$ ,

(c) Statements (a) and (b) do not hold both for any proper subset of  $B$ .

(B) If  $B$  is a base of  $L^{(1,i)}$ , then  $|B| \geq 2$  and  $|B_0| \geq 3$ . ■

#### 4. The description of the rest of the classes of the lattice

After describing further lattice elements we shall present a maximal and a minimal chain, and also the cardinality of  $\mathcal{L}$ . The lattice structure is demonstrated by Fig. 2. The enclosed table contains bases and their orders  $n$  of the different types of classes. An immediate consequence of Theorems 4 and 10 is

**THEOREM 12.** (1) *The classes  $L_{A0}$  and  $L_A^{(1)}$  both have only a unique maximal class, which is the trivial class  $\{x\}$ .*

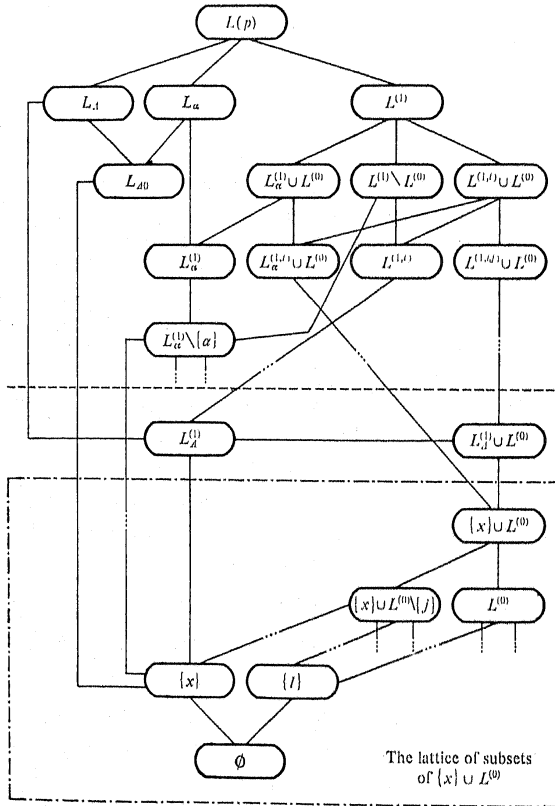


Fig. 2

(2) *The set  $L_a^{(1)} \setminus \{x\}$  with operation superposition is a cyclic group of order  $p-1$  having  $\alpha$  as a fixed point.*

(3) *The class  $L_a^{(1)} \setminus \{x\}$  is maximal in  $L_a^{(1)}$ .*

(4) *The class  $L_a^{(1)}$  is maximal in  $L_a^{(1)} \cup L^{(0)}$ . ■*

It can be shown by arguments similar to those used earlier, with the notation  $L_a^{(1,i)} = L^{(1,i)} \cap L_a^{(1)}$ , that the class  $\{x\} \cup L_a^{(1,i)}$  is maximal in  $L_a^{(1)}$ , etc. It should be noticed that the every closed class  $L' \subseteq L^{(1)}$  has the form  $G \cup F$ , where  $G$  is a closed subset of  $L^{(1)} \setminus L^{(0)}$  and  $F \subseteq L^{(0)}$  is also a closed set. The restrictions of  $F$  are determined by the structure of  $G$ . By the theorem of Lagrange we know that the order of the subgroup  $G$  of the group  $L^{(1)} \setminus L^{(0)}$  is a divisor of  $p(p-1)$ . We shall see that if  $q'$  divides  $p(p-1)$ , then there is a unique closed class  $G'$  in  $L^{(1)} \setminus L^{(0)}$  with order  $q' = |G'|$ . These closed classes are sorted out onto two classes by

**LEMMA 5.** *The subgroup  $L_A^{(1)}$  is contained in the subgroup  $G$  of the group  $L^{(1)} \setminus L^{(0)}$  iff the order of  $G$  is  $|G| \geq p$ .*

*Proof.* The necessity of condition is obvious:  $|L_A^{(1)}| = p$ . Let us suppose  $|G| \geq p$ . If  $c_0 + x \in G$  for  $c_0 \neq 0$ , then  $L_A^{(1)} \subseteq G$  by Lemma 4. If  $G \setminus \{x\} \subseteq \{a_0 + ax \mid a > 1\}$ , then, the elements of  $G \setminus \{x\}$  being written in the form  $b_0 + bx$ , for  $b_0 = \beta(1-b)$  there are two elements,  $b_{10} + b_1 x_1$ ,  $b_{20} + b_2 x_2 \in G \setminus \{x\}$ , such that  $b_{10} = \beta_1(1-b_1)$ ,  $b_{20} = \beta_2(1-b_2)$ ,  $\beta_1 \neq \beta_2$  because of  $|L_A^{(1)} \setminus \{x\}| = p-2 < |G \setminus \{x\}|$ . Furthermore  $(a_0 + ax, b_0 + ax) \in L_A^{(1)} \setminus \{x\} \Rightarrow a_0 = b_0$ ; hence there are  $\alpha_1 \neq \alpha_2$  such that  $a_{10} + \alpha_1 x$ ,  $a_{20} + \alpha_2 x \in G$ ,  $a_{10} = \alpha_1(1-a)$ ,  $a_{20} = \alpha_2(1-a)$ . But from the sequence  $(a_{20} + \alpha_2 x) \square (a_{20} + \alpha_2 x) = A_{02} + a^2 x$ , ...,  $(a_{20} + \alpha_2 x) \square (A_{0h-1} + a^{h-1} x) = A_{0h} + a^h x$ , we obtain  $(a_{10} + \alpha_1 x) \square (A_{0p-2} + a^{p-2} x) = A_0 + x$  and  $[(A_0 + x)] = L_A^{(1)}$  because  $A_0' = a_{10} + a A_{0p-2} = a_{10} - a_{20} = (\alpha_1 - \alpha_2)(1-a) \neq 0$ . ■

**LEMMA 6.** *The subgroup  $G \subseteq L^{(1)} \setminus L^{(0)}$  of order  $|G| \leq p-1$  is cyclic, and  $G$  is a subgroup of  $L_a^{(1)} \setminus \{x\}$  for suitable  $\alpha$ .*

*Proof.* Clearly,  $L^{(1)} \setminus L^{(0)} = L_A^{(1)} \bigcup_{\alpha=0}^{p-1} (L_a^{(1)} \setminus \{x\})$ , is a union of cyclic groups.

By Lemma 5  $G \cap L_A^{(1)} = \{x\}$ . Let us suppose that there are  $\alpha_1 \neq \alpha_2$ , such that  $a_{10} + a_{11} x \in G \cap L_a^{(1)}$ ,  $a_{20} + a_{21} x \in G \cap L_a^{(1)}$ ,  $a_{10} = \alpha_1(1-a_{11}) \neq 0 \neq \alpha_2(1-a_{21}) = a_{20}$  ( $a_{11} > 1$ ,  $a_{21} > 1$ ). Let  $a = a_{11} a_{21}$ ; then

$$r_1 = r(a_{11}), \quad r_2 = r(a_{21}), \quad r = r(a) = \text{l.c.m.}\{r_1, r_2\}, \quad r_1 \leq r_2.$$

Forming the sequences  $(a_0 + ax) \square (a_0 + ax) = a_{02} + a^2 x$ , ...,  $(a_0 + ax) \square (a_{0p-1} + a^{p-1} x) = a_{0p} + a^p x$  and  $(a_{20} + a_{21} x) \square (a_{20} + a_{21} x) = A_{02} + a_{21}^2 x$ , ...,  $(a_{20} + a_{21} x) \square (A_{0p-1} + a_{21}^{p-1} x) = A_{0p} + a_{21}^p x$ , we can obtain from them  $(a_{0r_1} + a^{r_1} x) \square (A_{0r_2-r_1} + a_{21}^{r_2-r_1} x) = A_0 + x$ ,  $A_0' \neq 0$  because  $a^{r_1} = a_{11}^{r_1} a_{21}^{r_1} = a_{21}^{r_1}$ ,  $A_0' \neq 0$  by Lemma 2, and this contradicts Lemma 5. Therefore, we cannot have  $\alpha_1 \neq \alpha_2$  as we supposed. ■

The structure of a cyclic group may be obtained from the main theorem on Abelian groups. Accordingly, the lattice of subgroups of  $L_a^{(1)} \setminus \{x\}$  is isomorphic to the lattice of divisors of  $p-1$ . (Lattice operations:  $\sqcap$  = l.c.m.;  $\sqcup$  = g.c.d.)

Notice that this statement also holds for the non-Abelian group  $L^{(1)} \setminus L^{(0)}$ , as a consequence of Lemmas 5 and 6 and the fact that every subgroup  $G$  in  $L^{(1)} \setminus L^{(0)}$  of order  $|G| = pq$ , can be given in the form  $G = [G' \cup L_d^{(1)}]$  ( $|G'| = q$  divides  $p-1$ ).

Now we can state a theorem about the structure of  $L^{(1)}$  and  $L^{(1)} \setminus L^{(0)}$ .

First, let us associate the sequence  $\mu_0 \mu_1 \dots \mu_{p-1} \lambda_1 \dots \lambda_u$  with the subgroup  $G_\alpha$  of order  $p^{h_0} q^{l_1} \dots q^{l_u}$  in the following way:

$$\mu_i = \begin{cases} 1 & \text{if } \lambda_0 = 1, \\ 0 & \text{if } \lambda_0 = \lambda_1 = \dots = \lambda_u = 0, \\ 1 - (i - \alpha)^{p-1} \bmod p & \text{in other cases.} \end{cases}$$

Moreover, let  $\mu_p = 1$  if  $G \neq \emptyset$  and  $\mu_p = 0$  if  $G = \emptyset$ . Let us associate the sequence  $\mu_0 \mu_1 \dots \mu_p \lambda_1 \dots \lambda_u \nu_0 \nu_1 \dots \nu_{p-1} = \mu \lambda \nu$  with the class  $G \cup F \subseteq L^{(1)}$  with its first  $p+u+1$  elements constituting the subsequence corresponding to  $G$  and the next  $p$  elements being the characteristic sequence of  $F$ :  $\nu_0 \nu_1 \dots \nu_{p-1}$  with

$$\nu_i = \begin{cases} 1 & \text{if } i \in F, \\ 0 & \text{if } i \in V_0 \setminus F. \end{cases}$$

These sequences have been constructed in such a way that their usual partial ordering preserves the ordering of the corresponding sets. (The partial ordering of sequences is:  $\gamma_1 \gamma_2 \dots \gamma_k \leq \delta_1 \delta_2 \dots \delta_k$  if  $\gamma_j < \delta_j$ ,  $j = 1, 2, \dots, k$ ). Let us denote by  $s(\gamma)$  the sum of the elements of the binary sequence  $\gamma$ , and let

$$N_\mu = \begin{cases} \{0, p\} & \text{if } s(\mu) = p, \\ \{lg, lg+1 \mid g = q^{l_1} \dots q^{l_u}, l = 0, 1, \dots, (p-1)/g\} & \text{if } s(\mu) = 1, \\ \{0, 1, \dots, p\} & \text{if } s(\mu) = 0. \end{cases}$$

(The operations  $\cdot$  and  $+$  are the usual ones, not  $\bmod p$ .)

**THEOREM 13.** (A) *The subgroup lattice of the group  $L^{(1)} \setminus L^{(0)}$  is isomorphic to the lattice of the partially ordered set*

$$R = \{\mu \lambda \mid \mu_i \in \{0, 1\}, 0 \leq \lambda_j \leq \nu_j, i \in V_0, j = 1, 2, \dots, \mu,$$

$$s(\mu) \in \{0, 1, p\}\}.$$

(B) *The subsemigroup lattice of the semigroup  $L^{(1)}$  is isomorphic to the lattice of the partially ordered set*

$$Q = \{\mu \lambda \nu \mid \mu \lambda \in R, s(\nu) \in N_\mu, \text{ and } \nu_i \geq \mu_i \text{ if } s(\mu) = 1\}.$$

*Proof.* Let  $G_\alpha$  be an  $\alpha$ -preserving subgroup of order  $g = |G_\alpha|$  ( $\leq p-1$ ) with  $h = (p-1)/g$ . Let us consider the sequence

$$F_0 = G_\alpha \cup \{\alpha\}, \quad F_1 = [F_0 \cup \{\beta_1\}], \dots, F_i = [F_{i-1} \cup \{\beta_i\}], \dots, F_h = [F_{h-1} \cup \{\beta_h\}] = G_\alpha \cup L^{(0)}$$

with

$$\beta_i \in L^{(0)} \setminus F_{i-1}, \quad i = 1, 2, \dots, h.$$

Clearly,  $F_{i-1}$  is maximal in  $F_i$  for  $i = 1, \dots, h$ , the chains  $G_\alpha \subset F_0 \subset F_1 \subset \dots \subset F_h$  being of  $(p-1)/g+2$  elements according to the fact that the same partition of

$(p-1)/g+1$  elements on the set  $V_0$  is induced by the disjoint cyclic decomposition of any base element of  $G_\alpha$ . In this partition  $\{\alpha\}$  has cardinality 1 and the rest of the  $h+1$  subsets have cardinality  $g$ .

Similarly, if  $|G| \geq p$ , only the two-element chain  $G \subset G \cup L^{(0)}$  will belong to  $G$ . On the contrary, any chain of the lattice of subsets of  $V_0$  can be related to the trivial group  $\{x\}$  and to the empty set  $\emptyset$ ; thus chains of type

$$\{x\} \subset \{x\} \cup \{0\} \subset \{x\} \cup \{0, 1\} \subset \dots \subset \{x\} \cup L^{(0)}$$

have length  $p+1$ , in accordance with  $\{x\}$  being  $\alpha$ -preserving and  $h = p-1$ .

Let a binary sequence corresponded to each closed class  $F = G \cup K \subseteq L^{(1)}$ , its first  $p+1$  elements being sequence  $\mu_0 \mu_1 \dots \mu_{p-1} \mu_p \lambda_1 \dots \lambda_u$  related to  $G$  and the next  $p$  elements being the characteristic sequence of  $K \subseteq L^{(0)}$ :  $\nu_i = 1$  if  $i \in K$  and  $\nu_i = 0$  if  $i \notin K$  for  $i = 0, 1, \dots, p-1$ .

Thus for each subgroup  $G \subseteq L^{(1)} \setminus L^{(0)}$  the corresponding sets  $K$  are unions of the partition elements induced by its cyclic subgroup maximal of order. So each closed set  $F$  and no other one is produced.

$\{x\}$  can take the form of any binary sequence  $\nu_0 \nu_1 \dots \nu_{p-1}$  and  $\nu_\alpha = 1$  in each  $F_i$  for the  $\alpha$ -preserving group of order  $g$ ,  $G_\alpha$ . Moreover, in the set  $F_i$ ,  $(1+ig)$  elements are equal to 1 while the rest of the elements equal to 0. (Even in the case of  $\emptyset$  no more sequence than  $00 \dots 0$  is excluded.)

Finally, the sequence  $\nu_i = 1$  for  $i = 0, 1, \dots, p-1$  belongs to  $G \cup L^{(0)}$  if  $|G| \geq p$  and, in general,  $\nu_i = 0$  for  $i = 0, 1, \dots, p-1$  belongs to  $G \subseteq L^{(1)} \setminus L^{(0)}$ .

This construction provides us with a one-to-one correspondence between the sequences in  $Q$  and the closed classes in  $L^{(1)}$ . So it only remains to prove the order-preserving property of this correspondence.

Let  $G_2 \cup K_2$  be maximal in the closed class  $G_1 \cup K_1$ . If  $|G_1| \geq p$ , then  $K_1 = L^{(0)}$  and  $G_2$  is maximal in  $G_1$  by Lemma 5. So  $\mu_i^{(1)} = 1 = \nu_i^{(1)}$  for all  $i$  and  $\lambda^{(2)} \leq \lambda^{(1)}$  by Lagrange's theorem.

If  $|G_1| \leq p-1$ , then  $G_1 = G_2$  implies  $K_2 \subset K_1$  and thus  $\mu^{(1)} \lambda^{(1)} = \mu^{(2)} \lambda^{(2)}$  and  $\nu^{(2)} < \nu^{(1)}$ . In the case  $G_2 \subset G_1$  we have  $\mu^{(2)} \leq \mu^{(1)} s(\mu^{(1)}) = 1$  and  $\lambda^{(2)} < \lambda^{(1)}$ , and  $K_2 \subseteq K_1$  implies  $\nu^{(2)} \leq \nu^{(1)}$ . So  $\mu^{(2)} \lambda^{(2)} \nu^{(2)} < \mu^{(1)} \lambda^{(1)} \nu^{(1)}$  is true in all cases. ■

## 5. Countability, an example and some closing conclusions

From Theorem 13 we can infer the number of closed classes in  $L^{(1)} \setminus L^{(0)}$  and in  $L^{(1)}$ . Let  $d(a)$  be the number of positive divisors of  $a$ .

**THEOREM 14.** (A) *The number of subgroups of the group  $L^{(1)} \setminus L^{(0)}$  is*

$$|R| = (p+1)d(p-1) + 1 - p.$$

(B) *The number of subsemigroups of the semigroup  $L^{(1)}$  is*

$$|Q| = 2d(p-1) - 1 - (p-2)2^p + 2p \sum_{g|h=p-1} 2^g.$$

*Proof.* (A): One sequence,  $\mu \lambda = 00 \dots 0$ , belongs to the weight  $s(\mu) = 0$ . One  $\mu$ -sequence and  $d(p-1)$   $\lambda$ -sequences belong to the weight  $s(\mu) = p$ . Finally,





to the closed classes will decrease. This fact gives the following upper bound for the chain lengths:

$$1 + |L^{(0)}| + \left(1 + \sum_{i=1}^n \kappa_i\right) = p + 2 + \sum_{i=1}^n \kappa_i.$$

This bound can be reached by taking the path

$$\begin{aligned} (L) \rightarrow (L^{(1)} \rightarrow \dots \rightarrow (G \cup L^{(0)}) \rightarrow \dots \rightarrow (L_d^{(1)} \cup L^{(0)}) \rightarrow (\{x\} \cup L^{(0)}) \rightarrow \\ \rightarrow (\{x\} \cup (L^{(0)} \setminus \{0\})) \rightarrow \dots \rightarrow (\{x\}). \end{aligned}$$

The structure of the lattice-diagram can be seen in Fig. 2 and the complete diagram for  $p = 3$  in Fig. 3.

Table

Class	Base	Rank
$L$	$\{x+1, x+y\}$	2
$L_\alpha$	$\{x+y+(p-\alpha)\}$	2
$L_d$	$\{2x+(p-1)y+1\}$	2
$L_{d0}$	$\{2x+(p-1)y\}$	2
$L^{(1)}$	$\{0, x+1, ax\}, r(a) = p-1$	1
$L^{(1)} \setminus L^{(0)}$	$\{ax, x+1\}, r(a) = p-1$	1
$L_d^{(1)}$	$\{\alpha, ax + (\alpha(1-a))\}, r(a) = p-1$	1
$L_d^{(1)}$	$\{x+1\}$	1

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