

ON THE RINGS OF FORMAL SOLUTIONS OF POLYNOMIAL DIFFERENTIAL EQUATIONS

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Dedicated to Professor S. Lojasiewicz on his seventieth birthday

Abstract. The paper establishes the basic algebraic theory for the Gevrey rings. We prove the Hensel lemma, the Artin approximation theorem and the Weierstrass-Hironaka division theorem for them. We introduce a family of norms and we look at them as a family of analytic functions defined on some semialgebraic sets. This allows us to study the analytic and algebraic properties of this rings.

Introduction. The Gevrey series appeared as formal solutions of partial differential equations of second order ([G]). In 1903 Maillet ([Mi]) proved that the formal solutions of ordinary differential equations with polynomial coefficients are of this type. Afterwards, Malgrange ([Ml]) and J. Cano ([Ca]) generalized this result to ordinary differential equations with analytic and Gevrey coefficients respectively. But for partial differential equations the analogous result is not yet achieved for any partial differential equation with polynomial coefficients; nevertheless there are some important results: see Ouchi ([O]).

The semianalytic geometry with Gevrey conditions to the border is studied in the article of Tougeron [T]. He generalized the basic theorems of the semianalytic geometry to this case.

As far as we know, a study of the algebraic properties of this series has not yet been done. This work shows the basic algebraic properties of these rings. In particular, they are noetherian and henselian. The basic tools we use here are the pseudo-Banach structure they have, and the formal Borel transform. This transform changes the Gevrey series by

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a germ of analytic function at 0. We introduce a family of norms that are the restrictions of the *absolute Borel transform* to the semialgebraic $(0, \infty)^n \cap \{x_1 = \dots = x_n\}$. We prove the Artin approximation property for them, but we do not touch the approximation “to the smallest term” (see [Z4]) since it is more analytic in nature.

We give a Gevrey version of the Weierstrass-Hironaka division theorem: the division by a finite family f_1, \dots, f_r of Gevrey’s series. In general, when the data are of Gevrey’s order s , the quotient and the remainder are not of the same order, as we can see in the following counter-example.

Let $g = \sum_{n,m=1}^{\infty} n!m!x^n y^m$ and $f = -y^2 - \sum_{n=1}^{\infty} x^n$. Then $g = qf + r$, where $q = \sum_{\alpha, \beta \in \mathbb{N}} q_{\alpha\beta} x^\alpha y^\beta$ is the solution of the infinite system

$$q_{0\beta} = (\beta + 2)!$$

$$q_{\alpha\beta} = \alpha!(\beta + 2)! + \sum_{\sigma=0}^{\alpha-1} q_{\sigma, \beta+2}, \quad \alpha \geq 1.$$

The Gevrey order of q is at least $(2, 1)$, but f and g are Gevrey’s series of order $(1, 1)$. Nevertheless there are some special cases where you can divide without increasing the Gevrey order (see Remark 4.3). The Gevrey order of the quotients and the remainder we give here improve the result obtained by the author in her Ph.D. Thesis ([Z3], 1994).

The Weierstrass division theorem has also been studied by Chaumat and Chollet ([CC1]). They only considered the case of the division by one Gevrey’s series. They gave the preparation theorem in ultradifferentiable classes in [CC2].

1. Preliminaries. Denote by \mathbb{K} the field of real numbers, \mathbb{R} , or the field of complex numbers, \mathbb{C} . Let n be a positive integer greater than one. We will use the following multiindex notation.

Let $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$, then

$$|\alpha| = \alpha_1 + \dots + \alpha_n.$$

We put $x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$ with $x = (x_1, \dots, x_n) \in \mathbb{K}^n$, and if $s = (s_1, \dots, s_n) \in [0, \infty)^n$,

$$\alpha!^s = \alpha_1!^{s_1} \dots \alpha_n!^{s_n}.$$

If $\ell \in [0, \infty)$ we put $\underline{\ell} = (\ell, \dots, \ell)$. Now, for $\alpha = (\alpha_1, \dots, \alpha_n)$ and $\beta = (\beta_1, \dots, \beta_n)$ in $[0, \infty)^n$, we put $\alpha \leq \beta$ (resp. $\alpha < \beta$) if and only if $\beta_j - \alpha_j$ is a non-negative (resp. positive) real number for each $j = 1, \dots, n$.

Let $\underline{X} = (X_1, \dots, X_n)$ be a vector of independent variables over \mathbb{K} , and $f = \sum f_\alpha \underline{X}^\alpha$ a formal power series over \mathbb{K} , that is, an element of the ring $\mathbb{K}[[\underline{X}]]$. We denote by $\text{ord}_0(f)$ the integer

$$\text{ord}_0(f) = \min\{|\alpha| : f_\alpha \neq 0\}.$$

The ring of convergent power series over \mathbb{K} (in the variables \underline{X}) is denoted by $\mathbb{K}\{\underline{X}\}$. Moreover, whenever we have a polynomial in a new variable Z with coefficients in a power series ring (e.g. $F \in \mathbb{K}[[\underline{X}]]\langle Z \rangle$), we emphasize this fact using the “;” sign (e.g. $F(\underline{X}; Z)$). For a square matrix A , $\kappa(A; z)$ is its characteristic polynomial in the variable z . The ring of $p \times q$ matrices with entries in a given ring R is denoted by $\mathbb{M}_{p,q}(R)$. If $P(z)$ and $Q(z)$ are two polynomials with coefficients in R , then $\text{Res}_z(P, Q)$ is the resultant of P and Q .

The set $\mathbb{K}[[\underline{X}]]_s$ is the subring of $\mathbb{K}[[\underline{X}]]$ defined as follows:

$$f = \sum_{\alpha \in \mathbb{N}^n} f_\alpha \underline{X}^\alpha \in \mathbb{K}[[\underline{X}]]_s \iff f = \sum_{\alpha \in \mathbb{N}^n} \frac{f_\alpha}{\alpha!^s} \underline{X}^\alpha \in \mathbb{K}\{\underline{X}\}.$$

This ring is called the *Gevrey formal power series ring of order s*. An element of this ring is a *Gevrey formal power series of order s*. A formal power series $f \in \mathbb{K}[[\underline{X}]]$ is called of *Gevrey type* if there exists an $s \in [0, \infty)^n$ such that $f \in \mathbb{K}[[\underline{X}]]_s$. Then the vector s is called its *Gevrey order*. Observe that whenever $s \leq t$ we have

$$\mathbb{K}[[\underline{X}]]_s \subset \mathbb{K}[[\underline{X}]]_t.$$

Hence, you have a filtration indexed by the semigroup $\mathcal{S} = [0, \infty)^n$. Therefore, we see that the convergent power series are in $\bigcap_{s \in \mathcal{S} \setminus \{0\}} \mathbb{K}[[\underline{X}]]_s$, but these rings are not equal.

For instance, when $n = 1$, the series $\sum m!^{1/\ln(\ln m)} X^m$ is not convergent, but belongs to $\bigcap_{s>0} \mathbb{K}[[X]]_s$. Let

$$\mathbb{K}[[\underline{X}]]_{s,+} = \bigcap_{r>s} \mathbb{K}[[\underline{X}]]_r.$$

If W_1, \dots, W_d are independent variables over \mathbb{K} (distinct from the X_i), and $t \in [0, \infty)^d$, we put

$$(\mathbb{K}[[\underline{W}]]_t) [[\underline{X}]]_{s,+} = \bigcap_{r>s} \mathbb{K}[[\underline{W}, \underline{X}]]_{(t,r)}$$

for s in $[0, \infty)^n$.

Let $s = (s_1, \dots, s_n) \in [0, \infty)^n$ and $\rho \in (0, \infty)$. Define

$$\|f\|_{s,\rho} = \sum_{\alpha \in \mathbb{N}^n} \frac{|f_\alpha|}{\alpha!^s} \rho^\alpha \quad \text{for } f = \sum_{\alpha \in \mathbb{N}^n} f_\alpha \underline{X}^\alpha \in \mathbb{K}[[\underline{X}]],$$

where $\rho^\alpha = \rho^{|\alpha|}$, and

$$E_\rho^{(s)} = \{f \in \mathbb{K}[[\underline{X}]] : \|f\|_{s,\rho} \text{ is finite}\}.$$

It is easy to see that $\|\cdot\|_{s,\rho}$ is a norm. The \mathbb{K} -algebras $E_\rho^{(s)}$ are Banach algebras. Moreover, if $\rho' > \rho$ then $E_{\rho'}^{(s)} \subset E_\rho^{(s)}$. Hence the inductive limit

$$G^{(s)} = \varinjlim_{\rho \rightarrow \infty} E_\rho^{(s)}$$

is a complete topological vector space with the inductive limit topology. Then we have morphisms (of topological vector spaces) $i_\rho : E_\rho^{(s)} \rightarrow G^{(s)}$ for each $\rho > 0$. The algebra $G^{(s)}$ is a pseudo-Banach algebra whose bound structure is given by the unit balls of the algebras $E_\rho^{(s)}$ (see [ADM]). Hence, we obtain⁽¹⁾

PROPOSITION 1.1. *The space $G^{(s)}$ is isomorphic to $\mathbb{K}[[\underline{X}]]_s$ as \mathbb{K} -algebras, but not as topological vector spaces.*

⁽¹⁾ Because $\mathbb{K}[[\underline{X}]]_s$ is not complete for the (X_1, \dots, X_n) -adic topology.

2. The formal Borel transform in Gevrey's series rings. Fix $n \in \mathbb{N}$, $n \geq 1$, and $s = (s_1, \dots, s_n) \in [0, \infty)^n$. Given a Gevrey series

$$f(\underline{X}) = \sum_{\alpha \in \mathbb{N}^n} f_\alpha \underline{X}^\alpha \in G^{(s)}, \text{ with } f(\underline{0}) = 0,$$

we define its *formal Borel transform of level s* by the formula

$$\widehat{\mathcal{B}}_s f(\underline{\xi}) = \sum_{\alpha \in \mathbb{N}^n} \frac{f_\alpha}{\alpha!^s} \underline{\xi}^\alpha.$$

For the unit 1 of $G^{(s)}$ we put $\widehat{\mathcal{B}}_s 1 = \delta$, where δ is the Dirac distribution. Then we have defined a map (of vector spaces over \mathbb{K}) $\widehat{\mathcal{B}}_s : G^{(s)} \rightarrow \mathbb{K}\{\underline{X}\}$ that is surjective. We put

$$|\widehat{\mathcal{B}}_s|f(\underline{\xi}) = \sum_{\alpha \in \mathbb{N}^n} \frac{|f_\alpha|}{\alpha!^s} \underline{\xi}^\alpha.$$

and call it the *absolute Borel transform of level s*.

PROPERTY 2.1 (the evaluation property). *Let $\underline{Y} = (Y_1, \dots, Y_n)$, $\underline{X} = (X_1, \dots, X_m)$ be variables over \mathbb{K} , and $s = (s_1, \dots, s_n) \in [0, \infty)^n$, $\tau_j = (\tau_{j1}, \dots, \tau_{jm}) \in [0, \infty)^m$ for $j = 1, \dots, n$. Let $s^* = \max\{s_1, \dots, s_n\}$. If $F(\underline{Y})$ is in the ring $\mathbb{K}[[\underline{Y}]]_s$ and $f_j(\underline{X})$ in $\mathbb{K}[[\underline{X}]]_{\tau_j}$, then, whenever $f_1(\underline{0}) = \dots = f_n(\underline{0}) = 0$, the composition $F \circ (f_1, \dots, f_n)$ is in $\mathbb{K}[[\underline{X}]]_r$ for $r = (r_1, \dots, r_m)$ with $r_j = \max\{\tau_{1j}, \dots, \tau_{nj}, s^*\}$.*

Proof. Let $\delta > 0$ be such that the series $|\widehat{\mathcal{B}}_{\tau_j}|f_j$ are convergent in $(-\delta, \delta) \times \dots \times (-\delta, \delta)$. Take $\epsilon > 0$ such that $|\widehat{\mathcal{B}}_s|F$ is convergent in $(-\epsilon, \epsilon) \times \dots \times (-\epsilon, \epsilon)$. The analytic functions

$$\varphi_j : (0, \delta) \ni x \mapsto |\widehat{\mathcal{B}}_{\tau_j}|f_j(x, \dots, x) = \|f_j\|_{\tau_j, x} \in \mathbb{R}$$

can be factorized as $\varphi_j(x) = x\beta_j(x)$ in $(0, \delta)$, where the β_j are positive increasing real analytic functions.

Choose $\delta' < \delta$ and put $K_j = \beta_j(\delta')$, $1 \leq j \leq n$. Taking $\delta_1 < \delta'$ such that $\delta_1 K_j < \epsilon$ for $j = 1, \dots, n$, we obtain the inequalities

(i)
$$\varphi_j(x) < \epsilon \text{ in } (0, \delta_1).$$

Hence $(|\widehat{\mathcal{B}}_s|F)(\varphi_1(x), \dots, \varphi_n(x))$ is finite for $x \in (0, \delta_1)$.

Let $r \in [0, \infty)^m$ be as in the statement. Assume the inequality

(ii)
$$|\widehat{\mathcal{B}}_r|(F \circ (f_1, \dots, f_n)) \leq |\widehat{\mathcal{B}}_s F| \circ (|\widehat{\mathcal{B}}_{\tau_1}|f_1, \dots, |\widehat{\mathcal{B}}_{\tau_n}|f_n)$$

in the interval $\mathcal{Q} = (0, \delta_1) \times \dots \times (0, \delta_1)$. Then, if $x \in \mathcal{Q} \cap \{x_1 = \dots = x_n\}$, we get

$$\|F \circ (f_1, \dots, f_n)\|_{r, x} \leq (|\widehat{\mathcal{B}}_s|F)(\varphi_1(x), \dots, \varphi_n(x)).$$

This inequality and (i) imply that $F \circ (f_1, \dots, f_n) \in G^{(r)}$, as was claimed in the statement.

In order to prove (ii), we proceed as follows. Put

$$F(\underline{Y}) = \sum_{i \in \mathbb{N}^n} F_i \underline{Y}^i \quad \text{and} \quad f_j(\underline{X}) = \sum_{\alpha \in \mathbb{N}^m} a_{j\alpha} \underline{X}^\alpha, \quad 1 \leq j \leq n.$$

For $t = 0, 1, \dots$, and $j = 1, 2, \dots, n$, we have the formal power series expansion

$$(f_j)^t = \sum_{\beta \in \mathbb{N}^m} c_{j\beta}^{(t)} \underline{X}^\beta \quad \text{where} \quad c_{j\beta}^{(t)} = \sum_{\substack{(\alpha_1, \dots, \alpha_t) \in (\mathbb{N}^m)^t \\ \alpha_1 + \dots + \alpha_t = \beta}} a_{j\alpha_1} \cdots a_{j\alpha_t}.$$

Hence, the formal power series

$$\sum_{i=(i_1, \dots, i_n) \in \mathbb{N}^n} F_i \sum_{\beta \in \mathbb{N}^m} \left(\sum_{\substack{(\beta_1, \dots, \beta_n) \in (\mathbb{N}^m)^n \\ \beta_1 + \dots + \beta_n = \beta}} c_{1\beta_1}^{(i_1)} \cdots c_{n\beta_n}^{(i_n)} \right) \underline{X}^\beta$$

is the formal power series expansion of $F \circ (f_1, \dots, f_n)$. Let $s^* = \max\{s_1, \dots, s_n\}$ and $r = (r_1, \dots, r_m) \in [0, \infty)^m$ be such that $r_j = \max\{\tau_{1j}, \dots, \tau_{nj}, s^*\}$, $1 \leq j \leq m$. Set

$$d_{j\beta}^{(t)} = \sum_{\substack{(\alpha_1, \dots, \alpha_t) \in (\mathbb{N}^m)^t \\ \alpha_1 + \dots + \alpha_t = \beta}} \frac{|a_{j\alpha_1}|}{\alpha_1!^{\tau_j}} \cdots \frac{|a_{j\alpha_t}|}{\alpha_t!^{\tau_j}},$$

then for $j = 1, \dots, n$ and $t \in \mathbb{N}$ we have

$$(|\widehat{\mathcal{B}}_{\tau_j}| f_j)^t = \sum_{\beta \in \mathbb{N}^m} d_{j\beta}^{(t)} \underline{X}^\beta.$$

It is easy to prove the inequalities

$$\frac{|c_{j\beta}^{(t)}|}{\beta!^r} \leq \frac{d_{j\beta}^{(t)}}{t!^{|r|}} \leq \frac{d_{j\beta}^{(t)}}{t!^{s^*}}.$$

In consequence,

$$\begin{aligned} |\widehat{\mathcal{B}}_r|(F \circ (f_1, \dots, f_n))(\underline{x}) &\leq \sum_{i \in \mathbb{N}^n} \frac{|F_i|}{i!^s} \sum_{\beta \in \mathbb{N}^m} \sum_{\beta_1 + \dots + \beta_n = \beta} d_{1\beta_1}^{(i_1)} \cdots d_{n\beta_n}^{(i_n)} \underline{x}^\beta \\ &= |\widehat{\mathcal{B}}_s| F \circ (|\widehat{\mathcal{B}}_{\tau_1}| f_1, \dots, |\widehat{\mathcal{B}}_{\tau_n}| f_n)(\underline{x}) \end{aligned}$$

for $\underline{x} \in \mathcal{Q}$. ■

Remark 2.2. Let $\lambda_1, \dots, \lambda_n \in \mathbb{K} \setminus 0$. Then $f(Y_1, \dots, Y_n)$ and $f(\lambda_1 Y_1, \dots, \lambda_n Y_n)$ have the same Gevrey order.

3. The Hensel lemma for the Gevrey rings

LEMMA 3.1 (the Hensel lemma for the Gevrey rings). *Let $f(\underline{X}; z) \in G^{(s)}[z]$ be a monic polynomial in the variable z such that $f(0; z) = a(z)b(z)$ with $\gcd(a(z), b(z)) = 1$. Then there exist $P(\underline{X}; z)$ and $Q(\underline{X}; z)$ in $G^{(s)}[z]$ such that $f = PQ$ and*

$$\begin{aligned} P(0; z) &= a(z), & \deg_z P &= \deg_z a = p, \\ Q(0; z) &= b(z), & \deg_z Q &= \deg_z b = q. \end{aligned}$$

It is well known (see [N], page 104) that there exist P and Q with coefficients in $\mathbb{K}[[\underline{X}]]$ such that $f = PQ$ and

$$\begin{aligned} P(0; z) &= a(z), & \deg_z P &= \deg_z a = p, \\ Q(0; z) &= b(z), & \deg_z Q &= \deg_z b = q. \end{aligned}$$

The only difficulty is to prove that the divergent coefficients of P and Q are in fact of Gevrey's order s . For that we recall the proof of the Hensel lemma for matrices introduced by P. M. Cohn (see [Co], or [Z2]).

Put

$$f(\underline{X}; z) = \mathbf{a}_0(\underline{X}) + \mathbf{a}_1(\underline{X})z + \dots + \mathbf{a}_{d-1}(\underline{X})z^{d-1} + z^d.$$

We can assume that $a(z) = z^p$ (because you can argue to induction on d). The companion matrix of f can be written as

$$M = \begin{pmatrix} A_1 & A_2 \\ A_3 & A_4 \end{pmatrix} \in \mathbb{M}_{d,d}(G^{(s)})$$

where

$$A_1 = \begin{pmatrix} 0 & 0 & \dots & 0 & 0 \\ 1 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & \dots & 1 & 0 \end{pmatrix} \in \mathbb{M}_{p,p}(G^{(s)}), \quad A_3 = \begin{pmatrix} 0 & \dots & 0 & 1 \\ 0 & \dots & 0 & 0 \\ \vdots & \dots & \vdots & \vdots \\ 0 & \dots & 0 & 0 \end{pmatrix},$$

$$A_2 = \begin{pmatrix} 0 & \dots & 0 & -\mathbf{a}_0 \\ \vdots & \dots & \vdots & \vdots \\ 0 & \dots & 0 & -\mathbf{a}_{p-1} \end{pmatrix}, \quad A_4 = \begin{pmatrix} 0 & \dots & 0 & -\mathbf{a}_p \\ \vdots & \dots & \vdots & \vdots \\ 0 & \dots & 0 & -\mathbf{a}_{d-1} \end{pmatrix} \in \mathbb{M}_{q,q}(G^{(s)}).$$

We will construct a matrix X such that

$$(*) \quad \begin{pmatrix} I & -X \\ 0 & I \end{pmatrix} M \begin{pmatrix} I & X \\ 0 & I \end{pmatrix} = \begin{pmatrix} A_1 - XA_3 & 0 \\ A_3 & A_3X + A_4 \end{pmatrix}.$$

For that, define a sequence of matrices $X_r \in \mathbb{M}_{p,q}(\mathbb{K}[[\underline{X}]])$:

$$(i) \quad \begin{cases} X_0 = 0 \\ X_r A_4 - A_1 X_r = A_2 - X_{r-1} A_3 X_{r-1}, \quad r \geq 1. \end{cases}$$

Now, consider the resultant $u = \text{Res}_z(\kappa(A_1, z), \kappa(A_4, z))$, then choose two polynomials: $L(z)$ of degree $\leq q - 1$ and $N(z)$ of degree $\leq p - 1$, such that $u = L(z)\kappa(A_1, z) + N(z)\kappa(A_4, z)$ ([BR], page 28). The polynomial

$$H(z) = \frac{1}{u} L(z)\kappa(A_1, z) = \alpha_p z^p + \dots + \alpha_{d-1} z^{d-1}$$

assumes the value 0 at A_1 and 1 at A_4 . Hence, the matrix

$$X_r = \alpha_p C + \sum_{j=p+1}^{d-1} \alpha_j \sum_{i+l=j} A_1^i C A_4^l,$$

where $C = A_2 - X_{r-1} A_3 X_{r-1}$, is the solution of (i). Let \mathbf{m} be the maximal ideal of $\mathbb{K}[[\underline{X}]]$. The limit X of the sequence $\{X_r\}$ —for the \mathbf{m} -adic topology in $\mathbb{K}[[\underline{X}]]$ — verifies (*). Then $(-1)^d f$ is the product of the characteristic polynomials of $A_1 - XA_3$ and $A_3X + A_4$.

In order to prove that the coefficients of X are in some $E_\epsilon^{(s)}$ we will use the following result.

LEMMA 3.2. Let \underline{X}, y, z be independent variables over the field \mathbb{K} , and $p, q \in \mathbb{N}$ be such that $pq \neq 0$. Denote by \mathbb{F} the fraction field of the polynomial ring $\mathbb{K}[y]$. Set $R = \mathbb{F}[[\underline{X}]]$. Consider the monic polynomials

$$P(z) = c_0 + c_1z + \dots + c_{q-1}z^{q-1} + z^q \in \mathbb{K}[[\underline{X}]] [z],$$

$$\tilde{P}(z) = \frac{c_0}{y^q} + \frac{c_1}{y^{q-1}}z + \dots + \frac{c_{q-1}}{y}z^{q-1} + z^q \in R[z]$$

with $c_0 \neq 0$. Let $L, N \in \mathbb{K}[[\underline{X}]] [z]$ (resp. $\tilde{L}, \tilde{N} \in R[z]$) be such that $\text{Res}_z(z^p, P(z)) = L(z)z^p + N(z)P(z)$ (resp. $\text{Res}_z(z^p, \tilde{P}(z)) = \tilde{L}(z)z^p + \tilde{N}(z)\tilde{P}(z)$) and $\deg_z L \leq q - 1$, $\deg_z N \leq p - 1$ (resp. $\deg_z \tilde{L} \leq q - 1$, $\deg_z \tilde{N} \leq p - 1$). Set

$$L(z) = \nu_0 + \nu_1z + \dots + \nu_{q-1}z^{q-1} \in \mathbb{K}[[\underline{X}]] [z],$$

$$\tilde{L}(z) = \tilde{\nu}_0 + \tilde{\nu}_1z + \dots + \tilde{\nu}_{q-1}z^{q-1} \in R[z].$$

Then $\tilde{\nu}_j = \nu_j y^{j+p-pq}$ for $j = 0, 1, \dots, q - 1$.

Proof. Consider the extension of the identity over R to the polynomial ring $R[z]$ given by $\pi(z) = yz$. Then

$$\text{Res}_z(z^p, P) = \pi(L)y^p z^p + \pi(N)y^q \tilde{P}(z).$$

Since⁽²⁾ $\text{Res}_z(z^p, P) = y^{pq} \text{Res}_z(z^p, \tilde{P})$, we have

$$\text{Res}_z(z^p, P) = y^{pq} \tilde{L}(z)z^p + y^{pq} \tilde{N}(z)\tilde{P}(z).$$

Consequently, $\tilde{L} = y^{p-pq}\pi(L)$, and that implies the statement. ■

Now consider $\rho \in (0, 1)$ such that the resultant u is a unit in $E_\rho^{(s)}$ and the coefficients of L and N are in $E_\rho^{(s)}$. Fix a_0, \dots, a_{d-1} in $E_\rho^{(s)}$ such that $i_\rho(a_j) = \mathbf{a}_j$ (if it is impossible, take a smaller radius ρ at the beginning).

Take the real analytic functions

$$\varphi_j(x) = |\widehat{\mathcal{B}}_s| a_j(x, \dots, x), \quad 0 \leq j \leq d - 1,$$

$$\xi_\ell(x) = |\widehat{\mathcal{B}}_s| \alpha_\ell(x, \dots, x), \quad p \leq \ell \leq d - 1.$$

Let $\rho' \in (0, \rho)$. For each $j \in \{0, \dots, p - 1\}$ the function φ_j can be factorized as $\varphi_j(x) = x\beta_j(x)$ in $[-\rho', \rho']$, where β_j is a positive increasing real analytic function. Let

$$\tau = \max\{\xi_\ell(\rho') : 1 \leq \ell \leq t\} \cup \{\varphi_j(\rho') : p \leq j \leq d - 1\} > 0.$$

Let y be a new variable over \mathbb{K} . Consider the monic polynomial

$$g_y(\underline{X}; z) = \frac{1}{y^d} f(\underline{X}; yz) \in R[z],$$

where R is as in Lemma 3.2. The algorithm (i) can be performed for g_y . We have now

$$A'_2 = \begin{pmatrix} 0 & \dots & 0 & -\mathbf{a}_0/y^d \\ \vdots & \dots & \vdots & \vdots \\ 0 & \dots & 0 & -\mathbf{a}_{p-1}/y^{d-p+1} \end{pmatrix}, \quad A'_4 = \begin{pmatrix} 0 & \dots & 0 & -\mathbf{a}_p/y^{d-p} \\ \vdots & \dots & \vdots & \vdots \\ 0 & \dots & 0 & -\mathbf{a}_{d-1}/y \end{pmatrix},$$

⁽²⁾ See [BR], page 29.

and A_1, A_3 as before. Then there exist two new polynomials \tilde{L}_y and $\tilde{N} \in R[z]$ such that $\tilde{u} = \text{Res}_z(\kappa(A_1, z), \kappa(A'_4, z)) = \tilde{L}(z)\kappa(A_1, z) + \tilde{N}(z)\kappa(A'_4, z)$ and $\deg_z \tilde{L}_y \leq q - 1$, $\deg_z \tilde{N} \leq p - 1$. Set

$$\tilde{H}_y(z) = \frac{1}{\tilde{u}} \tilde{L}_y(z) \kappa(A_1, z) = \tilde{\alpha}_p(\underline{X}, y) z^p + \dots + \tilde{\alpha}_{d-1}(\underline{X}, y) z^{d-1}.$$

Lemma 3.2 for $P(z) = \kappa(A_4, z)$ and $\tilde{P}(z) = \kappa(A'_4, z)$ implies the equalities

$$\tilde{\alpha}_j(\underline{X}, y) = \frac{\tilde{V}_{j-p}}{\tilde{u}} = y^j \frac{V_{j-p}}{u} = y^j \alpha_j(\underline{X}), \quad p \leq j \leq d - 1,$$

since $u = y^{pq} \tilde{u}$. The sequence of matrices $\tilde{X}_r(y)$ given inductively by the iteration

$$\begin{aligned} \tilde{X}_0(y) &= 0 \\ \tilde{X}_r(y)A'_4 - A_1\tilde{X}_r(y) &= A'_2 - \tilde{X}_{r-1}(y)A_3\tilde{X}_{r-1}(y), \quad r \geq 1, \end{aligned}$$

is the sequence

$$\tilde{X}_r(y) = \tilde{\alpha}_p(\underline{X}, y)\tilde{C} + \sum_{j=p+1}^{d-1} \tilde{\alpha}_j(\underline{X}, y) \sum_{i+l=j} A_1^i \tilde{C}(A'_4)^l,$$

where $\tilde{C} = A'_2 - \tilde{X}_{r-1}(y)A_3\tilde{X}_{r-1}(y)$. Now consider $\tilde{Z}_r(y) = \tilde{X}_r(y) - \tilde{X}_{r-1}(y)$. Then we have the equalities

$$\tilde{Z}_r(y)A'_4 - A_1\tilde{Z}_r(y) = \tilde{D}$$

and

$$\tilde{Z}_r(y) = \tilde{\alpha}_p(\underline{X}, y)\tilde{D} + \sum_{j=p+1}^{d-1} \tilde{\alpha}_j(\underline{X}, y) \sum_{i+l=j} A_1^i \tilde{D}(A'_4)^l,$$

where $\tilde{D} = -\tilde{X}_{r-1}(y)A_3\tilde{Z}_{r-1}(y) - \tilde{Z}_r(y)A_3\tilde{X}_{r-1}(y)$. Set

$$w(t) = 1 + \sum_{j=p+1}^{d-1} \sum_{l=0}^j (\tau/t)^l \quad \text{for } t \in (0, \infty).$$

Let $\lambda \in (1, \infty)$ be such that $W = \tau\lambda^{d-1}w(\lambda) > e$. Now, put $y = \lambda$ in the previous construction, that is, consider:

$$\begin{aligned} g(\underline{X}, z) &= g_\lambda(\underline{X}, z), \quad \tilde{\alpha}_j = \tilde{\alpha}_j(\underline{X}, \lambda), \quad j = p, \dots, d - 1, \\ \tilde{H}(z) &= \tilde{H}_\lambda(z), \quad \tilde{X}_r = \tilde{X}_r(\lambda), \quad \tilde{Z}_r = \tilde{Z}_r(\lambda). \end{aligned}$$

Let us take the polynomial with analytic coefficients

$$\Gamma(t, z) = \|\tilde{\alpha}_p\|_{s,t} + \sum_{j=p+1}^{d-1} \|\tilde{\alpha}_j\|_{s,t} \sum_{l=0}^j z^l \quad \text{for } t \in (0, \rho').$$

Let $\tilde{W} > W$ be such that $4\tilde{W}^3 < \exp(\tilde{W})$. Take $\rho'' \in (0, \rho')$ and put

$$c = \max\{\beta_0(\rho'')\lambda^{-d}, \dots, \beta_{p-1}(\rho'')\lambda^{-d+p-1}\}.$$

Now choose $\epsilon \in (0, \rho'')$ such that $\epsilon\tilde{W} \exp(\tilde{W}) < 1$ and $\delta \in (0, \rho'')$ with $\delta c < \epsilon$. Then $\|A'_2\|_{s,\delta} \leq \delta c < \epsilon$.

The iteration

$$u_0 = 0$$

$$u_r = I(u_{r-1}), \quad r \geq 1,$$

for $I(t) = \widetilde{W}(\epsilon + x^2)$, gives us a sequence of non-negative real numbers $\{u_r\}$ such that

$$\|\widetilde{X}_r\|_{s,\delta} \leq u_r \leq \xi_0$$

where ξ_0 satisfies $I(\xi_0) = \xi_0$ and $1/(2\widetilde{W}^2) > \xi_0$. Therefore

$$\|\widetilde{Z}_r\|_{s,\delta} \leq \frac{1}{\widetilde{W}} \|\widetilde{Z}_{r-1}\|_{s,\delta}.$$

So, in particular

$$\lim_{r \rightarrow \infty} \|\widetilde{Z}_r\|_{s,\delta} = 0.$$

The sequence $\{\widetilde{X}_r\}$ has the limit $\sum_{r=1}^{\infty} \widetilde{Z}_r$ in the ring of $p \times q$ matrices with entries in $E_{\delta}^{(s)}$, therefore, also in $\mathbb{M}_{p,q}(G^{(s)})$. In consequence g can be factorized as $g(\underline{X}; z) = G_1(\underline{X}; z)G_2(\underline{X}; z)$ where $G_j \in G^{(s)}[z]$ and $j = 1, 2$. Then

$$P(\underline{X}; z) = \lambda^p G_1(\underline{X}; z/\lambda) \quad \text{and} \quad Q(\underline{X}; z) = \lambda^q G_2(\underline{X}; z/\lambda)$$

are in $G^{(s)}[z]$, as we have stated in Lemma 3.1. ■

4. The division theorems. Let $Z = (Z_1, \dots, Z_c)$ and $W = (W_1, \dots, W_d)$ be two vectors of variables over \mathbb{K} . Given a formal power series

$$f(W, Z) = \sum_{A \in \mathbb{N}^c} f_A(W)Z^A = \sum_{(A,B) \in \mathbb{N}^c \times \mathbb{N}^d} f_{AB}W^B Z^A,$$

we define the sets of exponents

$$\text{Exp}(f) = \{(A, B) \in \mathbb{N}^c \times \mathbb{N}^d : f_{AB} \neq 0\},$$

$$\text{Exp}_Z(f) = \{A \in \mathbb{N}^c : f_A(W) \neq 0\}.$$

In order to prove the Weierstrass-Hironaka theorem we will use the following lemma.

LEMMA 4.1. *Let $a > 0$, $h > 0$ and $\ell \geq 1$. The function*

$$\varphi_{\ell,a,h} : [0, \infty) \ni x \mapsto \frac{(x + \ell)^a}{h^x} \in \mathbb{R}$$

has an absolute maximum m_h such that $\varphi_{\ell,a,h}(m_h) \geq 1$. Moreover, when $h > h'$ we have

$$\varphi_{\ell,a,h'}(m_h) \leq \frac{Q_{\ell,a,h'}}{h^a},$$

where $Q_{\ell,a,h'}$ is a constant depending only on ℓ , a and h' .

Let $t = (t_1, \dots, t_d) \in [0, \infty)^d$ and $s = (s_1, \dots, s_c) \in [0, \infty)^c$. We put $(t, s) = (t_1, \dots, t_d, s_1, \dots, s_c) \in [0, \infty)^{c+d}$. If $\mu = (\mu_1, \dots, \mu_c) \in [0, \infty)^c$, then $\langle \mu, s \rangle = \sum_{j=1}^c \mu_j s_j$.

THEOREM 4.2 (the Weierstrass-Hironaka division theorem for Gevrey's rings). *Let $r \in \mathbb{N} \setminus 0$ and $A_0 = (0, \dots, 0)$, $A_1, \dots, A_r \in \mathbb{N}^c$. Consider some non-units $f_1, \dots, f_r \in \mathbb{K}[[W, Z]]_{(t,s)}$ such that for any $k \in \{1, \dots, r\}$ we have*

- (a) *The pair $(A_k, 0)$ is an element of $\text{Exp}(f_k)$.*
- (b) *If $(U, 0) \in \text{Exp}(f_k)$ then $|U| > |A_k|$.*

Take a finite partition $\{\mathcal{P}_1, \dots, \mathcal{P}_r\}$ of \mathbb{N}^c , such that $\mathcal{P}_k \subset A_k + \mathbb{N}^c$, and $A_k \in \mathcal{P}_k$ or $\mathcal{P}_k = \emptyset$ for $k = 1, \dots, r$. If A_{iq} is the q -th component of A_i , we define

$$\mu_q = \max\{A_{iq} : 1 \leq i \leq r\}$$

where $q \in \{1, \dots, c\}$, and $\mu = (\mu_1, \dots, \mu_c) \in \mathbb{N}^c$. Let $t' = (t_1 + \langle \mu, s \rangle, \dots, t_d + \langle \mu, s \rangle)$ and $s' = (|s|, \dots, |s|) \in [0, \infty)^c$. Then for each $g \in \mathbb{K}[[W, Z]]_{(t,s)}$ there exist h_0, h_1, \dots, h_r in $\mathbb{K}[[W, Z]]_{(t',s')}$ such that

- (1) $g = h_1 f_1 + \dots + h_r f_r + h_0$;
- (2) $\text{Exp}_Z(h_k Z^{A_k}) \subset \mathcal{P}_k, 0 \leq k \leq r$;
- (3) $\text{ord}_0(h_k) \geq \text{ord}_0(g) - \text{ord}_0(f_k), 1 \leq k \leq r$.

Moreover, the h_j 's are the unique formal power series satisfying (1), (2) and (3).

Proof. Write

$$f_k = \sum_{(U,V) \in \mathbb{N}^c \times \mathbb{N}^d} f_{k,U,V} W^V Z^U \quad \text{where } f_{k,U,V} \in \mathbb{K},$$

$$g = \sum_{(U,V) \in \mathbb{N}^c \times \mathbb{N}^d} g_{UV} W^V Z^U.$$

We can suppose that $f_{k,A_k,0} = 1$ and g, f_k are in $E_{1/\rho}^{(t,s)}$ for some $\rho < 1$ and all $k \in \{1, \dots, r\}$ (by Remark 2.2). Therefore, there exists $\delta > 0$ such that

$$\frac{|g_{UV}|}{U!sV!t} \leq \delta \rho^{|U|+|V|} \quad \text{and} \quad \frac{|f_{kUV}|}{U!sV!t} \leq \delta \rho^{|U|+|V|}$$

for $k = 1, \dots, r$ and $(U, V) \in \mathbb{N}^c \times \mathbb{N}^d$. It is well known (see [AHV]) that there exist $r + 1$ elements h_0, h_1, \dots, h_r in the formal power series ring $\mathbb{K}[[W, Z]]$ satisfying (1), (2) and (3). We can construct them as follows. Put

$$P_k = f_k - Z^{A_k} = \sum_{(A,B) \in \mathbb{N}^c \times \mathbb{N}^d} F_{k,A,B} W^B Z^A.$$

Then we expand g as the finite sum:

$$g = \sum_{k=1}^r h_k^{(0)} Z^{A_k} + h_0^{(0)} = \sum_{k=1}^r f_k h_k^{(0)} + h_0^{(0)} - \sum_{k=1}^r P_k h_k^{(0)},$$

where $\text{Exp}_Z(h_k^{(0)} Z^{A_k}) \subset \mathcal{P}_k$. Analogously, we write

$$- \sum_{k=1}^r P_k h_k^{(0)} = \sum_{k=1}^r h_k^{(1)} Z^{A_k} + h_0^{(1)} = \sum_{k=1}^r f_k h_k^{(1)} + h_0^{(1)} - \sum_{k=1}^r P_k h_k^{(1)},$$

where $\text{Exp}_Z(h_k^{(1)} Z^{A_k}) \subset \mathcal{P}_k$. Consequently,

$$g = \sum_{i=1}^r f_k (h_k^{(0)} + h_k^{(1)}) + (h_0^{(0)} + h_0^{(1)}) - \sum_{k=1}^r P_k h_k^{(1)}.$$

This algorithm gives us the required formal power series

$$h_k = \sum_{j=0}^{\infty} h_k^{(j)}, \quad 0 \leq k \leq r,$$

and the order conditions

$$(\nu_{kj}) \quad \text{ord}_0(h_k^{(j)} z^{A_k}) \geq |A_k| + j$$

(see [AHV] for the details). Now, each $h_k^{(j)}$ can be expanded as

$$h_k^{(j)} = \sum_{(A,B) \in \mathbb{N}^c \times \mathbb{N}^d} H_{kAB}^{(j)} W^B Z^A \quad \text{for some } H_{kAB}^{(j)} \in \mathbb{K}.$$

Moreover, we have the inductive formula.

For $j = 0$ and $k = 0, \dots, r$,

$$H_{kAB}^{(0)} = \begin{cases} g_{A+A_k, B} & \text{if } A + A_k \in \mathcal{P}_k \\ 0 & \text{otherwise.} \end{cases}$$

For $j > 0$ and $k = 0, \dots, r$,

$$H_{kAB}^{(j)} = \begin{cases} - \sum_{i=1}^r \sum_{\substack{U_1+U_2=A+A_k \\ V_1+V_2=D}} F_{iU_1V_1} H_{iU_2V_2}^{(j-1)} & \text{if } A + A_k \in \mathcal{P}_k \\ 0 & \text{otherwise.} \end{cases}$$

If $N = \max\{A_{iq} : 0 \leq i \leq r, 1 \leq q \leq c\}$, then we choose

(1) a real number K satisfying $K \geq 2r\delta \prod_{i=1}^r A_i!^s / (1-\rho)^c$ and greater than each of the numbers $2, 2 \max\{|g_{A_k,0}| : k = 1, \dots, r\}$ and

$$\delta \prod_{\{(i,q): s_q A_{iq} \neq 0\}} \varphi_{iq}(m_{iq}),$$

where φ_{iq} is the function from Lemma 4.1 for $a = s_q A_{iq}, \ell = A_{iq}$ and $h = N$, and m_{iq} is its maximum;

(2) a real number T greater than $\max\{N, (r\delta/2)^{1/c}, (2r\delta Q'/(1-\rho)^c)^{1/\sigma}\}$, where $\sigma = \min\{\langle A_1, s \rangle, \dots, \langle A_r, s \rangle\} > 0$ and

$$Q' = \max \left\{ \prod_{\{q: s_q A_{iq} \neq 0\}} Q_{A_{iq}, s_q A_{iq}, N} : i = 1, \dots, r \right\}$$

where $Q_{A_{iq}, s_q A_{iq}, N}$ is the constant from Lemma 4.1 for $a = s_q A_{iq}, \ell = A_{iq}$ and $h' = N$;

(3) a real number $R > 1 + \frac{T^{c(1+|\mu|)}}{(1-\rho)^d}$.

We will prove the following inequalities by induction:

$$(*) \quad |H_{iCD}^{(j)}| \leq K T^{c|C|} R^{|D|} D!^t \prod_{q=1}^c (|C| + \mu_q |D|)!^{s_q}$$

for $i = 0, \dots, r, j \in \mathbb{N}$ and $(C, D) \in \mathbb{N}^c \times \mathbb{N}^d$. Observe that this implies the theorem⁽³⁾. In fact, for $t' = (t_1 + \langle \mu, s \rangle, \dots, t_c + \langle \mu, s \rangle)$ and $s' = (|s|, \dots, |s|) \in [0, \infty)^d$, the series $|\widehat{\mathcal{B}}_{(t', s')}| h_i^{(j)}$ defines a real analytic function on $(-\epsilon, \epsilon) \times \dots \times (-\epsilon, \epsilon)$, whenever

⁽³⁾ Because the number $(|C| + \mu_1 |D|)!^{s_1} \dots (|C| + \mu_c |D|)!^{s_c}$ is smaller than or equal to

$$d^{d\langle s, \mu \rangle} C!^{s'} D!^{\langle s, \mu \rangle} c^{|s|} |C| N^{\langle s, \mu \rangle} |D|.$$

$\epsilon < \min\{1/T^c, 1/R\}$. Then the real analytic functions of one variable

$$\xi_{ij}(x) = |\widehat{\mathcal{B}}_{(t',s')}| h_i^{(j)}(x, \dots, x) \quad \text{for } |x| < \epsilon,$$

can be factorized as $\xi_{ij}(x) = x^j \beta_{ij}(x)$, by the order conditions (ν_{ij}) . The functions β_{ij} are positive and strictly increasing in $(0, \epsilon)$. Moreover, the inequalities $(*)$ imply that there exists a constant⁽⁴⁾ $E > 0$ such that $\beta_{ij}(x) \leq Ex^{-j}$ in $(0, \epsilon)$. Hence for $i = 0, \dots, r$ and $j \in \mathbb{N}$ we get

$$||h_i^{(j)}||_{(t',s'),x} = \xi_{ij}(x) \leq x^j \beta_{ij}(\epsilon) \leq E \left(\frac{x}{\epsilon}\right)^j, \quad \text{when } x \in (0, \epsilon).$$

Consequently $h_i = \sum_{j=0}^{\infty} h_i^{(j)} \in G^{(t',s')}$, as we have quoted in the theorem.

In order to prove the inequalities $(*)$, we distinguish two cases. If $j = 0$ and $|D| \neq 0$, we have the bounds

$$|H_{iCD}^{(0)}| \leq \delta D!^t \prod_{q=1}^c (C_q + A_{iq})!^{s_q} \leq K T^{c|C|} R^{|D|} D!^t \prod_{q=1}^c (|C| + \mu_q |D|)!^{s_q}.$$

Moreover, it is easy to see that

$$|H_{0C0}^{(0)}| \leq K T^{c|C|} \prod_{q=1}^c |C|!^{s_q} \quad \text{and} \quad |H_{i00}^{(0)}| \leq K.$$

In order to achieve the desired inequality for $|H_{iC0}^{(0)}|$ we observe that

$$\begin{aligned} |H_{iC0}^{(0)}| &\leq \delta \left(\prod_{\substack{1 \leq q \leq c \\ s_q A_{iq} \neq 0}} T^{C_q} \right) \left(\prod_{\substack{1 \leq q \leq c \\ s_q A_{iq} \neq 0}} \frac{(C_q + A_{iq})!^{s_q}}{T^{C_q}} \right) \left(\prod_{\substack{1 \leq q \leq c \\ A_{iq} = 0}} C_q!^{s_q} \right) \\ &\leq \delta T^{c|C|} \left(\prod_{\substack{1 \leq q \leq c \\ s_q A_{iq} \neq 0}} \varphi_{iq}(m_{iq}) \right) C!^s \leq K |C|!^{|s|} T^{c|C|}. \end{aligned}$$

If $j > 0$, set

$$\begin{aligned} S_1 &= \sum_{k=1}^r \sum_{\substack{0 \leq U \leq C+A_k \\ 0 \leq V \leq D, V \neq 0}} |F_{kUV}| \cdot |H_{k,C+A_k-U,D-V}^{(j-1)}|, \\ S_2 &= \sum_{k=1}^r \sum_{\substack{0 \leq U \leq C+A_k \\ |U| > |A_k|}} |F_{kU0}| \cdot |H_{k,C+A_k-U,D}^{(j-1)}|. \end{aligned}$$

Hence, if we have bounds for S_1 and S_2 , so we have for $|H_{iCD}^{(j)}|$. By the induction hypothesis

$$S_1 \leq \delta K D!^t T^{c|C|} R^{|D|} \prod_{q=1}^c (|C| + \mu_q |D|)!^{s_q} \sum_{k=1}^r T^{c|A_k|} \sum_{\substack{0 \leq U \leq C+A_k \\ 0 \leq V \leq D, V \neq 0}} \left(\frac{\rho}{T}\right)^{|U|} \frac{\rho^{|V|}}{R}.$$

⁽⁴⁾ Observe that it is independent of i and j .

Therefore, as $\rho < 1$ and $T > \rho$, we get the inequality

$$S_1 \leqslant KT^{c|C|}R^{|D|} \frac{\delta r}{(T - \rho)^c} \cdot \frac{T^{c(1+|\mu|)}}{R(1 - \rho)^d} D!^t \prod_{q=1}^c (|C| + \mu_q |D|)^{s_q}.$$

Consequently, $S_1 \leqslant KT^{c|C|}R^{|D|}D!^t \prod_{q=1}^c (|C| + \mu_q |D|)^{s_q} / 2$. The expression S_2 is bounded by

$$\delta KD!^t R^{|D|} T^{c|C|} \sum_{k=1}^r \sum_{\substack{0 \leqslant W \leqslant C + A_k \\ |W| < |C|}} \rho^{|C + A_k - W|} \prod_{q=1}^c \frac{(C_q + A_{kq} - W_q)^{s_q} (|W| + \mu_q |D|)^{s_q}}{T^{|C - W|}}.$$

When $s_q A_{kq} > 0$, Lemma 4.1 implies the inequality

$$\frac{(C_q + A_{kq} - W_q)^{s_q} (|W| + \mu_q |D|)^{s_q}}{T^{|C - W|}} \leqslant \frac{Q_{A_{kq}, A_{kq} s_q, N}}{T^{s_q A_{kq}}} |C - W|^{s_q} (|W| + \mu_q |D|)^{s_q}.$$

Hence, $S_2 \leqslant KT^{c|C|}R^{|D|}D!^t \prod_{q=1}^c (|C| + \mu_q |D|)^{s_q} / 2$, and we get our statement (*). ■

Remark 4.3. There are some special cases where we can divide without changing the Gevrey ring. For instance:

- (1) Case $s = 0 \in \mathbb{K}^c$. Observe that this improves the result obtained by applying the henselian Weierstrass division theorem to this case (see [H], page 72), since the ring $B = \mathbb{K}[[W]]_t$ is henselian and the henselianization of the local ring $B[Z]_{(W, Z)}$ is $\mathbb{K}[[W, Z]]_{(t, 0)}$.
- (2) Case when g and the f_j are in $(\mathbb{K}[[W]]_{t,+})[[Z]]_{0,+}$.

The division and preparation theorems for the Gevrey rings can be obtained as corollaries⁽⁵⁾.

THEOREM 4.4 (the division theorem for Gevrey’s rings). *Let $s = (s_1, \dots, s_n) \in [0, \infty)^n$ and $d \in \mathbb{N}$, $d \neq 0$. Let a formal power series*

$$f = \sum_{j=0}^{\infty} F_j(X_1, \dots, X_{n-1}) X_n^j \in \mathbb{K}[[X_1, \dots, X_n]]_s$$

satisfy $F_j(0, \dots, 0) = 0$ for $0 \leqslant j \leqslant d - 1$, and $F_d(0, \dots, 0) \neq 0$. Let $\widehat{s}' = (s_1 + ds_n, \dots, s_{n-1} + ds_n)$ and $s' = (\widehat{s}', s_n)$. Then for each $g \in \mathbb{K}[[X_1, \dots, X_n]]_s$ there exist $q \in \mathbb{K}[[X_1, \dots, X_n]]_{s'}$ and $r \in \mathbb{K}[[X_1, \dots, X_{n-1}]]_{\widehat{s}'}[[X_n]]$ such that

$$g = qf + r \quad \text{and} \quad \deg_{X_n} r \leqslant d - 1.$$

Recall that a formal power series $f(X_1, \dots, X_n) \in \mathbb{K}[[X_1, \dots, X_n]]$ is X_n -regular of order d if the order of $f(0, \dots, 0, X_n) \in \mathbb{K}[[X_n]]$ is d for the valuation given by the maximal ideal of $\mathbb{K}[[X_n]]$. Moreover, we say that f is X_n -regular, if it is X_n -regular of order $\text{ord}_0 f$.

⁽⁵⁾ See [Z2] for a simpler proof.

THEOREM 4.5 (the preparation theorem for Gevrey’s rings). *Let $f \in \mathbb{K}[[X_1, \dots, X_n]]_s$ for some $s = (s_1, \dots, s_n) \in [0, \infty)^n$, and $d = \text{ord}_0(f)$. If f is X_n -regular, then there exist a unit $u \in \mathbb{K}[[X_1, \dots, X_n]]_{s'}$ and a_1, \dots, a_{d-1} in $\mathbb{K}[[X_1, \dots, X_{n-1}]]_{\widehat{s}}$ such that*

$$f = u \cdot \left(X_n^d + \sum_{j=0}^{d-1} a_j X_n^j \right)$$

where $\widehat{s} = (s_1 + ds_n, \dots, s_{n-1} + ds_n)$ and $s' = (\widehat{s}, s_n)$.

Now, fix independent variables X_1, \dots, X_n over \mathbb{K} , and consider the ring

$$\mathbb{G} = \bigcup_{s \geq 0} G^{(s)}.$$

We call it *the Gevrey ring (of dimension n)*. In fact, \mathbb{G} is a local regular ring of dimension n and it is also a henselian unique factorization domain. The normalization theorem can be proved for \mathbb{G} analogously to the case of the convergent power series rings ([N]). Moreover it is an excellent ring (see [Mt], page 291). So, it has the approximation property, by [R], that is:

THEOREM 4.6. *Given a finite family of polynomials $P_i(Y_1, \dots, Y_m)$ in $\mathbb{G}[Y_1, \dots, Y_m]$, for $i = 1, \dots, r$, and a formal solution $(\widehat{y}_1, \dots, \widehat{y}_m)$ in $\mathbb{K}[[X_1, \dots, X_n]]^m$ of the system*

$$(*) \quad P_i(Y_1, \dots, Y_m) = 0, \quad i = 1, \dots, r,$$

there exists a solution (y_1, \dots, y_m) of $()$ in \mathbb{G}^m .*

Similarly to the convergent power series rings, we have in \mathbb{G} a theorem of implicit series and a theorem of inverse series. More precisely, we have the following results:

THEOREM 4.7 (the implicit series theorem). *Let n, m be two positive integers, and $X_1, \dots, X_n, Z_1, \dots, Z_m$ independent variables over \mathbb{K} . Given $s = (s_1, \dots, s_{n+m})$ in $[0, \infty)^{n+m}$, we put $r = \max\{s_i : 1 \leq i \leq n + m\}$. Let*

$$f_j(X_1, \dots, X_n, Z_1, \dots, Z_m) \in \mathbb{K}[[X_1, \dots, X_n, Z_1, \dots, Z_m]]_s \quad \text{for } j = 1, \dots, n,$$

with $\det \left(\frac{\partial f_i}{\partial X_j}(0) \right)_{i,j} \neq 0$ and $f_j(0) = 0$. Then there exist ξ_1, \dots, ξ_n in $\mathbb{K}[[Z_1, \dots, Z_m]]_{2nr}$ such that

$$f_j(\xi_1, \dots, \xi_n, Z_1, \dots, Z_m) = 0 \quad \text{for } j = 1, \dots, n.$$

Proof. For $n = 1$ the statement is an easy consequence of the division theorem (Thm. 4.4). We can suppose that $\frac{\partial f_n}{\partial X_n}(0) \neq 0$, and then we have the equalities

$$\begin{aligned} f_n &= u \cdot (X_n - \xi(X_1, \dots, X_{n-1}, \underline{Z})), \\ f_j &= q_j f_n + r_j(X_1, \dots, X_{n-1}, \underline{Z}) \quad \text{for } j = 1, \dots, n - 1, \end{aligned}$$

with ξ, r_j in $\mathbb{K}[[X_1, \dots, X_{n-1}]]_{2r}$. Moreover, we get

$$\begin{aligned} \frac{\partial f_n}{\partial X_n}(0) &\neq 0, \\ \frac{\partial f_j}{\partial X_n}(0) &= q_j(0) \frac{\partial f_n}{\partial X_n}(0) \quad \text{for } j = 1, \dots, n-1, \\ \frac{\partial f_j}{\partial X_k}(0) &= q_j(0) \frac{\partial f_n}{\partial X_k}(0) + \frac{\partial r_j}{\partial X_k}(0) \quad \text{for } j = 1, \dots, n-1. \end{aligned}$$

The matrix $\left(\frac{\partial r_j}{\partial X_k}(0)\right)_{j,k}$ is invertible. Hence, by induction, there exist ξ_1, \dots, ξ_{n-1} in $\mathbb{K}[[Z]]_{2^{n-1}r}$ such that $r_j(\xi_1, \dots, \xi_{n-1}, Z) = 0$. Now put $\xi_n = \xi(\xi_1, \dots, \xi_{n-1}, Z)$. This is an element of $\mathbb{K}[[Z]]_{2^{n-1}r}$, by the evaluation property. So, ξ_1, \dots, ξ_n are the required series. ■

LEMMA 4.8. *Let $X_1, \dots, X_n, Z_1, \dots, Z_n$ be independent variables over \mathbb{K} , and \mathbb{G} the Gevrey ring of dimension n in the variables X_j . Let $f_1, \dots, f_n \in \mathbb{K}[[X_1, \dots, X_n]]_{(s_1, \dots, s_n)}$ be such that the determinant $\det\left(\frac{\partial f_i}{\partial X_j}(0)\right) \neq 0$. Put $r = \max\{s_1, \dots, s_n\}$. Then there exist ξ_1, \dots, ξ_n in $\mathbb{K}[[Z]]_{2^{n-1}r}$ such that $f_j(\xi_1, \dots, \xi_n) = Z_j$ for $j = 1, \dots, n$.*

Proof. Take $g_j = f_j - Z_j$ and apply the implicit series theorem. ■

We have the following corollary to the previous lemma:

THEOREM 4.9 (the inverse series theorem). *Let $f_1, \dots, f_n \in \mathbb{K}[[X_1, \dots, X_n]]_{(s_1, \dots, s_n)}$ be such that $\det\left(\frac{\partial f_i}{\partial X_j}(0)\right) \neq 0$. Put $r = \max\{s_1, \dots, s_n\}$. Then there exist ξ_1, \dots, ξ_n in $\mathbb{K}[[Z]]_{2^{n-1}r}$ such that $f_j(\xi_1, \dots, \xi_n) = X_j$ for $j = 1, \dots, n$.*

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