

Added in proof

The conclusion of Lemma 3 remains valid for every Banach space E with the b.a.p. and every total subspace V of E^* with $\text{codim } E^*/V < \infty$ (see L. D. Menihes and A. L. Pličko, *Conditions of linear and finite-dimensional regularizability of linear inverse problems*, Dokl. Akad. Nauk SSSR 241 (1978), pp. 1027–1030 (Russian)). Consequently, the answer to the last question above is affirmative.

References

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**A RELATION BETWEEN FOURIER TRANSFORMS
 IN ONE AND TWO VARIABLES**

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Let $\psi \in C^\infty(I)$ be real-valued, where $I = [0, 1]$; define $\gamma: I \rightarrow \mathbb{R}^2$ by

$$\gamma(t) = (t, \psi(t)), \quad t \in I,$$

and let Γ denote the curve $\gamma(I)$. We let dS denote the arc length measure on Γ and set

$$Sf(x) = \int_{\Gamma} e^{ix \cdot t} f(t) dS(t), \quad f \in L^1(\Gamma; dS), \quad x \in \mathbb{R}^2.$$

It follows from the restriction theorem of C. Fefferman and E. M. Stein that

$$(1) \quad \|S(f \circ \gamma^{-1})\|_{L^q(\mathbb{R}^2)} \leq C_{q,p} \|f\|_{L^p(\mathbb{R})}, \quad f \in C^\infty(\mathbb{R}),$$

for $4 < q < \infty$ and $q/(q-3) \leq p \leq \infty$, if Γ has non-vanishing curvature at every point (see Fefferman [2], Hörmander [4] and Zygmund [8]).

We define an operator Q in the following way. Let ϕ be a fixed function in $C_0^\infty(\mathbb{R})$ with support contained in $(0, 1)$ and set $Qf = S((\phi \hat{f}) \circ \gamma^{-1})$, $f \in C^\infty(\mathbb{R})$, where $\hat{f}(u) = \int e^{-iut} f(t) dt$. We shall here study the problem of determining for what values of α the estimate

$$(2) \quad \left(\int_{\mathbb{R}^2} |Qf(x)|^p (1+|x|)^{-\alpha} dx \right)^{1/p} \leq C_p \|f\|_{L^p(\mathbb{R})}$$

is valid for all Γ and ϕ of the above type (here C_p may depend on Γ and ϕ , but not on f , and we do not assume that Γ has non-vanishing curvature). It turns out that the operator Q is closely related to the Fourier multipliers $[(y - \psi(x))_+]^\alpha \varrho(x, y)$, $\alpha > 0$, $\varrho \in C_0^\infty(\mathbb{R}^2)$, studied in Carleson and Sjölin [1], Fefferman [3], Hörmander [4] and Sjölin [6]. We shall prove the following theorem.

THEOREM 1. *Let Q be defined as above. Then (2) holds for*

$$(3) \quad 1 \leq p \leq 2 \quad \text{and} \quad \alpha > 2 - p/2,$$

$$(4) \quad 2 < p \leq 4 \quad \text{and} \quad \alpha > 1$$

and for

$$(5) \quad 4 < p < \infty \quad \text{and} \quad \alpha > p/2 - 1.$$

We shall also prove that the conditions on α in (3) and (4) can not be relaxed and that it is not possible to have $\alpha < p/2 - 1$ in (5). In the case $p = 2$ the above estimate is a consequence of Plancherel's theorem. To treat the case $p > 2$ we shall use the following lemma which is proved in [6].

LEMMA 1. Let ϕ and $\psi \in C^\infty(I)$ and assume that ψ is real-valued. Set

$$K_N(x) = N \int_I e^{iN(x_1 u + x_2 \psi(u))} \phi(u) du, \quad x = (x_1, x_2) \in \mathbb{R}^2, N \geq 2,$$

and

$$T_N f(x) = \int_0^1 K_N(x_1 - t, x_2) f(t) dt, \quad f \in L^1(0, 1).$$

Then, if $4 < p \leq \infty$ and $\varepsilon > 0$, there exists a constant C_p , depending only on ψ, ϕ, p and ε , such that

$$\|T_N f\|_{L^p(D)} \leq C_p N^{1/2 - 2/p + \varepsilon} \|f\|_{L^p(0,1)},$$

where $D = \{x \in \mathbb{R}^2; |x_i| \leq 10, i = 1, 2\}$.

Theorem 1 is a consequence of the following lemma.

LEMMA 2. Let ϕ and $\psi \in C^\infty(I)$ and assume that ψ is real-valued and ϕ has support in the interior of I . Set

$$P f(x) = \int_I e^{i(x_1 u + x_2 \psi(u))} \phi(u) \hat{f}(u) du, \quad f \in C_0^\infty(\mathbb{R}), x \in \mathbb{R}^2.$$

Then

$$(6) \quad \left(\int_{\mathbb{R}^2} |P f(x)|^p (1 + |x|)^{-\alpha} dx \right)^{1/p} \leq C_p \|f\|_{L^p(\mathbb{R})},$$

if p and α satisfy the conditions in Theorem 1.

Proof. Case 1. $p = 2$. From Plancherel's theorem it follows that for every x_2 ,

$$\int |P f(x)|^2 dx_1 = \frac{1}{2\pi} \int |\phi(u)|^2 |\hat{f}(u)|^2 du \leq C \int |\hat{f}(u)|^2 du = C \int |f(t)|^2 dt$$

and we obtain (6) with $p = 2$ if $\alpha > 1$.

Case 2. $p = 1$. We assume $\alpha > 3/2$. The Schwartz inequality and the first equality in Case 1 yield

$$\begin{aligned} \int |P f(x)| (1 + |x|)^{-\alpha} dx &\leq \left(\int |P f(x)|^2 (1 + |x|)^{-2\alpha/3} dx \right)^{1/2} \left(\int (1 + |x|)^{-4\alpha/3} dx \right)^{1/2} \\ &\leq C \left(\int |\phi(u)|^2 |\hat{f}(u)|^2 du \right)^{1/2} \leq C \|\hat{f}\|_{L^\infty(\mathbb{R})} \leq C \|f\|_{L^1(\mathbb{R})}, \end{aligned}$$

since $2\alpha/3 > 1$ and $4\alpha/3 > 2$.

Case 3. $1 < p < 2$. The desired estimate follows from interpolation between the cases $p = 1$ and $p = 2$ (see Stein [7]).

Case 4. $4 < p < \infty$. For $l = 0, 1, 2, \dots$ we let Ω_l denote the set of all intervals $\omega_{lk} = (k2^l, (k+1)2^l), k \in \mathbb{Z}$, and Ω_l^* the set of all intervals $\omega_{lk}^* = (k2^l, (k+2)2^l)$,

$k \in \mathbb{Z}$. For $x \in \mathbb{R}_+^2 = \{x \in \mathbb{R}^2; x_2 > 0\}$ let $\omega_l^*(x)$ denote the interval in Ω_l^* which contains x_1 in its middle half, and let $n(x)$ be an integer defined by $2^{n(x)-1} \leq x_2 < 2^{n(x)}$, if $x_2 \geq 1$, and $n(x) = 0$, if $0 < x_2 < 1$. We set $\omega_l(x) = \omega_{l+1}^*(x) \setminus \omega_l^*(x)$ for $l > n(x)$ and $\omega_l(x) = \omega_{l+1}^*(x)$ if $l = n(x)$. It follows from the construction that, for $l > n(x)$, $\omega_l(x)$ is the union of two intervals $\omega_{lk}^*(x)$ and $\omega_{lk}^*(x)$ belonging to Ω_l , and that there exist two positive constants c_1 and c_2 such that $c_1 2^l < \text{dist}(x, \omega_{lk}^*(x)) < c_2 2^l$, for $i = 1, 2$. For $l = n(x) > 0$, $\omega_l(x)$ is a union of four intervals $\omega_{lk}^*(x)$ which also satisfy the above inequality. We have

$$P f(x) = \int \left(\int e^{i(x_1 - t)u + x_2 \psi(u)} \phi(u) du \right) f(t) dt$$

and we denote the inner integral by $K(x_1 - t, x_2)$. For $x_2 > 0$ we have

$$(7) \quad \begin{aligned} P f(x) &= \sum_{l=n(x)}^{\infty} \int_{\omega_l(x)} K(x_1 - t, x_2) f(t) dt \\ &= \sum_{l=0}^{\infty} \sum_{k=-\infty}^{\infty} \chi_{lk}(x) \int_{\omega_{lk}} K(x_1 - t, x_2) f(t) dt, \end{aligned}$$

where χ_{lk} is the characteristic function of the set $E_{lk} = \{x \in \mathbb{R}_+^2; \omega_{lk} \subset \omega_l(x)\}$. From the above inequalities it follows that there exist positive constants c_3 and c_4 such that

$$E_{lk} \subset \{x; c_3 2^l < \text{dist}(x, \omega_{lk}) < c_4 2^l\} \quad \text{if } l > 0.$$

We denote the last sum in (7) by $P_l f(x)$ so that $P f(x) = \sum_{l=0}^{\infty} P_l f(x)$. The Hölder inequality yields

$$(8) \quad |P_l f(x)|^p \leq C \sum_{k=-\infty}^{\infty} \chi_{lk}(x) \left| \int_{\omega_{lk}} K(x_1 - t, x_2) f(t) dt \right|^p,$$

since there exists a constant A such that, for every l and every $x \in \mathbb{R}_+^2$ x is contained in at most A of the sets $E_{lk}, k \in \mathbb{Z}$. Let

$$E'_{lk} = \{x \in E_{lk}; x_2 > b(k2^l - x_1) \text{ and } x_2 > b(x_1 - (k+1)2^l)\}$$

and $E''_{lk} = E_{lk} \setminus E'_{lk}$, where we choose the positive constant b so small that $|x_1 - t + x_2 \psi(u)| > b_0 2^l$ for $t \in \omega_{lk}, x \in E''_{lk}, u \in I$ and some constant $b_0 > 0$. Then

$$(9) \quad x_2 > c_5 2^l, \quad x \in E'_{lk}, \quad l > 0,$$

where c_5 is a positive constant. Denoting the integral in (8) by $P_{lk} f(x)$, we shall prove that

$$(10) \quad \left(\int_{E_{lk}} |P_{lk} f(x)|^p (1 + x_2)^{-\alpha} dx \right)^{1/p} \leq C_p 2^{k(1/2 - 1/p - \alpha/p + \varepsilon)} \left(\int |f(t)|^p dt \right)^{1/p}$$

and from translation invariance we may assume that $k = 0$. Using the notation of

Lemma 1 we have

$$P_{10}f(x) = \int_0^1 K_N(x'_1 - t', x'_2) f(Nt') dt',$$

where $N = 2^l$ and $x = Nx'$. Lemma 1 yields

$$\begin{aligned} \left(\int_{E'_{lk}} |P_{10}f(x)|^p dx \right)^{1/p} &\leq \left(\int_{C_1 D} |P_{10}f(Nx')|^p dx' \right)^{1/p} N^{2/lp} \\ &\leq C_p N^{1/2+\epsilon} \left(\int_0^1 |f(Nt')|^p dt' \right)^{1/p} \leq C_p N^{1/2-1/p+\epsilon} \left(\int_{\omega_{10}} |f(t)|^p dt \right)^{1/p}, \end{aligned}$$

where we have used the obvious fact that Lemma 1 holds also if the square D is replaced by $C_1 D = \{C_1 x; x \in D\}$, for some constant C_1 . Using (9), we now obtain (10) with E_{lk} replaced by E'_{lk} . For $t \in \omega_{1k}$, $x \in E'_{lk}$ it follows from repeated partial integrations in the integral defining K that $|K(x_1 - t, x_2)| \leq CN^{-10}$, since $|x_1 - t + x_2 p'(u)| > b_0 N$ for $u \in I$. Hence (10) holds with E_{lk} replaced by E'_{lk} and (10) is completely proved. From (8) and (10) it follows that

$$\int_{R_+^2} |P_l f(x)|^p (1 + |x_2|)^{-\alpha} dx \leq C_p 2^{l(p/2-1-\alpha+pe)} \int |f(t)|^p dt$$

and, since $\alpha > p/2 - 1$, we can choose ϵ so small that $p/2 - 1 - \alpha + p\epsilon = -\delta$, where $\delta > 0$. Hence

$$\left(\int_{R_+^2} |P_l f(x)|^p (1 + |x_2|)^{-\alpha} dx \right)^{1/p} \leq C_p 2^{-l\delta/p} \|f\|_{L^p(R)}$$

(and the same inequality holds, if R_+^2 is replaced by R^2) and summing over l we obtain Lemma 2 in the case $4 < p < \infty$.

Case 5. $2 < p \leq 4$. Interpolation between the cases $p = 2$ and $p > 4$ gives the desired estimate.

The proof of Theorem 1 is complete.

We shall finally give a description of the counterexamples mentioned after the statement of Theorem 1. It is clear that, for every value of $p, \alpha > 1$ is a necessary condition for (2) to hold. This follows from the case when Γ is a straight line segment. A counterexample for $1 \leq p < 2$ is obtained by assuming that Γ has positive curvature and choosing f and ϕ so that $\hat{f}\phi = 1$ in an interval. Then $|Qf(x)| \geq c|x|^{-1/2}$, where c is a positive constant, when $|x|$ is large and x belongs to a certain cone with vertex at the origin (see e.g. Littman [5]). If (2) holds, the integral $\int_{|x|>1} |x|^{-p/2-\alpha} dx$

has to be convergent, and hence $\alpha > 2 - p/2$.

It remains to treat the case $4 < p < \infty$. A counterexample can be obtained from the connection between the operator Q and the multipliers mentioned above, but we shall give a direct argument (cf. [4], pp. 9-10).

We set

$$Pf(x) = \int_{-1}^1 e^{i(x_1 u + x_2 u^2)} \phi(u) \hat{f}(u) du,$$

where $\phi \in C_0^\infty(R)$ has support in the interior of $[-1, 1]$ and $\phi(u) = 1$ for $|u| \leq 1/2$, and assume that inequality (6) in Lemma 2 holds. We shall prove that then necessarily $\alpha \geq p/2 - 1$. We choose $f(t) = g(t/N) e^{it^2/N}$, where $g \in C_0^\infty(R)$ equals 1 in a neighbourhood of the origin. Then $\|f\|_{L^p(R)} = C_p N^{1/p}$ and

$$Pf(Nx) = N \int e^{iN(x_1 u - tu + x_2 u^2 + t^2)} g(t) \phi(u) dt du.$$

Setting $v = t - u/2$ we obtain $x_1 u - tu + x_2 u^2 + t^2 = x_1 u + (x_2 - 1/4)u^2 + v^2$. It therefore follows from the stationary phase method that

$$|Pf(Nx)| \geq c_1 |x_2 - 1/4|^{-1/2} \quad \text{for } |x_1| < c_2 |x_2 - 1/4|, \quad c_3 < N |x_2 - 1/4|,$$

where c_1, c_2, c_3 are positive constants. Hence $|Pf(x)| > c_1 N^{1/2} |x_2 - N/4|^{-1/2}$ for $|x_1| < c_2 |x_2 - N/4|, c_3 < |x_2 - N/4|$. It follows that

$$\int |Pf(x)|^p (1 + |x|)^{-\alpha} dx \geq c_4 N^{p/2-\alpha},$$

where c_4 is a positive constant. Inequality (6) yields $N^{p/2-\alpha} \leq C_p N$, but this can hold for large values of N only if $\alpha \geq p/2 - 1$.

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