

THE DOUADY–EARLE EXTENSION OF QUASIHOMOGRAPHIES

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Abstract. Quasihomography is a useful notion to represent a sense-preserving automorphism of the unit circle T which admits a quasiconformal extension to the unit disc. For $K \geq 1$ let $A_T(K)$ denote the family of all K -quasihomographies of T . With any $f \in A_T(K)$ we associate the Douady–Earle extension E_f and give an explicit and asymptotically sharp estimate of the L_∞ norm of the complex dilatation of E_f .

Introduction. Let A_T denote the family of all sense-preserving automorphisms of the unit circle T . With any $f \in A_T$ we associate the Douady–Earle extension E_f which is a homeomorphic automorphism of the unit disc Δ and has a continuous extension to f on the boundary $T = \partial\Delta$ (see [DE] and [LP]). If $z \in \Delta$ and $f \in A_T$, then $E_f(z)$ is the unique $w \in \Delta$ such that

$$(0.1) \quad \int_T \left(\frac{f(\zeta) - w}{1 - \bar{w}f(\zeta)} \right) \frac{(1 - |z|^2)}{|z - \zeta|^2} |d\zeta| = 0.$$

Moreover, the correspondence $f \mapsto E_f$ is conformally natural in the sense that

$$(0.2) \quad E_{h_1 \circ f \circ h_2} = h_1 \circ E_f \circ h_2$$

holds for any $f \in A_T$ and all Möbius transformations h_1, h_2 , which map Δ onto itself.

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The property that a given $f \in A_T$ admits a quasiconformal extension to Δ is equivalent to the assumption that f is a quasihomography (see [Z1]). For $K \geq 1$, we denote by $A_T(K)$ the family of all $f \in A_T$ that are K -quasihomographies (see Chap. 1).

Starting with an automorphism f of T , which is the boundary automorphism of a given K -quasiconformal mapping of Δ onto itself, Douady and Earle proved that, given $\varepsilon > 0$, there exists $\delta > 0$ such that $\mathbf{K}(E_f) \leq 4^{3+\varepsilon}$ for $1 \leq K \leq 1 + \delta$ (see [DE, Corollary 2]). Their explicit estimate starts from $4 \cdot 10^8 e^{35}$, for K near 1.

Making some refinements and using more subtle tools, Partyka obtained an asymptotically sharp estimate for $\mathbf{K}(E_f)$ (see [P1, Theorem 3.1]), improving the result of Douady and Earle for $1 \leq K < 50$. Using the notion of quasisymmetry for unit circle, introduced by Krzyż [K], he considered also, as the starting point, a given ρ -quasisymmetric automorphism f of T .

It is very natural from different points of view if we may extend an automorphism f of T that satisfies certain condition on T only, and next to study how particular properties of such an f effects the extension.

Rotation, but not conformally invariant notion of quasisymmetry of T , mentioned above, is meaningless in these considerations. This is mostly because neither there exists $\rho \geq 1$ such that boundary values of Möbius automorphisms of Δ are ρ -quasisymmetric (see [Z1, Example]), nor ρ -quasisymmetric automorphisms of T represent uniformly boundary values of K -quasiconformal automorphisms of Δ , for any $K \geq 1$.

We assume that a given automorphism f of T is a K -quasihomography (\equiv 1-dimensional K -quasiconformal mapping) of T , $K \geq 1$. The family $A_T(K)$, $K \geq 1$, representing uniformly K -quasiconformal mappings, with the same K of necessity, is conformally invariant under composition and thus very natural with respect to the Douady–Earle extension.

Developing in Sect. 1 the argument of normal families in A_T in a way related to the Douady–Earle extension and introducing necessary functionals, defined on families of K -quasihomographies of T , we estimate in Theorem 3 the L_∞ -norm of the complex dilatation μ_{E_f} for the Douady–Earle extension of a given K -quasihomography f of T , with K close to 1. In Corollary 3 we describe an asymptotically sharp estimate of $\mathbf{K}(E_f)$, expressed explicitly by (2.20), for K close to 1.

In order to be in contact with results mentioned above we give, in Theorem 2, a relation between some important families in $A_T(K)$ and functions ρ -quasisymmetric on the unit circle.

1. Normal families in A_T . Let Δ be the unit disc in the complex plane \mathbb{C} and $T = \partial\Delta$ be the unit circle. We consider the family A_T of all sense-preserving automorphisms of T as a subspace of the Banach space C_T of all complex-valued continuous functions on T , with the supremum norm. In this section, we first discuss normality of certain subfamilies of A_T . As an application, we shall then show that some subfamilies of K -quasihomographies on T , which play an important role for our purpose, turn out to be families of ρ -quasisymmetric functions of T where ρ depends on K only.

For $f \in A_T$, we denote by E_f the Douady–Earle extension of f to Δ .

LEMMA 1. *The functional $E_f(0)$ is continuous on A_T . ([DE, Prop. 2]).*

For every r , $0 \leq r < 1$, we denote by $F_T(r)$ the family of all $f \in A_T$ satisfying $|E_f(0)| \leq r$. A family F in A_T is said to be a normal family if F is relatively compact in A_T . Thus a family F in A_T is a normal family if and only if for any infinite sequence $\{f_n\}$ in F , there exists a subsequence $\{f_{n_i}\}$ which converges to some f in A_T .

LEMMA 2. *Let F be a family in A_T . Then F is normal family in A_T if and only if F is equicontinuous on T and there exists r , $0 \leq r < 1$, such that $\overline{F} \subset F_T(r)$, where \overline{F} is the closure of F in the Banach space C_T .*

Proof. We note that by the Ascoli–Arzela’s theorem, a family G in C_T is a normal family in C_T if and only if G is uniformly bounded and equicontinuous on T . Suppose that F is a normal family in A_T . By definition, it then follows that \overline{F} is compact and $\overline{F} \subset A_T$. Thus, by Lemma 1, there exists some $f_0 \in \overline{F}$ such that $|E_{f_0}(0)| = \sup_{f \in \overline{F}} |E_f(0)|$. Then F is equicontinuous and $\overline{F} \subset F_T(r)$, where $r = |E_{f_0}(0)|$.

On the contrary, suppose that F is equicontinuous on T and that $\overline{F} \subset F_T(r)$ for some r , $0 \leq r < 1$. Then F is a normal family in C_T , that is, \overline{F} is compact in C_T . Since $\overline{F} \subset F_T(r) \subset A_T$, then F is a normal family in A_T . q.e.d.

For $K \geq 1$, we denote by $A_T(K)$ the family of all $f \in A_T$ such that

$$(1.1) \quad \Phi_{1/K}([z_1, z_2, z_3, z_4]) \leq [f(z_1), f(z_2), f(z_3), f(z_4)] \leq \Phi_K([z_1, z_2, z_3, z_4])$$

holds for every ordered quadruple of distinct points $z_1, z_2, z_3, z_4 \in T$, where

$$[z_1, z_2, z_3, z_4] = \left\{ \frac{z_3 - z_2}{z_3 - z_1} : \frac{z_4 - z_2}{z_4 - z_1} \right\}^{1/2}$$

is the real-valued cross-ratio of $\{z_1, z_2, z_3, z_4\}$ (see [Z1]). Moreover, Φ_K in (1.1) is the Hersch–Pfluger distortion function defined by

$$(1.2) \quad \Phi_K(t) = \mu^{-1} \left(\frac{1}{K} \mu(t) \right)$$

where $\frac{\pi}{2} \mu(t)$ stands for the conformal modulus of $\Delta \setminus [0, t]$, $0 \leq t < 1$. The function μ can be expressed in the form:

$$(1.3) \quad \mu(t) = \frac{K(\sqrt{1-t^2})}{K(t)}, \quad 0 < t < 1,$$

where

$$K(t) = \int_0^{\pi/2} (1 - t^2 \sin^2 \varphi)^{-1/2} d\varphi$$

is the elliptic integral of the first kind. Every $f \in A_T(K)$ is called a K -quasihomography of T .

For every $K \geq 1$ and r , $0 \leq r < 1$, we denote by $A_T(K, r)$ the family of all $f \in A_T(K)$ satisfying $|E_f(0)| \leq r$. Obviously, $A_T(K, r) = A_T(K) \cap F_T(r)$. For $a \in \Delta$, we put

$$(1.5) \quad h_a(z) = \frac{z - a}{1 - \bar{a}z}.$$

LEMMA 3. *Suppose $a_n \in \Delta$ converges to $e^{i\theta} \in T$ as n tends to infinity. Then the function $h_{a_n}(z)$ converges to $-e^{i\theta}$ uniformly on every compact set S in $\overline{\Delta} \setminus \{e^{i\theta}\}$, as n tends to infinity.*

Proof. Let S be any compact set in $\overline{\Delta} \setminus \{e^{i\theta}\}$, and let $c_0 = \text{dist}(e^{i\theta}, S)$. For any ε , $0 < \varepsilon < c_0$, there exists n_0 such that $|a_n - e^{i\theta}| < \varepsilon/2$, for all $n \geq n_0$. Then, for every $z \in S$, we have

$$(1.6) \quad |1 - \bar{a}_n z| \geq |1 - e^{-i\theta} z| - |(\bar{a}_n - e^{-i\theta})z| \geq |e^{i\theta} - z| - |\bar{a}_n - e^{-i\theta}| \geq c_0/2.$$

For every $z \in S$ and $n \geq n_0$, it then follows from (1.6) that

$$|h_{a_n}(z) + e^{i\theta}| \leq \frac{|e^{i\theta} - a_n| + |e^{-i\theta} - \bar{a}_n|}{|1 - \bar{a}_n z|} \leq 2\varepsilon/c_0. \text{q.e.d.}$$

Now we have the following

THEOREM 1. *For every $K \geq 1$ and r , $0 \leq r < 1$, the family $A_T(K, r)$ is compact in $A_T(K)$.*

Proof. Let $A_T^\circ(K)$ be the family of all $f \in A_T(K)$, $K \geq 1$, normalized by $f(z) = z$ for every z such that $z^3 = 1$. As it is known, $A_T^\circ(K)$ is compact in $A_T(K)$ (see [Z2]). Let $\{f_n\}$ be an infinite sequence in $A_T(K, r)$. Then there exist $a_n \in \Delta$ and $\varphi_n \in \mathbb{R}$, such that $g_n := e^{i\varphi_n} h_{a_n} \circ f_n$ belongs to $A_T^\circ(K)$ for every n . Taking a subsequence, if necessary, we may assume $g_n \rightarrow g \in A_T^\circ(K)$, $a_n \rightarrow a_0 \in \overline{\Delta}$ and $e^{i\varphi_n} \rightarrow e^{i\varphi}$ as $n \rightarrow \infty$. By Lemma 1, $E_{g_n}(0)$ converges to $E_g(0)$. If $|a_0| = 1$ and $a_0 = e^{i\theta}$ for some $\theta \in \mathbb{R}$, then, since $|E_{f_n}(0)| \leq r$, Lemma 3 and conformal naturality of the Douady–Earle extension imply that $E_{g_n}(0) = e^{i\varphi_n} h_{a_n}(E_{f_n}(0))$ converges to $e^{i(\varphi-\theta)}$ as $n \rightarrow \infty$. This contradiction shows that $a_0 \in \Delta$ and that $f_n = h_{-a_n} \circ e^{-i\varphi_n} g_n(z)$ converges to $f_0(z) := h_{-a_0} e^{-i\varphi} g(z) \in A_T(K)$. Hence, by Lemma 1, $f_0 \in A_T(K, r)$, and thus $A_T(K, r)$ is compact in $A_T(K)$. q.e.d.

In view of Lemma 2, we can easily obtain the following:

COROLLARY 1. *For every $K \geq 1$ and r , $0 \leq r < 1$, the family $A_T(K, r)$ is equicontinuous on T .*

COROLLARY 2. *Let $K \geq 1$ and let F be a family in $A_T(K)$. Then F is a normal family (resp. compact) in $A_T(K)$ if and only if there exists some r , $0 \leq r < 1$, such that F is a subfamily (resp. a closed subfamily) of $A_T(K, r)$.*

For every $z \in T$ and $f \in A_T(K)$, $K \geq 1$, we denote by $\theta_f(z)$ the angle of the arc on T directed counterclockwise from $f(z)$ to $f(-z)$. In this sense $\theta_f(z) = \arg \frac{f(-z)}{f(z)}$ and we note that $\theta_f(-z) = 2\pi - \theta_f(z)$. By continuity of f , there exists $z_f \in T$ such that

$$(1.7) \quad \theta_f(z_f) = \min_{z \in T} \theta_f(z).$$

For every r , $0 \leq r < 1$, we define

$$(1.8) \quad \theta(K, r) := \inf_{f \in A_T(K, r)} \min_{z \in T} \theta_f(z).$$

LEMMA 4. *For every $K \geq 1$ and r , $0 \leq r < 1$, there exist $f_0 \in A_T(K, r)$ and $z_0 \in T$ such that $\theta_{f_0}(z_0) = \theta(K, r)$.*

Proof. By (1.7) and (1.8) there exist $f_n \in A_T(K, r)$, and $z_n \in T$ satisfying

$$(1.9) \quad \theta(K, r) = \lim_{n \rightarrow \infty} \theta_{f_n}(z_n)$$

and

$$(1.10) \quad \theta_{f_n}(z_n) = \min_{z \in T} \theta_{f_n}(z).$$

By Theorem 1, we may assume that $f_n \rightarrow f_0 \in A_T(K, r)$ in $A_T(K)$ and that $z_n \rightarrow z_0 \in T$ as $n \rightarrow \infty$. Then

$$(1.11) \quad \lim_{n \rightarrow \infty} \theta_{f_n}(z_n) = \theta_{f_0}(z_0)$$

and

$$(1.12) \quad \lim_{n \rightarrow \infty} \theta_{f_n}(z_{f_0}) = \theta_{f_0}(z_{f_0}).$$

By (1.10) $\theta_{f_n}(z_{f_0}) \geq \theta_{f_n}(z_n)$, then by (1.11) and (1.12) we obtain

$$(1.13) \quad \theta_{f_0}(z_{f_0}) \geq \theta_{f_0}(z_0).$$

By (1.7), (1.13), (1.9) and (1.11) we then have $\theta_{f_0}(z_{f_0}) = \theta_{f_0}(z_0) = \theta(K, r)$. q.e.d.

LEMMA 5. *For every r , $0 \leq r < 1$, the correspondence $K \mapsto \theta(K, r)$ is lower semi-continuous in $1 \leq K < \infty$. Moreover, the function $\theta(K, 0)$ is continuous at $K = 1$ and $\lim_{K \rightarrow 1} \theta(K, r) = \theta(1, 0) = \pi$.*

Proof. Let $\{K_n\}$, $K_n \geq 1$, be a sequence converging to K_0 as $n \rightarrow \infty$. Then, by Lemma 4, there exist $f_n \in A_T(K_n, r)$ and $z_n \in T$ such that $\theta_{f_n}(z_n) = \theta(K_n, r)$. By Theorem 1, we may assume that $f_n \rightarrow f_0 \in A_T(K_0, r)$ and that $z_n \rightarrow z_0 \in T$ as $n \rightarrow \infty$. In a way similar to the proof of Lemma 4, we have

$$(1.14) \quad \lim_{n \rightarrow \infty} \theta(K_n, r) = \theta_{f_0}(z_0) = \theta_{f_0}(z_{f_0}) \geq \theta(K_0, r).$$

Therefore, $\liminf_{K \rightarrow K_0} \theta(K, r) \geq \theta(K_0, r)$. Next, suppose $r = 0$. Then $A_T(1, 0) = \{f_\theta : 0 \leq \theta < 2\pi\}$, where $f_\theta(z) = e^{i\theta}z$. In particular, $\theta_f(z) = \pi$ for every $f \in A_T(1, 0)$ and every $z \in T$. Hence, $\theta(1, 0) = \pi$ and (1.14) implies that $\lim_{K_n \rightarrow 1} \theta(K_n, 0) = \theta(1, 0) = \pi$. q.e.d.

Following Krzyż [K], we say that $f \in A_T$ is ρ -quasisymmetric, $\rho \geq 1$, if the inequality

$$1\rho \leq |f(I_1)|/|f(I_2)| \leq \rho$$

holds for each pair of open, adjacent arcs $I_1, I_2 \subset T$ such that $0 < |I_1| = |I_2| \leq \pi$, where $|\cdot|$ denotes the Lebesgue measure on T .

Denote by $Q_T(\rho)$ the family of all ρ -quasisymmetric functions in A_T . It is worth while to mention that $Q_T(\rho)$ is not conformally invariant and that quasisymmetric functions of T represent non-uniformly the boundary values of quasiconformal automorphisms of Δ (see [Z2]). This and other properties makes ρ -quasisymmetry of T not closely related to quasiconformality of Δ , and technically similar to ρ -quasisymmetry of \mathbb{R} only.

For $K \geq 1$, we recall the distortion function

$$\lambda(K) := \Phi_K^2(1/\sqrt{2})/\Phi_{1/K}^2(1/\sqrt{2}),$$

where Φ_K is given by (1.2). By Theorem 2.9 from [Z2, Chap. II], (1.7), (1.8) and Lemma 5, we obtain the following:

THEOREM 2. *For every $K \geq 1$ and r , $0 \leq r < 1$, there exists a constant $\rho = \rho(K, r)$ such that $A_T(K, r) \subset Q_T(\rho)$ and $\rho \leq \lambda(K)\cot^2(\theta(K, r)/4)$. In particular, $\lim_{K \rightarrow 1} \rho(K, 0) = 1$.*

2. The maximal dilatation of the Douady–Earle extension of $f \in A_T(K)$.
Let $K \geq 1$ and $f \in A_T(K)$. We note that by (0.1) $f \in A_T(K, 0)$ if and only if f satisfies

$$\int_T f(\zeta) |d\zeta| = 0.$$

If $f \in A_T(K, 0)$ then there exist $a = a(f) \in \Delta$ and $\varphi = \varphi(f) \in \mathbb{R}$ such that

$$(2.1) \quad e^{i\varphi} h_a \circ f \in A_T^0(K),$$

where h_a is the function defined by (1.5), whereas $a(f)$ and $e^{i\varphi(f)}$ are uniquely determined by (2.1).

Define

$$(2.2) \quad C(K) = \sup_{f \in A_T^0(K)} \sup_{\zeta \in T} \frac{|\zeta - E_f(0)|}{|f(\zeta) - E_f(0)|}.$$

LEMMA 6. *For every $K \geq 1$, there exist $f_K \in A_T^0(K)$ and $\zeta_K \in T$ such that*

$$C(K) = \frac{|\zeta_K - E_{f_K}(0)|}{|f_K(\zeta_K) - E_{f_K}(0)|}.$$

Furthermore, $C(K)$ is increasing and right continuous in $1 \leq K < \infty$. In particular, $C(K)$ tends to 1 as $K \rightarrow 1$.

Proof. For $f \in A_T^0(K)$ set

$$(2.3) \quad l(f) = \sup_{\zeta \in T} \frac{|\zeta - E_f(0)|}{|f(\zeta) - E_f(0)|}.$$

By the continuity of the correspondence $\zeta \mapsto \frac{|\zeta - E_f(0)|}{|f(\zeta) - E_f(0)|}$, there exists $\zeta = \zeta(f) \in T$ such that the supremum in (2.3) is attained at this point. Hence, by (2.2) there exist $f_n \in A_T^0(K)$ and $\zeta_n = \zeta(f_n)$ satisfying

$$(2.4) \quad \lim_{n \rightarrow \infty} l(f_n) = C(K)$$

and

$$(2.5) \quad l(f_n) = \frac{|\zeta_n - E_{f_n}(0)|}{|f_n(\zeta_n) - E_{f_n}(0)|}.$$

Taking a subsequence, if necessary, we may assume that $\zeta_n \rightarrow \zeta_0$, and that $f_n \rightarrow f_0 \in A_T^0(K)$ with respect to the supremum norm as $n \rightarrow \infty$. Then, by Lemma 1, $E_{f_n}(0)$ tends to $E_{f_0}(0)$ as $n \rightarrow \infty$. Hence, by (2.4) and (2.5), we have

$$(2.6) \quad C(K) = \frac{|\zeta_0 - E_{f_0}(0)|}{|f_0(\zeta_0) - E_{f_0}(0)|}.$$

By (2.2) the function $C(K)$ is clearly increasing. Let $K_0 \geq 1$ be fixed and let $K_n \searrow K_0$. By (2.6), there exist $\zeta_{K_n} \in T$ and $f_{K_n} \in A_T^0(K_n)$ such that

$$(2.7) \quad C(K_n) = \frac{|\zeta_{K_n} - E_{f_{K_n}}(0)|}{|f_{K_n}(\zeta_{K_n}) - E_{f_{K_n}}(0)|}.$$

We may assume that f_{K_n} tends to $f_I \in A_T^0(K_0)$, and ζ_{K_n} tends to $\zeta_I \in T$ as $n \rightarrow \infty$. From (2.7) it follows that

$$\lim_{n \rightarrow \infty} C(K_n) = \frac{|\zeta_I - E_{f_I}(0)|}{|f_I(\zeta_I) - E_{f_I}(0)|} \leq C(K_0).$$

This implies that $\lim_{n \rightarrow \infty} C(K_n) = C(K_0)$. Clearly, $C(1) = 1$ and thus $\lim_{K \rightarrow 1} C(K) = 1$. q.e.d.

For $K \geq 1$, define $m(K) = \sup_{f \in A_T(K,0)} |a(f)|$ and

$$(2.8) \quad M(K) = \max_{0 \leq t \leq 1} [\Phi_K^2(\sqrt{t}) - t]$$

where $a(f)$ is defined by (2.1) and Φ_K is given by (1.2). Introduced by the second author functional $M(K)$ was investigated in relation with certain functionals defined on families of K -quasihomographies of the real line and the unit circle T (see [Z1]). Surprisingly to both the authors, the following equality

$$M(K) = 2\Phi_{\sqrt{K}}^2(1/\sqrt{2}) - 1$$

was obtained by Partyka [P3]. This is a one of the truly few final results on special functions in quasiconformal theory, which may have some further consequences.

By Lemma 2.1 from [Z2, Chap. II] we have

LEMMA 7. *For each $K \geq 1$ and $f \in A_T^0(K)$ the following inequality*

$$(2.9) \quad |f(z) - z| \leq \frac{4}{\sqrt{3}} M(K)$$

holds for every $z \in T$.

Now we prove

LEMMA 8. *For every $K \geq 1$, we have $m(K) < 1$. Moreover,*

$$(2.10) \quad m(K) \leq \frac{4}{\sqrt{3}} M(K) C(K).$$

In particular, $m(K) \rightarrow 0$ as $K \rightarrow 1$.

Proof. If $f \in A_T(K, 0)$, then $g := e^{i\varphi(f)} h_{a(f)} \circ f \in A_T^0(K)$. Furthermore, by (0.2), we have $E_g(0) = -a(f)e^{i\varphi(f)}$, and thus $|E_g(0)| = |a(f)|$. Conversely, if $g \in A_T^0(K)$ and $E_g(0) = b$, then $h_b \circ g \in A_T(K, 0)$. Thus, the equality $g = h_{-b} \circ h_b \circ g$ implies that $|E_g(0)| = |b| = |-b| = |a(h_b \circ g)|$. The above observation shows that

$$(2.11) \quad m(K) = \sup_{f \in A_T^0(K)} |E_f(0)|.$$

By Lemma 1, the correspondence $f \mapsto |E_f(0)|$ is continuous on A_T . Since $A_T^0(K)$ is compact in A_T , then (2.11) implies that there exists some $f_K \in A_T^0(K)$ such that $m(K) = |E_{f_K}(0)|$. Since $\mu^{-1}(1) = 1/\sqrt{2}$, by (2.8), (2.9), the last equality and Lemma 1, we then see that $m(K) < 1$ and that $m(K)$ tends to 0 as $K \rightarrow 1$.

Let $f \in A_T^0(K)$ and put $a = E_f(0)$. We then obtain

$$(2.12) \quad \int_T \frac{\zeta - a}{1 - \bar{a}\zeta} |d\zeta| + \int_T \left(\frac{f(\zeta) - a}{1 - \bar{a}f(\zeta)} - \frac{\zeta - a}{1 - \bar{a}\zeta} \right) |d\zeta| = 0.$$

Since

$$\int_T \frac{\zeta - a}{1 - \bar{a}\zeta} |d\zeta| = \frac{1}{i} \int_T \frac{\zeta - a}{\zeta(1 - \bar{a}\zeta)} d\zeta = -2\pi a,$$

it follows from (2.12) that

$$(2.13) \quad |a| \leq \frac{1}{2\pi} \int_T \omega(\zeta) \frac{(1 - |a|^2)}{|\zeta - a|^2} |d\zeta|,$$

where $\omega(\zeta) = \frac{|f(\zeta) - \zeta||\zeta - a|}{|f(\zeta) - a|}$. The right-hand side of (2.13) is equal to $W(a)$, where $W(z)$ is a harmonic extension of $w(\zeta)$ into Δ . By (2.9) and (2.13), we thus have

$$|a| \leq \max_{\zeta \in T} |\omega(\zeta)| = \max_{\zeta \in T} |f(\zeta) - \zeta| \frac{|\zeta - a|}{|f(\zeta) - a|} \leq \frac{4}{\sqrt{3}} M(K)C(K).$$

This, in view of (2.11), gives (2.10). q.e.d.

For $f \in A_T(K, 0)$, we put

$$\begin{aligned} A &= A(f) = \frac{1}{2\pi} \int_T \bar{\zeta} f(\zeta) |d\zeta|, \\ B &= B(f) = \frac{1}{2\pi} \int_T \zeta f(\zeta) |d\zeta|, \\ C &= C(f) = \frac{1}{2\pi} \int_T f(\zeta)^2 |d\zeta| \end{aligned}$$

and

$$(2.14) \quad S(K) = \frac{4}{\sqrt{3}} M(K)C(K).$$

LEMMA 9. For each $K \geq 1$ and $f \in A_T(K, 0)$ the following inequalities hold;

$$(2.15) \quad |B| \leq S(K), \quad |C| \leq 2S(K) + S(K)^2, \quad |A| \geq 1 - S(K)^2 - S(K).$$

Moreover, the third estimate is essential for $K \geq 1$ satisfying $S(K) < (\sqrt{5} - 1)/2$.

Proof. Let $f \in A_T(K, 0)$ and let $g = e^{i\varphi(f)} h_{a(f)} \circ f$, $b = -a(f)e^{i\varphi(f)}$. Then, $g \in A_T^\circ(K)$, $E_g(0) = b$, and we see that

$$e^{i\varphi(f)} f(\zeta) = [g(\zeta) - b]/[1 - \bar{b}g(\zeta)].$$

As in the proof of Lemma 8, we have

$$\begin{aligned} |B| &= \frac{1}{2\pi} \left| \int_T \zeta e^{i\varphi(f)} f(\zeta) |d\zeta| \right| = \frac{1}{2\pi} \left| \int_T \zeta \left(\frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} \right) |d\zeta| \right| \\ &= \frac{1}{2\pi} \left| \int_T \zeta \left(\frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} - \frac{\zeta - b}{1 - \bar{b}\zeta} \right) |d\zeta| \right| \leq \frac{1}{2\pi} \int_T \frac{|g(\zeta) - \zeta||1 - \bar{b}\zeta|}{|1 - \bar{b}g(\zeta)|} \cdot \frac{(1 - |b|^2)}{|\zeta - b|^2} |d\zeta| \\ &\leq \frac{4}{\sqrt{3}} M(K)C(K) = S(K). \end{aligned}$$

Similarly, by Lemma 8, we obtain

$$\begin{aligned} |C| &= \frac{1}{2\pi} \left| \int_T e^{i2\varphi(f)} f(\zeta)^2 |d\zeta| \right| = \frac{1}{2\pi} \left| \int_T \left\{ \left(\frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} \right)^2 - \left(\frac{\zeta - b}{1 - \bar{b}\zeta} \right)^2 \right\} |d\zeta| + 2\pi b^2 \right| \\ &\leq |b|^2 + \frac{2}{2\pi} \int_T \left| \frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} - \frac{\zeta - b}{1 - \bar{b}\zeta} \right| |d\zeta| \leq S(K)^2 + 2S(K). \end{aligned}$$

Since

$$\frac{1}{2\pi} \int_T \bar{\zeta} \left(\frac{\zeta - b}{1 - \bar{b}\zeta} \right) |d\zeta| = \frac{1}{2\pi i} \int_T \frac{\zeta - b}{(1 - \bar{b}\zeta)\zeta^2} d\zeta = 1 - |b|^2,$$

we have

$$\begin{aligned} |A| &= \frac{1}{2\pi} \left| \int_T \bar{\zeta} e^{i\varphi(f)} f(\zeta) |d\zeta| \right| = \frac{1}{2\pi} \left| \int_T \bar{\zeta} \left(\frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} \right) |d\zeta| \right| \\ &= \frac{1}{2\pi} \left| \int_T \bar{\zeta} \left(\frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} - \frac{\zeta - b}{1 - \bar{b}\zeta} \right) |d\zeta| + 2\pi(1 - |b|^2) \right| \\ &\geq 1 - |b|^2 - \frac{1}{2\pi} \int_T \left| \frac{g(\zeta) - b}{1 - \bar{b}g(\zeta)} - \frac{\zeta - b}{1 - \bar{b}\zeta} \right| |d\zeta| \geq 1 - S(K)^2 - S(K). \text{q.e.d.} \end{aligned}$$

Remark 1. For $K \geq 1$ satisfying $S(K) < (\sqrt{5} - 1)/2$, we have $|A| > 0$.

3. An estimation of the dilatation. For $K \geq 1$ we define

$$k^*(K) = \sup_{f \in A_T(K,0)} I(f),$$

where

$$I(f) = \left\{ \frac{2|B(f)| + |C(f)|^2(|A(f)| - |B(f)|)}{|A(f)| + |B(f)|} \right\}^{1/2}.$$

Since $f \mapsto I(f)$ is continuous on A_T and $A_T(K,0)$ is a compact in $A_T(K)$ hence by Theorem 1, we infer that there exists some $f_K \in A_T(K,0)$ such that $k^*(K) = I(f_K)$. Moreover, $|A(f)| > |B(f)|$ holds for every $f \in A_T$; because f is sense-preserving (see [DE, Lemma 3]). We thus see that $k^*(K) < 1$.

THEOREM 3. *For each $K \geq 1$ and $f \in A_T(K)$ the Douady–Earle extension E_f is quasiconformal and its complex dilatation μ_{E_f} satisfies $\|\mu_{E_f}\|_\infty \leq k^*(K)$. Moreover, if $K \geq 1$ is as close to 1, so that $S(K) < (\sqrt{5} - 1)/2$ holds, then the following estimate*

$$(2.16) \quad k^*(K) \leq \left\{ \frac{2S(K)}{1 - S(K)^2} + (2S(K) + S(K)^2)^2 \right\}^{1/2}$$

holds, where $S(K)$ is the number defined by means of (2.2), (2.8) and (2.14). In particular, $\|\mu_{E_f}\|_\infty \rightarrow 0$ as $K \rightarrow 1$.

Proof. Take any $z_0 \in \Delta$ and let $w_0 = E_f(z_0)$. Put $\tilde{f} = h_{w_0} \circ f \circ h_{-z_0}$, where $h_\eta(\zeta) = \frac{\zeta - \eta}{1 - \bar{\eta}\zeta}$. By (0.2) we have $E_{\tilde{f}} = h_{w_0} \circ E_f \circ h_{-z_0}$ and therefore $E_{\tilde{f}}(0) = 0$, by which $\tilde{f} \in A_T(K,0)$. Moreover, we easily have

$$(2.17) \quad |\mu_{E_f}(z_0)| = |\mu_{E_{\tilde{f}}}(0)|.$$

Let $k_0 = \sup |\mu_{E_g}(0)|$, where the supremum is taken over all $g \in A_T(K,0)$. By (2.17) it suffices to show that $k_0 \leq k^*(K)$.

Take any $g \in A_T(K,0)$. Then, as in [DE], we have

$$(2.18) \quad |\mu_{E_g}(0)| = |A\bar{C} + \bar{B}|/|A + C\bar{B}|,$$

where $A = A(g)$, $B = B(g)$ and $C = C(g)$. By (2.18), we obtain

$$1 - |\mu_{E_g}(0)|^2 = \frac{(1 - |C|^2)(|A|^2 - |B|^2)}{|A + C\bar{B}|^2} \geq (1 - |C|^2) \frac{|A| - |B|}{|A| + |B|}$$

$$= 1 - \left(\frac{2|B| + |C|^2(|A| - |B|)}{|A| + |B|} \right).$$

Thus, $|\mu_{E_g}(0)| \leq I(g) \leq k^*(K)$ and hence $k_0 \leq k^*(K)$.

Next we show the latter part of the theorem. Let $K \geq 1$ satisfy $S(K) < (\sqrt{5} - 1)/2$ which is equivalent to $1 - S(K)^2 - S(K) > 0$. If $g \in A_T(K, 0)$, then, by (2.15) in Lemma 9, we see that

$$\begin{aligned} I(g)4 &\leq \left\{ \frac{2|B(g)|/|A(g)|}{1 + |B(g)|/|A(g)|} + |C(f)|^2 \right\}^{1/2} \leq \left\{ \frac{2S(K)/(1 - S(K)^2 - S(K))}{1 + S(K)/(1 - S(K)^2 - S(K))} + \right. \\ &\quad \left. (2S(K) + S(K)^2)^2 \right\}^{1/2} = \left\{ \frac{2S(K)}{1 - S(K)^2} + (2S(K) + S(K)^2)^2 \right\}^{1/2}. \end{aligned}$$

q.e.d.

COROLLARY 3. *Under the hypotheses of Theorem 3, suppose that $K \geq 1$, is so close to 1 that the following inequality*

$$(2.19) \quad (2S(K) + S(K)^2)^2 \leq \frac{2S(K)}{1 - S(K)^2} < \frac{1}{2}$$

holds, i.e. if $0 \leq S(K) < \sqrt{5} - 2$. Then the maximal dilatation $\mathbf{K}(E_f)$ of E_f satisfies

$$(2.20) \quad \mathbf{K}(E_f) \leq \frac{1 + S(K)^{1/2}g(S(K))}{1 - S(K)^{1/2}g(S(K))}, \quad \text{where } g(S) = \left(\frac{4}{1 - S^2} \right)^{1/2}.$$

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