

## GENERIC DEFORMATIONS OF LAGRANGIAN AND LEGENDRIAN MAPS

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### 1. Introduction

**a.** Investigating ocean and atmosphere flows Nye and Thorndike [2] have studied typical bifurcations of three dimensional vector fields depending on time. One can describe such a field as a one-parameter family of maps from  $R^3$  to  $R^3$  or as a map from  $R^1 \times R^3 = R^4$  to  $R^3$ .

To study them the authors of [2] consider sections of stable maps from  $R^4$  to  $R^4$ . There is one family in their list of typical sections for which the set of critical values for an isolated value of the parameter is equal to the caustic of a Lagrangian  $D_4$  map.

This leads to another problem: To study properties of Lagrangian and Legendrian maps included in generic families of maps with a suitable number of parameters. In this way  $V$ -versal deformations of Lagrangian  $D_k$  and Legendrian  $A_k$  maps are considered below.

**b.** As a Lagrangian map is the restriction to a Lagrangian submanifold of the projection that defines a Lagrangian fiber bundle, Lagrangian maps may be locally considered as maps from  $R^n$  to  $R^n$ . The normal form of Lagrangian stable maps is given by the corresponding classification theorem ([1]).

EXAMPLE. The normal form of Lagrangian  $A_k$  maps coincides with that of stable Whitney maps  $A_k$ :

$$(1) \quad \begin{aligned} &A_k : (R^n(x), 0) \rightarrow (R^n(y), 0), \\ &y_1 = \pm(k+1)x_1^k + (k-1)x_2x_1^{k-2} + \dots + 2x_{k-1}x_1, \\ &y_i = x_i, \quad i = 2, \dots, n, \quad k-1 \leq n. \end{aligned}$$

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It is obvious that Lagrangian  $A_k$  maps are stable in the class of general maps.

EXAMPLE. The Lagrangian  $D_k^\pm$  maps have the following normal form:

$$\begin{aligned}
 D_k^\pm : (R^n(x), 0) &\rightarrow (R^n(y), 0), \\
 y_1 &= x_2^2 \pm (k-1)x_1^{k-2} + (k-2)x_3x_1^{k-3} + \dots + 2x_{k-1}x_1, \\
 y_2 &= 2x_1x_2, \\
 y_i &= x_i \quad i = 3, \dots, n, \quad k-1 \leq n, \quad k \geq 4.
 \end{aligned}
 \tag{2}$$

In this paper the properties of the maps (2) are described. The  $V$ -versal deformation preserving the origin is a one parameter deformation for the maps (2). For even  $k$  the Lagrangian  $D_k^+$  maps fall into stable maps  $A_k$  (at two isolated points), the Lagrangian  $D_k^-$  maps decompose only into  $A_{k-1}$ ,  $A_{k-2}$  etc. For odd  $k$  the Lagrangian  $D_k$  maps fall into  $A_k$  (at one isolated point).

c. A map from a Legendrian submanifold to the base of a Legendrian bundle may be locally considered as a map from  $R^n$  to  $R^{n+1}$ .

EXAMPLE. The normal form of Legendrian  $A_k$  maps is given by (see [1])

$$\begin{aligned}
 LA_k : (R^n(y, x), 0) &\rightarrow (R^{n+1}(q), 0), \\
 q_1 &= \varphi_1(y, x), \\
 q_i &= x_i, \quad i = 2, \dots, n, \quad q_{n+1} = \varphi_2(y, x),
 \end{aligned}
 \tag{3}$$

where

$$\begin{aligned}
 \varphi_1 &= (k+1)y^k + (k-1)x_2y^{k-2} + \dots + 2x_{k-1}y, \\
 \varphi_2 &= kx^{k+1} + (k-2)x_2x_1^{k-1} + \dots + x_{k-1}y^2.
 \end{aligned}$$

In the Legendrian case the following results are obtained: The  $V$ -versal deformation of Lagrangian  $A_k$  maps preserving the origin is a  $k-1$ -parameter deformation. The bifurcational diagram for this family is constructed. Outside the bifurcational set the maps of this family are stable and at isolated points they are  $RL$ -equivalent to the trivial extension of the stable maps that has the image of a ‘‘Whitney umbrella’’. Legendrian  $A_k$  maps have infinite  $RL$ - and topological codimension.

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**2.  $V$ -versal deformation of Lagrangian  $D_k$  maps**

PROPOSITION 1. The  $V$ -versal deformation  $D_k^\pm(t, c)$  of the maps (2) is given by

$$\begin{aligned}
 y_1 &= \varphi_1(x) + tx_2 + c_1, \\
 y_2 &= \varphi_2(x) + c_2, \\
 y_i &= x_i + c_i, \quad i = 3, \dots, n,
 \end{aligned}
 \tag{4}$$

where

$$\begin{aligned}
 \varphi_1(x) &= x_2^2 \pm (k-1)x_1^{k-2} + (k-2)x_3x_1^{k-3} + \dots + 2x_{k-1}x_1, \\
 \varphi_2(x) &= 2x_1x_2,
 \end{aligned}$$

Proof. Direct calculations.

**The main result for Lagrangian  $D_k$  series.** Let  $D_k(t)$  be the family (4) with  $c = 0 : D_k^\pm(t) = D_k^\pm(t, 0)$ , and let  $k - 1 = n$ .

**THEOREM 1.** *If  $k$  is even,  $t \neq 0$ , then  $D_k^+(t)$  has 2 singular points at which it is RL-equivalent to  $A_k$  (1). These points have coordinates*

$$x_{10} = \pm s_1 |t|^{2/(k-2)}, \quad x_{20} = -t/k, \quad x_{i0} = s_i x_{10}^{i-2}, \quad i = 3, \dots, n.$$

$D_k^-(t)$  has no  $A_k$  points.

*If  $k$  is odd,  $t \neq 0$ , then  $D_k(t)$  has one singular point at which it is RL-equivalent to  $A_k$ . This point has coordinates*

$$x_{10} = s_1 t^{2/(k-2)}, \quad x_{20} = -t/k, \quad x_{i0} = s_i x_{10}^{i-2}, \quad i = 3, \dots, n,$$

for some  $s_1, s_3, \dots, s_n$ .

Proof. It is sufficient to prove two propositions:

A. If  $t \neq 0$ , then  $D_k^\pm(t)$  has the corresponding number of singular points with Boardman type  $\Sigma^{\frac{1 \dots 1}{k-1}}$ .

B.  $D_k^\pm(t)$  is stable at these points.

First we find all the points of  $\Sigma^{\frac{1 \dots 1}{k-1}}$  Boardman type.

**LEMMA 1.** *Let  $x_1^2 + x_2^2 \neq 0$ . Then for  $D_k^\pm(t)$*

$$\Sigma^{\frac{1 \dots 1}{k-1}} = \{x \in R^n \mid B_1(x) = 0, \dots, B_{i-1}(x) = 0, B_i(x) \neq 0\},$$

where

$$B_i = b_{i1} x_1^{k-2} + b_{i2} x_3 x_1^{k-3} + \dots + b_{ik-2} x_{k-1} x_1 + b_{ik-1} x_2 + b_{ik} x_2^2$$

and  $(b_{ij}) = B$  is the  $(k-1) \times k$ -matrix:

$$(5) \quad \begin{pmatrix} \pm(k-1)(k-2) & (k-2)(k-3) & \dots & 2 & -t & -2 \\ \mp(k-1)(k-2)^2 & -(k-2)(k-3)^2 & \dots & -2 & -t & -4 \\ & & \dots & & & \\ (-1)^{k-2}(k-1)(k-2)^{k-1} & (-1)^{k-2}(k-2)(k-3)^{k-1} & \dots & (-1)^{k-2}2 & -t & -2^{k-1} \end{pmatrix}$$

Proof. Direct calculations.

Let  $S = \{(x_1, \dots, x_n) \in R^n \mid x_1 = 0, x_2 = 0\}$ .

**LEMMA 2.**  *$S$  contains no points of  $\Sigma^{\frac{1 \dots 10}{k}}$  Boardman type, and it contains one point of  $\Sigma^{\frac{1 \dots 1}{k-1}}$  Boardman type. This point is  $(0 \dots 0)$ .*

Thus to find the points of  $\Sigma^{\frac{1 \dots 1}{k-1}}$  Boardman type we should solve the system of equations

$$B_1 = 0, \dots, B_{k-1} = 0$$

where  $B_i$  are as in lemma 1. This is a system of linear algebraic equations over the

monomials  $x_1^{k-2}, x_3x_1^{k-3}, \dots, x_{k-1}x_1, x_2, x_2^2$ . It may be represented in the following way:

$$(6) \quad (\tilde{b}_{ij}) \cdot \begin{pmatrix} x_1^{k-2} \\ x_3x_1^{k-3} \\ \vdots \\ x_{k-1}x_1 \\ x_2 \end{pmatrix} = x_2^2 \cdot \begin{pmatrix} 2 \\ 4 \\ \vdots \\ 2^{k-1} \end{pmatrix},$$

where  $(\tilde{b}_{ij})$  is the matrix  $(b_{ij})$  without the last column. If the linear system with  $(k-1) \times (k-1)$  matrix  $(\tilde{b}_{ij})$  is solvable, then for some values  $s_1, \dots, s_{k-1}$ ,

$$(7) \quad x_1^{k-1} = s_1x_2^2, \quad tx_2 = s_2x_2^2, \quad x_ix_1^{k-i} = s_ix_2^2, \quad i = 3, \dots, k-1.$$

The equation  $tx_2 = s_2x_2^2$  has 2 solutions:  $x_{20} = t/s_2$  and  $x_{20} = 0$ . The second solution is non-proper by lemma 2. Then the number of solutions of the system (6) is equal to that of the equation  $x_1^{k-2} = s_1x_2^2$ .

If  $k$  is odd, then this equation has one real solution, and  $D_k(t)$  has one point of  $\Sigma^{\frac{1+\dots+1}{k-1}}$  type. To complete the proof of proposition A we need the following algebraic lemma:

LEMMA 3. *Let  $M = (m_{ij})$  be a  $k \times n$  matrix ( $n > k$ ) with each column a geometric progression with ratio  $l_i, l_i \neq l_j$ . Then there exists a non-singular  $k \times k$  matrix  $C$  such that  $C \cdot M$  is as follows:*

$$\begin{pmatrix} * & & \dots & & \\ 0 & \ddots & & \dots & \\ & 0 & m_{kk}q_k & \dots & m_{kn}q_n \end{pmatrix},$$

where

$$q_i = (1 - l_1/l_i) \dots (1 - l_{k-1}/l_i).$$

In other words we can see the elements of the last row of  $M$  after reducing it to the triangle matrix.

Proof. Direct calculations.

Using Lemma 3 we may get the following results:

COROLLARY 1. *If  $x_0 = (x_{01} \dots x_{0k-1})$  is the solution of the system (6) and  $x_{02} \neq 0$  then*

$$\pm x_{01}^{k-2} = \frac{(-1)^{k-2}}{k-1} \cdot \left(\frac{t}{k}\right)^2, \quad x_{02} = -\frac{t}{k}.$$

COROLLARY 2. *If  $k$  is even, then  $D_k(t)$  has two points of  $\Sigma^{\frac{1+\dots+1}{k-1}}$  type and for these points*

$$x_{01} = \frac{\pm 1}{1-k} \left(\frac{t}{k}\right)^{\frac{2}{k-2}}, \quad x_{02} = -\frac{t}{k}.$$

*If  $k$  is odd, then  $D_k(t)$  has one point of  $\Sigma^{\frac{1+\dots+1}{k-1}}$  type, and*

$$x_{01} = \frac{1}{1-k} \left(\frac{t}{k}\right)^{\frac{2}{k-2}}, \quad x_{02} = -\frac{t}{k}.$$

COROLLARY 3. If  $x_0$  is a point of  $\Sigma^{\frac{1 \dots 1}{k-1}}$  Boardman type for  $D_k(t)$ , then  $B_k(x_0) \neq 0$  (i.e.  $x_0$  is a point of  $\Sigma^{\frac{1 \dots 1}{k}}$  Boardman type for  $D_k(t)$ ).

That completes the proof of proposition A.

COROLLARY 4. 1)  $B_1 \dots B_{k-1} \in \mathbf{m}(x_1 - x_{01}, \dots, x_{k-1} - x_{0k-1})$ .

$$2) \left| \frac{\partial(B_1, \dots, B_{k-2})}{\partial(x_2, \dots, x_{k-1})} \right|_{x=x_0} \neq 0.$$

Now to prove the stability of  $D_k(t)$  at  $x_0$  we use the following construction: Let the germ of  $D : (R^n, x_0) \rightarrow (R^n, y_0)$  have the Boardman type  $\Sigma^{\frac{1 \dots 10}{k}}$  at  $x_0$ , and  $\eta$  be the germ of a smooth vector field whose direction coincides with the direction of the null-space of the derivative of the map  $D$ . Consider the functions  $B_i(x)$  such that  $B_1(x)$  is the Jacobian of  $D$ ,

$$B_2(x) = dB_1(\eta), \dots, B_k = dB_{k-1}(\eta).$$

Obviously,  $B_1(x_0) = \dots = B_{k-1}(x_0) = 0$ .

PROPOSITION 2. If the differentials  $dB_1 \dots dB_{k-2}$  are independent at  $x_0$ , then the germ  $D$  is RL-equivalent to the germ of a Whitney  $A_k$  map at  $x_0$ .

By corollary 4 if  $D_k(t)$  has the Boardman type  $\Sigma^{\frac{1 \dots 10}{k}}$  at  $x_0$ , then all the conditions of proposition 2 are fulfilled. That completes the proof of the theorem.

**3. Proof of proposition 2.** This proof is based on two simple lemmas.

LEMMA 4. In some coordinates  $u$  and  $v$  the germ of  $D$  may be represented by

$$(8) \quad \begin{aligned} D : (R^n(u), 0) &\rightarrow (R^n(v), 0), \\ v_1 = \varphi(u), \quad v_i = u_i, \quad i = 2, \dots, k-1, \end{aligned}$$

where

$$\begin{aligned} \varphi(u) &= u_1^k + \varphi_1(u_2 \dots u_n)u_1^{k-1} + \dots + \varphi_{k-1}(u_2 \dots u_n)u_1 + \varphi_k \\ \varphi_1, \dots, \varphi_{k-1} &\in \mathbf{m}(u), \quad n = k-1, \end{aligned}$$

and in these coordinates  $\eta = \partial/\partial u_1$ .

LEMMA 5. The following conditions are equivalent:

1) The germ of map (8) is stable at 0.

2)

$$\left| \frac{\partial(\varphi_2, \dots, \varphi_{k-1})}{\partial(u_2, \dots, u_{k-1})} \right|_{u=0} \neq 0.$$

3)

$$\left| \frac{\partial(\varphi', \dots, \varphi^{(k-2)})}{\partial(u_2, \dots, u_{k-1})} \right|_{u=0} \neq 0,$$

where  $\varphi^{(i)} = \partial^i \varphi / \partial u_1^i$ .

4)

$$\left| \frac{\partial(B_1, \dots, B_{k-2})}{\partial(x_2, \dots, x_{k-1})} \right|_{x=x_0} \neq 0,$$

where the basis vectors of the coordinates  $x_2, \dots, x_{k-1}$  are transversal to the vector  $\eta$  at  $x_0$ .

Proof of lemma 5. a)  $1) \Leftrightarrow 2)$ . This follows from the theorem on stability of expansion of genotype [1].

b)  $2) \Leftrightarrow 3)$ . This follows from the rules of differentiation.

c) The functions  $B_1, \dots, B_{k-2}$  are the sequential derivatives in the direction of  $\eta$  of the Jacobian  $B_1 = |\partial y / \partial x|$ . The functions  $\varphi', \dots, \varphi^{(k-2)}$  are the sequential derivatives in the direction of  $\eta$  of the Jacobian  $K = |\partial y / \partial x|$ .

Thus the ideals generated by  $B_1, \dots, B_{k-2}$  and by  $\varphi', \dots, \varphi^{(k-2)}$  coincide. The basis vectors of the coordinates  $x_2, \dots, x_{k-1}$  are transversal to the vector  $\eta$ , and the coordinates  $u_2, \dots, u_{k-1}$  have the same property. Then 3) and 4) are equivalent.

**4. V-versal deformations of Legendrian  $A_k$  maps**

PROPOSITION 3. The V-versal deformation of the map (3) is given by

$$(9) \quad \begin{aligned} q_1 &= \varphi(y, x) + c_1, \\ q_i &= x_i + c_i, \quad i = 2, \dots, n, \\ q_{n+1} &= \varphi_2(\lambda, y) + P(\lambda, y) + c_{n+1}, \end{aligned}$$

where  $y \in R^1, x \in R^{n-1}, \lambda \in R^{k-1}, c \in R^{n+1}$  and

$$\begin{aligned} \varphi_1 &= (k + 1)y^k + (k - 1)x_2y^{k-1} + \dots + 2x_{k-1}y, \\ \varphi_2 &= ky^{k+1} + (k - 2)x_2y^{k-1} + \dots + x_{k-1}y^2, \\ P(\lambda, y) &= \lambda_1y^{k-1} + \dots + \lambda_{k-2}y^2 + \lambda_{k-1}y. \end{aligned}$$

Let  $\Sigma_P \subset R^{k-1}(\lambda)$  be the discriminant set for the polynomial  $P'(\lambda, y) = \partial P / \partial y$ .

THEOREM 2. If  $\lambda \in \Sigma_P$  then the maps (9) are nonstable for each  $c$ . If  $\lambda \notin \Sigma_P$  then the maps (9) are stable. Their image is RL-equivalent to the trivial extension of the "Whitney umbrella". The preimage of the umbrellas set is a finite combination of planes of codimension 2.

Proof. The Jacobi matrix is

$$\begin{pmatrix} \varphi'_1 & * \\ 0 & E_{n-2} \\ y\varphi'_1 + P' & ** \end{pmatrix}$$

where  $\varphi' = \partial\varphi/\partial y, P' = \partial P/\partial y$ .

The vector field  $\eta = \partial/\partial y$  coincides with the direction of the null-space of  $M$ . The set  $\Sigma^{\frac{1+y}{i}} = \Sigma^{1i}$  is defined by the equations

$$\begin{aligned} \varphi'_1(y, x) = 0, & \quad \varphi''_1(y, x) = 0, & \quad \dots & \quad \varphi_1^{(l)}(y, x) = 0, \\ P'(\lambda, y) = 0, & \quad P''(\lambda, y) = 0, & \quad \dots & \quad P^{(l)}(\lambda, y) = 0. \end{aligned}$$

If  $y_0$  is a root of  $P'(\lambda, y) = 0$  with multiplicity  $l$ , then  $\Sigma^{1i}$  is defined by  $l + 1$  equations

$$y = y_0, \quad \varphi'(y_0, x) = 0, \quad \dots, \quad \varphi^{(l)}(y_0, x) = 0.$$

Thus  $\Sigma^{1l}$  is a plane of codimension  $l + 1$ . According to the Boardman formula this codimension is  $2l$ . Then if  $l > 1$ , we have nonstability. In case  $l = 1$  after some calculations, we may see the extension of the “Whitney umbrella”.

**COROLLARY 5.** *The  $V$ -versal deformation of a Legendrian  $A_3$  map is a 2-parameter deformation. It consists of maps equivalent to the “umbrella” at not more than one point.*

**5. Generic deformations of Legendrian  $A_k$  maps.** Now we compare  $V$ - and  $RL$ -equivalence for deformations of Legendrian  $A_k$  maps. It is easy to prove

**PROPOSITION 4.** *A generic deformation of a Legendrian  $A_k$  map ( $k \geq 3$ ) is  $RL$ -equivalent to the following deformation:*

$$(10) \quad \begin{aligned} q_1 &= \varphi_1, \\ q_i &= x_i, \quad i = 2, \dots, n, \\ q_{n+1} &= \varphi_2 + h(x, y), \end{aligned}$$

where  $\varphi_1$  and  $\varphi_2$  are the same as in (3), and  $h(x, y)$  is an arbitrary smooth function.

As was shown in the preceding section the  $V$ -versal deformation of the Legendrian  $A_3$  map has not more than one “umbrella” point. Another situation is for generic deformations:

Let  $O_\epsilon$  be the  $\epsilon$ -sphere in the space of all coefficients of the Taylor series of  $h$  at 0,  $Q_\delta$  be the  $\delta$ -sphere around the origin in  $R^3(q)$  and let  $n = 2$ .

**PROPOSITION 5.** *For arbitrary  $\epsilon > 0$ ,  $\delta > 0$ , and integer  $m$  there is a function  $h$  such that*

- 1) *All the Taylor coefficients of  $h$  are in  $O_\epsilon$ .*
- 2) *The map (10) is equivalent to the “Whitney umbrella” at  $m$  points and all the preimages of these points are in  $Q_\delta$ .*

**Proof.** All the points at which the image of the map (10) is equivalent to the “umbrella” can be defined from the system of equations

$$\varphi'_{1y} = 0, \quad h'_y = 0.$$

We can take the polynomial  $h = h_1 + h_2y + \dots + h_my^m$  with sufficiently small coefficients.

Thus Legendrian  $A_k$  maps have no finite  $RL$ -versal and finite topologically versal deformations.

## References

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