

Uniqueness of meromorphic functions when two linear differential polynomials share the same 1-points

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Abstract. We prove a uniqueness theorem for meromorphic functions involving linear differential polynomials generated by them. As consequences of the main result we improve some previous results.

1. Introduction. Let f and g be two nonconstant meromorphic functions defined in the open complex plane \mathbb{C} . If for $a \in \mathbb{C} \cup \infty$, $f - a$ and $g - a$ have the same set of zeros with the same multiplicities, we say that f and g share the value a CM (counting multiplicities), and if we do not consider the multiplicities, f and g are said to share the value a IM (ignoring multiplicities). It is assumed that the reader is familiar with the standard notations and definitions of value distribution theory (cf. [3]).

M. Ozawa [6] proved the following result:

THEOREM A [6]. *If two nonconstant entire functions f, g share the value 1 CM with $\delta(0; f) > 0$ and 0 being lacunary for g then either $f \equiv g$ or $fg \equiv 1$.*

Improving the above result H. X. Yi [10] proved the following:

THEOREM B [10]. *Let f and g be two nonconstant meromorphic functions satisfying $\delta(\infty; f) = \delta(\infty; g) = 1$. If f, g share the value 1 CM and $\delta(0; f) + \delta(0; g) > 1$ then either $f \equiv g$ or $fg \equiv 1$.*

In [9] C. C. Yang asked: What can be said if two nonconstant entire functions f and g share the value 0 CM and their first derivatives share the value 1 CM?

As an attempt to solve this question K. Shibazaki [7] proved the following:

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THEOREM C [7]. *Let f and g be two entire functions of finite order. If f' and g' share the value 1 CM with $\delta(0; f) > 0$ and 0 being lacunary for g then either $f \equiv g$ or $f'g' \equiv 1$.*

Improving Theorem C, H. X. Yi [13] obtained the following result:

THEOREM D [13]. *Let f and g be two entire functions such that $f^{(n)}$ and $g^{(n)}$ share the value 1 CM. If $\delta(0; f) + \delta(0; g) > 1$ then either $f \equiv g$ or $f^{(n)}g^{(n)} \equiv 1$.*

Considering meromorphic functions H. X. Yi and and C. C. Yang [15] improved Theorem C as follows:

THEOREM E [15]. *Let f and g be two meromorphic functions satisfying $\delta(\infty; f) = \delta(\infty; g) = 1$. If f' and g' share the value 1 CM with $\delta(0; f) + \delta(0; g) > 1$ then either $f \equiv g$ or $f'g' \equiv 1$.*

In [15] it is asked whether it is possible to replace the first derivatives f', g' in Theorem E by the n th derivatives $f^{(n)}$ and $g^{(n)}$.

In this direction the following two theorems can be noted.

THEOREM F [13]. *Let f and g be two meromorphic functions sharing the value ∞ CM. If $f^{(n)}$ and $g^{(n)}$ share the value 1 CM with $\delta(0; f) + \delta(0; g) + (n+2)\Theta(\infty; f) > n+3$ then either $f \equiv g$ or $f^{(n)}g^{(n)} \equiv 1$.*

THEOREM G [16]. *Let f and g be two meromorphic functions such that $\Theta(\infty; f) = \Theta(\infty; g) = 1$. If $f^{(n)}$ and $g^{(n)}$ share the value 1 CM and $\delta(0; f) + \delta(0; g) > 1$ then either $f \equiv g$ or $f^{(n)}g^{(n)} \equiv 1$.*

So it is not irrelevant to ask: What can be said if two linear differential polynomials generated by two meromorphic functions f and g share the value 1 CM?

In the paper we answer this question. Also as a consequence of the main theorem we prove a result which improves Theorem G and so some previous results.

2. Definitions and notations. In this section we present some necessary notations and definitions.

NOTATION 1. We denote by $\Psi(D)$ a linear differential operator with constant coefficients of the form $\Psi(D) = \sum_{i=1}^p \alpha_i D^i$, where $D \equiv d/dz$.

DEFINITION 1. For a meromorphic function f and a positive integer k , $N_k(r, a; f)$ denotes the counting function of a -points of f where an a -point with multiplicity m is counted m times if $m \leq k$ and k times if $m > k$.

DEFINITION 2 (cf. [1]). For a meromorphic function f we put

$$T_0(r, f) = \int_1^r \frac{T(t, f)}{t} dt,$$

$$N_0(r, a; f) = \int_1^r \frac{N(t, a; f)}{t} dt, \quad N_k^0(r, a; f) = \int_1^r \frac{N_k(t, a; f)}{t} dt,$$

$$m_0(r, f) = \int_1^r \frac{m(t, f)}{t} dt, \quad S_0(r, f) = \int_1^r \frac{S(t, f)}{t} dt \quad \text{etc.}$$

DEFINITION 3. If f is a meromorphic function, then

$$\delta_k(a; f) = 1 - \limsup_{r \rightarrow \infty} \frac{N_k(r, a; f)}{T(r, f)}.$$

Clearly $0 \leq \delta(a; f) \leq \delta_k(a; f) \leq \delta_{k-1}(a; f) \leq \dots \leq \delta_2(a; f) \leq \delta_1(a; f) = \Theta(a; f) \leq 1$.

DEFINITION 4 (cf. [8]). For a meromorphic function f we put

$$\delta_0(a; f) = 1 - \limsup_{r \rightarrow \infty} \frac{N_0(r, a; f)}{T_0(r, f)}, \quad \Theta_0(a; f) = 1 - \limsup_{r \rightarrow \infty} \frac{\bar{N}_0(r, a; f)}{T_0(r, f)},$$

$$\delta_k^0(a; f) = 1 - \limsup_{r \rightarrow \infty} \frac{N_k^0(r, a; f)}{T_0(r, f)} \quad \text{where } a \in \mathbb{C} \cup \infty.$$

3. Lemmas. In this section we discuss some lemmas which will be required in the sequel.

LEMMA 1 [1]. For meromorphic f ,

$$\lim_{r \rightarrow \infty} \frac{S_0(r, f)}{T_0(r, f)} = 0$$

through all values of r .

LEMMA 2. Let f be a meromorphic function and $a \in \mathbb{C} \cup \infty$. Then $\delta(a; f) \leq \delta_0(a; f)$, $\Theta(a; f) \leq \Theta_0(a; f)$ and $\delta_k(a; f) \leq \delta_k^0(a; f)$.

This lemma can be proved along the lines of [7, Proposition 6].

LEMMA 3. Let f_1, f_2 be nonconstant meromorphic functions such that $af_1 + bf_2 \equiv 1$, where a, b are nonzero constants. Then

$$T_0(r, f_1) \leq \bar{N}_0(r, 0; f_1) + \bar{N}_0(r, 0; f_2) + \bar{N}_0(r, \infty; f_1) + S_0(r, f_1).$$

PROOF. By the second fundamental theorem we get

$$T(r, f_1) \leq \bar{N}(r, 0; f_1) + \bar{N}(r, a^{-1}; f_1) + \bar{N}(r, \infty; f_2) + S(r, f_1)$$

$$= \bar{N}(r, 0; f_1) + \bar{N}(r, 0, f_2) + \bar{N}(r, \infty; f_1) + S(r, f_1).$$

From this inequality the lemma follows on integration.

LEMMA 4 [4]. For a meromorphic function f and any $a \in \mathbb{C}$,

$$N(r, 0; \Psi(D)f \mid f = a, \geq p) \geq N(r, 0; f^{(p)} \mid f = a, \geq p) + S(r, f),$$

where $N(r, b; g \mid f = c, \geq k)$ is the counting function of those b -points of g , counted with proper multiplicities, which are the c -points of f with multiplicities not less than k .

LEMMA 5. Let f be a meromorphic function. Then

$$(i) \quad \liminf_{r \rightarrow \infty} \frac{T_0(r, \Psi(D)f)}{T_0(r, f)} \geq \sum_{a \neq \infty} \delta_p^0(a; f),$$

$$(ii) \quad \delta_0(0; \Psi(D)f) \geq \frac{\sum_{a \neq \infty} \delta_0(a; f)}{1 + p(1 - \Theta_0(\infty; f))}.$$

Proof. For distinct finite complex numbers a_1, \dots, a_n we put

$$A = \sum_{i=1}^n \frac{1}{f - a_i}.$$

Then by [3, inequality 2.1, p. 33] we get

$$\begin{aligned} \sum_{i=1}^n m(r, a_i; f) &\leq m(r, A) + O(1) \\ &\leq m(r, 0; \Psi(D)f) + m(r, A\Psi(D)f) \\ &\leq m(r, 0; \Psi(D)f) + \sum_{i=1}^n m\left(r, \frac{\Psi(D)f}{f - a_i}\right) \\ &= m(r, 0; \Psi(D)f) + \sum_{i=1}^n m\left(r, \frac{\Psi(D)(f - a_i)}{f - a_i}\right) \\ &= m(r, 0; \Psi(D)f) + S(r, f), \end{aligned}$$

by the Milloux theorem [3, p. 55], i.e.,

$$\begin{aligned} (1) \quad nT(r, f) &\leq T(r, \Psi(D)f) + \sum_{i=1}^n N(r, a_i; f) - N(r, 0; \Psi(D)f) + S(r, f) \\ &\leq T(r, \Psi(D)f) \\ &\quad + \sum_{i=1}^n \{N(r, a_i; f) - N(r, 0; \Psi(D)f \mid f = a_i, \geq p)\} \\ &\quad + S(r, f). \end{aligned}$$

So by Lemma 4 we get

$$\begin{aligned} nT(r, f) &\leq T(r, \Psi(D)f) + \sum_{i=1}^n \{N(r, a_i; f) - N(r, 0; f^{(p)} \mid f = a_i, \geq p)\} \\ &\quad + S(r, f) \\ &\leq T(r, \Psi(D)f) + \sum_{i=1}^n N_p(r, a_i; f) + S(r, f). \end{aligned}$$

This gives on integration

$$nT_0(r, f) \leq T_0(r, \Psi(D)f) + \sum_{i=1}^n N_p^0(r, a_i; f) + S_0(r, f).$$

Hence by Lemma 1 we get

$$\liminf_{r \rightarrow \infty} \frac{T_0(r, \Psi(D)f)}{T_0(r, f)} \geq \sum_{i=1}^n \delta_p^0(a_i; f).$$

Since n is arbitrary, it follows that

$$\liminf_{r \rightarrow \infty} \frac{T_0(r, \Psi(D)f)}{T_0(r, f)} \geq \sum_{a \neq \infty} \delta_p^0(a; f).$$

Again by the Milloux theorem,

$$\begin{aligned} T(r, \Psi(D)f) &\leq m\left(r, \frac{\Psi(D)f}{f}\right) + m(r, f) + N(r, f) \\ &\quad + p\bar{N}(r, f) + O(1) \\ &= T(r, f) + p\bar{N}(r, f) + S(r, f). \end{aligned}$$

This gives on integration

$$(2) \quad T_0(r, \Psi(D)f) \leq T_0(r, f) + p\bar{N}_0(r, f) + S_0(r, f).$$

Also from (1) we get by integration

$$\begin{aligned} nT_0(r, f) &\leq T_0(r, \Psi(D)f) + \sum_{i=1}^n N_0(r, a_i; f) \\ &\quad - N_0(r, 0; \Psi(D)f) + S_0(r, f). \end{aligned}$$

So by (2) we obtain

$$\begin{aligned} n &\leq \left(1 - \frac{N_0(r, 0; \Psi(D)f)}{T_0(r, \Psi(D)f)}\right) \cdot \frac{T_0(r, f) + p\bar{N}_0(r, f) + S_0(r, f)}{T_0(r, f)} \\ &\quad + \sum_{i=1}^n \frac{N_0(r, a_i; f)}{T_0(r, f)} + \frac{S_0(r, f)}{T_0(r, f)}. \end{aligned}$$

In view of Lemma 1 this gives

$$\sum_{i=1}^n \delta_0(a_i; f) \leq \delta_0(0; \Psi(D)f) \{1 - \Theta_0(\infty; f)\},$$

from which (ii) follows because n is arbitrary. This proves the lemma.

LEMMA 6 [11]. *Let f_1, f_2, f_3 be nonconstant meromorphic functions satisfying $f_1 + f_2 + f_3 \equiv 1$. If f_1, f_2, f_3 are linearly independent then $g_1 = -f_2/f_3, g_2 = 1/f_3$ and $g_3 = -f_1/f_3$ are also linearly independent.*

LEMMA 7. *Let f_1, f_2, f_3 be three linearly independent meromorphic functions such that $f_1 + f_2 + f_3 \equiv 1$. Then*

$$T_0(r, f_1) \leq \sum_{j=1}^3 N_2^0(r, 0; f_j) + \max_{1 \leq i \neq j \leq 3} \{N_2^0(r, \infty; f_i) + \bar{N}_0(r, \infty; f_j)\} + S_0(r),$$

where $S_0(r) = \sum_{j=1}^3 S_0(r, f_j)$.

PROOF. We prove under the hypotheses of the lemma the following inequality which on integration proves the lemma:

$$(3) \quad T(r, f_1) \leq \sum_{j=1}^3 N_2(r, 0; f_j) + \max_{1 \leq i \neq j \leq 3} \{N_2(r, \infty; f_i) + \bar{N}(r, \infty; f_j)\} + \sum_{j=1}^3 S(r, f_j).$$

From the proof of a generalisation of Borel's theorem by Nevanlinna (cf. [2, p. 70]) we get

$$(4) \quad T(r, f_1) \leq \sum_{j=1}^3 N(r, 0; f_j) - N(r, 0; \Delta) + N(r, \Delta) - N(r, f_2) - N(r, f_3) + S(r),$$

where Δ is the wronskian determinant of f_1, f_2, f_3 and $S(r) = \sum_{j=1}^3 S(r, f_j)$.

Now we need the following notations from [5]: for $z \in \mathbb{C}$ and $b \in \mathbb{C} \cup \{\infty\}$ we put

$$\begin{aligned} \mu_f^b(z) &= \begin{cases} m & \text{if } z \text{ is a } b\text{-point of } f \text{ with multiplicity } m \geq 1, \\ 0 & \text{if } z \text{ is not a } b\text{-point of } f, \end{cases} \\ \bar{\mu}_f^b(z) &= \begin{cases} 1 & \text{if } z \text{ is a } b\text{-point of } f \text{ with multiplicity } \geq 1, \\ 0 & \text{if } z \text{ is not a } b\text{-point of } f, \end{cases} \\ \nu_f^b(z) &= \begin{cases} 2 & \text{if } z \text{ is a } b\text{-point of } f \text{ with multiplicity } m > 2, \\ m & \text{if } z \text{ is a } b\text{-point of } f \text{ with multiplicity } m \leq 2. \end{cases} \end{aligned}$$

Also we put

$$\mu(z) = \sum_{j=1}^3 \mu_{f_j}^0(z) - \mu_{\Delta}^0(z) + \mu_{\Delta}^{\infty}(z) - \mu_{f_2}^{\infty}(z) - \mu_{f_3}^{\infty}(z)$$

and

$$\mu^*(z) = \sum_{j=1}^3 \nu_{f_j}^0(z) + \max_{1 \leq i \neq j \leq 3} \{\nu_{f_i}^{\infty}(z) + \bar{\mu}_{f_j}^{\infty}(z)\}.$$

Now (3) will follow from (4) if we can prove that for any $z \in \mathbb{C}$, $\mu(z) \leq \mu^*(z)$.

We consider the following cases.

CASE 1. Let z be not a pole of any f_i ($i = 1, 2, 3$). Since any zero of f_i with multiplicity $m > 2$ is a zero of Δ with multiplicity at least $m - 2$, it follows that $\mu(z) \leq \mu^*(z)$.

CASE 2. Let z be a pole of at least one of f_i ($i = 1, 2, 3$). So the following subcases come up for consideration.

SUBCASE 2.1. Let z be a zero of f_1 with multiplicity $m > 2$ and a pole of f_2, f_3 with multiplicity $k \geq 1$. Then z is a pole of Δ with multiplicity $k - m + 3$ provided $k - m + 3 > 0$ and otherwise z is a zero of Δ with multiplicity $m - k - 3$. Hence $\mu(z) = 3 - k$ and $\mu^*(z) \geq 3$. So $\mu(z) \leq \mu^*(z)$.

Let z be a zero of f_1 with multiplicity $m \leq 2$ and a pole of f_2, f_3 with multiplicity $k \geq 1$. Then z is a pole of Δ with multiplicity not exceeding $k + 2$. Hence $\mu(z) \leq m + k + 2 - k - k \leq 4 - k$ and $\mu^*(z) \geq 3$. So $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.2. Let z be a zero of f_2 with multiplicity $m > 2$ and a pole of f_1, f_3 with multiplicity $k \geq 1$. Then z is a pole of Δ with multiplicity $k - m + 3$ provided $k - m + 3 > 0$ and otherwise z is a zero of Δ with multiplicity $m - k - 3$. Hence $\mu(z) = 3$ and $\mu^*(z) \geq 3$. So $\mu(z) \leq \mu^*(z)$.

Let z be a zero of f_2 with multiplicity $m \leq 2$ and a pole of f_1, f_3 with multiplicity $k \geq 1$. Then z is a pole of Δ with multiplicity not exceeding $k + 2$. Hence $\mu(z) \leq m + k + 2 - k = m + 2$ and $\mu^*(z) \geq m + 2$. So $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.3. Let z be a zero of f_3 with multiplicity $m \geq 1$ and a pole of f_1, f_2 with multiplicity $k \geq 1$. Then as in Subcase 2.2 we can prove that $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.4. Let z be neither a zero nor a pole of f_1 . Since $f_2 + f_3 = 1 - f_1$, it follows that z is not a pole of $f_2 + f_3$. Since z is a pole of at least one of f_i ($i = 1, 2, 3$), it follows that z is a pole of f_2 and f_3 with the same multiplicity m , say (because the singularities of f_2 and f_3 at z cancel each other). Then z is a pole of Δ with multiplicity not exceeding $m + 2$. Hence $\mu(z) \leq m + 2 - m - m \leq 2$ and $\mu^*(z) \geq 2$. So $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.5. Let z be a pole of f_1, f_2 with multiplicity $m \geq 1$ and a pole of f_3 with multiplicity q ($1 \leq q < m$). Then z is a pole of Δ with

multiplicity not exceeding $m + q + 3$. Hence $\mu(z) \leq m + q + 3 - m - q = 3$ and $\mu^*(z) = 2 + 1 = 3$. So $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.6. Let z be a pole of f_1, f_2, f_3 with multiplicity $m \geq 1$. Then there exist two functions ϕ, ψ analytic at z and $\phi(z) \neq 0, \psi(z) \neq 0$ such that in some neighbourhood of z , $f_2(\omega) = (\omega - z)^{-m}\phi(\omega)$ and $f_3(\omega) = (\omega - z)^{-m}\psi(\omega)$. Also $\Delta = f_2'f_3'' - f_2''f_3'$ shows that z is a pole of Δ with multiplicity not exceeding $2m + 3$ but by actual calculation we see that the coefficient of $(\omega - z)^{-(2m+3)}$ in Δ is $m^2(m + 1)\phi\psi - m^2(m + 1)\phi\psi \equiv 0$. So z is a pole of Δ with multiplicity not exceeding $2m + 2$. Hence $\mu(z) \leq 2m + 2 - m - m = 2$ and $\mu^*(z) \geq 2$. So $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.7. Let z be a pole of f_1, f_2 with multiplicity $m \geq 1$ and neither a zero nor a pole of f_3 . Then z is a pole of Δ with multiplicity not exceeding $m + 2$. Hence $\mu(z) \leq m + 2 - m = 2$ and $\mu^*(z) \geq 2$. So $\mu(z) \leq \mu^*(z)$.

SUBCASE 2.8. Let z be a pole of f_1 with multiplicity $m \geq 1$ and a pole of f_2 with multiplicity $m + q$ ($q \geq 1$). Then z is also a pole of f_3 with multiplicity $m + q$ and the terms containing $(w - z)^{-(m+i)}$ ($i = 1, \dots, q$) of the Laurent expansions of f_2 and f_3 about z cancel each other because $f_2 + f_3$ has a pole at z with multiplicity m . Also we see that Δ has a pole at z with multiplicity not exceeding $2m + q + 3$. Hence $\mu(z) \leq 2m + q + 3 - m - q - m - q = 3 - q$ and $\mu^*(z) = 2 + 1 = 3$. So $\mu(z) \leq \mu^*(z)$.

LEMMA 8. If $\sum_{a \neq \infty} \delta_p^0(a; f) > 0$ then

$$\Theta_0(\infty; \Psi(D)f) \geq 1 - \frac{1 - \Theta_0(\infty; f)}{\sum_{a \neq \infty} \delta_p^0(a; f)}.$$

PROOF. Since $\bar{N}_0(r, \Psi(D)f) = \bar{N}_0(r, f)$, the lemma follows from Lemma 5(i).

LEMMA 9 [14]. Let F and G be two nonconstant meromorphic functions such that F and G share 1 CM. If

$$\limsup_{r \rightarrow \infty, r \in I} \frac{N_2(r, 0; F) + N_2(r, \infty; F) + N_2(r, 0; G) + N_2(r, \infty; G)}{T(r)} < 1,$$

where

$$T(r) = \max\{T(r, F), T(r, G)\}$$

and I is a set of r 's ($0 < r < \infty$) of infinite linear measure, then $F \equiv G$ or $FG \equiv 1$.

4. Theorems. In this section we present the main results of the paper.

THEOREM 1. Let f, g be two meromorphic functions such that

(i) $\Psi(D)f, \Psi(D)g$ are nonconstant and share 1 CM and

$$(ii) \frac{\sum_{a \neq \infty} \delta(a; f)}{1 + p(1 - \Theta(\infty; f))} + \frac{\sum_{a \neq \infty} \delta(a; g)}{1 + p(1 - \Theta(\infty; g))} > 1 + \frac{4(1 - \Theta(\infty; f))}{\sum_{a \neq \infty} \delta_p(a; f)} + \frac{4(1 - \Theta(\infty; g))}{\sum_{a \neq \infty} \delta_p(a; g)},$$

where $\sum_{a \neq \infty} \delta_p(a; f) > 0$ and $\sum_{a \neq \infty} \delta_p(a; g) > 0$. Then either $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$ or $f - g \equiv s$, where s is a solution of the differential equation $\Psi(D)w = 0$.

THEOREM 2. Let f, g be two meromorphic functions of finite order such that

(i) $\Psi(D)f, \Psi(D)g$ are nonconstant and share 1 CM and

$$(ii) \frac{\sum_{a \neq \infty} \delta(a; f)}{1 + p(1 - \Theta(\infty; f))} + \frac{\sum_{a \neq \infty} \delta(a; g)}{1 + p(1 - \Theta(\infty; g))} > 1 + \frac{2(1 - \Theta(\infty; f))}{\sum_{a \neq \infty} \delta_p(a; f)} + \frac{2(1 - \Theta(\infty; g))}{\sum_{a \neq \infty} \delta_p(a; g)},$$

where $\sum_{a \neq \infty} \delta_p(a; f) > 0$ and $\sum_{a \neq \infty} \delta_p(a; g) > 0$. Then either $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$ or $f - g \equiv s$, where s is a solution of the differential equation $\Psi(D)w = 0$.

The following example shows that the theorems are sharp.

EXAMPLE 1. Let $f = \frac{1}{2}e^z(e^z - 1)$, $g = \frac{1}{2}e^{-z}(\frac{1}{2} - \frac{1}{5}e^{-z})$ and $\Psi(D) = D^2 - 3D$. Then $\sum_{a \neq \infty} \delta(a; f) = \sum_{a \neq \infty} \delta(a; g) = 1/2$, $\Theta(\infty; f) = \Theta(\infty; g) = 1$ and $\sum_{a \neq \infty} \delta_2(a; f) > 0$, $\sum_{a \neq \infty} \delta_2(a; g) > 0$. Also $\Psi(D)f = e^z(1 - e^z)$ and $\Psi(D)g = e^{-z}(1 - e^{-z})$ share 1 CM but neither $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$ nor $f - g \equiv c_1 + c_2e^{3z}$ for any constants c_1 and c_2 .

Proof of Theorem 1. Let $F = \Psi(D)f$ and $G = \Psi(D)g$. Then in view of Lemmas 2, 5 and 8 the condition (ii) implies

$$(5) \quad \delta_0(0; F) + \delta_0(0; G) + 4\Theta_0(\infty; F) + 4\Theta_0(\infty; G) > 9.$$

We put

$$(6) \quad H = \frac{F - 1}{G - 1}.$$

Since F, G share 1 CM, the poles and zeros of H occur only at the poles of F and G respectively. Also $\bar{N}_0(r, \infty; H) \leq \bar{N}_0(r, \infty; F)$ and $\bar{N}_0(r, 0; H) \leq \bar{N}_0(r, \infty; G)$.

Let $F_1 = F$, $F_2 = -GH$ and $F_3 = H$. Then from (6) it follows that

$$(7) \quad F_1 + F_2 + F_3 \equiv 1.$$

First we suppose that $F_3 = H \equiv k$, a constant. Then from (7) we get $F - kG = 1 - k$. If $k \neq 1$, we see that

$$\frac{1}{1-k}F - \frac{k}{1-k}G \equiv 1.$$

Since $k \neq 0$, from Lemma 3 it follows that

$$\begin{aligned} T_0(r, F) &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; F) + S_0(r, F), \\ T_0(r, G) &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; G) + S_0(r, G). \end{aligned}$$

So

$$\begin{aligned} \max\{T_0(r, F), T_0(r, G)\} &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; F) \\ &\quad + \bar{N}_0(r, \infty; G) + o(\max\{T_0(r, F), T_0(r, G)\}). \end{aligned}$$

This gives $\delta_0(0; F) + \delta_0(0; G) + \Theta_0(\infty; F) + \Theta_0(\infty; G) \leq 3$ and so from (5) we see that $9 < 3\Theta_0(\infty; F) + 3\Theta_0(\infty; G) + 3 \leq 9$, a contradiction. So $k = 1$ and hence $F \equiv G$. Therefore $\Psi(D)(f - g) \equiv 0$ and so $f - g \equiv s$, where $s = s(z)$ is a solution of $\Psi(D)w = 0$.

Similarly if $F_2 \equiv k$, a constant, we can show that $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$.

Now we suppose that F_1, F_2 and F_3 are nonconstant. If possible, let F_1, F_2, F_3 be linearly independent. Then from Lemma 7 we get

$$\begin{aligned} (8) \quad T_0(r, F) &\leq N_2^0(r, 0; F) + N_2^0(r, 0; G) + 2N_2^0(r, 0; H) \\ &\quad + \max_{1 \leq i \neq j \leq 3} \{N_2^0(r, \infty; F_i) + \bar{N}_0(r, \infty; F_j)\} + \sum_{j=1}^3 S_0(r, F_j) \\ &\leq N_0(r, 0; F) + N_0(r, 0; G) + 4\bar{N}_0(r, \infty; G) \\ &\quad + \max_{1 \leq i \neq j \leq 3} \{N_2^0(r, \infty; F_i) + \bar{N}_0(r, \infty; F_j)\} + \sum_{j=1}^3 S_0(r, F_j). \end{aligned}$$

Now in view of (6) we see that

$$\sum_{j=1}^3 S_0(r, F_j) = o(\max\{T_0(r, F), T_0(r, G)\})$$

and

$$\begin{aligned} N_2^0(r, \infty; F_1) + \bar{N}_0(r, \infty; F_2) &= N_2^0(r, \infty; F) + \bar{N}_0(r, \infty; H(G-1)) \\ &= N_2^0(r, \infty; F) + \bar{N}_0(r, \infty; F) \leq 3\bar{N}_0(r, \infty; F), \\ N_2^0(r, \infty; F_2) + \bar{N}_0(r, \infty; F_3) &= N_2^0(r, \infty; H(G-1)) + N_0(r, \infty; H) \\ &\leq N_2^0(r, \infty; F) + \bar{N}_0(r, \infty; F) \leq 3\bar{N}_0(r, \infty; F), \\ N_2^0(r, \infty; F_3) + \bar{N}_0(r, \infty; F_1) &= N_2^0(r, \infty; H) + \bar{N}_2^0(r, \infty; F) \\ &\leq 2\bar{N}_0(r, \infty; H) + \bar{N}_0(r, \infty; F) \leq 3\bar{N}_0(r, \infty; F) \end{aligned}$$

and similarly for the other three terms. So from (8) we get

$$(9) \quad T_0(r, F) \leq N_0(r, 0; F) + N_0(r, 0; G) + 3\overline{N}_0(r, \infty; F) + 4\overline{N}_0(r, \infty; G) + o(\max\{T_0(r, F), T_0(r, G)\}).$$

Now we put $G_1 = -F_2/F_3 = G$, $G_2 = 1/F_3 = 1/H$ and $G_3 = -F_1/F_3 = -F/H$. Then by Lemma 6, G_1, G_2, G_3 are linearly independent and so proceeding as above we get

$$(10) \quad T_0(r, G) \leq N_0(r, 0; F) + N_0(r, 0; G) + 3\overline{N}_0(r, \infty; G) + 4\overline{N}_0(r, \infty; F) + o(\max\{T_0(r, F), T_0(r, G)\}).$$

From (9) and (10) we get

$$\begin{aligned} \max\{T_0(r, F), T_0(r, G)\} &\leq (10 - \delta_0(0; F) - \delta_0(0; G) - 4\Theta_0(\infty; F) \\ &\quad - 4\Theta_0(\infty; G) + o(1)) \max\{T_0(r, F), T_0(r, G)\} \\ &< (1 - \varepsilon + o(1)) \max\{T_0(r, F), T_0(r, G)\}, \end{aligned}$$

which is a contradiction, where by (5) we choose

$$0 < \varepsilon < \delta_0(0; F) + \delta_0(0; G) + 4\Theta_0(\infty; F) + 4\Theta_0(\infty; G) - 9.$$

Hence there exist constants c_1, c_2, c_3 , not all zero, such that

$$(11) \quad c_1F_1 + c_2F_2 + c_3F_3 \equiv 0.$$

Clearly $c_1 \neq 0$. For, otherwise from (11) we get $H(c_3 - c_2G) \equiv 0$, which is impossible because F and G are nonconstant.

Now eliminating F_1 from (7) and (11) we get

$$(12) \quad cF_2 + dF_3 \equiv 1,$$

where $c = 1 - c_2/c_1$ and $d = 1 - c_3/c_1$.

If possible let $cd \neq 0$. Then from (12) we get $(c/d)(G) + 1/(dH) \equiv 1$. So by Lemma 3 we get

$$T_0(r, G) \leq N_0(r, 0; G) + \overline{N}_0(r, \infty; H) + \overline{N}_0(r, \infty; G) + S_0(r, G),$$

i.e.

$$(13) \quad T_0(r, G) \leq N_0(r, 0; G) + \overline{N}_0(r, \infty; F) + \overline{N}_0(r, \infty; G) + S_0(r, G).$$

By the second fundamental theorem we get on integration

$$\begin{aligned} T_0(r, F) &\leq N_0(r, 0; F) + N_0(r, 1; F) + \overline{N}_0(r, \infty; F) + S_0(r, F) \\ &= N_0(r, 0; F) + N_0(r, 1; G) + \overline{N}_0(r, \infty; F) + S_0(r, F) \\ &\leq N_0(r, 0; F) + T_0(r, G) + \overline{N}_0(r, \infty; F) + S_0(r, F). \end{aligned}$$

So by (13) we obtain

$$(14) \quad T_0(r, F) \leq N_0(r, 0; F) + N_0(r, 0; G) + 2\overline{N}_0(r, \infty; F) + \overline{N}_0(r, \infty; G) + S_0(r, F) + S_0(r, G).$$

From (13) and (14) we get

$$\begin{aligned} \max\{T_0(r, F), T_0(r, G)\} &\leq N_0(r, 0; F) + N_0(r, 0; G) + 2\bar{N}_0(r, \infty; F) \\ &\quad + \bar{N}_0(r, \infty; G) + o(\max\{T_0(r, F), T_0(r, G)\}) \end{aligned}$$

and so $\delta_0(0; F) + \delta_0(0; G) + 2\Theta_0(\infty; F) + \Theta_0(\infty; G) \leq 4$.

Now by (5) we see that

$$\begin{aligned} 9 &< \delta_0(0; F) + \delta_0(0; G) + 4\Theta_0(\infty; F) + 4\Theta_0(\infty; G) \\ &\leq 4 + 2\Theta_0(\infty; F) + 3\Theta_0(\infty; G) \leq 9, \end{aligned}$$

which is a contradiction. Therefore $cd = 0$. From (12) we see that c and d are not simultaneously zero. So we consider the following cases.

CASE I. Let $d = 0$. Then from (12) we get $-cF + 1/G \equiv 1 - c$. If $c \neq 1$, we obtain $(-c/(1-c))F + 1/((1-c)G) \equiv 1$. So by Lemma 3 it follows that

$$T_0(r, F) \leq N_0(r, 0; F) + \bar{N}_0(r, \infty; G) + \bar{N}_0(r, \infty; F) + S_0(r, F)$$

and

$$\begin{aligned} T_0(r, G) &= T_0(r, 1/G) + S_0(r, G) \\ &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; G) + S_0(r, G). \end{aligned}$$

Hence

$$\begin{aligned} \max\{T_0(r, F), T_0(r, G)\} &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; F) \\ &\quad + \bar{N}_0(r, \infty; G) + o(\max\{T_0(r, F), T_0(r, G)\}), \end{aligned}$$

and so $\delta_0(0; F) + \delta_0(0; G) + \Theta_0(\infty; F) + \Theta_0(\infty; G) \leq 3$.

From (5) we see that

$$\begin{aligned} 9 &< \delta_0(0; F) + \delta_0(0; G) + 4\Theta_0(\infty; F) + 4\Theta_0(\infty; G) \\ &\leq 3 + 3\Theta_0(\infty; F) + 3\Theta_0(\infty; G) \leq 9, \end{aligned}$$

which is a contradiction. Therefore $c = 1$ and so $FG \equiv 1$, i.e., $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$.

CASE II. Let $c = 0$. Then from (12) we get $dF - G \equiv d - 1$. If $d \neq 1$ it follows that $(d/(d-1))F - (1/(d-1))G \equiv 1$. Now by Lemma 3 we obtain

$$\begin{aligned} T_0(r, F) &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; F) + S_0(r, F), \\ T_0(r, G) &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; G) + S_0(r, G). \end{aligned}$$

So we get

$$\begin{aligned} \max\{T_0(r, F), T_0(r, G)\} &\leq N_0(r, 0; F) + N_0(r, 0; G) + \bar{N}_0(r, \infty; F) \\ &\quad + \bar{N}_0(r, \infty; G) + o(\max\{T_0(r, F), T_0(r, G)\}) \end{aligned}$$

and as in Case I this leads to a contradiction. So $d = 1$ and hence $F \equiv G$, i.e., $\Psi(D)(f - g) \equiv 0$. Therefore $f - g \equiv s$ where $s = s(z)$ is a solution of $\Psi(D)w = 0$. This proves the theorem.

Proof of Theorem 2. If f and g are of finite order, we can prove along the lines of Lemmas 5 and 8 that

$$\delta(0; \Psi(D)f) \geq \frac{\sum_{a \neq \infty} \delta(a; f)}{1 + p(1 - \Theta(\infty; f))}, \quad \Theta(\infty; \Psi(D)f) \geq 1 - \frac{1 - \Theta(\infty; f)}{\sum_{a \neq \infty} \delta_p(a; f)},$$

and the corresponding results for g . Let $F = \Psi(D)f$ and $G = \Psi(D)g$. Then by the condition (ii) of the theorem we get

$$\delta(0; F) + \delta(0; G) + 2\Theta(\infty; F) + 2\Theta(\infty; G) > 5.$$

This implies

$$\begin{aligned} \limsup_{r \rightarrow \infty} \frac{N(r, 0; F)}{T(r, F)} + \limsup_{r \rightarrow \infty} \frac{N(r, 0; G)}{T(r, G)} \\ + 2 \limsup_{r \rightarrow \infty} \frac{\bar{N}(r, \infty; F)}{T(r, F)} + 2 \limsup_{r \rightarrow \infty} \frac{\bar{N}(r, \infty; G)}{T(r, G)} < 1, \end{aligned}$$

i.e.,

$$\limsup_{r \rightarrow \infty} \frac{N_2(r, 0; F) + N_2(r, 0; G) + N_2(r, \infty; F) + N_2(r, \infty; G)}{\max\{T(r, F), T(r, G)\}} < 1$$

and so by Lemma 9 the theorem follows.

Considering $f = -2^{-n}e^{2z} + e^z$, $g(z) = -(-1)^n 2^{-n}e^{-2z} + (-1)^n e^{-z}$ where n is a positive integer, Yi and Yang [16] claimed that for $n \geq 1$ the condition $\delta(0; f) + \delta(0; g) > 1$ of Theorem G is necessary. In the following example we see that this claim is not justified.

EXAMPLE 2. Let $f = e^z - 1$ and $g = 1 + (-1)^n e^{-z}$. Then $\delta(0; f) = \delta(0; g) = 0$ and $f^{(n)}, g^{(n)}$ share 1 CM. Also $f^{(n)}g^{(n)} \equiv 1$.

In the first corollary we improve Theorem G for $n \geq 1$.

COROLLARY 1. Let f, g be two meromorphic function with $\Theta(\infty; f) = \Theta(\infty; g) = 1$. If for $n \geq 1$ the derivatives $f^{(n)}$ and $g^{(n)}$ are nonconstant and share 1 CM with

- (i) $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) > 1$,
- (ii) $\Theta(0; f) + \Theta(0; g) > 1$,

then either (a) $f^{(n)}g^{(n)} \equiv 1$ or (b) $f \equiv g$.

PROOF. Choosing $\Psi(D) = D^n$, from Theorem 1 it follows that either $f^{(n)}g^{(n)} \equiv 1$ or $f - g \equiv Q$, where Q is a polynomial of degree at most $n - 1$. If possible, let $Q \not\equiv 0$. Then from [3, Theorem 2.5, p. 47] it follows that

$$\begin{aligned} T(r, f) &\leq \bar{N}(r, 0; f) + \bar{N}(r, Q; f) + \bar{N}(r, \infty; f) + S(r, f) \\ &= \bar{N}(r, 0; f) + \bar{N}(r, 0; g) + \bar{N}(r, \infty; f) + S(r, f). \end{aligned}$$

Since $f - g \equiv Q$, it follows that $T(r, f) = T(r, g) + O(\log r)$. So we get $\Theta(0; f) + \Theta(0; g) \leq 1$, which is a contradiction. Therefore $Q \equiv 0$ and so $f \equiv g$.

The following examples show that the condition $\Theta(0; f) + \Theta(0; g) > 1$ is necessary for the validity of case (b).

EXAMPLE 3. Let $f = e^z + 1$ and $g = e^z$. Then $\Theta(0; f) = 0$, $\Theta(0; g) = 1$, $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) = 2$, $\Theta(\infty; f) = \Theta(\infty; g) = 1$ and $f^{(n)}, g^{(n)}$ share 1 CM but $f - g \equiv 1$.

EXAMPLE 4. Let $f = e^z + 1$ and $g = (-1)^n e^{-z}$. Then $\Theta(0; f) = 0$, $\Theta(0; g) = 1$, $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) = 2$, $\Theta(\infty; f) = \Theta(\infty; g) = 1$ and $f^{(n)}, g^{(n)}$ share 1 CM but $f^{(n)}g^{(n)} \equiv 1$.

Answering the question of C. C. Yang [9], mentioned in the introduction, H. X. Yi [12] proved the following theorem.

THEOREM H [12]. *Let f and g be two nonconstant entire functions. Assume that f, g share 0 CM and $f^{(n)}, g^{(n)}$ share 1 CM, where n is a non-negative integer. If $\delta(0; f) > 1/2$ then either $f \equiv g$ or $f^{(n)}g^{(n)} \equiv 1$.*

Considering $f = -2^{-n}e^{2z} + (-1)^{n+1}2^{-n}e^z$ and $g = (-1)^{n+1}2^{-n}e^{-2z} - 2^{-n}e^{-z}$, Yi [12] claimed that the condition $\delta(0; f) > 1/2$ is necessary. The following example shows that for $n \geq 1$ this is not always the case.

EXAMPLE 5. Let $f = e^z - 1$ and $g = (-1)^{n+1} + (-1)^n e^{-z}$. Then f, g share 0 CM and $f^{(n)}, g^{(n)}$ ($n \geq 1$) share 1 CM, $\delta(0; f) = 0$ but $f^{(n)}g^{(n)} \equiv 1$.

In the following corollary we provide an answer to a question of Yang [9].

COROLLARY 2. *Let f and g be two meromorphic functions with $\Theta(\infty; f) = \Theta(\infty; g) = 1$. Suppose that $f^{(n)}, g^{(n)}$ ($n \geq 1$) share 1 CM and f, g share a value b ($\neq \infty$) IM. If $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) > 1$ then either $f \equiv g$ or $f^{(n)}g^{(n)} \equiv 1$.*

PROOF. The condition $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) > 1$ implies that f and g are transcendental so that $f^{(n)}, g^{(n)}$ are nonconstant. Choosing $\Psi(D) = D^n$ we see from Theorem 1 that either $f^{(n)}g^{(n)} \equiv 1$ or $f - g \equiv Q$, where Q is a polynomial. Now we consider the case $f - g \equiv Q$. If possible, let $Q \not\equiv 0$. Also we suppose that f has at most a finite number of b -points and so g also has a finite number of b -points. Now by [3, Theorem 2.5, p. 47] it follows that

$$\begin{aligned} T(r, f) &\leq \bar{N}(r, b; f) + \bar{N}(r, b + Q; f) + \bar{N}(r, \infty; f) + S(r, f) \\ &= \bar{N}(r, b; f) + \bar{N}(r, b; g) + S(r, f) = O(\log r) + S(r, f), \end{aligned}$$

which is a contradiction. Therefore f has infinitely many b -points and so $f - g$ has infinitely many zeros. This again implies a contradiction because $f - g \equiv Q$ and $Q \not\equiv 0$. So $Q \equiv 0$ and hence $f \equiv g$.

Considering $f = -2^{-n}e^{2z} + (-1)^{n+1}2^{-n}e^z$ and $g = (-1)^{n+1}2^{-n}e^{-2z} - 2^{-n}e^{-z}$ we can verify that the condition $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) > 1$ of Corollary 2 is necessary.

COROLLARY 3. *Let $\Psi(D) = D(D - \lambda_1)(D - \lambda_2) \dots (D - \lambda_{p-1})$ where λ_i 's are nonzero pairwise distinct complex numbers. Also suppose that f and g are two meromorphic functions with the following properties:*

- (i) $\Psi(D)f, \Psi(D)g$ are nonconstant and share 1 CM,
- (ii) $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) > 1$ and $\Theta(\infty; f) = \Theta(\infty; g) = 1$,
- (iii) f and g have b -points ($b \neq \infty$) with multiplicities not less than $p + 1$ at the origin.

Then $f \equiv g$.

PROOF. From the theorem we get either $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$ or $f - g \equiv c_0 + c_1e^{\lambda_1 z} + c_2e^{\lambda_2 z} + \dots + c_{p-1}e^{\lambda_{p-1} z}$ where c_i 's are constants. Since f has a b -point with multiplicity at least $p + 1$ at the origin, it follows that $\Psi(D)f$ has at least a simple zero at the origin. Similarly $\Psi(D)g$ has at least a simple zero at the origin. So the case $[\Psi(D)f] \cdot [\Psi(D)g] \equiv 1$ does not occur. If possible, let $f \not\equiv g$. Then the constants c_0, c_1, \dots, c_{p-1} are not all zero. Also by condition (iii) it follows that $f - g$ has a zero at the origin with multiplicity at least $p + 1$. This implies that

$$\sum_{i=0}^{p-1} c_i = 0, \quad \sum_{i=0}^{p-1} \lambda_i c_i = 0, \quad \sum_{i=0}^{p-1} \lambda_i^2 c_i = 0, \quad \dots, \quad \sum_{i=0}^{p-1} \lambda_i^p c_i = 0.$$

This system of equations gives $c_0 = c_1 = c_2 = \dots = c_{p-1} = 0$, which is a contradiction. Therefore $f \equiv g$. This proves the corollary.

The following examples show that condition (iii) of Corollary 3 is necessary.

EXAMPLE 6. Let $f = e^{z^3}, g = e^{z^3} + 1$ and $\Psi(D) = D(D - 1)$. Then $\Psi(D)f, \Psi(D)g$ share 1 CM, $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) = 2, \Theta(\infty; f) = \Theta(\infty; g) = 1$ and $f - 1, g - 2$ have zeros with multiplicity three at the origin, but $f \not\equiv g$.

EXAMPLE 7. Let $f = e^z - 1, g = 1 - e^{-z}$ and $\Psi(D) = D$. Then $\Psi(D)f, \Psi(D)g$ share 1 CM, $\sum_{a \neq \infty} \delta(a; f) + \sum_{a \neq \infty} \delta(a; g) = 2, \Theta(\infty; f) = \Theta(\infty; g) = 1$ and f, g have simple zeros at the origin, but $f \not\equiv g$.

Let us conclude the paper with the following question: What can be said if two nonlinear differential polynomials generated by two meromorphic functions share 1 CM?

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