

## On irreducible components of a Weierstrass-type variety

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**Abstract.** We give a characterization of the irreducible components of a Weierstrass-type ( $W$ -type) analytic (resp. algebraic, Nash) variety in terms of the orbits of a Galois group associated in a natural way to this variety. Since every irreducible variety of pure dimension is (locally) a component of a  $W$ -type variety, this description may be applied to any such variety.

**1. Introduction.** This work grew out of an attempt to provide an algebraic description of analytic varieties of constant dimension. We study Weierstrass type varieties introduced by Whitney in [6]. Since any analytic set of constant dimension is a sum of irreducible components of a  $W$ -type variety (see [6], p. 81), we can consider only the irreducible components of a Weierstrass-type set.

The main aim of this paper is to characterize the irreducible components of a  $W$ -type variety in terms of an action of a Galois group associated in a natural way with the given variety.

Let  $U$  be an open connected subset in  $\mathbb{C}^n$ . We denote by  $R$  one of the following rings:

- $\mathbb{C}[u]$  = ring of polynomials in  $n$  variables,
- $\mathcal{O}(U)$  = ring of holomorphic functions on  $U$ ,
- $\mathcal{N}(U)$  = ring of Nash functions on  $U$ .

The ring  $\mathcal{N}(U)$  is the algebraic closure of  $\mathbb{C}[u]$  in  $\mathcal{O}(U)$  (for further properties of Nash functions cf. [5]).

Let  $W$  be a  $W$ -type (Weierstrass type)  $n$ -dimensional variety in a connected open set  $U \times \mathbb{C}^k \subset \mathbb{C}^{n+k}$ :

$$W = \{(u, z_1, \dots, z_k) \in U \times \mathbb{C}^k \mid p_i(u)(z_i) = 0, i = 1, \dots, k\}$$

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where

$$p_i(u)(z) = z^{n_i} + \sum_{j=0}^{n_i-1} a_j^i z^j \in R[z], \quad a_j^i \in R.$$

Let  $K$  be the field of fractions of the ring  $R$ , and  $L$  be a common splitting field over  $K$  of the defining polynomials  $p_1, \dots, p_k$  of  $W$ . Then  $L/K$  is a Galois extension. Let  $X_i \subset L$  be the zero-set of the polynomial  $p_i$  in  $L$ . The Galois group  $\text{Gal}(L/K)$  acts in a natural way on  $X_1 \times \dots \times X_k$ . We prove that the orbits of this action are in 1:1 correspondence with the irreducible components of  $W$  (Theorem 6.1).

In Section 2 we introduce some notation and state a few basic facts from Galois theory. In Section 3 we construct a covering space  $\text{Hom}(L, \mathcal{M})$  of  $U' := U \setminus \{\text{branching locus of } W\}$ , encoding the algebraic and topological structure of the problem. We investigate its relations with  $W$ , which will be exploited in the proof of the irreducibility of the variety associated with an orbit of  $\text{Gal}(L/K)$ . In Section 4 the properties of  $\text{Hom}(L, \mathcal{M})$  are used to construct a homomorphism from the fundamental group  $\pi_1(U')$  to the Galois group  $\text{Gal}(L/K)$ .

In Section 5, certain finite subsets of  $L^k$  are shown to correspond to irreducible components of  $W$ . Finally, in Section 6, we state and prove our main result. The proof is based on the observation that every irreducible component of  $W$  can be obtained by the construction described in Section 5.

**2. Algebraic preliminaries.** We begin with two results from Galois theory.

LEMMA 2.1 ([2], p. 42). *Let  $K$  be an algebraic extension of the field  $k$  contained in the algebraic closure  $\bar{k}$  of  $k$ . Then the following statements are equivalent:*

1. *The field extension  $K/k$  is normal.*
2. *Every  $k$ -homomorphism  $\sigma : K \rightarrow \bar{k}$  is onto  $K$ .*

LEMMA 2.2. *Let  $L/K$  be an algebraic field extension, and  $K \subset \Omega$  an arbitrary field extension. Then there is a  $K$ -homomorphism  $\sigma : L \rightarrow \bar{\Omega}$ .*

Let  $u$  be a point in  $U$ . We shall use the following notation:

- $\mathcal{O}_u$  = ring of germs of holomorphic functions at  $u$ ,
- $\mathcal{M}_u$  = field of fractions of the ring  $\mathcal{O}_u$ ,
- $\mathcal{M}(U)$  = field of fractions of the ring  $\mathcal{O}(U)$ ,
- $\tilde{\mathcal{N}}(U)$  = field of fractions of the ring  $\mathcal{N}(U)$  of Nash functions.

Let  $\delta_i \in \mathcal{O}(U)$  be the discriminant of the polynomial  $p_i(z) \in \mathcal{O}(U)[z]$  (for the definition and basic properties of the discriminant see e.g. [3], p. 25). Let  $\Delta$  be the discriminant variety  $\Delta := \{u \in U : \delta_1(u) \cdots \delta_k(u) = 0\}$ . We shall

denote its complement in  $U$  by  $U'$ . Then  $U'$  is the biggest open set such that  $\pi : (U' \times \mathbb{C}^k) \cap W \ni (u, z) \mapsto u \in U'$  is an unbranched covering. Furthermore all the defining polynomials of  $W$  split in the local rings  $\mathcal{O}_u$  for all points  $u \in U'$ . If  $V$  is a set in  $\mathbb{C}^{n+k}$  then  $V'$  is defined to be  $V' := V \cap \pi^{-1}(U')$  where  $\pi : \mathbb{C}^{n+k} \rightarrow \mathbb{C}^n$  is the standard projection on the first  $n$  variables. The structure of a  $W$ -type variety is studied in detail in [6].

Recall that  $L$  is defined to be a common splitting field over  $K$  of the polynomials  $p_1, \dots, p_k$ . Without loss of generality one can assume that  $L$  is contained in a fixed algebraic closure of  $\mathcal{M}(U)$ .

**Remark 2.3.** Let  $L$  be the common splitting field of the polynomials  $p_i$ . Take  $u \in U'$  (i.e. outside the discriminant variety) and put  $\Omega := \mathcal{M}_u$ . Applying Lemma 2.2 we get a homomorphism  $\sigma : L \rightarrow \mathcal{M}_u$  which maps the roots of the polynomials  $p_i$  to germs of holomorphic functions.

The following simple observation on  $K$ -homomorphisms of  $L$  to  $\mathcal{M}_u$  will be used extensively.

**LEMMA 2.4.** *Let  $L/K$  be a Galois extension and let  $\sigma_1, \sigma_2 : L \rightarrow \mathcal{M}_u$  be  $K$ -homomorphisms. Then there exists  $g \in \text{Gal}(L/K)$  such that  $\sigma_1 = \sigma_2 \circ g$ .*

In the sequel we use the Riemann extension theorem to extend holomorphic functions through the discriminant locus. The assumptions of the theorem are satisfied due to the lemma:

**LEMMA 2.5** ([3], p. 86). *Let  $s \in \mathbb{C}$  be a root of a monic polynomial:*

$$s^n + a_1 s^{n-1} + \dots + a_n = 0.$$

*Then*

$$|s| \leq 2 \max_{i=1, \dots, n} |a_i|^{1/i}.$$

**3. The covering  $\text{Hom}(L, \mathcal{M}) \rightarrow U'$ .** In this section the set of all  $K$ -homomorphisms from  $L$  to  $\mathcal{M}_u$  is endowed with the structure of a covering of  $U'$ , and is used, in the next section, to define a homomorphism of the fundamental group  $\pi_1(U')$  into  $\text{Gal}(L/K)$ .

**DEFINITION 3.1.** Let  $\text{Hom}(L, \mathcal{M}_u)$  be the set of  $K$ -homomorphisms from  $L$  to  $\mathcal{M}_u$ . We define  $\text{Hom}(L, \mathcal{M}) := \coprod_{u \in U'} \text{Hom}(L, \mathcal{M}_u)$  to be the disjoint sum of  $\text{Hom}(L, \mathcal{M}_u)$ .

We introduce a topology on  $\text{Hom}(L, \mathcal{M})$  in a similar manner to the case of the sheaf of germs of holomorphic functions (cf. [4], p. 203).

Let  $e_1, \dots, e_m$  be a basis of the field extension  $L/K$ , and let  $\sigma_u \in \text{Hom}(L, \mathcal{M})$  be a  $K$ -homomorphism from  $L$  to  $\mathcal{M}_u$ . Let  $\mathbf{f}_i := \sigma_u(e_i)$  be the images of basis elements of  $L$  in  $\mathcal{M}_u$ . Without loss of generality we can assume that the  $\mathbf{f}_i$ 's are germs of holomorphic functions. Let the pairs

$(U_i, f_i)$  for  $i = 1, \dots, m$ , where  $f_i \in \mathcal{O}(U_i)$ , be representatives of the germs  $\mathbf{f}_i$  such that  $U_1 \cap \dots \cap U_m$  is a connected open set. As the basis of the topology in  $\text{Hom}(L, \mathcal{M})$  we take all the sets of the form

$$\{\sigma : e_i \mapsto (U_i, f_i)_{u'}\}_{u' \in U_1 \cap \dots \cap U_m}$$

where  $(U_i, f_i)_{u'}$  denotes the germ of  $f_i$  in the local ring  $\mathcal{O}_{u'}$ .

**PROPOSITION 3.2.** (1) *The family  $\mathcal{B}$  of all sets defined above is a basis of a topology.*

(2)  *$\text{Hom}(L, \mathcal{M})$  with the natural projection  $\pi : \text{Hom}(L, \mathcal{M}) \rightarrow U'$  is a topological covering, i.e. for every  $u \in U'$  there exists an open, connected neighborhood  $V$  of  $u$  such that  $\pi^{-1}(V) = \bigcup U_\alpha$  where  $U_\alpha$  are disjoint open sets and  $\pi|_{U_\alpha} : U_\alpha \rightarrow V$  is a surjective homeomorphism.*

**Proof.** The first part is obvious. We will give the proof of the second statement. Take  $u \in U'$ . Let  $\sigma_u$  be a  $K$ -homomorphism from  $L$  to  $\mathcal{M}_u$  (i.e. a point lying in the fiber of  $u$ ). Let  $\{\sigma_{u'} : u' \in V\}$  be an element of the basis of neighborhoods of  $\sigma_u$ . Then for every  $g \in \text{Gal}(L/K)$  the set  $\{\sigma_{u'} \circ g : u' \in V\}$  is a neighborhood of  $\sigma_u \circ g$  lying above  $V$ .

In this way we obtain the inclusion  $\pi^{-1}(V) \supset \bigcup_{g \in \text{Gal}(L/K)} \{\sigma_u \circ g\}$ . The sets  $\{\sigma_u \circ g\}$  are disjoint (by the identity principle) and open. It remains to show that  $\pi^{-1}(V) = \bigcup_{g \in \text{Gal}(L/K)} \{\sigma_u \circ g\}$ . But by Lemma 2.4, given any two  $K$ -homomorphisms  $\sigma_1, \sigma_2$  to  $\mathcal{M}_{u_0}$ , there is a  $g \in \text{Gal}(L/K)$  such that  $\sigma_1 = \sigma_2 \circ g$ . So for any  $K$ -homomorphism  $\sigma$  lying above  $u$  we can find an element of the Galois group  $\text{Gal}(L/K)$  such that  $\sigma \in \{\sigma_{u'} \circ g\}$ . ■

Since  $\text{Hom}(L, \mathcal{M})$  is a covering, given a path  $\gamma : [0, 1] \rightarrow U'$  and any  $K$ -homomorphism  $\sigma_0$  from  $L$  to  $\mathcal{M}_{\gamma(0)}$ , there is a unique lifting of  $\gamma$  to a path  $\tilde{\gamma} : [0, 1] \rightarrow \text{Hom}(L, \mathcal{M})$  such that  $\tilde{\gamma}(0) = \sigma_0$ . This lifting has good properties with respect to the action of  $\text{Gal}(L/K)$ , namely we have

**PROPOSITION 3.3.** *Let  $\gamma$  be a path in  $U'$ , and let  $\tilde{\gamma}$  be its lifting to  $\text{Hom}(L, \mathcal{M})$  such that  $\tilde{\gamma}(0) = \sigma_0$ . Then the map  $t \mapsto (\tilde{\gamma}(t)) \circ g$  is a lifting of  $\gamma$  to  $\text{Hom}(L, \mathcal{M})$  starting from  $\sigma_0 \circ g$ , for  $g \in \text{Gal}(L/K)$ .*

Furthermore, liftings of curves to  $W'$  can be constructed from a lifting to  $\text{Hom}(L, \mathcal{M})$ . To define the  $i$ th component of the path in  $W'$ , first we lift  $\gamma$  to  $\text{Hom}(L, \mathcal{M})$  obtaining a  $K$ -homomorphism from  $L$  to  $\mathcal{M}_{\gamma(t)}$ . We can evaluate it on  $s_i$  (a root of the polynomial  $p_i$ ) to get a holomorphic function in the neighborhood of  $\gamma(t)$ . Finally, we take its value on  $\gamma(t) \in U' \subset \mathbb{C}^n$ .

**PROPOSITION 3.4.** *If  $\tilde{\gamma}$  is a lifting of  $\gamma$  to  $\text{Hom}(L, \mathcal{M})$ , and  $s_i \in X_i$ , then the map*

$$t \mapsto (\gamma(t), (\tilde{\gamma}(t)(s_1))(\gamma(t)), \dots, (\tilde{\gamma}(t)(s_k))(\gamma(t)))$$

*is a lifting of  $\gamma$  to  $W'$ .*

We have two natural covering spaces of  $U'$ , namely the original  $W$ -type variety  $W'$  and the covering  $\text{Hom}(L, \mathcal{M})$ . The latter can be seen as encoding the algebraic relations between the coordinate functions (projections) restricted to  $W'$ :

$$z_i : U \times \mathbb{C}^k \supset W' \ni (u, z_1, \dots, z_k) \mapsto z_i \in \mathbb{C}.$$

EXAMPLE 3.5. If  $W = \{z_1^2 - u = 0, \dots, z_k^2 - u = 0\} \subset \mathbb{C} \times \mathbb{C}^k$  then  $W'$  is a  $2^k$ -sheeted covering of  $U' \subset \mathbb{C}$ . The fiber over each point  $u \in U'$  of the covering  $\text{Hom}(L, \mathcal{M})$  is, by construction, bijective with the Galois group  $\text{Gal}(L/K)$ . In this case  $L$  is the splitting field of the polynomial  $z^2 - u$  over  $\mathbb{C}(u)$ . So the Galois group  $\text{Gal}(L/K)$  is  $\mathbb{Z}_2$ . The covering  $\text{Hom}(L, \mathcal{M})$  is therefore 2-sheeted. We see therefore that in general  $W'$  is not isomorphic to  $\text{Hom}(L, \mathcal{M})$ .

EXAMPLE 3.6. If  $W = \{z_1^2 - u_1 = 0, \dots, z_k^2 - u_k = 0\} \subset \mathbb{C}^k \times \mathbb{C}^k$  then  $W'$  is also a  $2^k$ -sheeted covering of  $U' \subset \mathbb{C}^k$ . But now  $L$  is the splitting field of the polynomial  $(z^2 - u_1) \cdot \dots \cdot (z^2 - u_k)$  over the field  $\mathbb{C}(u_1, \dots, u_k)$ . The Galois group is now  $\mathbb{Z}_2 \times \dots \times \mathbb{Z}_2$ . Here the covering  $\text{Hom}(L, \mathcal{M})$  is also  $2^k$ -sheeted, and one can show that it is in fact isomorphic to  $W'$ .

In some cases the structure of  $\text{Hom}(L, \mathcal{M})$  can be richer than that of the original variety  $W'$ .

EXAMPLE 3.7. Let  $W = \{z^n + u_1 z^{n-1} + \dots + u_n = 0\}$ . Hence  $W'$  is a  $n$ -sheeted covering. But now the Galois group  $\text{Gal}(L/K)$  is isomorphic to the permutation group  $S_n$ . Therefore  $\text{Hom}(L, \mathcal{M})$  is a  $n!$ -sheeted covering of  $U'$ .

**4. Homomorphism  $\pi_1(U') \rightarrow \text{Gal}(L/K)$ .** In this section we define a homomorphism of the fundamental group of  $U'$  to the Galois group  $\text{Gal}(L/K)$  and establish some of its properties.

Let  $\sigma_0 : L \rightarrow \mathcal{M}_{u_0}$  be a  $K$ -homomorphism, and  $\gamma$  be some representative of  $[\gamma] \in \pi_1(U', u_0)$ . There is a unique lifting of  $\gamma$  to  $\text{Hom}(L, \mathcal{M})$  starting from  $\sigma_0$ . Since  $\gamma$  is a closed loop,  $\tilde{\gamma}(1)$  is also a  $K$ -homomorphism from  $L$  to  $\mathcal{M}_{u_0}$ . Then by Lemma 2.4 there is a unique  $g \in \text{Gal}(L/K)$  such that  $\tilde{\gamma}(1) = \sigma_0 \circ g$ . By the homotopy lifting property of coverings,  $g$  is independent of the choice of a representative of  $[\gamma] \in \pi_1(U', u_0)$ .

DEFINITION 4.1. We shall denote by  $\mathfrak{Gal}$  the mapping of the fundamental group  $\pi_1(U', u_0)$  to the Galois group  $\text{Gal}(L/K)$  obtained by the above construction.

PROPOSITION 4.2. Let  $\gamma_1, \gamma_2 \in \pi_1(U', u_0)$ . Then  $\mathfrak{Gal}(\gamma_2 \circ \gamma_1) = \mathfrak{Gal}(\gamma_2) \circ \mathfrak{Gal}(\gamma_1)$ .

PROPOSITION 4.3. (1) If  $K = \mathbb{C}(u)$  then  $\mathfrak{Gal}(\pi_1(U')) = \text{Gal}(L/(L \cap \tilde{\mathcal{N}}(U)))$  (here  $\tilde{\mathcal{N}}(U)$  denotes the field of fractions of  $\mathcal{N}(U)$ ).

(2) In the analytic or Nash case ( $R = \mathcal{O}(U)$  or  $R = \mathcal{N}(U)$ ), the mapping  $\mathfrak{Gal}$  is an epimorphism.

PROOF. Take an element  $f \in L$  invariant with respect to  $\mathfrak{Gal}(\pi_1(U'))$ . Since  $L$  is algebraic over  $K$  we have  $L = K(s_1, \dots, s_p) = K[s_1, \dots, s_p]$ , where  $s_i$  are the roots of the defining polynomials of  $W$ . Therefore we can write  $f = \sum_{j=1}^p w_j t_j$ , where  $w_j \in K$  and  $t_j$  are monomials in  $s_1, \dots, s_p$ . Multiplying by the denominators of  $w_j$  we get  $F := hf = \sum_{j=1}^p v_j t_j$ , where  $h, v_j \in R$  ( $K$  is the field of fractions of  $R$ ).

By Remark 2.3 we have  $\sigma_u(F) \in \mathcal{O}_u$  for every  $u \in U'$ . We define  $\hat{F} : U' \rightarrow \mathbb{C}$  in the following way:

$$\hat{F}(u) := \sigma_u(F)(u)$$

where  $\sigma_u$  is obtained from  $\sigma_0$  by a lifting along some path. It is defined only up to the action of  $g \in \mathfrak{Gal}(\pi_1(U'))$ , but since  $F$  is  $\mathfrak{Gal}(\pi_1(U'))$ -invariant the images of  $F$  in  $\mathcal{O}_u$  coincide.

$\hat{F}$  is clearly holomorphic in  $U'$ , and locally bounded in  $U$ . By the Riemann extension theorem it can be extended to a holomorphic function in  $U$ . Now  $\hat{F} = F$  since  $\sigma_{u_0}$  is a monomorphism. This shows that  $f = F/h$  is an element of  $\mathcal{M}(U)$ .

Conversely, if  $f \in L$  is holomorphic then it is  $\mathfrak{Gal}(\pi_1(U'))$ -invariant. ■

**5. Algebraic set associated with an orbit of  $\text{Gal}(L/K)$ .** Recall that  $L$  is the common splitting field of the defining polynomials  $p_1, \dots, p_k$  of  $W$ . Let  $X_i \subset L$  be the zero-set of  $p_i$  in  $L$ . The group  $\text{Gal}(L/K)$  acts in a natural way on  $X_1 \times \dots \times X_k \subset L^k$ . An orbit is therefore a finite set of points in  $L^k$ . Since  $L$  is an infinite field there exists a collection of polynomials in  $k$  variables whose common zeroes are precisely the given finite set of points.

In the sequel we shall use a standard choice of these polynomials, called the canonical equations.

DEFINITION 5.1. ([6], Appendix V, p. 369, Canonical equations). Let  $S := \{(s_1^{(j)}, \dots, s_k^{(j)})\}_{j=1, \dots, m} \subset L^k$  be a set of  $m$  points. For every  $m$ -tuple  $\mu = (\mu_1, \dots, \mu_m) \in \mathbb{N}^m$  such that  $|\mu| = \mu_1 + \dots + \mu_m = m$ , the polynomial  $\Phi_\mu \in L[z_1, \dots, z_k]$  is defined by

$$\Phi_\mu(z) = \sum_{\nu}^{(\mu)} (z_{\nu_1} - s_{\nu_1}^{(1)}) \cdot \dots \cdot (z_{\nu_m} - s_{\nu_m}^{(m)})$$

where the summation is over all  $m$ -tuples  $\nu$  such that every  $j \in \{1, \dots, k\}$  appears exactly  $\mu_j$  times in  $(\nu_1, \dots, \nu_k)$ . The set of common zeroes of the above defined polynomials is precisely the set  $S$ .

It is easy to see that the polynomials  $\Phi_\mu$  have coefficients in  $K$  when  $S$  is taken to be  $\text{Gal}(L/K)$ -invariant. In fact, we shall use a more precise result.

PROPOSITION 5.2. *If  $K = \mathbb{C}(u)$  then  $\Phi_\mu \in \mathbb{C}[u][z_1, \dots, z_k]$ .*

PROOF. For every irreducible polynomial  $P \in \mathbb{C}[u]$  one can define a valuation  $\nu_P : \mathbb{C}(u) - \{0\} \rightarrow \mathbb{Z}$  by

$$f = P^{\nu_P(f)} \cdot \frac{Q}{R}, \quad f \in \mathbb{C}(u) - \{0\},$$

where  $P$ ,  $Q$  and  $R$  are relatively prime (cf. [1], p. 139). Since  $L/\mathbb{C}(u)$  is algebraic, one can extend this valuation to the field  $L$  (see [1], p. 144).

Let  $s \in L$  be a zero of one of the defining polynomials of  $W$ . We will show that  $\nu_P(s) \geq 0$ . Suppose that  $\nu_P(s) < 0$ ; then, since  $s^n + \sum_i a_i s^i = 0$ , we have

$$n\nu_P(s) = \nu_P\left(-\sum_i a_i s^i\right) \geq \min_{i=0, \dots, n-1} (\nu_P(a_i) + i\nu_P(s)) > n\nu_P(s).$$

This is a contradiction. In the last inequality we used the fact that, since the  $a_i$  are polynomials,  $\nu_P(a_i) \geq 0$ .

The coefficients of the polynomials  $\Phi_\mu$  are linear combinations of monomials in  $s$ , therefore  $\nu_P(\text{coefficients}) \geq 0$ . The coefficients are elements of  $\mathbb{C}(u)$ , so the nonnegativity of  $\nu_P$  for all irreducible polynomials  $P$  implies that the coefficients lie in  $\mathbb{C}[u]$ . ■

Since the  $s_i$  are mapped locally to holomorphic functions in  $U'$  (locally bounded in  $U$ ) by  $K$ -homomorphisms  $\sigma \in \text{Hom}(L, \mathcal{M})$ , the coefficients are holomorphic in  $U'$  and can be extended by the Riemann extension theorem to the whole of  $U$ . Thus the  $\Phi_\mu$  always define an analytic set.

DEFINITION 5.3. Let  $S \subset X_1 \times \dots \times X_k$  be an orbit of  $\text{Gal}(L/K)$ , consisting of  $m$  points. Define

$$V_S := \{(u, z) \in U \times \mathbb{C}^k \mid \Phi_\mu(u, z) = 0, |\mu| = m\},$$

where  $\Phi_\mu$  are the canonical equations of  $S$ .

LEMMA 5.4. *The algebraic (resp. analytic, Nash) variety  $V_S$  has the following properties:*

1.  $V'_S := \pi^{-1}(U') \cap V_S$  is a covering of  $U'$  and  $V'_S \subset W$ ,
2.  $V'_S$  is connected,
3.  $V_S = \overline{V'_S} \subset W$ .

PROOF. (1) Choose  $u \in U'$  and a  $K$ -homomorphism  $\sigma_0 : L \rightarrow \mathcal{M}_u$ . Since the  $s_i \in S$  are mapped by  $\sigma_0$  to holomorphic functions in a neighborhood

of  $u$ , one can evaluate them at  $u$ . Taking  $\widehat{S}$  to be the resulting set of points in  $\mathbb{C}^k$  and repeating the construction of the polynomials  $\Phi_\mu$  one obtains  $\pi^{-1}(u) \cap V_S = \widehat{S}$ . It follows immediately that  $V'_S \subset W'$ , and that it is a subcovering.

(2) Let  $u_0 \in U'$  and  $\sigma_0 : L \rightarrow \mathcal{M}_{u_0}$  be as in the definition of the homomorphism  $\mathfrak{Gal}$ . We will show that any two points  $P = (u, z_1, \dots, z_k) \in V'_S$  and  $P' = (u', z'_1, \dots, z'_k) \in V'_S$  can be connected by a path in  $V'_S$ . Without loss of generality one can assume that  $u = u_0$ .

(a) Take  $u' = u = u_0$ . From the proof of (1) one can find  $(s_1, \dots, s_k), (s'_1, \dots, s'_k) \in S \subset X_1 \times \dots \times X_k$  which map by  $\sigma_0$  to the points  $P$  and  $P'$ . There is a  $g \in \text{Gal}(L/K)$  which takes  $(s_1, \dots, s_k)$  to  $(s'_1, \dots, s'_k)$ . There is a corresponding loop  $[\gamma] \in \pi_1(U', u_0)$  which maps to  $g$ . Proposition 3.4 gives a lifting of  $\gamma$  to  $V'_S \subset W$  starting from  $P$  and ending at  $P'$ .

(b) General case  $u' \neq u = u_0$ . Transporting  $\sigma_0$  from  $u_0$  to  $u'$  gives a  $K$ -homomorphism  $\sigma : L \rightarrow \mathcal{M}_{u'}$ . One can find  $(s'_1, \dots, s'_k) \in S \subset X_1 \times \dots \times X_k$  which  $\sigma$  maps to  $P'$ . Using Proposition 3.4 again, we obtain a path in  $V'_S$  joining  $P'$  and some point in the fiber over  $u_0$ . This reduces the proof to case (a).

(3) The inclusion  $V_S \supset \overline{V'_S}$  is obvious. Take  $u \in \Delta$ . We construct the set  $\widehat{S} \subset \mathbb{C}^k$  in the following way. Take  $u_0 \in U'$ ,  $\sigma_0 : L \rightarrow \mathcal{M}_{u_0}$  and  $(s_1, \dots, s_k) \in S$ . For some path  $\gamma : [0, 1] \rightarrow U$  such that  $\gamma(0) = u_0$ ,  $\gamma(1) = u$  and  $\gamma([0, 1]) \subset U'$  define

$$\widehat{s}_i := \lim_{x \rightarrow 1} (\widetilde{\gamma}(x))(s_i)(\gamma(x))$$

where  $\widetilde{\gamma}$  is a lifting of  $\gamma$  to  $\text{Hom}(L, \mathcal{M})$  starting from  $\sigma_0$ .

The expression  $(\widetilde{\gamma}(x))(s_i)(\gamma(x))$  in the above limit is a lifting of  $\gamma$  to  $W$  projected onto the  $i$ th variable. Since  $W$  is bounded there is an accumulation point. Since  $W$  is closed there are at most a finite number of such points. But since  $(\widetilde{\gamma}(x))(s_i)(\gamma(x))$  is a continuous function in  $x$ , the limit exists.

Repeating the construction of  $\Phi_\mu$  using the set  $\widehat{S}$  gives  $\pi^{-1}(u) \cap V_S \subset \widehat{S} \subset \overline{V'_S}$ .

To obtain the inclusion  $V_S \subset W$  note that since  $V'_S \subset W'$ , we have  $V_S = \overline{V'_S} \subset \overline{W'} = W$ . ■

**6. The main theorem.** Now, we are in a position to prove our main theorem.

Let us recall the following notation and basic definitions. An algebraic (resp. Nash, analytic)  $W$ -type variety in a connected open set  $U \times \mathbb{C}^k \subset \mathbb{C}^{n+k}$  is a set of the form

$$W = \{(u, z_1, \dots, z_k) \in U \times \mathbb{C}^k \mid p_i(u)(z_i) = 0, i = 1, \dots, k\}$$



where

$$p_i(u)(z) = z^{n_i} + \sum_{j=0}^{n_i-1} a_j^i z^j \in R[z], \quad a_j^i \in R.$$

Here  $R$  denotes the ring  $\mathbb{C}[u]$  (resp.  $\mathcal{N}(U)$ ,  $\mathcal{O}(U)$ ), and  $K$  the field of fractions of  $R$ .  $L$  is the common splitting field of the polynomials  $p_1, \dots, p_k$  over  $K$ . And finally  $X_i \subset L$  is the zero-set of the polynomial  $p_i \in R[z]$  in  $L$ .

**THEOREM 6.1.** *Suppose that one of the following holds:*

1.  $W$  is a  $W$ -type algebraic variety in  $\mathbb{C}^n \times \mathbb{C}^k$ ,
2.  $W$  is a  $W$ -type Nash variety in a connected open set  $U \times \mathbb{C}^k \subset \mathbb{C}^{n+k}$ ,
3.  $W$  is a  $W$ -type analytic variety in a connected open set  $U \times \mathbb{C}^k \subset \mathbb{C}^{n+k}$ .

*Then the irreducible components of  $W$  are in 1:1 correspondence with the orbits of  $\text{Gal}(L/K)$  in the set  $X_1 \times \dots \times X_k$ .*

**Proof.** Let  $V_S = \{\Phi_\mu = 0\}$  be the set associated with an orbit as in Definition 5.3. Then by Lemma 5.4,  $V_S = \overline{V'_S}$  where  $V'_S = \pi^{-1}(U') \cap V_S$ . Since  $V'_S$  is a connected submanifold (Lemma 5.4),  $V_S$  is irreducible. Here we have used the simple observation that  $V'_S$  is dense in the regular part of  $V_S$ .

Let  $V$  be an irreducible component of  $W$ . Then by ([3], p. 215),  $V$  is the closure of a connected component of the regular part  $\text{Reg } W$  of  $W$ ,  $V = \overline{Z}$ . Let  $(u, z) \in Z'$ . Then  $(u, z) \in V_S$  for some orbit of  $\text{Gal}(L/K)$ . By Lemma 5.4,  $V'_S$  is connected, so  $V'_S \subset Z \subset V$ . Taking closures, and using the fact that  $\overline{V'_S} = V_S$ , we obtain  $\overline{V'_S} \subset \overline{Z} = V$ .

Since  $\dim V_S = \dim V$ , the inclusion is indeed an equality. ■

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