

Oscillatory behaviour of solutions of forced neutral differential equations

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Abstract. Sufficient conditions are obtained for oscillation of all solutions of a class of forced n th order linear and nonlinear neutral delay differential equations. Also, asymptotic behaviour of nonoscillatory solutions of a class of forced first order neutral equations is studied.

1. This paper is concerned with oscillatory behaviour of solutions of forced neutral delay differential equations (NDDE) of the form

$$(1.1) \quad \left[x(t) + \sum_{i=1}^l p_i(t)x(t - \tau_i) \right]^{(n)} + \delta \sum_{j=1}^m q_j(t)x(t - \sigma_j) = f(t)$$

and

$$(1.2) \quad \left[x(t) + \sum_{i=1}^l p_i(t)g_i(x(t - \tau_i)) \right]^{(n)} + \delta \sum_{j=1}^m q_j(t)h_j(x(t - \sigma_j)) = f(t),$$

where $p_i, q_j, f \in C([t_0, \infty), \mathbb{R})$ and $g_i, h_j \in C(\mathbb{R}, \mathbb{R})$ are such that $p_i(t) \geq 0$, $q_j(t) \geq 0$, $xg_i(x) > 0$ for $x \neq 0$, $xh_j(x) > 0$ for $x \neq 0$, $\tau_i \geq 0$ and $\sigma_j \geq 0$ for $i = 1, \dots, l$ and $j = 1, \dots, m$.

Let $\phi \in C([t_0 - \varrho, t_0], \mathbb{R})$, where $\varrho = \max\{\tau_i, \sigma_j \mid i = 1, \dots, l \text{ and } j = 1, \dots, m\}$. By a solution of (1.2) on $[t_0, \infty)$ with initial function ϕ we mean a function $x \in C([t_0 - \varrho, \infty), \mathbb{R})$ such that $x(t) = \phi(t)$ for $t \in [t_0 - \varrho, t_0]$, $x(t) + \sum_{i=1}^l p_i(t)g_i(x(t - \tau_i))$ is n times continuously differentiable for $t \geq t_0$ and $x(t)$ satisfies (1.2) for $t \geq t_0$. Such a solution is said to be *oscillatory* if it has arbitrarily large zeros; otherwise, it is said to be *nonoscillatory*. These statements also hold good for the equation (1.1).

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In recent years there has been a growing interest in oscillation theory of NDDE. However, most of the literature is concerned with linear homogeneous equations (see for example [1, 2, 3, 6, 7] and the references therein). Some authors [4, 5] have considered the nonlinear NDDE of the form

$$[x(t) + p(t)x(t - \tau)]^{(n)} + f(t, x(t - \sigma)) = 0.$$

But their conditions are such that the results they have obtained are not applicable to the equations considered here.

2. In this section we study the oscillatory behaviour of solutions of (1.1) and (1.2).

THEOREM 1. *Suppose that each $p_i(t)$ is bounded and for some $j = k$, $q_k(t) \neq 0$ in any neighbourhood of infinity and $q_k(t)$ is τ -periodic, where $N_i\tau = \tau_i$ and the N_i 's are positive integers. Further, assume that*

(A₁) *there exists a function $F \in C^n([t_0 - \sigma, \infty), \mathbb{R})$ such that $F^{(n)}(t) = f(t)$ for $t \geq t_0$, and*

(A₂) $\int_{t_0}^{\infty} q_k(t)F_{\pm}(t - \sigma_k) dt = \infty$

where $\sigma = \max\{\sigma_1, \dots, \sigma_m\}$, $F_+(t) = \max\{F(t), 0\}$, $F_-(t) = \max\{-F(t), 0\}$. Then (a) all solutions of (1.1) oscillate for $\delta = 1$, and (b) all bounded solutions of (1.1) oscillate for $\delta = -1$ and bounded $F(t)$.

Proof. Assume on the contrary that $x(t)$ is a nonoscillatory solution of (1.1). Let $x(t) > 0$ ultimately. The case $x(t) < 0$ for large t may be treated similarly. So there exists a $t_1 > t_0$ such that $x(t) > 0$, $x(t - \tau_i) > 0$ and $x(t - \sigma_j) > 0$ for $t \geq t_1$, $i = 1, \dots, l$ and $j = 1, \dots, m$. Setting, for $t \geq t_1$,

$$(2.1) \quad z(t) = x(t) + \sum_{i=1}^l p_i(t)x(t - \tau_i) - F(t)$$

we obtain

$$(2.2) \quad z^{(n)}(t) = -\delta \sum_{j=1}^m q_j(t)x(t - \sigma_j).$$

Consequently, $z^{(r)}(t) > 0$ or < 0 for large t , and $r = 0, 1, \dots, n - 1$. If $z(t) < 0$ for $t \geq t_2 > t_1$, then by (2.1), $F(t) > 0$ and hence

$$\begin{aligned} \int_{t_0}^{\infty} q_k(t)F_-(t - \sigma_k) dt &= \int_{t_0}^{t_2 + \sigma_k} q_k(t)F_-(t - \sigma_k) dt + \int_{t_2 + \sigma_k}^{\infty} q_k(t)F_-(t - \sigma_k) dt \\ &= \int_{t_0}^{t_2 + \sigma_k} q_k(t)F(t - \sigma_k) dt < \infty, \end{aligned}$$

a contradiction to (A₂). So $z(t) > 0$ for $t \geq t_2$ and hence

$$F_+(t) < x(t) + \sum_{i=1}^l p_i(t)x(t - \tau_i).$$

Let $\delta = 1$. Then $z^{(n)}(t) \leq -q_k(t)x(t - \sigma_k)$ for $t \geq t_2$. Clearly, $z^{(n-1)}(t) > 0$ for large t ; otherwise $z(t) < 0$ for large t , a contradiction. So integration of (2.2) for $t \geq t_3 > t_2 + \sigma_k$ yields

$$(2.3) \quad \int_{t_3}^{\infty} q_k(t)x(t - \sigma_k) dt \leq z^{(n-1)}(t_3) < \infty.$$

Moreover, for each i and for $t \geq t_4 > t_3 + \max\{\tau_1, \dots, \tau_l\}$,

$$(2.4) \quad \int_{t_4}^{\infty} p_i(t - \sigma_k)q_k(t)x(t - \tau_i - \sigma_k) dt \\ \leq L \int_{t_4 - \tau_i}^{\infty} q_k(t + \tau_i)x(t - \sigma_k) dt \leq L \int_{t_4 - \tau_i}^{\infty} q_k(t)x(t - \sigma_k) dt < \infty,$$

where $L > 0$ is the bound of each $p_i(t)$. Consequently,

$$(2.5) \quad \int_{t_0}^{\infty} q_k(t)F_+(t - \sigma_k) dt \leq \int_{t_0}^{t_4} q_k(t)F_+(t - \sigma_k) dt \\ + \int_{t_4}^{\infty} q_k(t)x(t - \sigma_k) dt \\ + \sum_{i=1}^l \int_{t_4}^{\infty} q_k(t)p_i(t - \sigma_k)x(t - \sigma_k - \tau_i) dt$$

in view of (2.3) and (2.4). This contradiction completes the proof in case $\delta = 1$.

Let $\delta = -1$ and $F(t)$ be bounded. In this case, for $t \geq t_1$, (2.2) gives $z^{(n)}(t) \geq q_k(t)x(t - \sigma_k) \geq 0$. If $x(t)$ is bounded, then so is $z(t)$, and since $z^{(n-1)}(t)$ is strictly increasing, it is bounded. Therefore,

$$(2.6) \quad \int_{t_3}^{\infty} q_k(t)x(t - \sigma_k) dt < \infty$$

and hence the inequality (2.4) holds. Thus the required contradiction follows from (2.4), (2.5) and (2.6).

Hence the theorem is proved.

The following example shows that the conditions of Theorem 1(b) are not sufficient for all solutions of (1.1) with $\delta = -1$ to be oscillatory.

EXAMPLE 1. Consider the equation

$$(2.7) \quad [x(t) + x(t - \pi)]' - e^{\pi/2}(1 + e^{-\pi})x(t - \pi/2) = e^{\pi/2}(1 + e^{-\pi}) \cos t$$

for $t \geq \pi$. Here all the conditions of Theorem 1(b) are satisfied, with $F(t) = e^{\pi/2}(1 + e^{-\pi}) \sin t$, but (2.7) admits an unbounded nonoscillatory solution $x(t) = e^t + \sin t$.

THEOREM 2. Assume that (A₁) is satisfied and

$$(A_3) \quad \liminf_{t \rightarrow \infty} [F(t)/t^{n-1}] = -\infty \quad \text{and} \quad \limsup_{t \rightarrow \infty} [F(t)/t^{n-1}] = \infty.$$

Then (a) all solutions of (1.2) oscillate for $\delta = 1$, and (b) all bounded solutions of (1.2) oscillate for $\delta = -1$ and bounded $p_i(t)$.

PROOF. Suppose that $x(t)$ is an eventually positive solution of (1.2). Parallel arguments hold when $x(t) < 0$ eventually. Then $x(t) > 0$, $x(t - \tau_i) > 0$ and $x(t - \sigma_j) > 0$ for $t \geq t_1 > \max\{t_0, 0\}$, $i = 1, \dots, l$ and $j = 1, \dots, m$. We set, for $t \geq t_1$,

$$(2.8) \quad z(t) = x(t) + \sum_{i=1}^l p_i(t)g_i(x(t - \tau_i)) > 0.$$

Hence the equation (1.2) yields

$$(2.9) \quad z^{(n)}(t) = f(t) - \delta \sum_{j=1}^m q_j(t)h_j(x(t - \sigma_j)).$$

Let $\delta = 1$. Then $z^{(n)}(t) \leq f(t)$ for $t \geq t_1$. Repeated integration from t_1 to t of this inequality gives

$$z(t) \leq \mu_n + \mu_{n-1}(t - t_1) + \dots + \frac{\mu_1}{(n-1)!}(t - t_1)^{n-1} + F(t),$$

where μ_1, \dots, μ_n are constants. Therefore, for $t \geq t_1$,

$$\frac{z(t)}{t^{n-1}} \leq \mu_n \frac{1}{t^{n-1}} + \mu_{n-1} \frac{(1 - t_1/t)}{t^{n-1}} + \dots + \mu_1 \frac{(1 - t_1/t)^{n-1}}{(n-1)!} + \frac{F(t)}{t^{n-1}}.$$

Using the first condition of (A₃), it follows that

$$0 \leq \liminf_{t \rightarrow \infty} z(t)/t^{n-1} = -\infty,$$

a contradiction.

Let $\delta = -1$ and $p_i(t)$ be bounded for $i = 1, \dots, l$. Hence $x(t)$ bounded implies $z(t)$ bounded. Integrating the inequality $z^{(n)}(t) \geq f(t)$ for $t \geq t_1$ n times successively we get

$$z(t) \geq \mu_n + \mu_{n-1}(t - t_1) + \dots + \frac{\mu_1}{(n-1)!}(t - t_1)^{n-1} + F(t)$$

for some constants μ_1, \dots, μ_n . Consequently, from the second condition of (A₃), we obtain

$$\infty \leq \limsup_{t \rightarrow \infty} z(t)/t^{n-1} = 0,$$

a contradiction.

This completes the proof of the theorem.

Remark 1. Theorem 2(a) generalizes the following result due to Erbe and Zhang [2]: If there exists a function $F(t)$ such that $F'(t) = f(t)$, $\liminf_{t \rightarrow \infty} F(t) = -\infty$ and $\limsup_{t \rightarrow \infty} F(t) = \infty$, then every solution of

$$[x(t) + p(t)x(t - \tau)]' + q(t)y(t - \sigma) = f(t)$$

oscillates, where p and q are nonnegative continuous functions and τ and σ are positive constants.

Remark 2. We may note that Theorem 1(a) is applicable to the equation

$$(2.10) \quad [x(t) + x(t - \pi)]' + x(t - \pi/2) = \cos t, \quad t \geq \pi,$$

but it fails to hold true for the equation

$$(2.11) \quad [x(t) + x(t - \pi)]' + tx(t - \pi/2) = -t \cos t, \quad t \geq \pi.$$

On the other hand, Theorem 2(a) cannot be applied to (2.10), but is applicable to (2.11). In particular, $x(t) = -\sin t$ and $x(t) = \sin t$ are oscillatory solutions of (2.10) and (2.11) respectively.

EXAMPLE 2. It is easy to see that all the conditions of Theorem 2(b) are satisfied for

$$(2.12) \quad [x(t) + x(t - \pi/2)]' - (e^{\pi/2} \sin t + 1 + e^{\pi/2})y(t - \pi/2) = -e^t \sin t,$$

$t \geq \pi/2$. Clearly, $x(t) = e^t$ is an unbounded nonoscillatory solution of (2.12). Thus the conditions of Theorem 2(b) do not ensure the oscillation of all solutions of (1.2).

Remark 3. Consider the equations

$$(2.13) \quad [x(t) + x(t - \pi)]' - x(t - \pi/2) = \cos t, \quad t \geq \pi,$$

$$(2.14) \quad [x(t) + 2x(t - \pi)]' - tx(t - \pi/2) = (t - 1) \cos t, \quad t \geq \pi.$$

Clearly, the bounded solutions of (2.13) and (2.14) oscillate by Theorems 1(b) and 2(b) respectively. But Theorem 1(b) fails to hold for (2.14) and Theorem 2(b) cannot be applied to (2.13).

THEOREM 3. Assume that

$$(A_4) \quad \liminf_{t \rightarrow \infty} \int_{t_0}^t f(s) ds = -\infty, \quad \limsup_{t \rightarrow \infty} \int_{t_0}^t f(s) ds = \infty$$

and

$$(A_5) \quad \frac{1}{t^{n-1}} \int_{t_0}^t (t-s)^{n-1} f_+(s) ds \leq \alpha, \quad \frac{1}{t^{n-1}} \int_{t_0}^t (t-s)^{n-1} f_-(s) ds \leq \beta$$

for $t \geq t_0$, where $f_+(t) = \max\{f(t), 0\}$, $f_-(t) = \max\{-f(t), 0\}$ and $\alpha > 0$ and $\beta > 0$ are constants. Then (a) all solutions of (1.2) with $\delta = 1$ oscillate, and (b) all bounded solutions of (1.2) with $\delta = -1$ oscillate provided that each $p_i(t)$ is bounded.

Proof. Assuming $x(t)$ to be an eventually positive solution of (1.2) and setting $z(t)$ as in (2.8), we obtain (2.9) for $t \geq t_1 > t_0$. If $\delta = 1$, then (2.9) gives, for $t \geq t_1$,

$$(2.15) \quad z^{(n)}(t) \leq f(t),$$

which on integration yields

$$z^{(n-1)}(t) \leq z^{(n-1)}(t_1) + \int_{t_1}^t f(s) ds.$$

Hence $\liminf_{t \rightarrow \infty} z^{(n-1)}(t) = -\infty$. Consequently, for every $L > 0$, there exists a $t_2 > t_1$ such that $z^{(n-1)}(t_2) < -L$. We choose $L > \alpha$. Repeated integration of (2.15) from t_2 to t yields

$$\begin{aligned} z(t) &\leq \sum_{k=0}^{n-1} \frac{z^{(k)}(t_2)(t-t_2)^k}{k!} + \frac{1}{(n-1)!} \int_{t_2}^t (t-s)^{n-1} f(s) ds \\ &\leq \sum_{k=0}^{n-1} \frac{z^{(k)}(t_2)(t-t_2)^k}{k!} + \frac{1}{(n-1)!} \int_{t_2}^t (t-s)^{n-1} f_+(s) ds, \end{aligned}$$

which implies that

$$0 \leq \limsup_{t \rightarrow \infty} \frac{z(t)}{t^{n-1}} \leq \frac{\alpha - L}{(n-1)!} < 0,$$

a contradiction.

Let $\delta = -1$ and $p_i(t)$, $i = 1, \dots, l$, be bounded. If $x(t)$ is bounded, then so is $z(t)$. In this case (2.9) implies that

$$(2.16) \quad z^{(n)}(t) \geq f(t), \quad t \geq t_1.$$

Integrating (2.16) from t_1 to t and using the second condition of (A₄) we have $\limsup_{t \rightarrow \infty} z^{(n-1)}(t) = \infty$. So, for every $M > \beta > 0$, there exists a

$t_3 > t_1$ such that $z^{(n-1)}(t_3) > M$. Therefore, from (2.16) we obtain

$$\begin{aligned} z(t) &\geq \sum_{k=0}^{n-1} \frac{z^{(k)}(t_3)(t-t_3)^k}{k!} + \frac{1}{(n-1)!} \int_{t_3}^t (t-s)^{n-1} f(s) ds \\ &\geq \sum_{k=0}^{n-1} \frac{z^{(k)}(t_3)(t-t_3)^k}{k!} - \frac{1}{(n-1)!} \int_{t_3}^t (t-s)^{n-1} f_-(s) ds, \end{aligned}$$

which implies that

$$0 \geq \liminf_{t \rightarrow \infty} \frac{z(t)}{t^{n-1}} \geq \frac{M - \beta}{(n-1)!} > 0,$$

a contradiction.

The case $x(t) < 0$ may be dealt with similarly, hence the proof of the theorem is complete.

Remark 4. If all the conditions of Theorem 3(a) are satisfied, every solution of

$$(2.17) \quad [x(t) + x(t - \pi)]'' + tx(t - \pi/2) = -t \cos t, \quad t \geq \pi,$$

oscillates. Clearly, $x(t) = \sin t$ is such a solution of (2.17). We may note that Theorem 3(a) cannot be applied to equation (2.10), because in this case hypothesis (A₄) is not satisfied. It also fails to hold for (2.11) since $\int_{t_0}^t f_+(s) ds$ and $\int_{t_0}^t f_-(s) ds$ are not bounded. Further, Theorem 1(a) fails to work for (2.17) as $q(t) = t$ is not π -periodic and Theorem 2(a) is not applicable to (2.17) as (A₃) does not hold.

In the following, two results concerning the asymptotic behaviour of solutions of (1.1) are proved.

THEOREM 4. *Suppose that $n = 1$, $\delta = 1$, $p_i(t)$ is bounded, $i = 1, \dots, l$, $q_k(t) \geq q > 0$ for some $k \in \{1, \dots, m\}$ and $f(t) \geq 0$ is such that*

$$(2.18) \quad \int_{t_0}^{\infty} sf(s) ds < \infty.$$

Then all nonoscillatory solutions of (1.1) tend to zero as $t \rightarrow \infty$.

Proof. Let $x(t)$ be a nonoscillatory solution of (1.1). If $x(t) > 0$ for $t \geq t_1 > t_0$, then there exists a $t_2 > t_1$ such that $x(t - \tau_i) > 0$, $i = 1, \dots, l$, and $x(t - \sigma_j) > 0$, $j = 1, \dots, m$, for $t \geq t_2$. Setting

$$(2.19) \quad z(t) = x(t) + \sum_{i=1}^l p_i(t)x(t - \tau_i)$$

for $t \geq t_2$, we see that $z(t) > 0$ and

$$(2.20) \quad z'(t) = f(t) - \sum_{j=1}^m q_j(t)x(t - \sigma_j) \leq f(t) - q_k(t)x(t - \sigma_k).$$

Integration of the above inequality gives

$$\int_{t_2}^t x(s - \sigma_k) ds \leq \frac{1}{q} \left[\int_{t_2}^t f(s) ds + z(t_2) \right],$$

which, due to (2.18), shows that $x \in L_1([t_2, \infty), \mathbb{R})$, the space of Lebesgue measurable functions whose absolute values are integrable. Hence $z \in L_1([t_2, \infty), \mathbb{R})$. Thus, $z'(t) \leq f(t)$ for $t \geq t_2$ implies that

$$tz(t) \leq t_2 z(t_2) + \int_{t_2}^t s f(s) ds + \int_{t_2}^t z(s) ds \leq \alpha$$

where $\alpha > 0$ is a constant. Consequently, $z(t) \rightarrow 0$ as $t \rightarrow \infty$ and therefore $x(t) \rightarrow 0$ as $t \rightarrow \infty$.

Next let $x(t) < 0$ for $t \geq t_1 > t_0$. So $z(t) < 0$ and $z'(t) > 0$ for $t \geq t_2$. Hence $-\infty < \lim_{t \rightarrow \infty} z(t) \leq 0$. If $\lim_{t \rightarrow \infty} z(t) \neq 0$, then $z \notin L_1([t_2, \infty), \mathbb{R})$. However, from (2.20) we get

$$\int_{t_2}^t x(s - \sigma_k) ds \geq \frac{1}{q} z(t_2),$$

which implies that $x \in L_1([t_2, \infty), \mathbb{R})$ and hence $z \in L_1([t_2, \infty), \mathbb{R})$, a contradiction. Thus $z(t) \rightarrow 0$ as $t \rightarrow \infty$ and therefore $x(t) \rightarrow 0$ as $t \rightarrow \infty$.

Hence the theorem is proved.

EXAMPLE 3. By Theorem 4, all nonoscillatory solutions of

$$[x(t) + e^{-t-1}x(t-1)]' + te^{-2}x(t-2) = e^{-t}(t-1) - 2e^{-2t},$$

$t > 3$, tend to zero as $t \rightarrow \infty$. In particular, $x(t) = e^{-t}$ is such a solution.

THEOREM 5. Assume that $\delta = 1$, $n = 1$, each $p_i(t)$ is bounded,

$$\int_{t_0}^{\infty} \left(\sum_{j=1}^m q_j(t) \right) dt < \infty$$

and $f(t) \geq 0$. Then all nonoscillatory solutions of (1.1) are unbounded if and only if $\int_{t_0}^{\infty} f(t) dt = \infty$.

PROOF. Let $x(t)$ be a nonoscillatory solution of (1.1). Suppose that $x(t)$ is unbounded. Setting $z(t)$ as in (2.19), we see that it is unbounded. Clearly, $x(t) < 0$ eventually is not possible, because in this case we have $z(t) < 0$

and $z'(t) > 0$ for $t \geq t_2 > t_0$ and hence $z(t)$ is bounded, a contradiction. Thus $x(t) > 0$ eventually and hence $z'(t) < f(t)$ for $t \geq t_2$. Consequently,

$$z(t) < z(t_2) + \int_{t_2}^t f(s) ds,$$

which in turn implies, in view of the unboundedness of $z(t)$, that $\int_{t_2}^{\infty} f(s) ds = \infty$.

Conversely, suppose that $\int_{t_2}^{\infty} f(s) ds = \infty$. If $x(t)$ is bounded, then so is $z(t)$ and there exists a $t_3 > t_0$ such that $|x(t - \sigma_j)| \leq \beta$, $j = 1, \dots, m$, for $t \geq t_3$. Therefore (2.20) implies that

$$z'(t) \geq f(t) - \sum_{j=1}^m q_j(t) |x(t - \sigma_j)| > f(t) - \beta \sum_{j=1}^m q_j(t).$$

Thus, for $t \geq t_3$,

$$z(t) > z(t_3) + \int_{t_3}^t f(s) ds - \beta \int_{t_3}^t \left(\sum_{j=1}^m q_j(s) \right) ds.$$

Consequently, $z(t) \rightarrow \infty$ as $t \rightarrow \infty$, contradicting the fact that $z(t)$ is bounded.

Hence the theorem is established.

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