

Resultant and the Lojasiewicz exponent

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Abstract. An effective formula for the Lojasiewicz exponent of a polynomial mapping of \mathbb{C}^2 into \mathbb{C}^2 at an isolated zero in terms of the resultant of its components is given.

1. Introduction. Let $H = (f, g) : U \rightarrow \mathbb{C}^2$, $0 \in U \subset \mathbb{C}^2$, be a holomorphic mapping having an isolated zero at the origin. The *Lojasiewicz exponent* of H at 0 is the number

$$\mathcal{L}_0(H) = \inf \{ \nu \in \mathbb{R} : \exists A > 0, \exists B > 0, \forall |z| < B, A|z|^\nu \leq |H(z)| \},$$

where $|z| = \max(|x|, |y|)$ for $z = (x, y) \in \mathbb{C}^2$. This exponent plays an important role in the theory of singularities and has been studied by several authors. Information on this subject can be found in [CK₁].

The aim of the present paper is to give an effective formula for $\mathcal{L}_0(H)$. The previous results have not given such possibilities. The formula obtained in [CK₁] and, in another way, in [CP] needs parametrizations of the branches of the curve $\{fg = 0\}$, whereas the formula in [P] uses the characteristic polynomials of both x and y relative to H . The formulae in [LT] are not effective.

The main result of our paper is Theorem 3.1 which enables us to find $\mathcal{L}_0(H)$ effectively for a polynomial mapping H in terms of the resultant. The restriction to polynomial mappings is inspired only by the wish of preparing a computer programme for calculating the Lojasiewicz exponent. A possible extension of Theorem 3.1 to the whole class of holomorphic mappings is given in Remark 3.4.

We follow our paper [CK₂] in which an effective formula for the Lojasiewicz exponent at infinity was given.

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2. Notations and definitions. We use the same notations and definitions as in [CK₁], except for the symbol $\mathcal{L}_0(H)$.

3. The main result. Let $H = (f, g) : \mathbb{C}^2 \rightarrow \mathbb{C}^2$ be a polynomial mapping satisfying the following conditions:

- (i) $H^{-1}(0)$ is a finite fibre,
- (ii) $H(0, y) = 0$ if and only if $y = 0$,
- (iii) $\deg_y f = \deg f(0, y)$ or $\deg_y g = \deg g(0, y)$.

Let $w = (x, y) \in \mathbb{C}^2$ be an arbitrary point and let $Q(w, x) = \text{Res}_y(f(x, y) - u, g(x, y) - v)$ be the resultant of $f(x, y) - u$ and $g(x, y) - v$ with respect to y . Put

$$(1) \quad Q(w, x) = Q_N(w)x^N + \dots + Q_0(w).$$

Since $H^{-1}(0)$ is finite, not all Q_i vanish for $w = 0$. Since $Q_0(0) = 0$, there exists μ , $1 \leq \mu \leq N$, such that $Q_0(0) = \dots = Q_{\mu-1}(0) = 0$ and $Q_\mu(0) \neq 0$.

Let $H = (f, g)$ satisfy (i)–(iii).

(3.1) **THEOREM.** *If*

- (iv) $\text{ord } f = \text{ord } f(0, y)$ and $\text{ord } g = \text{ord } g(0, y)$,

then

$$\mathcal{L}_0(H) = \left[\min_{i=0}^{\mu-1} \frac{\text{ord } Q_i}{\mu - i} \right]^{-1}$$

and μ is the multiplicity of H at 0.

(3.2) **Remark.** Assumptions (ii)–(iv) have simple geometric interpretations. Condition (ii) means that H has only one zero on the y -axis, at the origin. Condition (iii) means that the point at infinity lying on the y -axis does not belong to at least one of the curves $\{f = 0\}$ or $\{g = 0\}$. Finally, (iv) means that the y -axis is tangent at the origin neither to $\{f = 0\}$ nor to $\{g = 0\}$. The assumptions do not restrict our considerations because, under the general assumptions that H has a finite number of zeros and $H(0) = 0$, one can get them by using a linear automorphism of the domain of H . $\mathcal{L}_0(H)$ is invariant with respect to such mappings.

(3.3) **Remark.** The following example shows that assumption (iv) cannot be weakened. Let $H(z) = (f(x, y), g(x, y)) = ((y^3 - x)^2, y^2x)$. One can easily find, by using the main theorem of [CK₁], that $\mathcal{L}_0(H) = 6$, whereas $Q(w, x) = x^{10} - 2ux^8 + u^2x^6 - 2v^3x^5 - 2uv^3x^3 + v^6$ and $\left[\min_{i=0}^{\mu-1} \frac{\text{ord } Q_i}{\mu - i} \right]^{-1} = 2$.

(3.4) **Remark.** The formula in Theorem 3.1 can easily be generalized (the proof runs actually without any changes) to mappings whose components are pseudopolynomials. Namely, let $H = (f, g) : V \times \mathbb{C} \rightarrow \mathbb{C}^2$ where

$f(x, y), g(x, y)$ are polynomials in y with coefficients (functions of x) holomorphic in a neighbourhood V of the origin in \mathbb{C} . Instead of (i), (ii) we assume that $H^{-1}(0) = \{0\}$, and instead of (iii) that at least one of f and g is monic with respect to y . For $w \in \mathbb{C}^2$, we define $Q(w, x)$ as in Theorem 3.1. Now, let

$$Q(w, x) = \sum_{j=0}^{\infty} Q_j(w)x^j, \quad (w, x) \in \mathbb{C}^2 \times V.$$

As before (see [C], Lemma 1), there exists $\mu \geq 1$ such that $Q_0(0) = \dots = Q_{\mu-1}(0) = 0$, $Q_{\mu}(0) \neq 0$, thus the theorem analogous to Theorem 3.1 holds.

This theorem can also be used for an arbitrary holomorphic mapping with an isolated zero. For if $\tilde{H} = (\tilde{f}, \tilde{g}) : \tilde{U} \rightarrow \mathbb{C}^2$, $0 \in \tilde{U} \subset \mathbb{C}^2$, is a holomorphic mapping having an isolated zero at the origin, then, using a linear automorphism of \mathbb{C}^2 , we may assume that \tilde{f} and \tilde{g} are regular in y . Let f, g be distinguished pseudopolynomials associated with \tilde{f}, \tilde{g} , respectively, by the Weierstrass preparation theorem. Then $\mathcal{L}_0(H) = \mathcal{L}_0(\tilde{H})$, where $H = (f, g)$ and satisfies the assumptions at the beginning of the remark.

4. Auxiliary lemma. Let $Q(w, x) = Q_N(w)x^N + \dots + Q_0(w)$ be a polynomial with coefficients holomorphic in a neighbourhood of the origin in \mathbb{C}^n and let $Q_0(0) = \dots = Q_{\mu-1}(0) = 0$, $Q_{\mu}(0) \neq 0$, $0 < \mu \leq N$. Put

$$(2) \quad \delta(Q) = \left[\min_{i=0}^{\mu-1} \frac{\text{ord } Q_i}{\mu - i} \right]^{-1}.$$

(4.1) LEMMA. $\delta(Q)$ is the least real number ν for which there exist positive numbers A, B such that

$$\{(w, x) : |w| < B, Q(w, x) = 0\} \subset \{(w, x) : |w| < B, A|x|^\nu \leq |w|\}.$$

Proof. By the Weierstrass preparation theorem, there exist $\varrho > 0$ and a distinguished pseudopolynomial $P(w, x)$ of the form

$$P(w, x) = x^\mu + a_{\mu-1}(w)x^{\mu-1} + \dots + a_0(w), \quad a_i(0) = 0,$$

such that, for $|w| < \varrho$, $|x| < \varrho$, we have

$$(3) \quad Q(w, x) = P(w, x)R(w, x), \quad R(w, x) \neq 0.$$

From Lemma 8.1 of [CK₂] we have

$$(4) \quad \delta(Q) = \left[\min_{i=0}^{\mu-1} \frac{\text{ord } a_i}{\mu - i} \right]^{-1}.$$

Now, we show that there exist $A, B > 0$ such that

$$(5) \quad \{(w, x) : |w| < B, Q(w, x) = 0\} \subset \{(w, x) : |w| < B, A|x|^{\delta(Q)} \leq |w|\}.$$

Indeed, by Proposition 2.2 of [P] and by (4), there exist $A_1, B_1 > 0$ such that

$$\{(w, x) : |w| < B_1, P(w, x) = 0\} \subset \{(w, x) : |w| < B_1, A_1|x|^{\delta(Q)} \leq |w|\}.$$

Hence and from (3) we get, for $\varrho < B_1$,

$$(6) \quad \{(w, x) : |w| < \varrho, |x| < \varrho, Q(w, x) = 0\} \\ \subset \{(w, x) : |w| < \varrho, |x| < \varrho, A_1|x|^{\delta(Q)} \leq |w|\}.$$

This gives (5) for $A = \min(A_1, \varrho^{-\delta(Q)+1})$ and $B = \varrho$.

It remains to show that if there exist $A, B > 0$ and $\nu \in \mathbb{R}$ such that

$$(7) \quad \{(w, x) : |w| < B, Q(w, x) = 0\} \subset \{(w, x) : |w| < B, A|x|^\nu \leq |w|\},$$

then $\nu \geq \delta(Q)$. In fact, from (7) we get, for $\varrho < B$,

$$(8) \quad \{(w, x) : |w| < \varrho, |x| < \varrho, Q(w, x) = 0\} \\ \subset \{(w, x) : |w| < \varrho, |x| < \varrho, A|x|^\nu \leq |w|\}.$$

Take a sufficiently small $\varepsilon > 0$ such that all the roots of the equations $P(w, x) = 0$ for $|w| < \varepsilon$ lie in the disc $\{x : |x| < \varrho\}$. Then, from (8) we get

$$\{(w, x) : |w| < \varepsilon, P(w, x) = 0\} \subset \{(w, x) : |w| < \varepsilon, A|x|^\nu \leq |w|\}.$$

Now, Lemma 2.4 of [P] and (4) yield $\nu \geq \delta(P)$.

5. The set $N(H, x)$. In the sequel, let H be a polynomial mapping satisfying conditions (i)–(iii). We define

$$N(H, x) = \{\nu \in \mathbb{R} : \exists A > 0, \exists B > 0, \forall |x| < B, A|x|^\nu \leq |H(z)|\},$$

where $z = (x, y)$.

Let Q be defined as in (1) and $\delta(Q)$ as in (2).

(5.1) PROPOSITION. $\delta(Q)$ is the least real number belonging to $N(H, x)$.

PROOF. From the property of the resultant we have $Q(H(z), x) \equiv 0$. Then, by Lemma 4.1, we have $\delta(Q) \in N(H, x)$.

Take now $\nu \in N(H, x)$. Then there exist $A, B > 0$ such that $A|x|^\nu \leq |H(z)|$ for $|x| < B$. Take w, x such that $|x| < B$ and $Q(w, x) = 0$. By the property of the resultant, there exists $z = (x, y)$ such that $w = H(z)$. Hence $A|x|^\nu \leq |w|$. Then from Lemma 4.1 we get $\delta(Q) \leq \nu$.

6. Proof of Theorem 3.1. We begin with a proposition following directly from the main theorem in [CK₁].

(6.1) PROPOSITION. If $H = (f, g) : U \rightarrow \mathbb{C}^2$, $0 \in U \subset \mathbb{C}^2$, is a holomorphic mapping having an isolated zero at the origin, then

(a) there exist positive numbers A, B such that

$$A|z|^{\mathcal{L}_0(H)} \leq |H(z)| \quad \text{for } |z| < B,$$

(b) if $\text{ord } f = \text{ord } f(0, y)$ and $\text{ord } g = \text{ord } g(0, y)$, then there exists a branch Γ of the curve $\{fg = 0\}$ in a neighbourhood of the origin such that

$$|x| \sim |z|, \quad |z|^{\mathcal{L}_0(H)} \sim |H(z)| \quad \text{for } |z| \rightarrow 0 \text{ and } z \in \Gamma.$$

Let now H be a polynomial mapping satisfying (i)–(iii). First, we show

(6.2) LEMMA. *Under the above assumptions, $\mathcal{L}_0(H) \in N(H, x)$.*

Proof. Since H has an isolated zero at the origin, by Proposition 6.1(a) there exist $A_1, B_1 > 0$ such that

$$(8) \quad A_1 |z|^{\mathcal{L}_0(H)} \leq |H(z)| \quad \text{for } |z| < B_1.$$

Now, we claim that there exist $A_2, \eta > 0$ such that

$$(9) \quad |H(z)| \geq A_2 \quad \text{for } |x| < \eta \text{ and } |y| \geq B_1.$$

Indeed, otherwise there would exist a sequence $\{(x_n, y_n)\}$ such that $x_n \rightarrow 0$, $|y_n| > B_1$ and $H(x_n, y_n) \rightarrow 0$. Then, taking a subsequence if necessary, we may assume that $y_n \rightarrow \infty$ or $y_n \rightarrow y_0$, $|y_0| \geq B_1$. In the first case, we obtain a contradiction with (iii), whereas in the second case, we have $H(0, y_0) = 0$, which contradicts (ii).

From (8) and (9), taking $B = \min(B_1, \eta, 1)$ and $A = \min(A_1, A_2)$ and noting that $|x| \leq |z|$, we obtain

$$A|x|^{\mathcal{L}_0(H)} \leq |H(z)| \quad \text{for } |x| < B,$$

which concludes the proof.

Proof of Theorem 3.1. From Theorem 5.3 in Ch. IV of [W] it follows that $\mu = \mu(f, g)$.

Let $\delta(Q)$ be defined as in (2). From Lemma 6.2 and Proposition 5.1 we get $\delta(Q) \leq \mathcal{L}_0(H)$.

Proposition 5.1 implies that there exist $A, B > 0$ such that

$$(10) \quad A|x|^{\delta(Q)} \leq |H(z)| \quad \text{for } |x| < B.$$

Considering (10) on the branch from Proposition 6.1(b), we easily conclude that $\mathcal{L}_0(H) \leq \delta(Q)$. This ends the proof.

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