

## $p$ -Envelopes of non-locally convex $F$ -spaces

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**Abstract.** The  $p$ -envelope of an  $F$ -space is the  $p$ -convex analogue of the Fréchet envelope. We show that if an  $F$ -space is locally bounded (i.e., a quasi-Banach space) with separating dual, then the  $p$ -envelope coincides with the Banach envelope only if the space is already locally convex. By contrast, we give examples of  $F$ -spaces which are not locally bounded nor locally convex for which the  $p$ -envelope and the Fréchet envelope are the same.

**1. Introduction.** For a non-locally convex  $F$ -space  $\mathbb{X}$  (complete, metrizable, linear topological space), the idea of a  $p$ -envelope is analogous to that of a Fréchet envelope. Suppose  $\mathbb{X}$  has separating dual space; recall that the *Fréchet envelope* of  $\mathbb{X}$ , denoted by  $\widehat{\mathbb{X}}$ , is the closure of  $\mathbb{X}$  with respect to the Mackey topology,  $\mu$ . The *Mackey topology* is the strongest locally convex topology on  $\mathbb{X}$  for which  $\mathbb{X}$  still has dual space  $\mathbb{X}^*$ . A countable base for the  $\mu$ -zero neighborhoods  $\{\widetilde{V}_n\}$  can be obtained by taking the closure in  $\mathbb{X}$  of the absolutely convex hull of each  $V_n$ , where  $\{V_n\}$  is any countable base for the zero-neighborhoods of  $\mathbb{X}$ ; this description in fact characterizes  $\mu$  [13]. In general  $\widehat{\mathbb{X}}$  is a Fréchet space; for a locally bounded  $F$ -space,  $\widehat{\mathbb{X}}$  turns out to be a Banach space—the *Banach envelope*. ( $S \subset \mathbb{X}$  is *bounded* if given any zero neighborhood  $U$ , there exists  $n \in \mathbb{N}$  such that  $S \subset nU$ .  $\mathbb{X}$  is *locally bounded* if it has a bounded neighborhood of zero.)

Interest in the containing Fréchet space of a non-locally convex  $F$ -space was first sparked by the pioneering work of Duren, Romberg, and Shields, who showed that the Hardy space  $H^p$ ,  $0 < p < 1$ , could be densely imbedded in a certain Banach space, the Bergman space  $B^p$ , and  $(H^p)^* \simeq (B^p)^*$  [4]. Somewhat later Shapiro identified the Banach envelope of  $H^p$  directly, using his “convex-hull” characterization of the Mackey topology [13]. This characterization of the Mackey topology provides an important intuitive

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picture, via the example  $\ell_p$ ,  $0 < p < 1$ . The absolutely convex hull of the  $\ell_p$  unit ball is the  $\ell_1$  unit ball. Thus, the Mackey topology is the  $\ell_1$  topology and the closure of  $\ell_p$  with respect to this topology is  $\ell_1$ ; i.e.,  $\ell_1$  is the Banach envelope of  $\ell_p$ . With its usual metric  $d((\alpha_n), 0) = \|(\alpha_n)\|_p^p = \sum_{n=0}^{\infty} |\alpha_n|^p$ , the sequence space  $\ell_p$ ,  $0 < p < 1$ , is the prototypical example of a non-locally convex, locally bounded  $F$ -space with separating dual (the maps  $\phi_k((\alpha_n)) = \alpha_k$  are continuous). In addition, the topology induced by  $d$  is  $p$ -convex, since the unit ball is (absolutely)  $p$ -convex. A set  $C$  is  $p$ -convex if  $\sum_{i=1}^n a_i x_i \in C$  whenever  $x_i \in C$  and  $\sum_{i=1}^n a_i^p = 1$ , with  $a_i \geq 0$ .  $C$  is *absolutely  $p$ -convex* if  $\sum_{i=1}^n a_i x_i \in C$  whenever  $x_i \in C$  and  $\sum_{i=1}^n |a_i|^p = 1$ . The functional  $\|(\alpha_n)\|_p = (\sum_{n=0}^{\infty} |\alpha_n|^p)^{1/p}$  is a quasinorm; i.e., it satisfies the requirements for a norm except that the triangle inequality is weakened. For  $\alpha = (\alpha_n)$  and  $\beta = (\beta_n)$ ,

$$\|\alpha + \beta\|_p \leq M(\|\alpha\|_p + \|\beta\|_p)$$

for a constant  $M \geq 1$ . Clearly,  $\|\cdot\|_p$  satisfies

$$\|\alpha + \beta\|_p^p \leq \|\alpha\|_p^p + \|\beta\|_p^p;$$

a quasinorm with this property is said to be  *$p$ -subadditive* and is called a  *$p$ -norm*. In general, if an  $F$ -space,  $\mathbb{X}$ , is locally bounded, the metric topology can always be replaced by a quasinorm, in fact by a  $q$ -norm for some  $0 < q \leq 1$ , due to a result of Aoki and Rolewicz;  $\mathbb{X}$  is then called a  *$q$ -Banach space*. (See [7] or [14] for general facts about non-locally convex  $F$ -spaces.)

By analogy with the Fréchet envelope, let  $\{V_n\}$  be a countable base for the zero neighborhoods of a non-locally convex  $F$ -space,  $\mathbb{X}$ , with separating dual, and let  $\widehat{V}_n$  be the absolutely  $p$ -convex hull of  $V_n$ , for some fixed  $p$ ,  $0 < p < 1$ . Let  $\|\cdot\|_n$  be the Minkowski functional of  $\widehat{V}_n$ . For  $x, y \in \mathbb{X}$ , the functional  $\|\cdot\|_n$  satisfies:

- (i)  $\|x\|_n = 0$  if  $x = 0$ ,
- (ii)  $\|ax\|_n = |a|\|x\|_n$ ,  $a \in \mathbb{C}$ ,
- (iii)  $\|x + y\|_n^p \leq \|x\|_n^p + \|y\|_n^p$ .

From (iii) we can deduce that  $\|x + y\|_n \leq C(\|x\|_n + \|y\|_n)$ . By obvious analogy, we will refer to  $\|\cdot\|_n$  as a  *$p$ -seminorm*. The family  $\{\|\cdot\|_n\}$  generates a  $p$ -convex topology on  $\mathbb{X}$  weaker than the original topology. We call the closure of  $\mathbb{X}$  under the topology induced by  $\{\|\cdot\|_n\}$  the  *$p$ -envelope* of  $\mathbb{X}$  and denote it by  $\widehat{\mathbb{X}}_p$  (cf. [1]). When  $\mathbb{X}$  is locally bounded,  $\widehat{\mathbb{X}}_p$  is a  $p$ -Banach space.  $\widehat{\mathbb{X}}_p$  has the property that every continuous linear map  $T : \mathbb{X} \rightarrow \mathbb{Y}$ ,  $\mathbb{Y}$  a  $p$ -Banach space, extends continuously to  $\widehat{\mathbb{X}}_p$ .

To visualize the situation, let  $0 < p < q \leq 1$ . The absolutely  $q$ -convex hull of the unit ball of  $\ell_p$  is the  $\ell_q$  unit ball, and it follows that  $\ell_q$  is the  $q$ -envelope of  $\ell_p$ . (For  $0 < p < q < 1$ , the  $q$ -envelope of  $H^p$  was identified

by Aleksandrov in [1] and by Coifman and Rochberg in [2].) Now for  $0 < p < q \leq 1$ ,  $\ell_q$  is not isomorphic to  $\ell_p$ ; however, it can happen that  $\widehat{\mathbb{X}}_p$  is isomorphic to  $\widehat{\mathbb{X}}_q$  for all  $0 < p, q < 1$  (see [7], Chapter 2). However, as we shall prove, the *p*-envelope, for  $0 < p < 1$ , can never be isomorphic to the Fréchet (Banach) envelope of a locally bounded, non-locally convex *F*-space (quasi-Banach space). We accomplish this in §2 by a modification of an argument of Kalton ([7], Theorem 4.13).

For an *F*-space which is not locally bounded, the situation is much different. We provide a class of examples which have the property that  $\widehat{\mathbb{X}}_p = \widehat{\mathbb{X}}$  for  $0 < p < 1$ . The groundwork is laid in §3; proofs are carried out in §4. Our method of proof will yield various applications along the way.

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**2.  $\widehat{\mathbb{X}}$  is never isomorphic to  $\widehat{\mathbb{X}}_p$  for a quasi-Banach space  $\mathbb{X}$ .**

In this section we shall prove that  $\widehat{\mathbb{X}}_1 = \widehat{\mathbb{X}}$  (the Banach envelope) is never isomorphic to  $\widehat{\mathbb{X}}_p$ ,  $0 < p < 1$ , when  $\mathbb{X}$  is a non-locally convex quasi-Banach space.

The Aoki–Rolewicz theorem provides every quasi-Banach space with an equivalent *p*-norm for some *p*,  $0 < p \leq 1$ . Thus we lose no generality by our formulation of the following proposition.

**PROPOSITION 2.1.** *Let  $(\mathbb{X}, \|\cdot\|_{\mathbb{X}})$ ,  $(\mathbb{Y}, \|\cdot\|_{\mathbb{Y}})$  be quasi-Banach spaces so that  $\|\cdot\|_{\mathbb{X}}$  is an *r*-norm and  $\|\cdot\|_{\mathbb{Y}}$  is a *q*-norm for  $0 < r < q \leq 1$ . Let  $B_{\mathbb{X}} = \{x \in \mathbb{X} : \|x\|_{\mathbb{X}} < 1\}$ . If  $T : \mathbb{X} \rightarrow \mathbb{Y}$  is a bounded linear map so that  $p\text{-}\overline{\text{co}}T(B_{\mathbb{X}})$  is a neighborhood of the origin for  $0 < r \leq p < q \leq 1$ , then  $T$  is an open map.*

**Proof** (cf. [7], Theorem 4.13). For convenience, let  $\|\cdot\|$  denote the quasinorms for both  $\mathbb{X}$  and  $\mathbb{Y}$ , as well as the operator quasinorm for  $T$ . No confusion should arise from this. We assume, with no loss, that  $\|T\| = 1$ .

There exists  $\delta > 0$  so that if  $\|y\| < \delta$  then  $y \in p\text{-}\overline{\text{co}}T(B_{\mathbb{X}})$ . It is enough to show that a constant  $M$  exists so that if  $\|y\| < 1$ , there is an  $x \in \mathbb{X}$  with  $\|x\| \leq M$  and  $\|Tx - y\| < 1/2$ . If this can be done, then we can choose  $x_n$  by induction satisfying  $\|x_n\| \leq 2^{-n}M$ ,  $n = 0, 1, \dots$ , with  $\|T(x_0 + \dots + x_n) - y\| \leq 2^{-n-1}$ . Then we would have  $T(\sum_{n=0}^{\infty} x_n) = y$ ; the series  $\sum_{n=0}^{\infty} x_n$  converges since  $\sum_{n=0}^{\infty} \|x_n\|^r < \infty$ .

So let  $V_m = \{\sum_{i=1}^m a_i T(x_i) : \sum_{i=1}^m a_i^p \leq 1, a_i \geq 0, \|x_i\| \leq 1\}$  and note that  $\bigcup_{m=1}^{\infty} V_m = p\text{-}\overline{\text{co}}T(B_{\mathbb{X}})$ . For any  $w \in V_{2m}$ ,  $w = \sum_{i=1}^{2m} a_i T x_i$ , where we

label the  $a_i$ 's so that  $a_{i-1} \geq a_i$ ,  $i = 2, 3, \dots, 2m$ . Put  $w_0 = \sum_{i=1}^m a_i T(x_i)$ ,  $w_0 \in V_m$ . Notice that  $a_i \leq (1/(2m))^{1/p}$  for  $2m \geq i \geq m$ ; whereby,

$$\begin{aligned} \|w - w_0\|^q &= \left\| \sum_{i=m+1}^{2m} a_i T x_i \right\|^q \leq \sum_{i=m+1}^{2m} |a_i|^q \|T x_i\|^q \\ &\leq m \left( \frac{1}{2m} \right)^{q/p} = C_1 m^{-\alpha}, \end{aligned}$$

with  $C_1 = 2^{-q/p}$ ,  $\alpha = q/p - 1 > 0$ .

For  $w \in V_{2^{m+n}}$ ,  $w = \sum_{i=1}^{2^{m+n}} a_i T(x_i)$ , with  $\sum_{i=1}^{2^{m+n}} a_i^p \leq 1$ , put

$$w_j = \sum_{i=1}^{2^{m+j}} a_i T(x_i) \in V_{2^{m+j}}, \quad j = 0, \dots, n;$$

then  $w_n = w$ . From our previous observation, we deduce that

$$\begin{aligned} \|w - w_0\|^q &\leq \sum_{j=1}^n \|w_j - w_{j-1}\|^q \leq \sum_{j=1}^n C_1 (2^{m+j})^{-\alpha} \\ &= C_1 2^{-m\alpha} \sum_{j=1}^n 2^{-j\alpha} \leq C_1 2^{-m\alpha} \sum_{j=1}^{\infty} 2^{-j\alpha} = C_2 2^{-m\alpha}, \end{aligned}$$

with  $C_2 = C_1(2^\alpha - 1)^{-1}$ . Thus for  $w \in V_{2^{m+n}}$

$$\text{dist}(w, V_{2^m}) = \inf_{y \in V_{2^m}} \|w - y\| \leq \|w - w_0\| \leq C_2^{1/q} 2^{-m\beta},$$

independent of  $n$ , with  $\beta = 1/p - 1/q > 0$ . In particular, we can choose  $m_0$  so large that if  $w \in \bigcup_{n=1}^{\infty} V_n$ , then

$$\text{dist}(w, V_{2^{m_0}}) < \delta/(4C),$$

where  $C$  is the quasinorm constant for  $\mathbb{Y}$ . Put  $2^{m_0} = N$ . If  $\|y\| < 1$ , there exists  $z \in \bigcup_{n=1}^{\infty} V_n$  so that  $\|\delta y - z\| < \delta/(4C)$ . Let  $v \in V_N$ ; we have

$$\|\delta y - v\| \leq C(\|\delta y - z\| + \|z - v\|) < \delta/2,$$

i.e.,  $\|y - \delta^{-1}v\| < 1/2$ . Now  $v = \sum_{n=1}^N a_i T x_i$ , for  $\sum_{n=1}^N a_i^p \leq 1$ ,  $\|x_i\| \leq 1$ ; put  $x = \delta^{-1} \sum_{n=1}^N a_i x_i$ , so that we obtain

$$\|y - Tx\| < 1/2 \quad \text{and} \quad \|x\| \leq N^{1/r} \delta^{-1} = M.$$

This completes the proof.

**THEOREM 2.2.** *Let  $\mathbb{X}$  be a locally bounded  $F$ -space which is  $r$ -normable for  $0 < r < 1$ . If  $\widehat{\mathbb{X}}_p$  is locally  $q$ -convex for  $0 < r \leq p < q \leq 1$ , then  $\mathbb{X}$  is necessarily  $q$ -convex.*

**Proof.** Let  $j : \mathbb{X} \rightarrow \widehat{\mathbb{X}}_p$  be the natural inclusion map, so that  $p\text{-}\overline{\text{co}} j(B_{\mathbb{X}})$  is the closed unit ball of  $\widehat{\mathbb{X}}_p$ . If  $\widehat{\mathbb{X}}_p$  can be endowed with an equivalent

*q*-convex topology, it follows from Proposition 2.1 that *j* is an open map; consequently,  $\mathbb{X} = \widehat{\mathbb{X}}_p$ , so that  $\mathbb{X}$  must be *q*-convex.

**COROLLARY 2.3.** *Let  $\mathbb{X}$  be a quasi-Banach space such that  $\widehat{\mathbb{X}}_p$ ,  $0 < p < 1$ , is locally convex. Then  $\mathbb{X}$  is locally convex; i.e.,  $\mathbb{X}$  is a Banach space.*

**3. The classes  $N_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$ .** Let  $\mathbb{D}$  denote the unit disc in the complex plane,  $\mathbb{C}$ . Recall that a function analytic in the unit disc is said to be of bounded characteristic, or of Nevanlinna class *N*, if the integrals

$$\int_{-\pi}^{\pi} \log^+ |f(re^{i\theta})| d\theta$$

are uniformly bounded for  $r < 1$ . For each function  $f \in N$ , the nontangential limit  $f(e^{i\theta})$  exists for a.e.  $\theta \in [-\pi, \pi]$ ; if a function  $f \in N$  further satisfies the condition that

$$\lim_{r \rightarrow 1^-} \int_{-\pi}^{\pi} \log^+ |f(re^{i\theta})| d\theta = \int_{-\pi}^{\pi} \log^+ |f(e^{i\theta})| d\theta$$

then *f* belongs to the Smirnov class  $N^+$  [3].  $N^+$  has been studied for many years as part of the classical Hardy space theory ([3] is a good general reference), although it was not until the early 70's that N. Yanagihara investigated the linear topological structure of  $N^+$  [16], [17]. He found  $N^+$  to be an *F*-space, not locally convex nor locally bounded, but still possessing a rich dual space, which he identified. Recently, McCarthy [8] has taken a different approach to the study of  $N^+$ , obtaining new results as well as giving new proofs to certain of Yanagihara's results. The structure of  $N^+$  as a topological algebra has been studied in [12], for example. Generalizations of Yanagihara's work to  $\mathbb{C}^n$ , and even to Banach space valued functions have been carried out by Nawrocki [10], [11].

For  $\alpha \geq 1$ , define  $N_+^\alpha$  to consist of those functions *f* belonging to  $N^+$  such that

$$\int_{-\pi}^{\pi} [\log^+ |f(e^{i\theta})|]^\alpha d\theta < \infty.$$

Also, define  $\mathcal{N}_+^\alpha(\mathbb{D})$  to be the class of functions analytic in the unit disc which satisfy

$$\int_{\mathbb{D}} [\log^+ |f(z)|]^\alpha dA(z) < \infty,$$

where *dA* is normalized area measure. The classes  $N_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$  were introduced by M. Stoll in [15] (with different notation), where he showed that they are non-locally convex *F*-spaces under their respective metrics, in fact, *F*-algebras. Also, like  $N^+$ , both classes have separating dual spaces

since point evaluations are continuous. Further results about the algebraic structure of  $N_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$  have been obtained recently by Mochizuki in [9].

The natural metric for  $N_+^\alpha$  is

$$d_\alpha(f, 0) = \left\{ \frac{1}{2\pi} \int_{-\pi}^{\pi} [\log(1 + |f(e^{i\theta})|)]^\alpha d\theta \right\}^{1/\alpha},$$

and in similar fashion, for  $\mathcal{N}_+^\alpha(\mathbb{D})$  the natural metric is

$$\varrho_\alpha(f, 0) = \left\{ \int_{\mathbb{D}} [\log(1 + |f(z)|)]^\alpha dA(z) \right\}^{1/\alpha}$$

(see [15]). These metrics are rotation-invariant (a fact which was critical to our arguments in [5]).

For  $\beta > 0$ ,  $F_\beta$  consists of those analytic functions on  $\mathbb{D}$  such that

$$\lim_{r \rightarrow 1^-} (1 - r)^\beta \log^+ \max_{|z|=r} |f(z)| = 0.$$

For  $f \in F_\beta$ ,  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ , and  $c > 0$ , the functional  $\|\cdot\|_c$  defined by

$$\|f\|_c = \sum_{n=0}^{\infty} |a_n| \exp(-cn^{\beta/(1+\beta)})$$

is a seminorm on  $F_\beta$ . With the topology given by the family  $\{\|\cdot\|_c\}_{c>0}$ ,  $F_\beta$  is a Fréchet space [15], [16], [18]. Yanagihara showed that  $F_1$  is the containing Fréchet space for the Smirnov class [17] (see also [8]). For the general case, Stoll identified the likely candidates for the Fréchet envelopes of  $N_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$  as the spaces  $F_{1/\alpha}$  and  $F_{2/\alpha}$  [15]; we verified this conjecture in [5]. Let us recall those results from [5] which we will need in §4.

**THEOREM 3.1.** *For  $\alpha \geq 1$ ,  $F_{1/\alpha}$  is the Fréchet envelope of  $N_+^\alpha$ .*

**THEOREM 3.2.** *For  $\alpha \geq 1$ ,  $F_{2/\alpha}$  is the Fréchet envelope of  $\mathcal{N}_+^\alpha(\mathbb{D})$ .*

**LEMMA 3.3.** *Let  $f_k(z) = \exp[c_k r_k z(1 - r_k z)^{-3}]$ ,  $r_k, c_k > 0$ , with Taylor expansion  $f_k(z) = \sum_{n=0}^{\infty} b_n^{(k)} z^n$ . Let  $V$  be any neighborhood of zero in  $N_+^\alpha$ . Then there exist positive constants  $a_1, a_2$ , and  $a_3$  so that if*

$$r_k = 1 - a_2 k^{-\alpha/(\alpha+1)} \quad \text{and} \quad c_k = a_3 (1 - r_k)^{(3\alpha-1)/\alpha},$$

*then  $a_1 f_k \in V$ ; moreover,  $(b_k^{(k)})^{-1} = O[\exp(-\eta k^{1/(\alpha+1)})]$  for some  $\eta > 0$ .*

The idea behind this family of test functions is that for each  $k$ ,  $f_k$  is analytic in the disc  $\{z : |z| < 1/r_k\}$ , with  $1/r_k > 1$ , and thus belongs to both  $N_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$ , even though  $f(z) = \exp[z(1-z)^{-3}]$  belongs to neither. (Clearly  $f \notin F_{2/\alpha}$  and  $N_+^\alpha \subseteq \mathcal{N}_+^\alpha(\mathbb{D}) \subseteq F_{2/\alpha}$ ; see [15].) Now for  $N_+^\alpha$ , it is straightforward to show that every metric neighborhood of zero contains a

set of the form

$$G(r, \varepsilon) = G = \left\{ g \in N_+^\alpha : \int_{-\pi}^{\pi} [\log^+ |rg(e^{i\theta})|]^\alpha d\theta < \varepsilon \right\} \quad \text{for some } r, \varepsilon > 0.$$

For the family  $\{f_k\}$ , there exists a constant  $M > 0$  so that

$$\int_{-\pi}^{\pi} [\log^+ |f_k(e^{i\theta})|]^\alpha d\theta \leq c_k^\alpha M(1 - r_k)^{1-3\alpha}$$

(see [5], Lemma 3.1). Thus for any neighborhood,  $V$ , of zero in  $N_+^\alpha$ , there exists  $G(r, \varepsilon) = G \subseteq V$ ; by taking  $c_k = M^{-1/\alpha} \varepsilon^{1/\alpha} (1 - r_k)^{(3\alpha-1)/\alpha}$ , we force the family  $\{af_k\}$  to belong to  $G$ , for  $a = \min\{r^{-1}, 1\}$ . This will be true for any choice of  $r_k \uparrow 1$ . However, to obtain necessary decay estimates on the Taylor coefficients, we had to be rather judicious as to the choice of the  $r_k$ 's (see [5], Lemmas 3.1 and 3.2, and Theorem 4.2). The same ideas go through for  $\mathcal{N}_+^\alpha(\mathbb{D})$  ([5], Lemmas 3.1 and 3.3, and Theorem 4.3).

LEMMA 3.4. *Let  $f_k(z) = \exp[c_k r_k z(1 - r_k z)^{-3}]$ ,  $r_k, c_k > 0$ , with Taylor expansion  $f_k(z) = \sum_{n=0}^\infty b_n^{(k)} z^n$ . Let  $V$  be any neighborhood of zero in  $\mathcal{N}_+^\alpha(\mathbb{D})$ . Then there exist positive constants  $a_1, a_2$ , and  $a_3$  so that if*

$$r_k = 1 - a_2 k^{-\alpha/(\alpha+2)} \quad \text{and} \quad c_k = a_3 (1 - r_k)^{(3\alpha-2)/\alpha}$$

then  $a_1 f_k \in V$ ; moreover,  $(b_k^{(k)})^{-1} = O[\exp(-\eta_k^{2/(\alpha+2)})]$  for some  $\eta > 0$ .

**4.  $\widehat{\mathbb{X}} = \widehat{\mathbb{X}}_p$ : Examples.** We will show that for  $\widehat{\mathbb{X}} = N_+^\alpha$  or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ,  $\alpha \geq 1$ , we have  $\widehat{\mathbb{X}} = \widehat{\mathbb{X}}_p$  for  $0 < p \leq 1$ . Our method of proof is somewhat similar to arguments used in [16], but draws on the theory of vector-valued analytic functions as developed in [6]. Also, certain estimates which we obtained in [5] are critical to our proofs. Our approach has the benefit of allowing for a characterization of multipliers from  $N_+^\alpha$  or  $\mathcal{N}_+^\alpha(\mathbb{D})$  into any  $p$ -Banach space ( $H^p$ , in particular), as well as a characterization of the dual spaces of  $N_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$ . We will omit the proofs for results particular to  $\mathcal{N}_+^\alpha(\mathbb{D})$  since they parallel the corresponding arguments for  $N_+^\alpha$ .

First, let us briefly recall some facts about vector-valued analytic functions and multipliers which we will need in the sequel. Let  $(\mathbb{X}, \|\cdot\|)$  be a  $p$ -Banach space. A function  $f : \mathbb{D} \rightarrow \mathbb{X}$  is said to be *analytic* if  $f$  can be expanded in a power series  $f(z) = \sum_{n=0}^\infty x_n z^n$  for  $x_n \in \mathbb{X}$ ,  $z \in \mathbb{D}$  (see [6]). Let  $A(\mathbb{X})$  denote the collection of functions analytic in  $\mathbb{D}$  and continuous on  $\overline{\mathbb{D}}$ , quasinormed by  $\|f\|_A = \max\{\|f(z)\| : z \in \overline{\mathbb{D}}\}$ . Say that  $\Lambda = (x_n)$  is a *multiplier* from  $N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ) into  $A(\mathbb{X})$  if for every  $h \in N_+^\alpha$  (respectively,  $\mathcal{N}_+^\alpha(\mathbb{D})$ ) with power series  $h(z) = \sum_{n=0}^\infty d_n z^n$ , we have  $\Lambda h \in A(\mathbb{X})$ , where  $(\Lambda h)(z) = \sum_{n=0}^\infty x_n d_n z^n$ . Since  $[\log(1 + |f(z)|)]^\alpha$  is subharmonic for  $\alpha \geq 1$

it follows that for  $z \in \mathbb{D}$ ,  $|z| = r$ , and  $f \in N_+^\alpha$ ,

$$|f(z)| \leq \exp \left\{ \left( \frac{1+r}{1-r} \right)^{1/\alpha} d_\alpha(f, 0) \right\};$$

similarly, if  $f \in \mathcal{N}_+^\alpha(\mathbb{D})$ , then

$$|f(z)| \leq \exp \left\{ \left( \frac{1+r}{1-r} \right)^{2/\alpha} \varrho_\alpha(f, 0) \right\}$$

(see [15]). Consequently, if  $f_k \rightarrow f$  in  $N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ) then by a standard normal family argument,  $f_k \rightarrow f$  uniformly on compact subsets of  $\mathbb{D}$ . Thus if  $f_k(z) = \sum_{n=0}^{\infty} b_n^{(k)} z^n$  and  $f(z) = \sum_{n=0}^{\infty} b_n z^n$  then  $b_n^{(k)} \rightarrow b_n$  as  $k \rightarrow \infty$ , for each  $n = 0, 1, 2, \dots$ . It can be deduced from ([6], Theorem 6.1) that if  $g \in A(\mathbb{X})$ ,  $g(z) = \sum_{n=0}^{\infty} y_n z^n$ ,  $y_n \in \mathbb{X}$ , then  $\|y_n\| \leq C n^\lambda \|g\|_A$  for some  $\lambda, C > 0$ . Thus if  $g_k \rightarrow g$  in  $A(\mathbb{X})$ , with  $g_k(z) = \sum_{n=0}^{\infty} y_n^{(k)} z^n$ , then  $y_n^{(k)} \rightarrow y_n$  as  $k \rightarrow \infty$  for each  $n = 0, 1, 2, \dots$ . It follows from the Closed Graph Theorem that if  $\Lambda = (x_n)$  is a multiplier from  $N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ) into  $A(\mathbb{X})$ , then  $\Lambda$  is continuous.

**LEMMA 4.1.** *Let  $f \in N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ), and let  $f_\zeta(z) = f(\zeta z)$  for  $\zeta \in \mathbb{D}$ . Then  $(f_\zeta)_{\zeta \in \mathbb{D}}$  is a bounded set in  $N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ).*

**Proof.** Let  $f \in N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ), and let  $d$  denote either metric,  $d_\alpha$  or  $\varrho_\alpha$ . Recall that  $d$  is rotation-invariant; moreover,  $\int_{-\pi}^{\pi} [\log(1 + |f(re^{i\theta})|)]^\alpha d\theta$  is an increasing function of  $r$ , because  $[\log(1 + |f|)]^\alpha$  is subharmonic [3]. Thus  $d(f_r, 0) \leq d(f, 0)$  for each  $r$ ,  $0 < r < 1$ . Let  $V$  denote a  $d$ -neighborhood of zero and  $\zeta = re^{i\theta} \in \mathbb{D}$ . Since  $d(f_\zeta, 0) = d(f_r, 0) \leq d(f, 0)$  and scalar multiplication is continuous, there exists  $a > 0$  so that  $af \in V$ , whereby  $af_\zeta \in V$  for every  $\zeta \in \mathbb{D}$ ; i.e.,  $(f_\zeta)_{\zeta \in \mathbb{D}}$  is a bounded set in  $N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ).

**LEMMA 4.2.** *Let  $f \in N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ), and  $f_\zeta(z) = f(\zeta z)$  for  $z \in \mathbb{D}$ ,  $\zeta \in \overline{\mathbb{D}}$ . If  $z_n \rightarrow z_0 \in \overline{\mathbb{D}}$ , then  $f_{z_n} \rightarrow f_{z_0}$  in  $N_+^\alpha$  (or  $\mathcal{N}_+^\alpha(\mathbb{D})$ ).*

**Proof.** Put  $F(z) = f_z$ ;  $F : \overline{\mathbb{D}} \rightarrow N_+^\alpha$  ( $\mathcal{N}_+^\alpha(\mathbb{D})$ ). We need only show  $F$  is continuous. For each  $w \in \overline{\mathbb{D}}$  and  $0 < r < 1$ , if  $z_n \rightarrow z_0$ ,

$$|f_r(z_n w)| \leq \sup\{|f_r(\zeta)| : \zeta \in \overline{\mathbb{D}}\},$$

it follows by bounded convergence that  $\lim_{n \rightarrow \infty} d(f_{rz_n}, f_{rz_0}) = 0$ . Thus  $F_r$  is continuous for each  $r$ ,  $0 < r < 1$ , where  $F_r(z) = f_{rz}$ . For any  $z \in \overline{\mathbb{D}}$ ,  $z = \varrho e^{i\theta}$ ,  $0 \leq \varrho \leq 1$ , we have

$$d(F_r(z), F(z)) = d(f_{rz}, f_z) = d(f_{r\varrho}, f_\varrho) \leq d(f_r, f).$$

Since  $d(f_r, f) \rightarrow 0$  as  $r \rightarrow 1^-$  ([15]),  $F_r \rightarrow F$  uniformly in  $z$ , whereby  $F$  is continuous.



PROPOSITION 4.3. Let  $\mathbb{X}$  be a *p*-Banach space,  $0 < p \leq 1$ . A sequence  $\Lambda = (x_k)$ ,  $x_k \in \mathbb{X}$ , is a multiplier from  $N_+^\alpha$ ,  $\alpha \geq 1$ , into  $A(\mathbb{X})$  if and only if

$$\|x_k\| = O[\exp(-\eta k^{1/(\alpha+1)})]$$

for some  $\eta > 0$ .

Proof. Suppose  $\Lambda = (x_k)$  is a multiplier from  $N_+^\alpha$  into  $A(\mathbb{X})$ .  $\Lambda$  is continuous, so there exists a neighborhood  $V$  of zero so that if  $g \in V$ ,  $g(z) = \sum_{n=0}^\infty a_n z^n$ , then  $\|\Lambda g\| \leq 1$ . Now  $\Lambda g(z) = \sum_{n=0}^\infty a_n x_n z^n$ ; there exists  $\lambda > 0$  so that for each  $g \in V$  (cf. [6], Theorem 6.1)

$$\|x_n a_n\| \leq C n^\lambda \|\Lambda g\| \leq C n^\lambda,$$

so that

$$\|x_n\| \leq C n^\lambda |a_n|^{-1}.$$

Using Lemma 3.3, there exist  $a > 0$ ,  $r_k \uparrow 1$ , and  $c_k \downarrow 0$  so that  $a f_k \in V$  for all  $k = 1, 2, 3, \dots$ , for  $f_k(z) = \exp[c_k r_k z(1 - r_k z)^{-3}]$ . Let  $f_k$  have Taylor series  $\sum_{n=0}^\infty b_n^{(k)} z^n$ ; again, from Lemma 3.3, there exists  $\eta_0 > 0$  such that

$$|b_k^{(k)}|^{-1} = O[\exp(-\eta_0 k^{1/(\alpha+1)})];$$

whence it follows that

$$\|x_k\| \leq C k^\lambda |b_k^{(k)}|^{-1} = O[\exp(-\eta k^{1/(\alpha+1)})],$$

for some  $\eta, \eta_0 > \eta > 0$ .

Now suppose that  $(x_n) \subseteq \mathbb{X}$  and  $\|x_k\| = O[\exp(-\eta k^{1/(\alpha+1)})]$  for some  $\eta > 0$ . It was shown in [15] that if  $g \in N_+^\alpha$ , with Taylor series  $\sum_{n=0}^\infty a_n z^n$ , then the Taylor coefficients of  $g$  satisfy

$$|a_n| \leq M \exp[\eta_k n^{1/(\alpha+1)}]$$

for some constant  $M > 0$  and sequence  $\eta_k \downarrow 0$ . Thus for  $\Lambda g(z) = \sum_{n=0}^\infty x_n a_n z^n$ , it follows that

$$\|\Lambda g\|^p \leq \sum_{n=0}^\infty \|x_n\|^p |a_n|^p < \infty.$$

From this we deduce that  $\sum_{n=0}^\infty a_n x_n z^n$  converges uniformly on  $\overline{\mathbb{D}}$ , whereby  $\Lambda g$  is continuous on  $\overline{\mathbb{D}}$ , analytic in  $\mathbb{D}$ , i.e.,  $\Lambda g \in A(\mathbb{X})$ .  $\Lambda = (x_n)$  is therefore a multiplier from  $N_+^\alpha$  into  $A(\mathbb{X})$ , and the proof is finished.

PROPOSITION 4.4. Let  $\mathbb{X}$  be a *p*-Banach space,  $0 < p \leq 1$ . A sequence  $(x_k) \subseteq \mathbb{X}$  is a multiplier from  $\mathcal{N}_+^\alpha(\mathbb{D})$ ,  $\alpha \geq 1$ , into  $A(\mathbb{X})$  if and only if

$$\|x_k\| = O[\exp(-\eta k^{2/(\alpha+2)})]$$

for some  $\eta > 0$ .

Propositions 4.3 and 4.4 allow us to completely characterize continuous linear maps from  $N_+^\alpha$  or  $\mathcal{N}_+^\alpha(\mathbb{D})$  into any  $p$ -Banach space  $\mathbb{X}$ ,  $0 < p \leq 1$ . In the sequel, let  $e_n$  denote the function  $e_n(z) = z^n$ , for  $n = 0, 1, \dots$

PROPOSITION 4.5. *Let  $\mathbb{X}$  be a  $p$ -Banach space,  $0 < p \leq 1$ . Let  $T$  be a linear map,  $T : N_+^\alpha \rightarrow \mathbb{X}$ ,  $\alpha \geq 1$ , and  $T(e_n) = x_n$ .  $T$  is continuous if and only if for every  $f \in N_+^\alpha$ , with Taylor series  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,*

$$Tf = \sum_{n=0}^{\infty} a_n x_n ;$$

moreover,  $\|x_n\| = O[\exp(-\eta n^{1/(\alpha+1)})]$  for some  $\eta > 0$ .

Proof. Let  $T : N_+^\alpha \rightarrow \mathbb{X}$  be a continuous linear map. For  $f \in N_+^\alpha$ ,  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,  $f$  is the uniform limit of its Taylor series on each disc  $\{z : |z| \leq r\}$  with  $0 < r < 1$ . Let  $P_N(z) = \sum_{n=0}^N a_n z^n$ , denote the  $N$ th Taylor polynomial, and let  $P_{\zeta, N}(z) = \sum_{n=0}^N \zeta^n a_n z^n$ , for  $|\zeta| < 1$ . It follows easily that  $\lim_{N \rightarrow \infty} d_\alpha(P_{\zeta, N}, f_\zeta) = 0$ . Thus for each  $\zeta \in \mathbb{D}$ ,

$$T(f_\zeta) = \lim_{N \rightarrow \infty} T(P_{\zeta, N}) = \sum_{n=0}^{\infty} \zeta^n a_n x_n .$$

Setting  $F(\zeta) = T(f_\zeta)$ , we can deduce from Lemma 4.2 that  $F$  is analytic on  $\mathbb{D}$  and continuous on  $\overline{\mathbb{D}}$ , i.e.,  $f \in A(\mathbb{X})$ . Thus  $(x_n)$  is a multiplier from  $N_+^\alpha$  into  $A(\mathbb{X})$ , whereby  $\|x_n\| = O[\exp(-\eta n^{1/(\alpha+1)})]$  for some  $\eta > 0$ , by Proposition 4.3. As in the proof of Proposition 4.3, it follows that  $\sum_{n=0}^{\infty} a_n x_n \zeta^n$  converges uniformly on  $\overline{\mathbb{D}}$ , i.e.,  $\lim_{N \rightarrow \infty} T(P_{N, \zeta}) = T(f_\zeta)$ , and the convergence is uniform in  $\zeta$ ,  $\zeta \in \mathbb{D}$ . Thus, since

$$\lim_{r \rightarrow 1^-} \lim_{N \rightarrow \infty} T(P_{N, r}) = \lim_{r \rightarrow 1^-} T(f_r) = T(f) ,$$

we have

$$\lim_{N \rightarrow \infty} \lim_{r \rightarrow 1^-} T(P_{N, r}) = \lim_{N \rightarrow \infty} \sum_{n=0}^N a_n x_n = \sum_{n=0}^{\infty} a_n x_n = T(f) .$$

Next we suppose  $T(e_n) = x_n$ , with  $\|x_n\| = O[\exp(-\eta n^{1/(\alpha+1)})]$ , for some  $\eta > 0$ . From Proposition 4.3 we see that  $\Lambda = (x_n)$  is a multiplier from  $N_+^\alpha$  into  $A(\mathbb{X})$ . Recall that multipliers from  $N_+^\alpha$  into  $A(\mathbb{X})$  are continuous, so if  $f_k \rightarrow f$  in  $N_+^\alpha$ , then  $\Lambda f_k \rightarrow \Lambda f$  in  $A(\mathbb{X})$ ; i.e.,

$$\sup_{z \in \overline{\mathbb{D}}} \left\| \sum_{n=0}^{\infty} a_n^{(k)} x_n z^n - \sum_{n=0}^{\infty} a_n x_n z^n \right\| \rightarrow 0$$

as  $k \rightarrow \infty$ ; in particular, for  $z = 1$  we have  $\|Tf_k - Tf\| \rightarrow 0$ .  $T$  is therefore continuous.

PROPOSITION 4.6. Let  $\mathbb{X}$  be a *p*-Banach space,  $0 < p \leq 1$ . Let  $T$  be a linear map,  $T : \mathcal{N}_+^\alpha(\mathbb{D}) \rightarrow \mathbb{X}$ ,  $\alpha \geq 1$ , and  $T(e_n) = x_n$ .  $T$  is continuous if and only if for every  $f \in \mathcal{N}_+^\alpha(\mathbb{D})$  with Taylor series  $f(z) = \sum_{n=0}^\infty a_n z^n$ ,

$$Tf = \sum_{n=0}^\infty a_n x_n;$$

moreover,  $\|x_n\| = O[\exp(-\eta n^{2/(\alpha+2)})]$  for some  $\eta > 0$ .

The argument from Proposition 4.5 and its counterpart for Proposition 4.6 yield straightforward characterizations of the dual spaces of  $\mathcal{N}_+^\alpha$  and  $\mathcal{N}_+^\alpha(\mathbb{D})$ . For convenience, let  $\mathcal{A}$  denote those analytic functions on  $\mathbb{D}$  which are also continuous on  $\overline{\mathbb{D}}$ .

PROPOSITION 4.7 (cf. [16], Theorem 3). Let  $\phi \in (N_+^\alpha)^*$ . There is a unique  $g \in \mathcal{A}$ ,  $g(z) = \sum_{n=0}^\infty b_n z^n$ , so that

$$\phi(f) = \sum_{n=0}^\infty a_n b_n$$

for each  $f \in N_+^\alpha$ , with Taylor series  $f(z) = \sum_{n=0}^\infty a_n z^n$ . The series  $\sum_{n=0}^\infty a_n b_n$  converges absolutely. Moreover, the Taylor coefficients of  $g$  satisfy

$$(*) \quad |b_n| = O[\exp(-\eta n^{1/(\alpha+1)})]$$

for some  $\eta > 0$ . Conversely, every  $g \in \mathcal{A}$  whose Taylor coefficients  $(b_n)$  satisfy  $(*)$  defines a continuous linear functional  $\phi_g$  on  $N_+^\alpha$ .

Proof. Let  $\phi \in (N_+^\alpha)^*$ , and let  $\phi(e_n) = b_n$ . Proposition 4.5 implies that  $\phi(f) = \sum_{n=0}^\infty a_n b_n$  for  $f \in N_+^\alpha$  with Taylor series  $\sum_{n=0}^\infty a_n z^n$ . Moreover,  $|b_n| = O[\exp(-\eta n^{1/(\alpha+1)})]$  for some  $\eta > 0$ , so that  $g(z) = \sum_{n=0}^\infty b_n z^n$  converges uniformly and absolutely on  $\overline{\mathbb{D}}$ ; thus  $g \in \mathcal{A}$ .

On the other hand, if  $g \in \mathcal{A}$ ,  $g(z) = \sum_{n=0}^\infty b_n z^n$ , with  $b_n$  satisfying  $(*)$ , we may define

$$\phi_g(f) = \sum_{n=0}^\infty a_n b_n$$

for  $f \in N_+^\alpha$ ,  $f(z) = \sum_{n=0}^\infty a_n z^n$ . Since  $N_+^\alpha \subseteq F_{1/\alpha}$  ([15]),  $\phi_g$  is a well-defined linear functional on  $N_+^\alpha$ , and the series  $\sum_{n=0}^\infty a_n b_n$  converges absolutely. Since  $(b_n)$  satisfies  $(*)$ , Proposition 4.5 implies that  $\phi_g$  is continuous, and the proof is complete.

PROPOSITION 4.8. Let  $\phi \in (\mathcal{N}_+^\alpha(\mathbb{D}))^*$ . There is a unique  $g \in \mathcal{A}$ ,  $g(z) = \sum_{n=0}^\infty b_n z^n$ , so that

$$\phi(f) = \sum_{n=0}^\infty a_n b_n$$

for each  $f \in \mathcal{N}_+^\alpha(\mathbb{D})$ , with Taylor series  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ . The series  $\sum_{n=0}^{\infty} a_n b_n$  converges absolutely. Moreover, the Taylor coefficients of  $g$  satisfy

$$(**) \quad |b_n| = O[\exp(-\eta n^{2/(\alpha+2)})]$$

for some  $\eta > 0$ . Conversely, every  $g \in \mathcal{A}$  whose Taylor coefficients  $(b_n)$  satisfy  $(**)$  defines a continuous linear functional  $\phi_g$  on  $\mathcal{N}_+^\alpha(\mathbb{D})$ .

Propositions 4.3 and 4.4 may be used to characterize multipliers from  $\mathcal{N}_+^\alpha$  or  $\mathcal{N}_+^\alpha(\mathbb{D})$  into the Hardy spaces  $H^p$ ,  $0 < p$ . Suppose for example that  $\lambda_n \subseteq \mathbb{C}$  is a multiplier from  $\mathcal{N}_+^\alpha$  into  $H^p$ ; since convergence in  $\mathcal{N}_+^\alpha$  or  $H^p$  implies uniform convergence on compact subsets of  $\mathbb{D}$ , it follows as a consequence of the Closed Graph Theorem that  $\Lambda = (\lambda_n)$  is continuous. Propositions 4.3 and 4.4 yield the following (cf. [16], Theorem 2):

PROPOSITION 4.9. (i)  $\Lambda = (\lambda_n)$  is a multiplier from  $\mathcal{N}_+^\alpha$ ,  $\alpha \geq 1$ , into  $H^p$ ,  $0 < p$ , if and only if  $|\lambda_n| = O[\exp(-\eta n^{1/(\alpha+1)})]$  for some  $\eta > 0$ .

(ii)  $\Lambda = (\lambda_n)$  is a multiplier from  $\mathcal{N}_+^\alpha(\mathbb{D})$ ,  $\alpha \geq 1$ , into  $H^p$ ,  $0 < p$ , if and only if  $|\lambda_n| = O[\exp(-\eta n^{2/(\alpha+2)})]$  for some  $\eta > 0$ .

For an arbitrary  $F$ -space,  $\mathbb{X}$ , the topology induced by the  $p$ -envelope is stronger than that induced by the Fréchet envelope,  $0 < p \leq 1$ . Let  $\mathbb{X} = \mathcal{N}_+^\alpha$  or  $\mathcal{N}_+^\alpha(\mathbb{D})$ , and  $d = d_\alpha$  or  $\rho_\alpha$ . If we can show that the  $\widehat{\mathbb{X}}$  topology is stronger than the  $\widehat{\mathbb{X}}_p$  topology on  $\mathbb{X}$ , then necessarily  $\widehat{\mathbb{X}} = \widehat{\mathbb{X}}_p$ . Let  $V$  be a  $d$ -ball of radius  $1/n$ ,  $n = 1, 2, \dots$ , and let  $\|\cdot\|_{p,n}$  be the Minkowski functional of the  $p$ -co  $V_n$ . Recall that the family  $\{\|\cdot\|_{p,n}\}$  induces the  $\widehat{\mathbb{X}}_p$  topology on  $\mathbb{X}$ . For  $f \in \mathbb{X}$ , if  $\|f\|_{p,n} = 0$ , then since  $\|f\|_{p,n} \geq \|f\|_{1,n}$  it must follow that  $f \equiv 0$ . Thus each  $\|\cdot\|_{p,n}$  is actually a  $p$ -norm on  $\mathbb{X}$  and the completion of  $\mathbb{X}$  with respect to  $\|\cdot\|_{p,n}$  is a  $p$ -Banach space. This observation will be utilized in the proof of the following theorem.

THEOREM 4.10. For  $0 < p \leq 1$ , the  $p$ -envelope of  $\mathcal{N}_+^\alpha$ ,  $\alpha \geq 1$ , is  $F_{1/\alpha}$ .

PROOF. Let  $\|\cdot\|$  be any one of the  $p$ -norms  $\|\cdot\|_{p,n}$ ,  $n = 1, 2, \dots$ , and let  $\mathbb{Y}$  be the completion of  $\mathcal{N}_+^\alpha$  with respect to  $\|\cdot\|$ . Let  $T$  be the natural inclusion map  $T : \mathcal{N}_+^\alpha \rightarrow \mathbb{Y}$ ;  $T$  is continuous and linear. From Proposition 4.5 we have  $Tf = \sum_{n=0}^{\infty} a_n e_n$  for  $f \in \mathcal{N}_+^\alpha$ ,  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ , and, in addition,  $\|e_n\| \leq M \exp(-\eta n^{1/(\alpha+1)})$  for some  $\eta$ ,  $M > 0$ . Let  $\eta_1, \eta_2 > 0$  be such that  $\eta_1 + \eta_2 = \eta$  and let  $q > 0$  be such that  $p + q = 1$ . (If  $q = 0$ , then the result is simply a restatement of Theorem 3.1.) For  $f \in \mathcal{N}_+^\alpha$ , we have

$$\|Tf\|^p \leq \sum_{n=0}^{\infty} |a_n|^p \|e_n\|^p \leq \sum_{n=0}^{\infty} |a_n|^p [M \exp(-\eta n^{1/(\alpha+1)})]^p$$

$$\begin{aligned}
 &= \sum_{n=0}^{\infty} |a_n|^p [M \exp(-\eta_1 n^{1/(\alpha+1)})]^p [\exp(-p\eta_2 n^{1/(\alpha+1)})] \\
 &\leq \left\{ M \sum_{n=0}^{\infty} |a_n| \exp(-\eta_1 n^{1/(\alpha+1)}) \right\}^p \left\{ \sum_{n=0}^{\infty} \exp\left(-\frac{p}{q} \eta_2 n^{1/(\alpha+1)}\right) \right\}^q ;
 \end{aligned}$$

consequently, for a constant  $C > 0$ ,

$$\|Tf\| \leq C \sum_{n=0}^{\infty} |a_n| \exp(-\eta_1 n^{1/(\alpha+1)}) = C \|f\|_{\eta_1} .$$

The  $F_{1/\alpha}$  topology is therefore stronger than the  $p$ -envelope topology on  $N_+^\alpha$ , and the proof is complete.

**THEOREM 4.11.** *For  $0 < p \leq 1$ , the  $p$ -envelope of  $\mathcal{N}_+^\alpha(\mathbb{D})$ ,  $\alpha \geq 1$ , is  $F_{2/\alpha}$ .*

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