

SIMPLE CONDITIONS FOR PRACTICAL STABILITY OF POSITIVE FRACTIONAL DISCRETE-TIME LINEAR SYSTEMS

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In the paper the problem of practical stability of linear positive discrete-time systems of fractional order is addressed. New simple necessary and sufficient conditions for practical stability and for practical stability independent of the length of practical implementation are established. It is shown that practical stability of the system is equivalent to asymptotic stability of the corresponding standard positive discrete-time systems of the same order. The discussion is illustrated with numerical examples.

Keywords: linear system, positive, discrete-time, fractional, stability, practical stability.

1. Introduction

In positive systems inputs, state variables and outputs take only non-negative values. A variety of models having positive linear behavior can be found in engineering, management science, economics, social sciences, biology and medicine, etc. An overview of state of the art in positive systems theory is given in (Farina and Rinaldi, 2000; Kaczorek, 2002).

In the last decades, the problem of the analysis and synthesis of dynamical systems described by fractional order differential (or difference) equations has been considered in many papers, see, e.g., (Das, 2008; Kilbas *et al.*, 2006; Podlubny, 1999; Sabatier *et al.*, 2007; Sierociuk, 2007; Vinagre *et al.*, 2002).

Stability and robust stability problems of fractional linear systems were investigated in (Busłowicz, 2008a; 2008b; 2008c; 2008d; Chen, 2006; Dzieliński and Sierociuk, 2006; Gałkowski and Kumert, 2005; Gałkowski *et al.*, 2006; Sierociuk, 2007; Vinagre *et al.*, 2002). The reachability and controllability to zero of positive fractional linear systems were considered in (Kaczorek, 2007a; 2007b; 2008a; 2008b). The notion of standard and positive 2D fractional linear systems was introduced in (Kaczorek, 2008c; 2008d).

The concept of practical stability of positive fractional discrete-time systems was introduced and conditions for practical stability were given by Kaczorek (2008e).

In this paper, simple new necessary and sufficient conditions for practical stability of positive fractional discrete-time linear systems will be established.

The following notation will be used: $\mathbb{R}^{n \times m}$ is the set of $n \times m$ real matrices and $\mathbb{R}^n = \mathbb{R}^{n \times 1}$; $\mathbb{R}_+^{n \times m}$ is the set of $n \times m$ real matrices with non-negative entries and $\mathbb{R}_+^n = \mathbb{R}_+^{n \times 1}$; \mathbb{Z}_+ is the set of non-negative integers; I_n is the $n \times n$ identity matrix; a vector x with positive all entries (resp. with negative all entries) will be called strictly positive and denoted by $x > 0$ (resp. strictly negative and denoted by $x < 0$).

2. Problem formulation

Let us consider the discrete-time linear system of fractional order $\alpha \in (0, 1)$, described by the state equation

$$\Delta^\alpha x_{i+1} = Ax_i + Bu_i, \quad i \in \mathbb{Z}_+, \quad 0 < \alpha < 1, \quad (1)$$

where $x_i \in \mathbb{R}^n$ is the state vector, $u_i \in \mathbb{R}^m$ is the input vector, $A \in \mathbb{R}^{n \times n}$, $B \in \mathbb{R}^{n \times m}$ and $\Delta^\alpha x_i$ is the fractional difference defined by (Kaczorek, 2007a)

$$\Delta^\alpha x_i = x_i + \sum_{j=1}^i (-1)^j \binom{\alpha}{j} x_{i-j}, \quad (2a)$$

$\alpha \in (0, 1)$, with

$$\binom{\alpha}{j} = \frac{\alpha(\alpha - 1) \dots (\alpha - j + 1)}{j!}, \quad j > 0. \quad (2b)$$

Using (2a), we may write Eqn. (1) in the form

$$x_{i+1} = A_\alpha x_i + \sum_{j=1}^i c_j(\alpha) x_{i-j} + B u_i, \quad i \in \mathbb{Z}_+, \quad (3)$$

where

$$A_\alpha = A + I_n \alpha, \quad (4)$$

and

$$c_j = c_j(\alpha) = (-1)^j \binom{\alpha}{j+1}, \quad j = 1, 2, \dots \quad (5)$$

The fractional system (1) is positive (internally), i.e., $x_i \in \mathbb{R}_+^n$ for all $i \in \mathbb{Z}_+$ for any initial conditions $x_0 \in \mathbb{R}_+^n$ and all input sequences $u_i \in \mathbb{R}_+^m$, $i \in \mathbb{Z}_+$, if and only if (Kaczorek, 2007a)

$$A_\alpha = A + I_n \alpha \in \mathbb{R}_+^{n \times n}, \quad B \in \mathbb{R}_+^{n \times m}. \quad (6)$$

The system (3) has a variable number of delays. For any discrete time $i = N$, the number of delays is equal to N and this number increases to infinity if $i \rightarrow \infty$.

From (5) and (2b) it follows that $c_j = c_j(\alpha) > 0$ for $\alpha \in (0, 1)$ and $j = 1, 2, \dots$. Moreover, the coefficients $c_j = c_j(\alpha)$ strongly decrease for increasing j . Therefore, in practical problems it is assumed that j is bounded by some natural number h . This number is called the length of the practical implementation. In this case, Eqn. (3) takes the form

$$x_{i+1} = A_\alpha x_i + \sum_{j=1}^h c_j(\alpha) x_{i-j} + B u_i, \quad i \in \mathbb{Z}_+, \quad (7)$$

with the initial conditions $x_{-i} \in \mathbb{R}_+^n$, $i = 0, 1, \dots, h$. Equation (7) describes a linear discrete-time system with h delays in state.

The time-delay system (7) will be called a practical implementation of the fractional system (1).

Definition 1. (Kaczorek, 2007e) The positive fractional system (3) is called *practically stable* if and only if the system (7) is asymptotically stable.

The positive linear system without delays, equivalent to (7), has the form

$$\tilde{x}_{i+1} = \tilde{A} \tilde{x}_i + \tilde{B} u_i, \quad i \in \mathbb{Z}_+, \quad (8)$$

where $\tilde{x}_i = [x_i^T, x_{i-1}^T, \dots, x_{i-h}^T]^T \in \mathbb{R}_+^{\tilde{n}}$, $\tilde{A} \in \mathbb{R}_+^{\tilde{n} \times \tilde{n}}$, $\tilde{B} \in \mathbb{R}_+^{\tilde{n} \times m}$, $\tilde{n} = (h + 1)n$ and

$$\tilde{A} = \begin{bmatrix} A_\alpha & c_1 I_n & c_2 I_n & \dots & c_{h-1} I_n & c_h I_n \\ I_n & 0 & 0 & \dots & 0 & 0 \\ 0 & I_n & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & I_n & 0 \end{bmatrix},$$

$$\tilde{B} = \begin{bmatrix} B \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}. \quad (9)$$

The practical stability problem was formulated and solved in (Kaczorek, 2008e).

Theorem 1. (Kaczorek, 2008e) *The positive fractional system (3) is practically stable if and only if one of the following equivalent conditions holds:*

1. Eigenvalues $z_1, z_2, \dots, z_{\tilde{n}}$ of the matrix \tilde{A} defined in (9) have moduli less than 1.
2. All coefficients of the characteristic polynomial of the matrix $\tilde{A} - I_{\tilde{n}}$ are positive.
3. All leading principal minors of the matrix $I_{\tilde{n}} - \tilde{A}$ are positive.
4. $\rho(\tilde{A}) < 1$, where

$$\rho(\tilde{A}) = \max_{1 \leq k \leq \tilde{n}} |z_k|$$

is the spectral radius of the matrix \tilde{A} .

5. There exist strictly positive vectors $\tilde{x}_i \in \mathbb{R}_+^{\tilde{n}}$, $i = 0, 1, \dots, h$, satisfying the conditions $\tilde{x}_0 < \tilde{x}_1$, $\tilde{x}_1 < \tilde{x}_2, \dots, \tilde{x}_{h-1} < \tilde{x}_h$, such that $A_\alpha \tilde{x}_0 + c_1 \tilde{x}_1 + \dots + c_h \tilde{x}_h < \tilde{x}_h$.

Note that the size of the matrix $\tilde{A} \in \mathbb{R}^{\tilde{n} \times \tilde{n}}$ with $\tilde{n} = (h + 1)n$, of the form defined in (9), depends on the length h of practical implementation. Therefore, the application of Theorem 1 to practical stability analysis of the positive fractional system (3) is a difficult problem for large h .

The aim of the paper is to give new simple necessary and sufficient conditions for practical stability of the positive fractional system (3). We show that practical stability of this system with a given length h of practical implementation is equivalent to asymptotic stability of the corresponding positive system without delays of the same order as the system (3), i.e., of order extremely less than the order of the system (8) (equal to $\tilde{n} = (h + 1)n$).

3. Solution of the problem

From the structure of the matrix $\tilde{A} \in \mathbb{R}_+^{\tilde{n} \times \tilde{n}}$ of the form given in (9) and from the condition (3) of Theorem 1 we have the following important lemma.

Lemma 1. *If the positive fractional system (3) is practically stable with the given length $h = H$ of practical implementation, then this system is also practically stable for any positive $h < H$.*

Theorem 2. *The positive fractional system (3) is practically stable if and only if there exists a strictly positive vector $\lambda \in \mathbb{R}_+^n$ such that*

$$[A_\alpha + \sum_{j=1}^h c_j I_n - I_n] \lambda < 0, \quad \lambda > 0. \quad (10)$$

Proof. We show that (10) is necessary and sufficient for asymptotic stability of the positive time-delay system (7). *Necessity.* Let $X \in \mathbb{R}_+^{n \times n}$ have all eigenvalues with absolute values less than one. It is easy to show that

$$[I_n - X]^{-1} = \sum_{k=1}^{\infty} X^k \in \mathbb{R}_+^{n \times n}. \quad (11)$$

Hence, if the positive system (7) is asymptotically stable, then from (11) we have $[I_n - A_\alpha - \sum_{j=1}^h c_j I_n]^{-1} \in \mathbb{R}_+^{n \times n}$ and

$$\gamma = [I_n - A_\alpha - \sum_{j=1}^h c_j I_n]^{-1} 1_n > 0, \quad (12)$$

where $1_n = [1 \ \dots \ 1]^T$.

From the above it follows that if the system (7) is asymptotically stable, then the condition (10) holds for $\lambda = \gamma > 0$.

Sufficiency. Let us consider the dual system (with $B \equiv 0$)

$$x_{i+1} = A_\alpha^T x_i + \sum_{j=1}^h c_j(\alpha) x_{i-j}, \quad i \in \mathbb{Z}_+, \quad (13)$$

which is positive and asymptotically stable if and only if the original system (7) is positive and asymptotically stable.

As a Lyapunov function for the dual system (13), we may choose the following function:

$$V(x_i) = x_i^T \lambda + \sum_{j=1}^h c_j \sum_{r=i+1-j}^{i-1} x_r^T \lambda, \quad \lambda \in \mathbb{R}_+^n, \quad (14)$$

which is positive for non-zero $x_i \in \mathbb{R}_+^n$ and for strictly positive λ .

From (14) and (13), we have

$$\begin{aligned} \Delta V(x_i) &= V(x_{i+1}) - V(x_i) \\ &= x_{i+1}^T \lambda + \sum_{j=1}^h c_j \sum_{r=i+1-j}^i x_r^T \lambda - x_i^T \lambda \\ &\quad - \sum_{j=1}^h c_j \sum_{r=i-j}^{i-1} x_r^T \lambda \\ &= x_i^T [A_\alpha + \sum_{j=1}^h c_j I_n - I_n] \lambda < 0. \end{aligned}$$

Hence, the condition (10) implies $\Delta V(x_i) < 0$ and the positive system (7) is asymptotically stable. This means that the fractional system (3) is practically stable. ■

Theorem 3. *The positive fractional system (3) is practically stable if and only if the standard positive system*

$$x_{i+1} = D x_i, \quad i \in \mathbb{Z}_+, \quad (15)$$

with

$$D = A_\alpha + \sum_{j=1}^h c_j I_n \in \mathbb{R}_+^{n \times n}, \quad (16)$$

is asymptotically stable.

Proof. In (Kaczorek, 2007c), it was shown that the positive system (15) is asymptotically stable if and only if there exists a strictly positive vector $\lambda \in \mathbb{R}_+^n$ such that $[D - I_n] \lambda < 0$. Hence, the proof follows directly from Theorem 2 and (16). ■

The application of Theorem 3 requires computing of the coefficients $c_j = c_j(\alpha)$ ($j = 1, 2, \dots, h$) defined by (5) and (2b). These coefficients can be easily computed by the following simple algorithm suitable for computer programming:

$$c_{j+1} = c_j \frac{j+1-\alpha}{j+2}, \quad j = 1, 2, \dots, h, \quad (17)$$

where $c_1 = 0.5\alpha(1-\alpha)$.

From Theorems 2 and 3 and the stability condition of the positive system (15), given in (Farina and Rinaldi, 2000; Kaczorek, 2002; 2007c; 2008e), we have the following theorem and lemma.

Theorem 4. *The positive fractional system (3) is practically stable if and only if one of the following equivalent conditions holds:*

1. *The eigenvalues z_1, z_2, \dots, z_n of the matrix D defined by (16) have moduli less than 1.*
2. *All leading principal minors of the matrix $I_n - D$ are positive.*
3. *All coefficients of the characteristic polynomial of the matrix $D - I_n$ are positive.*
4. *$\rho(D) < 1$, where*

$$\rho(D) = \max_{1 \leq k \leq n} |z_k|$$

is the spectral radius of the matrix D .

Lemma 2. *The positive fractional system (3) is practically unstable if one of the following equivalent conditions holds:*

1. *At least one diagonal entry of the matrix D defined by (16) is greater than 1.*
2. *The positive system $x_{i+1} = A_\alpha x_i$ (A_α is defined by (4)) is unstable.*

3. At least one diagonal entry of the matrix A_α is greater than 1.

Now we consider the problem of practical stability of the positive system (3) in the case when $h \rightarrow \infty$ in (7).

From Definition 1 for $h \rightarrow \infty$ and Lemma 1 we have the following definition.

Definition 2. The positive fractional system (3) with fixed $\alpha \in (0, 1)$ is called *practically stable independent of the length of practical implementation* if and only if the positive system (7) is asymptotically stable for all $h \geq 1$.

First, we prove the following important lemma.

Lemma 3. The following equality holds:

$$\sum_{j=1}^{\infty} c_j = 1 - \alpha. \tag{18}$$

Proof. In (Sierociuk, 2007), it was shown that

$$\sum_{j=0}^{\infty} (-1)^j \binom{\alpha}{j} = 0. \tag{19}$$

It easy to see that

$$\sum_{j=0}^{\infty} (-1)^j \binom{\alpha}{j} = 1 - \alpha - \sum_{j=1}^{\infty} (-1)^j \binom{\alpha}{j+1}. \tag{20}$$

Taking into account (19), (20) and (5), we obtain (18). ■

From the above and Theorem 3, for $h \rightarrow \infty$ one obtains the following conditions for practical stability independent of the length of practical implementation.

Theorem 5. The positive fractional system (3) is stable independent of the length of practical implementation if and only if the standard positive system

$$x_{i+1} = D_\infty x_i, \quad i \in \mathbb{Z}_+, \tag{21}$$

is asymptotically stable, where $D_\infty = A + I_n \in \mathbb{R}_+^{n \times n}$.

Proof. From (4), (18) and (16), for $h \rightarrow \infty$ we have

$$\begin{aligned} D &= A_\alpha + \sum_{j=1}^{\infty} c_j I_n \\ &= A + I_n \alpha + I_n (1 - \alpha) = A + I_n. \end{aligned} \tag{22}$$

Hence, the proof follows directly from Theorem 3. ■

From Theorem 5 we have the following important corollary.

Corollary 1. If the positive fractional system (3) is practically stable independent of the length of practical implementation, then it is practically stable for all $h \geq 1$ and for any $\alpha \in (0, 1)$.

Applying the well known stability conditions to the positive system (21), one obtains the following theorem and lemma.

Theorem 6. The positive fractional system (3) is practically stable independent of the length of practical implementation if and only if one of the following equivalent conditions holds:

1. The eigenvalues z_1, z_2, \dots, z_n of the matrix $D_\infty = A + I_n$ have moduli less than 1.
2. All leading principal minors of the matrix $-A$ are positive.
3. All coefficients of the characteristic polynomial of the matrix A are positive.
4. $\rho(D_\infty) < 1$, where

$$\rho(D_\infty) = \max_{1 \leq k \leq n} |z_k|$$

is the spectral radius of the matrix D_∞ .

Lemma 4. The positive fractional system (3) is not practically stable independent of the length of practical implementation if at least one diagonal entry of the matrix A is positive.

Proof. If at least one diagonal entry of the matrix A is positive, then at least one diagonal entry of the matrix $D_\infty = A + I_n$ is greater than 1 and the system (21) is unstable. This means that the positive fractional system (3) is not practically stable independent of the length of practical implementation. ■

Let us consider the positive scalar discrete-time system of fractional order $\alpha \in (0, 1)$ described by the state equation (1), where $A = a$ and $B = b$ are real numbers such that $a_\alpha = a + \alpha \geq 0, b \geq 0$. In this case, from (3) we have

$$x_{i+1} = a_\alpha x_i + \sum_{j=1}^i c_j(\alpha) x_{i-j} + bu_i, \quad i \in \mathbb{Z}_+. \tag{23}$$

Practical implementation of (23) with the given length h of practical implementation has the form

$$x_{i+1} = a_\alpha x_i + \sum_{j=1}^h c_j(\alpha) x_{i-j} + bu_i, \quad i \in \mathbb{Z}_+, \tag{24}$$

with the initial conditions $x_{-i} \in \mathbb{R}_+^n, i = 0, 1, \dots, h$.

Lemma 5. (Busłowicz, 2008d) *If $\alpha \in (0, 1)$, then $\delta_h(\alpha) \in (0, 1)$, where*

$$\delta_h(\alpha) = 1 - \alpha - \sum_{j=1}^h c_j(\alpha). \quad (25)$$

From Theorems 4 and 6 and Lemma 2, we have the following theorem (see also (Busłowicz, 2008d)).

Theorem 7. *The positive scalar discrete-time system (23) of fractional order $\alpha \in (0, 1)$ is*

1. *practically stable with the given length $h \geq 1$ of practical implementation if and only if $a < \delta_h(\alpha)$,*
2. *practically stable independent of the length of practical implementation if and only if $0 > a \geq -\alpha$,*
3. *practically unstable independent of the length of practical implementation if $a > 1 - \alpha$.*

4. Illustrative examples

Example 1. Consider the positive fractional order linear system described by the equation

$$\Delta^\alpha x_{i+1} = \begin{bmatrix} -0.3 & 0.2 \\ 0.2 & a \end{bmatrix} x_i, \quad \alpha = 0.3, \quad a \in \mathbb{R}. \quad (26)$$

We wish to check the practical stability of the system with the length of practical implementation $h = 100$.

From (6), it follows that the system is positive if and only if

$$A_\alpha = A + I_2\alpha = \begin{bmatrix} 0 & 0.2 \\ 0.2 & 0.3 + a \end{bmatrix} \in \mathbb{R}_+^{2 \times 2},$$

that is, $a \geq -0.3$.

Computing the coefficients c_j ($j = 1, 2, \dots, h = 100$) from (17) and the matrix D from (16), one obtains

$$\sum_{j=1}^{100} c_j = 0.5073$$

and

$$D = \begin{bmatrix} 0.5073 & 0.2 \\ 0.2 & a + 0.8073 \end{bmatrix}.$$

The leading principal minors of the matrix $I_2 - D$ are as follows: $\Delta_1 = 0.4927$, $\Delta_2 = -0.4927a + 0.0549$. They are positive for $a < 0.1114$. Hence, according to the condition 2 of Theorem 4, the fractional system (26) with $h = 100$ is practically stable if and only if $-0.3 \leq a < 0.1114$. \blacklozenge

Note that the application of Theorem 1 to practical stability analysis of the positive system (26) requires checking asymptotic stability of the matrix $\tilde{A} \in \mathbb{R}^{\tilde{n} \times \tilde{n}}$ (defined in (9)) with $\tilde{n} = (h + 1)n = 202$.

Example 2. Check practical stability independent of the length of practical implementation of the positive fractional order linear system described by (1) with $\alpha = 0.3$ and

$$A = \begin{bmatrix} a & 0.3 & 0 \\ 0.2 & b & 0.1 \\ 0 & 0.2 & c \end{bmatrix}, \quad a, b, c \in \mathbb{R}. \quad (27)$$

The system (21) is positive if and only if $D_\infty = A + I_3 \in \mathbb{R}_+^{3 \times 3}$, i.e., $a \geq -1$, $b \geq -1$ and $c \geq -1$.

Computing the leading principal minors of the matrix $-A$ one obtains $\Delta_1 = -a$, $\Delta_2 = ab - 0.06$, $\Delta_3 = \det(-A) = -abc + 0.02a + 0.06c$. They are positive if and only if $a < 0$; $ab < 0.06$; $c(ab - 0.06) < 0.02a$.

Hence, the fractional system is positive and practically stable independent of the length of practical implementation (according to the condition 2 of Theorem 6) if and only if

$$\begin{aligned} -1 \leq a < 0, \quad -1 \leq b < \frac{0.06}{a}, \\ -1 \leq c < \frac{0.02a}{ab - 0.06}. \end{aligned} \quad (28)$$

It is easy to check that inequalities (28) hold for, e.g., $a = -0.5$; $b = -0.2$ and $c = -0.3$. This means that the positive system (1) with $\alpha = 0.3$ and the state matrix (27) with $a = -0.5$; $b = -0.2$ and $c = -0.3$ is practically stable independent of the length of practical implementation. \blacklozenge

5. Concluding remarks

The practical stability problem of the positive discrete-time system (3) of fractional order $0 < \alpha < 1$ has been addressed. New simple necessary and sufficient conditions for practical stability and for practical stability independent of the length of practical implementation were established (Theorems 3, 4 and 5, 6, respectively).

It was shown that the positive system (3) is

- (i) practically stable with the given length of practical implementation if and only if the positive standard discrete-time system (15) is asymptotically stable,
- (ii) practically stable independent of the length of practical implementation if and only if the positive standard discrete-time system (21) is asymptotically stable.

In the case of the scalar positive discrete-time fractional order system (23), the stability conditions were given in Theorem 7.

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