

**On values of L -functions
of totally real algebraic number fields at integers**

by

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*Dedicated to Professor H. Shimizu
on the occasion of his 60th birthday*

0. Let K be a totally real algebraic number field. In his paper [20], Siegel obtained explicit arithmetic expressions of the values of a zeta function of K at negative integers by using the method of restricting Hilbert–Eisenstein series for $\mathrm{SL}_2(\mathcal{O})$ to a diagonal, \mathcal{O} denoting the ring of integers of K . Let us consider Hilbert–Eisenstein series of higher level whose 0th Fourier coefficients are special values of L -functions. Then a modified method of Siegel’s gives formulas for the values of L -functions at integers, which is one of the purposes of the present paper. Such Eisenstein series have been considered for example in Shimura [18] and Deligne–Ribet [7]. However, for our purpose it is desirable that the Eisenstein series have many 0 as their 0th coefficients at cusps except for a specific cusp. After constructing such Eisenstein series, we give formulas for values of L -functions of K at integers. As a particular case, they turn out to be formulas for relative class numbers of totally imaginary quadratic extensions of K , where the exact form of fundamental units is not necessary. We also give several numerical examples of special values of L -functions and relative class numbers.

Our result is twofold. After Section 5, we take as K a real quadratic field. Under some condition on a character we obtain an elliptic modular form whose 0th coefficient is a product of two L -functions over \mathbb{Q} and whose higher coefficients are elementary arithmetic. These modular forms can be applied to the investigation of numbers of representations of a natural number by a positive quadratic form with odd number of variables. We obtain a relation between special values of L -functions and numbers of representations by some such quadratic forms. For example, Gauss’ three-square theorem is an easy consequence of our theorem.

1. Let \mathfrak{H} denote the upper half plane $\{z \in \mathbb{C} : \text{Im } z > 0\}$. For $N \in \mathbb{N}$, we put

$$\Gamma_1(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) : a \equiv d \equiv 1, c \equiv 0 \pmod{N} \right\}$$

and

$$\Gamma_0(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) : c \equiv 0 \pmod{N} \right\}.$$

Let χ_0 be a Dirichlet character modulo N . Let $k \in \mathbb{N}$, and let Γ be $\Gamma_0(N)$ or $\Gamma_1(N)$. A holomorphic function f on \mathfrak{H} is called a *modular form for Γ of weight k* if it satisfies (i) $f|A = f$ for $A \in \Gamma$, where $(f|A)(z) = (cz + d)^{-k} f(Az)$ with $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ and $Az = \frac{az+b}{cz+d}$, and (ii) f is holomorphic also at cusps. Let $\mathbf{M}_{k,\chi_0}(N)$ denote the space of modular forms f for $\Gamma_0(N)$ of weight k with character χ_0 , that is, modular forms f for $\Gamma_1(N)$ which satisfy $f|A = \chi_0(d)f$ for any $A \in \Gamma_0(N)$. If χ_0 is trivial, we denote it by $\mathbf{M}_k(N)$, which is the space of modular forms for $\Gamma_0(N)$.

We set $\mathbf{e}(z) = \exp(2\pi\sqrt{-1}z)$. A modular form f for $\Gamma_1(N)$ has the Fourier expansion $f(z) = \sum_{n=0}^{\infty} a_n \mathbf{e}(nz)$ at the cusp $\sqrt{-1}\infty$. An operator U_l ($l \in \mathbb{N}$) on Fourier series is defined by

$$U_l(f)(z) = \sum_{n=0}^{\infty} a_{ln} \mathbf{e}(nz);$$

it maps $\mathbf{M}_k(N)$ to itself if any prime divisor of l is a factor of N (Atkin–Lehner [2]). We also consider a function for which the holomorphy condition in (ii) is replaced by meromorphy. Such a function is called a *meromorphic modular form*; its weight is not necessarily positive.

Let $\mathbf{M}_{k,\chi_0}^{\infty}(N)$ (resp. $\mathbf{M}_{k,\chi_0}^0(N)$, resp. $\mathbf{M}_{k,\chi_0}^{\infty,0}(N)$) denote the subspace of $\mathbf{M}_{k,\chi_0}(N)$ consisting of modular forms which vanish at all cusps but $\sqrt{-1}\infty$ (resp. 0, resp. $\sqrt{-1}\infty$ and 0). All of them coincide if $N = 1$, and the spaces $\mathbf{M}_{k,\chi_0}(N)$ and $\mathbf{M}_{k,\chi_0}^{\infty,0}(N)$ coincide if N is prime.

Since $\mathbf{M}_{k,\chi_0}^{\infty,0}(N)$ is of finite dimension, there are nontrivial linear relations satisfied by the 0th Fourier coefficient at 0 and first several coefficients at $\sqrt{-1}\infty$, of arbitrary modular forms in $\mathbf{M}_{k,\chi_0}^{\infty,0}(N)$. Let $N > 1$. We define $\text{LR}_{k,\chi_0}(N)$ to be the set consisting of ordered sets $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\}$ where c_i 's and c'_0 are constants such that the equality $c'_0 a_0^{(0)} + \sum_{n=0}^{n_0} c_{-n} a_n = 0$ holds for the 0th Fourier coefficient $a_0^{(0)}$ at 0 and first $n_0 + 1$ coefficients a_0, \dots, a_{n_0} at $\sqrt{-1}\infty$ of any modular form f in $\mathbf{M}_{k,\chi_0}^{\infty,0}(N)$. Here we note that $a_0^{(0)}$ is a complex number so that $\lim_{z \rightarrow \infty} z^{-k} f(-1/z) = a_0^{(0)}$. If the modular form is in $\mathbf{M}_{k,\chi_0}^{\infty}(N)$ (resp. $\mathbf{M}_{k,\chi_0}^0(N)$), then the equality $\sum_{n=0}^{n_0} c_{-n} a_n = 0$ (resp. $c'_0 a_0^{(0)} + \sum_{n=1}^{n_0} c_{-n} a_n = 0$) holds. Similarly for $N \geq 1$, $\text{LR}'_{k,\chi_0}(N)$ is

defined to be the set consisting of $\{c_0, c_{-1}, \dots, c_{-n_0}\}$ for which the equality $\sum_{n=0}^{n_0} c_{-n} a_n = 0$ holds for any modular form in $\mathbf{M}_{k, \chi_0}^{\infty, 0}(N)$. If χ_0 is trivial, then we omit χ_0 from $\mathbf{M}_{k, \chi_0}^{\infty, 0}(N)$, $\text{LR}_{k, \chi_0}(N)$ etc., for example $\text{LR}_k(N) := \text{LR}_{k, \chi_0}(N)$.

Elements of $\text{LR}_{k, \chi_0}(N)$, $\text{LR}'_{k, \chi_0}(N)$ can be obtained by the following method initially employed by Siegel [20] in the case $N = 1$. Cusps of $\Gamma_0(N)$ are represented as i/M ($i, M \in \mathbb{N}$, $(i, M) = 1$, $M \mid N$), and two such cusps $i/M, i'/M'$ are equivalent if and only if M equals M' , and i' is congruent to i modulo M or modulo N/M . The cusp $\sqrt{-1}\infty$ (resp. 0) is equivalent to $1/N$ (resp. $1/1$). A local parameter at a cusp i/M is $e((M^2, N)/N \times Az)$, where $A \in \text{SL}_2(\mathbb{Z})$ maps i/M to $\sqrt{-1}\infty$.

LEMMA 1. Let $k \in \mathbb{N}$. Let $h(z) = \sum_{n=-n_0}^{\infty} c_n e(-nz)$ be a meromorphic modular form for $\Gamma_0(N)$ of weight $-k + 2$ with character χ_0^{-1} having the only pole at $\sqrt{-1}\infty$. Let $c_0^{(i/M)}$ be the 0th Fourier coefficient at the cusp i/M . Let $f(z) \in \mathbf{M}_{k, \chi_0}(N)$, $f(z) = \sum_{n=0}^{\infty} a_n e(nz)$, and let $a_0^{(i/M)}$ be its 0th coefficient at i/M . Then

$$\sum_{M, i} (N/(M^2, N)) c_0^{(i/M)} a_0^{(i/M)} + \sum_{n=0}^{n_0} c_{-n} a_n = 0,$$

where the first summation is taken over a complete set of representatives of cusps of $\Gamma_0(N)$.

PROOF. By the assumption, $f(z)h(z) dz$ is a meromorphic differential form on the compactified modular curve for $\Gamma_0(N)$ with poles only at cusps. Then by the residue theorem, the residue of the differential form, which is $(2\sqrt{-1}\pi)^{-1}$ times the left hand side of the equality in the lemma, is equal to 0. This shows our assertion. ■

COROLLARY. Let h and c_n be as in the lemma. Let $c_0^{(0)}$ denote the 0th Fourier coefficient of h at the cusp 0. Then $\{c_0, Nc_0^{(0)}, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_{k, \chi_0}(N)$. If $c_0^{(0)} = 0$, then $\{c_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}'_{k, \chi_0}(N)$.

For a prime p , denote by v_p the p -adic valuation. For a proper divisor M of N , $\text{LR}_k(N)$ is not a subset of $\text{LR}_k(M)$ in general since $\mathbf{M}_k^{\infty, 0}(M) \not\subset \mathbf{M}_k^{\infty, 0}(N)$ in general. Suppose that $v_p(N) \geq 2$. Then by Atkin–Lehner [2], $U_p(f)$ is in $\mathbf{M}_k(N/p)$ for $f \in \mathbf{M}_k(N)$. It is easy to show that $U_p(f) \in \mathbf{M}_k^{\infty, 0}(N/p)$ if $f \in \mathbf{M}_k^{\infty, 0}(N)$, and that $U_p(f)$ has $p^{k-1}a_0^{(0)}$ as its 0th coefficient at the cusp 0, $a_0^{(0)}$ being the 0th coefficient of f at 0. We also have $U_p(\mathbf{M}_k^{\infty}(N)) \subset \mathbf{M}_k^{\infty}(N/p)$ and $U_p(\mathbf{M}_k^0(N)) \subset \mathbf{M}_k^0(N/p)$. If $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_k(N/p)$, then $\{c_0, p^{k-1}c'_0, (p-1 \text{ times } 0), c_{-1}, (p-1 \text{ times } 0), \dots, c_{-n_0}\}$ is in $\text{LR}_k(N)$. This implies that some elements in

$\text{LR}_k(N)$ are obtainable from $\text{LR}_k(\prod_{p|N} p)$. Similarly, if $\{c_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}'_k(N/p)$, then $\{c_0, (p - 1 \text{ times } 0), c_{-1}, (p - 1 \text{ times } 0), \dots, c_{-n_0}\}$ is in $\text{LR}'_k(N)$. We note that the inclusion $\mathbf{M}_k^0(M) \subset \mathbf{M}_k^0(N)$ holds for $M | N$ if $v_p(M) \geq 1$ for any prime factor p of N .

Hecke [11] investigated Eisenstein series of higher level (see also [22]). If N and k are sufficiently small, the spaces of modular forms are spanned by their linear combinations. In that case, elements of $\text{LR}_{k,\chi_0}(N)$, etc., can be obtained from their Fourier coefficients through simple calculation. In the present paper we need several elements of $\text{LR}_{k,\chi_0}(N)$, etc. However, we omit the detail of getting them.

2. Let K be a totally real algebraic number field of degree g . We denote by \mathcal{O} , \mathfrak{d}_K and D_K the ring of integers, the different and the discriminant respectively. Let \mathfrak{N} be an integral ideal. Let $\mathcal{E}_{\mathfrak{N}}$ denote the group of units $\varepsilon \succ 0$ congruent 1 mod \mathfrak{N} , where $\varepsilon \succ 0$ means that ε is totally positive. We denote by $\mathbf{C}_{\mathfrak{N}}$ the narrow ray class group modulo \mathfrak{N} , and by $\mathbf{C}_{\mathfrak{N}}^*$ the character group. Although $\mathbf{C}_{\mathfrak{N}}$ denotes an integral ideal class group, we evaluate its character also at fractional ideals by the obvious extension. We call a character $\psi \in \mathbf{C}_{\mathfrak{N}}^*$ *even* (resp. *odd*) if $\psi(\mu) = 1$ (resp. $\psi(\mu) = \text{sgn}(\text{Nm}(\mu))$) for all $\mu \neq 0, \mu \equiv 1 \pmod{\mathfrak{N}}$. The conductor of ψ is denoted by \mathfrak{f}_{ψ} . For an ideal \mathfrak{M} such that $\mathfrak{N} \subset \mathfrak{M} \subset \mathfrak{f}_{\psi}$, we denote by $\psi_{\mathfrak{M}}$ the character in $\mathbf{C}_{\mathfrak{M}}^*$ satisfying $\psi(\mathfrak{A}) = \psi_{\mathfrak{M}}(\mathfrak{A})$ for any \mathfrak{A} relatively prime to \mathfrak{N} .

Let \mathfrak{H}^g denote the product of g copies of \mathfrak{H} . For $\mathfrak{z} = (z_1, \dots, z_g) \in \mathfrak{H}^g$, $\text{Nm}(\gamma\mathfrak{z} + \delta)$ stands for $\prod_{i=1}^g (\gamma^{(i)}z_i + \delta^{(i)})$, where $\gamma^{(1)}, \dots, \gamma^{(g)}$ denote conjugates of γ . Let $\mathfrak{N}, \mathfrak{N}'$ be integral ideals. Let \mathfrak{A} be an ideal relatively prime to $\mathfrak{N}\mathfrak{N}'$. Let $k \in \mathbb{N}$. For $\gamma_0 \in \mathfrak{a}\mathfrak{d}_K^{-1}, \delta_0 \in \mathfrak{N}^{-1}\mathfrak{a}\mathfrak{d}_K^{-1}$, an *Eisenstein series* on \mathfrak{H}^g is defined by setting

$$E_{k,\mathfrak{A}}(\mathfrak{z}, \gamma_0, \delta_0; \mathfrak{N}', \mathfrak{N}) := \text{Nm}(\mathfrak{A})^k \sum'_{\gamma, \delta} \text{Nm}(\gamma\mathfrak{z} + \delta)^{-k} |\text{Nm}(\gamma\mathfrak{z} + \delta)|^{-s}|_{s=0},$$

where the summation is taken over all $(\gamma, \delta) \neq (0, 0), \gamma \equiv \gamma_0 \pmod{\mathfrak{N}'\mathfrak{a}\mathfrak{d}_K^{-1}}, \delta \equiv \delta_0 \pmod{\mathfrak{a}\mathfrak{d}_K^{-1}}$ which are not associated under the action of $\mathcal{E}_{\mathfrak{N}\mathfrak{N}'}$: $(\gamma, \delta) \rightarrow (\varepsilon\gamma, \varepsilon\delta), \varepsilon \in \mathcal{E}_{\mathfrak{N}\mathfrak{N}'}$.

Let $\psi \in \mathbf{C}_{\mathfrak{N}}^*$ and $\psi' \in \mathbf{C}_{\mathfrak{N}'}^*$. Suppose that $\psi\psi' \in \mathbf{C}_{\mathfrak{N}\mathfrak{N}'}^*$ has the same parity as k . Then we put

$$\begin{aligned} \tilde{\lambda}_{k,\psi}^{\psi'}(\mathfrak{z}) &:= \left(\frac{(k-1)!}{(2\sqrt{-1}\pi)^k} \right)^g D_K^{-1/2} \text{Nm}(\mathfrak{N})^{-1} [\mathcal{E}_{\mathfrak{N}} : \mathcal{E}_{\mathfrak{N}\mathfrak{N}'}]^{-1} \sum_{\mathfrak{A} \in \mathbf{C}_{\mathfrak{N}}} \psi(\mathfrak{A}) \\ &\times \sum_{\gamma_0 \in \mathfrak{a}\mathfrak{d}_K^{-1}/\mathfrak{N}'\mathfrak{a}\mathfrak{d}_K^{-1}, \gamma_0 \succ 0} \psi'(\gamma_0\mathfrak{A}^{-1}\mathfrak{d}_K) \sum_{\delta_0 \in \mathfrak{N}^{-1}\mathfrak{a}\mathfrak{d}_K^{-1}/\mathfrak{a}\mathfrak{d}_K^{-1}} e(\text{tr}(\delta_0)) \\ &\times E_{k,\mathfrak{A}}(\mathfrak{z}, -\gamma_0, \delta_0; \mathfrak{N}', \mathfrak{N}), \end{aligned}$$

where \mathfrak{A} is a representative relatively prime to \mathfrak{N}' . This is a modular form for

$$\Gamma_0(\mathfrak{N}\mathfrak{N}')_K := \left\{ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}_2(\mathcal{O}) : \gamma \equiv 0 \pmod{\mathfrak{N}\mathfrak{N}'} \right\}$$

of weight k with a character. In case $K = \mathbb{Q}$ and $k = 2$ we assume that either $\mathfrak{N} \neq \mathcal{O}$ or at least one of ψ, ψ' is nontrivial. The Fourier expansion of $\tilde{\lambda}_{k,\psi}^{\psi'}(\mathfrak{z})$ at the cusp $\sqrt{-1}\infty$ is given as

$$\tilde{\lambda}_{k,\psi}^{\psi'}(\mathfrak{z}) = C + 2^g \sum_{\nu \in \mathfrak{d}_K^{-1}, \nu \succ 0} \left(\sum_{\mathcal{O} \supset \mathfrak{B} \supset \nu \mathfrak{d}_K} \psi'(\nu \mathfrak{B}^{-1} \mathfrak{d}_K) \psi(\mathfrak{B}) \mathrm{Nm}(\mathfrak{B})^{k-1} \right) e(\mathrm{tr}(\nu \mathfrak{z}))$$

with a constant C , where \mathfrak{B} runs over integral ideals containing $\nu \mathfrak{d}_K$. If $\mathfrak{N}' = \mathcal{O}$ and ψ' is trivial, we denote the modular form by $\tilde{\lambda}_{k,\psi}(\mathfrak{z})$. Similarly $\tilde{\lambda}_k^{\psi'}(\mathfrak{z})$ is also defined. We can obtain C and the 0th Fourier coefficients of $\tilde{\lambda}_{k,\psi}(\mathfrak{z})$ and $\tilde{\lambda}_k^{\psi'}(\mathfrak{z})$ at other cusps by a similar computation to that in Shimura [18].

PROPOSITION 1. *Let $A = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}_2(\mathcal{O})$. Let $k \in \mathbb{N}$ and let $\psi \in \mathbf{C}_{\mathfrak{N}}^*$ and k have the same parity.*

(1) *In case $K = \mathbb{Q}$ and $k = 2$, assume that $\mathfrak{N} \neq \mathcal{O}$ or ψ is nontrivial. Then the 0th Fourier coefficient of $\tilde{\lambda}_{k,\psi}(\mathfrak{z})|A$ is equal to*

$$\begin{aligned} \mathrm{sgn}(\mathrm{Nm}(\delta))^{k-1} \psi(\delta) \prod_{\substack{\mathfrak{p}|\mathfrak{N} \\ \mathfrak{p} \nmid (\gamma, \mathfrak{N})}} (1 - \mathrm{Nm}(\mathfrak{p})^{-1}) L_K(1-k, \psi_{(\gamma, \mathfrak{N})}) \quad ((\gamma, \mathfrak{N}) \subset \mathfrak{f}_\psi) \\ + (\sqrt{-1} \pi)^{-g} D_K^{-1/2} \psi(\gamma) L_K(1, \psi) \quad (k = 1 \text{ and } (\gamma, \mathfrak{N}) = \mathcal{O}), \end{aligned}$$

where $\psi(0) = 1$ in case $\mathfrak{N} = \mathcal{O}$.

(2) *In case $K = \mathbb{Q}$ and $k = 2$, assume that ψ is nontrivial. Then the 0th Fourier coefficient of $\tilde{\lambda}_k^\psi(\mathfrak{z})|A$ is equal to*

$$\begin{aligned} \left(\frac{2(k-1)!}{(2\sqrt{-1} \pi)^k} \right)^g D_K^{k-1/2} \psi(\gamma) L_K(k, \psi) \quad ((\gamma, \mathfrak{N}) = \mathcal{O}) \\ + \psi(\alpha)^{-1} \prod_{\substack{\mathfrak{p}|\mathfrak{N} \\ \mathfrak{p} \nmid (\gamma, \mathfrak{N})}} (1 - \mathrm{Nm}(\mathfrak{p})^{-1}) L_K(0, \psi_{(\gamma, \mathfrak{N})}) \quad (k = 1 \text{ and } (\gamma, \mathfrak{N}) = \mathfrak{f}_\psi). \end{aligned}$$

3. We put $\lambda_{gk,\psi}^{\psi'}(z) := \tilde{\lambda}_{k,\psi}^{\psi'}(z, \dots, z)$. Let $N \in \mathbb{N} \cap \mathfrak{N}\mathfrak{N}'$, and let χ_0 be an element of the group $(\mathbb{Z}/N)^*$ of characters mod N such that $\chi_0(i) = \psi(i)\psi'(i)$. Then $\lambda_{gk,\psi}^{\psi'}(z)$ is in $\mathbf{M}_{gk,\chi_0}(N)$. We have the Fourier expansion

$$\lambda_{gk,\psi}^{\psi'}(z) = C + 2^g \sum_{n=1}^{\infty} \mathfrak{f}_{k-1,\psi}^{\psi'}(n) e(nz)$$

with

$$f_{k-1,\psi}^{\psi'}(n) := \sum_{\substack{\nu \in \mathfrak{d}_K^{-1}, \nu \succ 0 \\ \text{tr}(\nu) = n}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset \nu \mathfrak{d}_K} \psi'(\nu \mathfrak{A}^{-1} \mathfrak{d}_K) \psi(\mathfrak{A}) \text{Nm}(\mathfrak{A})^{k-1}.$$

If ψ' (resp. ψ) is trivial, then we write $f_{k-1,\psi}^{\psi'}$ as $f_{k-1,\psi}$ (resp. $f_{k-1}^{\psi'}$). Further, we put $\lambda_{gk,\psi}(z) := \tilde{\lambda}_{k,\psi}(z, \dots, z)$ and $\lambda_{gk}^{\psi}(z) := \tilde{\lambda}_k^{\psi}(z, \dots, z)$. By Proposition 1, we have the following:

PROPOSITION 2. *Let ψ be as in Proposition 1. Let $N \in \mathbb{N} \cap \mathfrak{N}$, and let $\chi_0 \in (\mathbb{Z}/N)^*$ be such that $\chi_0(i) = \psi(i)$. Let $M \in \mathbb{N}$ be a divisor of N . The modular forms $\lambda_{gk,\psi}$ and λ_{gk}^{ψ} are in $\mathbf{M}_{gk,\chi_0}(N)$. The 0th Fourier coefficient of $\lambda_{gk,\psi}$ at a cusp i/M ($i \in \mathbb{N}$, $(i, M) = 1$) is*

$$\chi_0(i)^{-1} \prod_{\substack{\mathfrak{P} | \mathfrak{N} \\ \mathfrak{P} \nmid (M, \mathfrak{N})}} (1 - \text{Nm}(\mathfrak{P})^{-1}) L_K(1 - k, \psi_{(M, \mathfrak{N})}) \quad ((M, \mathfrak{N}) \subset \mathfrak{f}_{\psi})$$

or 0 (otherwise), and there is an additional term $(\sqrt{-1}\pi)^{-g} D_K^{1/2} \chi_0(M) \times L_K(1, \psi)$ if $k = 1$ and $(M, \mathfrak{N}) = \mathcal{O}$. Let $k > 1$. Then the 0th Fourier coefficient of λ_{gk}^{ψ} at i/M is

$$\left(\frac{2(k-1)!}{(2\sqrt{-1}\pi)^k} \right)^g D_K^{k-1/2} \chi_0(M) L_K(k, \psi) \quad ((M, \mathfrak{N}) = \mathcal{O})$$

or 0 (otherwise).

COROLLARY. *Suppose that ψ is a primitive character with $\mathfrak{f}_{\psi} = \mathfrak{N}$. Let N be the least element in $\mathbb{N} \cap \mathfrak{N}$. Then $\lambda_{gk,\psi} \in \mathbf{M}_{gk,\chi_0}^{\infty}(N)$, $\lambda_{gk}^{\psi} \in \mathbf{M}_{gk,\chi_0}^0(N)$ for $k > 1$, and $\lambda_{g,\psi} \in \mathbf{M}_{g,\chi_0}^{\infty,0}(N)$ for $k = 1$.*

Let $W(\psi)$ be the root of unity appearing in the functional equation of the L -function $L_K(s, \psi)$ in Hecke [12]. It is written as a Gauss sum, in the form

$$W(\psi) = w \text{Nm}(\mathfrak{N})^{-1/2} \psi(\varrho \mathfrak{N} \mathfrak{d}_K) \sum_{\mu \in \mathcal{O}/\mathfrak{N}, \mu \succ 0} \psi(\mu) e(\text{tr}(\varrho \mu)),$$

where w equals 1 or $\sqrt{-1}^{-g}$ according as ψ is even or odd and where $\varrho \in K$, $\varrho \succ 0$, is such that $\varrho \mathfrak{N} \mathfrak{d}_K$ is an integral ideal relatively prime to \mathfrak{N} . Then the additional term in the above proposition is written as $\sqrt{-1}^{-g} \psi(M) W(\psi) \text{Nm}(\mathfrak{N})^{-1/2} L(0, \bar{\psi})$, $\bar{\psi}$ being the complex conjugate of ψ .

By the Corollary to Lemma 1 and Proposition 2 we obtain the following:

THEOREM 1. *Let $k \in \mathbb{N}$. Let ψ be a primitive character with conductor \mathfrak{N} and with the same parity as k , and let N be the least element in $\mathbb{N} \cap \mathfrak{N}$. Let $\chi_0 \in (\mathbb{Z}/N)^*$ be such that $\chi_0(i) = \psi(i)$. Assume that $\mathfrak{N} \neq \mathcal{O}$ if $k = 1$.*

(1) We have the identity

$$c_0 L_K(1 - k, \psi) = -2^g \sum_{n=1}^{n_0} c_{-n} f_{k-1, \psi}(n)$$

where $\{c_0, *, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_{gk, \chi_0}(N)$ ($N > 1, k > 1$), and $\{c_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}'_{g, \chi_0}(N)$ ($N = 1$ or $k = 1$). Let $k = 1$ and suppose that $L_K(0, \psi) \in \mathbb{R}$. Then

$$\{c_0 + \sqrt{-1}^{-g} W(\psi) \text{Nm}(\mathfrak{N})^{-1/2} c'_0\} L_K(0, \psi) = -2^g \sum_{n=1}^{n_0} c_{-n} f_{0, \psi}(n)$$

with $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_{g, \chi_0}(N)$.

(2) Let $k > 1$. Then

$$c'_0 L_K(k, \psi) = - \left(\frac{(2\sqrt{-1} \pi)^k}{(k-1)!} \right)^g D_K^{-k+1/2} \sum_{n=1}^{n_0} c_{-n} f_{k-1}^\psi(n)$$

with $\{*, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_{gk, \chi_0}(N)$ ($N > 1$), and $\{c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}'_{g, \chi_0}(1)$ ($N = 1$).

Consider the case $k = 1$ and $\mathfrak{N} = \mathcal{O}$. The existence of an odd character ψ of $\mathbf{C}_{\mathcal{O}}$ implies that g is even. Then $W(\psi)$ is equal to $(-1)^{g/2} \psi(\mathfrak{d}_K)$. Let \mathfrak{P} be a prime ideal of K with $\psi(\mathfrak{P}) \neq 1$, and let ψ' be a character mod \mathfrak{P} such that $\psi'_{\mathfrak{P}} = \psi$. Then by Proposition 2,

$$\lambda_{g, \psi'}(z) = (1 - \psi(\mathfrak{P})) L_K(0, \psi) + 2^g \sum_{n=1}^{\infty} f_{0, \psi, \mathfrak{P}}(n) e(nz)$$

with

$$f_{0, \psi, \mathfrak{P}}(n) := \sum_{\substack{\nu \in \mathfrak{o}_K^{-1}, \nu \succ 0 \\ \text{tr}(\nu) = n}} \sum_{\substack{\mathcal{O} \supset \mathfrak{A} \supset \nu \mathfrak{o}_K \\ (\mathfrak{A}, \mathfrak{P}) = \mathcal{O}}} \psi(\mathfrak{A})$$

is in $\mathbf{M}_g(p)$, where p is a rational prime in \mathfrak{P} . Hence for $\{c_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}'_g(p)$, we have

$$c_0 L_K(0, \psi) = -2^g (1 - \psi(\mathfrak{P}))^{-1} \sum_{n=1}^{n_0} c_{-n} f_{0, \psi, \mathfrak{P}}(n).$$

However, in the next proposition we obtain a formula which may be better in the sense that n_0 is possibly smaller.

PROPOSITION 3. Let \mathfrak{P} be a prime ideal of K with $\psi(\mathfrak{P}) \neq 1$ and let $p \in \mathbb{N}$ be a prime in \mathfrak{P} .

(1) Suppose that $L_K(0, \psi) \in \mathbb{R}$ and $\psi(\mathfrak{d}_K) \neq -1$. Then

$$c_0 L_K(0, \psi) = -2^g (1 + \psi(\mathfrak{d}_K))^{-1} \sum_{n=1}^{n_0} c_{-n} f_{0, \psi}(n)$$

for $\{c_0, \dots, c_{-n_0}\} \in \text{LR}'_g(1)$.

(2) Suppose that $L_K(0, \psi) \in \mathbb{R}$ and $\psi(\mathfrak{d}_K) = -1$. Then

$$\{c_0 - \text{Nm}(\mathfrak{P})^{-1} c'_0\} L_K(0, \psi) = -2^g (1 - \psi(\mathfrak{P}))^{-1} \sum_{n=1}^{n_0} c_{-n} f_{0, \psi, \mathfrak{P}}(n)$$

for $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_g(p)$, where p is the rational prime in \mathfrak{P} .

(3) We have the identity

$$\begin{aligned} & \{c_0 - \text{Nm}(\mathfrak{P})^{-1} c'_0\} L_K(0, \psi) \\ &= 2^g (1 - \psi(\mathfrak{P}))^{-1} \\ & \times \left\{ (1 - \psi(\mathfrak{P}) \text{Nm}(\mathfrak{P})^{-1}) c'_0 d_0^{-1} \sum_{n=1}^{m_0} d_{-n} f_{0, \psi}(n) - \sum_{n=1}^{n_0} c_{-n} f_{0, \psi, \mathfrak{P}}(n) \right\} \end{aligned}$$

for $\{d_0, \dots, d_{m_0}\} \in \text{LR}'_g(1)$ with $d_0 \neq 0$, and for $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_g(p)$.

Proof. Since $\lambda_{g, \psi}(z) = C + 2^g \sum_{n=1}^{\infty} f_{k-1, \psi}(n) e(nz)$ with $C = L_K(0, \psi) + \psi(\mathfrak{d}_K) L_K(0, \bar{\psi})$, is in $\mathbf{M}_g(1)$, the assertion (1) follows immediately. The 0th Fourier coefficient of $\lambda_{g, \psi'} \in \mathbf{M}_g(p)$ at 0 is $(1 - \psi(\mathfrak{P}) \text{Nm}(\mathfrak{P})^{-1}) \times \psi(\mathfrak{d}_K) L_K(0, \bar{\psi}) + (1 - \text{Nm}(\mathfrak{P})^{-1}) L_K(0, \psi)$, which is equal to $-(1 - \psi(\mathfrak{P})) \times \text{Nm}(\mathfrak{P})^{-1} L_K(0, \psi)$ under the assumption of (2). Then the equality in (2) follows.

Consider the case (3). By Proposition 2 the 0th coefficient of $\lambda_{g, \psi'}$ at 0 is calculated to be $(1 - \psi(\mathfrak{P})) \text{Nm}(\mathfrak{P})^{-1} L_K(0, \psi) + (1 - \psi(\mathfrak{P}) \text{Nm}(\mathfrak{P})^{-1}) C$, and C is equal to $-2^g d_0^{-1} \sum_{n=1}^{m_0} d_{-n} f_{0, \psi}(n)$. Since

$$\begin{aligned} & c_0 (1 - \psi(\mathfrak{P})) L_K(0, \psi) \\ & + c'_0 \{ -(1 - \psi(\mathfrak{P})) \text{Nm}(\mathfrak{P})^{-1} L_K(0, \psi) + (1 - \psi(\mathfrak{P}) \text{Nm}(\mathfrak{P})^{-1}) C \} \\ &= -2^g \sum_{n=1}^{n_0} c_{-n} f_{0, \psi, \mathfrak{P}}(n), \end{aligned}$$

our assertion follows. ■

Let F be a totally imaginary quadratic extension of a totally real field K . Let H and h denote the class numbers of F and K respectively. Let \mathfrak{D} be the relative discriminant and let $\psi \in \mathbf{C}_{\mathfrak{D}}^*$ be the character associated with the extension in the sense of class field theory. Then the relative class number

is given by

$$H/h = \frac{w(F)R_K}{2R_F} L_K(0, \psi),$$

where $w(F)$ denotes the number of roots of unity in F and R_F, R_K denote the regulators of F, K respectively. Since $W(\psi)$ is trivial in this case, we have the following formulas for the relative class numbers as a corollary of Theorem 1 and of Proposition 3, where the exact form of fundamental units is not necessary.

COROLLARY. *Let N be the minimum of $\mathfrak{D} \cap \mathbb{N}$, and let $\chi_0 \in (\mathbb{Z}/N)^*$ be such that $\chi_0(i) = \psi(i)$. If $\mathfrak{D} \neq \mathcal{O}$, then*

$$\{c_0 + \sqrt{-1}^{-g} \text{Nm}(\mathfrak{D})^{-1/2} c'_0\} H/h = -2^{g-1} w(F) R_K R_F^{-1} \sum_{n=1}^{n_0} c_{-n} \mathfrak{f}_{0, \psi}(n)$$

with $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_{g, \chi_0}(N)$. Suppose that $\mathfrak{D} = \mathcal{O}$. If $g \equiv 0 \pmod{4}$, then

$$c_0 H/h = -2^{g-2} w(F) R_K R_F^{-1} \sum_{n=1}^{n_0} c_{-n} \mathfrak{f}_{0, \psi}(n)$$

with $\{c_0, \dots, c_{-n_0}\} \in \text{LR}'_g(1)$. Let \mathfrak{P} and p be as in Proposition 3. Then if $g \equiv 2 \pmod{4}$, then

$$\{c_0 - \text{Nm}(\mathfrak{P})^{-1} c'_0\} H/h = -2^{g-1} w(F) R_K R_F^{-1} (1 - \psi(\mathfrak{P}))^{-1} \sum_{n=1}^{n_0} c_{-n} \mathfrak{f}_{0, \psi, \mathfrak{P}}(n)$$

with $\{c_0, c'_0, c_{-1}, \dots, c_{-n_0}\} \in \text{LR}_g(p)$.

4. We give some examples to illustrate the results of Section 3. First we show the following:

LEMMA 2. *Let K be a real quadratic field of discriminant D_K . If $\psi' \psi$ has the same parity as k , then*

$$\begin{aligned} \mathfrak{f}_{k-1, \psi}^{\psi'}(n) &= \sum_{\substack{|m| < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2}}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset ((m+n\sqrt{D_K})/2)} \psi' \left(\frac{m+n\sqrt{D_K}}{2} \mathfrak{A}^{-1} \right) \\ &\quad \times \psi(\mathfrak{A}) \text{Nm}(\mathfrak{A})^{k-1}. \end{aligned}$$

Let \mathfrak{P} be a prime ideal and let $\psi \in \mathbf{C}_{\mathcal{O}}^*$ be odd. Then

$$\mathfrak{f}_{0, \psi, \mathfrak{P}}(n) = -\psi(\mathfrak{P}) \sum_{\substack{|m| < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2}}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset \mathfrak{P}^{-1}((m+n\sqrt{D_K})/2)} \psi(\mathfrak{A}).$$

Proof. A totally positive number in \mathfrak{d}_K^{-1} with trace $n \in \mathbb{N}$ is of the form $(m + n\sqrt{D_K})/2\sqrt{D_K}$ with $m \equiv nD_K \pmod{2}$ and $|m| < n\sqrt{D_K}$.

Then the first equality follows immediately. Consider the second one. Since $\lambda_{2,\psi} \in \mathbf{M}_2(1) = \{0\}$, its n th Fourier coefficient $f_{0,\psi}(n)$ is equal to 0. Then

$$f_{0,\psi,\mathfrak{P}}(n) = -(f_{0,\psi}(n) - f_{0,\psi,\mathfrak{P}}(n)) = - \sum_{\substack{\nu \in \mathfrak{d}_K^{-1}, \nu > 0 \\ \text{tr}(\nu) = n}} \sum_{\mathfrak{P} \supset \mathfrak{A} \supset \nu \mathfrak{d}_K} \psi(\mathfrak{A}).$$

This shows our assertion. ■

EXAMPLE 1. Let $K = \mathbb{Q}(\sqrt{79})$. The class number h is 3, and the narrow ideal class group $\mathbf{C}_{\mathcal{O}}$ is a cyclic group of order six. There are six characters of $\mathbf{C}_{\mathcal{O}}$, three odd ones and three even ones. Let $\mathfrak{P}_7 = (7, 3 + \sqrt{79})$. It is a prime ideal with norm 7 and the class containing \mathfrak{P}_7 generates $\mathbf{C}_{\mathcal{O}}$. Let ψ_i ($0 \leq i \leq 6$) be a character such that $\psi_i(\mathfrak{P}_7) = e(i/6)$, where the parity of ψ_i is the same as i . Since $\{-1, 4\} \in L'_2(7)$, by the formula before Proposition 3 and by Lemma 2 we have

$$4L_K(0, \psi_i) = -4e\left(\frac{i}{6}\right) \left(1 - e\left(\frac{i}{6}\right)\right)^{-1} \times \sum_{|m| < \sqrt{79}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset \mathfrak{P}_7^{-1}(m + \sqrt{79})} \psi_i(\mathfrak{A}) \quad (i = 1, 3, 5).$$

The inclusion $\mathfrak{P}_7 \supset (m + \sqrt{79})$ ($|m| < \sqrt{79}$) holds only for $m = 3, -4$, and decompositions of $m + \sqrt{79}$ into products of primes are $3 + \sqrt{79} = (9 + \sqrt{79})(5, 3 + \sqrt{79})\mathfrak{P}_7$ and $4 + \sqrt{79} = (3, 2 + \sqrt{79})^2\mathfrak{P}_7$. Hence if we put $\omega = \psi_i(\mathfrak{P}_7)$, then

$$L_K(0, \psi_i) = -(1 - \omega)^{-1} \omega \{(1 + 1 + \omega^2 + \omega^2) + (1 + \omega + \omega^2)\}.$$

By substituting $e(1/6), -1, e(5/6)$ for ω , we obtain $L_K(0, \psi_1) = L_K(0, \psi_5) = 4$ and $L_K(0, \psi_3) = 5/2$.

Let $\psi \in \mathbf{C}_{\mathcal{O}}^*$ and let $\omega = \psi(\mathfrak{P}_7)$. Considering the prime decompositions of $(m + \sqrt{79})$ ($|m| \leq 8$), we obtain

$$\begin{aligned} f_{k-1,\psi}(1) &= 17 + 8 \cdot 2^{k-1} \\ &+ (6 \cdot 3^{k-1} + 3 \cdot 6^{k-1} + 2 \cdot 7^{k-1} + 14^{k-1} + 15^{k-1})(\omega + \omega^5) \\ &+ (4 \cdot 5^{k-1} + 2 \cdot 9^{k-1} + 2 \cdot 10^{k-1} + 13^{k-1} + 18^{k-1} + 21^{k-1} \\ &+ 25^{k-1} + 26^{k-1})(\omega^2 + \omega^4) \\ &+ \{4 \cdot 15^{k-1} + 2(27^{k-1} + 30^{k-1} + 35^{k-1} + 39^{k-1} + 43^{k-1} \\ &+ 54^{k-1} + 63^{k-1} + 70^{k-1} + 75^{k-1} + 78^{k-1}) + 79^{k-1}\} \omega^3. \end{aligned}$$

From this and the fact that $\{240, -1\} \in \text{LR}_4(1)$, $\{504, 1\} \in \text{LR}_6(1)$, $\{480, -1\} \in \text{LR}_8(1)$ and $\{264, 1\} \in \text{LR}_{10}(1)$ (Siegel [20]), we obtain $L_K(-1, \psi_2) = L_K(-1, \psi_4) = 16$, $L_K(-1, \psi_0) = \zeta_K(-1) = 28$; $L_K(-2, \psi_1) = L_K(-2, \psi_5) = 544$, $L_K(-2, \psi_0) = \zeta_K(-2) = 496$; $L_K(-3, \psi_2) =$

$$L_K(-3, \psi_4) = 34960, L_K(-3, \psi_0) = \zeta_K(-3) = 182558/5; L_K(-4, \psi_1) = L_K(-4, \psi_5) = 4412992, L_K(-4, \psi_3) = 4362400.$$

Let F be a totally imaginary extension of a totally real field of K . Let $Q_{F/K}$ denote the unit index of Hasse, that is, $Q_{F/K} = [\tilde{\mathcal{E}}_F : \Omega_F \tilde{\mathcal{E}}_K]$, where $\tilde{\mathcal{E}}_F$ and $\tilde{\mathcal{E}}_K$ denote the groups of all units in F and K respectively and Ω_F denotes the group of roots of unity in F . Then R_K/R_F is equal to $2^{-g+1}Q_{F/K}$. The index is 1 or 2, and is readily obtained (Hasse [10], Okazaki [16]). Let $F = K(\sqrt{-\nu})$ with a totally positive integer ν in K . Let \mathfrak{D} be the relative discriminant of the extension, and let $\psi \in \mathbf{C}_{\mathfrak{D}}^*$ be the associated character. Let \mathfrak{A} be an ideal with $(\mathfrak{A}, \mathfrak{D}) = \mathcal{O}$. If \mathfrak{A} is relatively prime to 2, then $\psi(\mathfrak{A})$ is equal to $(\frac{-\nu}{\mathfrak{A}})_K$ where $(-)_K$ is the quadratic residue symbol in K . If $(\mathfrak{A}, 2) \neq \mathcal{O}$, then we take another integral ideal \mathfrak{B} relatively prime to $2\mathfrak{D}$ which is of the form $\mathfrak{B} = \rho \mathfrak{C}^2 \mathfrak{A}$ for some $\rho \in K$, $\rho \succ 0$ multiplicatively congruent 1 mod \mathfrak{D} and for a fractional ideal \mathfrak{C} . The computation of $\psi(\mathfrak{A})$ is reduced to that of $\psi(\mathfrak{B})$. Let χ_0 be the character on \mathbb{Z} defined by $\chi_0(i) = \psi(i)$. Obviously $\chi_0(-1) = 1$, that is, χ_0 is even.

Suppose that K is real quadratic. Then if \mathfrak{P} is of degree one, then $(\frac{-\nu}{\mathfrak{P}})_K$ is written as $(\frac{n}{p})$, where $(-)$ denotes the usual Jacobi–Legendre symbol and $p = \text{Nm}(\mathfrak{P})$, $n \in \mathbb{Z}$, $n \equiv -\nu \pmod{\mathfrak{P}}$. If \mathfrak{P} is of degree two, then it is written as $(\frac{\text{Nm}(\nu)}{p})$, where p is a prime in \mathfrak{P} .

For D a discriminant of a quadratic field, we denote by χ_D the Kronecker–Jacobi–Legendre symbol.

EXAMPLE 2. Let K be a real quadratic field where 2 is not inert and its prime factor \mathfrak{P}_2 is a principal ideal (ν) with $\nu \succ 0$. A necessary condition for this is that D_K is free from a prime factor congruent to 3 or 5 mod 8. Let $F = K(\sqrt{-\nu})$. We show that the relative class number of F over K is given by

$$H/h = c \sum_{\substack{|m| < \sqrt{D_K} \\ m \equiv D_K \pmod{2}}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset ((m + \sqrt{D_K})/2)} \psi(\mathfrak{A})$$

where $c = 1/7$ ($D_K \equiv 1 \pmod{8}$ and $\text{tr}(\nu) \equiv 1 \pmod{4}$), and $c = 1/3$ (otherwise).

The conductor \mathfrak{D} of the extension is \mathfrak{P}_2^3 or $4\mathfrak{P}_2$, where the former is the case when $c = 1/7$. The character χ_0 is in $(\mathbb{Z}/8)^*$. For p prime, $\chi_0(p) = (\frac{2}{p})$ or 1 according as p is decomposed in K or not, and hence $\chi_0 = \chi_8$. Since $\{2, 32\sqrt{2}, 1\} \in \text{LR}_{2, \chi_8}(8)$, and since $w(F) = 2$ and $R_K/R_F = 1/2$, we have $H/h = \{16\sqrt{2} \text{Nm}(\mathfrak{D})^{-1/2} - 1\}^{-1} f_{0, \psi}(1)$ by the last corollary in Section 3, which shows our formula.

There are nine real quadratic fields K with $D_K < 100$ having ν satisfying the condition, to which we apply the formula.

Let $K = \mathbb{Q}(\sqrt{2})$ and $F = \mathbb{Q}(\sqrt{-2 - \sqrt{2}})$. Then

$$H/h = \frac{1}{3} \sum_{|m| < \sqrt{2}} \sum_{\mathfrak{A} \supset (m + \sqrt{2})} \psi(\mathfrak{A}) = \frac{1}{3}(1 + 1 + 1) = 1.$$

Thus the class number of F is 1.

Let $K = \mathbb{Q}(\sqrt{17})$ and $F = K(\sqrt{-\nu})$ with $\nu = (5 + \sqrt{17})/2$. Put $\mathfrak{P}_2 = (\nu)$. In this case the conductor is \mathfrak{P}_2^3 . We note that $\psi(\overline{\mathfrak{P}}_2) = \psi(7) = 1$ because $\bar{\nu} \equiv 7 \pmod{\mathfrak{P}_2^3}$. Then

$$H/h = \frac{1}{7} \sum_{\substack{|m| < \sqrt{17} \\ m \text{ odd}}} \sum_{\mathfrak{A} \supset ((m + \sqrt{17})/2)} \psi(\mathfrak{A}) = \frac{1}{7}(5 + 2\psi(\overline{\mathfrak{P}}_2)) = 1.$$

Let $K = \mathbb{Q}(\sqrt{7})$ and $F = K(\sqrt{-3 - \sqrt{7}})$. Then

$$\begin{aligned} H/h &= \frac{1}{3} \sum_{|m| < \sqrt{7}} \sum_{\mathfrak{A} \supset (m + \sqrt{7})} \psi(\mathfrak{A}) \\ &= \frac{1}{3} \left\{ 5 + \left(\frac{-3 - \sqrt{7}}{\sqrt{7}} \right)_K + 2 \left(\frac{-3 - \sqrt{7}}{-2 + \sqrt{7}} \right)_K + 2 \left(\frac{-3 - \sqrt{7}}{2 + \sqrt{7}} \right)_K \right\} \\ &= \frac{1}{3} \left\{ 5 + \left(\frac{-3}{7} \right) + 2 \left(\frac{2}{3} \right) + 2 \left(\frac{1}{3} \right) \right\} = 2. \end{aligned}$$

Let $\varepsilon = 8 + 3\sqrt{7}$ a fundamental unit of K , let $F' = K(\sqrt{(-3 - \sqrt{7})\varepsilon})$, and let H' be the class number. Then $H' = 2$.

By similar computations we get the following class numbers:

- 2 $(F = \mathbb{Q}(\sqrt{(-7 - \sqrt{41})/2}))$, 2 $(F = \mathbb{Q}(\sqrt{-4 - \sqrt{14}}))$,
- 1 $(F = \mathbb{Q}(\sqrt{(-9 - \sqrt{73})/2}))$, 3 $(F = \mathbb{Q}(\sqrt{(-217 - 23\sqrt{89})/2}))$,
- 2 $(F = \mathbb{Q}(\sqrt{-5 - \sqrt{23}}))$, 3 $(F = \mathbb{Q}(\sqrt{(-69 - 7\sqrt{97})/2}))$.

EXAMPLE 3. Let K be a real quadratic field where $13 = \mathfrak{P}_{13}\overline{\mathfrak{P}}_{13}$ in K and \mathfrak{P}_{13} is a principal ideal (ν) with $\nu \succ 0$. Here $\overline{\mathfrak{P}}_{13}$ is the conjugate of \mathfrak{P}_{13} . Let $F = K(\sqrt{-\nu})$. Assume that the relative discriminant of F over K is \mathfrak{P}_{13} . The character χ_0 is equal to χ_{13} . Since $\{1, 13\sqrt{13}, 1\} \in \text{LR}_{2, \chi_0}(13)$, we have

$$H/h = \frac{1}{6} \sum_{\substack{|m| < \sqrt{D_K} \\ m \equiv D_K \pmod{2}}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset ((m + \sqrt{D_K})/2)} \psi(\mathfrak{A}).$$

If $K = \mathbb{Q}(\sqrt{13})$, then our conditions are satisfied, and

$$F = K(\sqrt{-\sqrt{13}\varepsilon}) \quad \text{with } \varepsilon = \frac{3 + \sqrt{13}}{2},$$

and

$$\begin{aligned} H/h &= \frac{1}{6} \sum_{\substack{|m| \leq 3 \\ m \text{ odd}}} \sum_{\mathfrak{A} \supset ((m + \sqrt{13})/2)} \psi(\mathfrak{A}) \\ &= \frac{1}{6} \left\{ 4 + \left(\frac{-\sqrt{13}\varepsilon}{(1 + \sqrt{13})/2} \right)_K + \left(\frac{-\sqrt{13}\varepsilon}{(-1 + \sqrt{13})/2} \right)_K \right\} \\ &= \frac{1}{6} \left\{ 4 + \left(\frac{-5}{3} \right) + \left(\frac{-8}{3} \right) \right\} = 1. \end{aligned}$$

Let $K = \mathbb{Q}(\sqrt{17})$. Then $13 = (9 + 2\sqrt{17})(9 - 2\sqrt{17})$, and if we put $F = K(\sqrt{-9 - 2\sqrt{17}})$, then our conditions are satisfied. We have a decomposition $2 = \mathfrak{P}_2 \bar{\mathfrak{P}}_2$ in K . Since

$$\psi(2) = \psi(14)\psi(7) = 1 \cdot \left(\frac{-9 - 2\sqrt{17}}{7} \right)_K = \left(\frac{13}{7} \right) = -1,$$

we have $\{\psi(\mathfrak{P}_2), \psi(\bar{\mathfrak{P}}_2)\} = \{\pm 1\}$. Then

$$\begin{aligned} H/h &= \frac{1}{6} \sum_{\substack{|m| \leq 3 \\ m \text{ odd}}} \sum_{\mathfrak{A} \supset ((m + \sqrt{17})/2)} \psi(\mathfrak{A}) \\ &= \frac{1}{6} \{4 + 2\psi(\mathfrak{P}_2) + 2\psi(\bar{\mathfrak{P}}_2) + \psi(\mathfrak{P}_2)^2 + \psi(\bar{\mathfrak{P}}_2)^2\} = 1. \end{aligned}$$

Let $K = \mathbb{Q}(\sqrt{29})$. Then we have $13 = \left(\frac{9 + \sqrt{29}}{2}\right)\left(\frac{9 - \sqrt{29}}{2}\right)$. Let $F = K(\sqrt{(-9 - \sqrt{29})/2})$. Then a similar calculation gives $H/h = \frac{1}{6} \cdot 6 = 1$.

Let $K = \mathbb{Q}(\sqrt{69})$. Then $13 = (17 + 2\sqrt{69})(17 - 2\sqrt{69})$. Let $F = K(\sqrt{-17 - 2\sqrt{69}})$. Then $H/h = \frac{1}{6} \cdot 12 = 2$.

The class numbers of some of the fields in Examples 2 and 3 have already been computed in Okazaki [16], where Shintani's formula [19] is employed. Our results are compatible with his. Grundman [9] obtained numerical examples of values of zeta functions of totally real cubic fields also by adapting Shintani's method.

EXAMPLE 4. Let K be a totally real cubic field, and let $\varepsilon \succ 0$ be a unit. Let $F = K(\sqrt{-\varepsilon})$. Then the conductor \mathfrak{D} of the extension is a factor of 4, and $w(F) = 4$, $Q_{F/K} = 1$ for $\varepsilon = 1$ or $w(F) = 2$, $Q_{F/K} = 2$ for $\varepsilon \notin (K^\times)^2$ (see for example Okazaki [16], Sect. 3). The character χ_0 is equal to χ_{-4} , namely $\chi_{-4}(n) = (-1)^{(n-1)/2}$ for n odd. Since $\{1, 32\sqrt{-1}, 1/4\} \in \text{LR}_{3, \chi_{-4}}(4)$, by the last corollary of Section 3 we have a formula for the

relative class number $H/h = (32 \text{Nm}(\mathfrak{D})^{-1/2} - 1)^{-1} \mathfrak{f}_{0,\psi}(1)$. If the absolute discriminant of K is odd, then $\mathfrak{D} = (4)$ and we have

$$H/h = \frac{1}{3} \sum_{\substack{\nu \in \mathfrak{d}_K^{-1}, \nu \succ 0 \\ \text{tr}(\nu)=1}} \sum_{\mathcal{O} \supset \mathfrak{A} \supset \nu \mathfrak{d}_K} \psi(\mathfrak{A}).$$

Here we take as K a totally real nonabelian cubic field of discriminant 257, whose class number h is 1. We have $K = \mathbb{Q}(\theta)$, where θ is a root of $x^3 - x^2 - 4x + 3 = 0$. Because the above polynomial is equal to $x(x^2 - x - 1) \pmod{3}$, $(x+1)(x^2 - 2x - 2) \pmod{5}$, $(x+3)(x^2 + x + 1) \pmod{7}$, there are decompositions of 3, 5 and 7 into primes as $3 = \mathfrak{P}_3 \mathfrak{P}'_3$, $5 = \mathfrak{P}_5 \mathfrak{P}'_5$ and $7 = \mathfrak{P}_7 \mathfrak{P}'_7$, where \mathfrak{P}_i 's are of degree 1 and \mathfrak{P}'_i 's are of degree 2. There are seven $\mu \in \mathfrak{d}_K^{-1}$ with $\mu \succ 0$ and $\text{tr}(\mu) = 1$, and the ideals $\mu \mathfrak{d}_K$ are equal to \mathfrak{P}_3 for three of them, to \mathfrak{P}_5 for two μ 's, to \mathfrak{P}_7 for one μ and to \mathfrak{P}'_3 for one μ . This computation was made by Cohen [5], Sect. 7. Let $F = K(\sqrt{-1})$. Then

$$\begin{aligned} H/h &= \frac{1}{3} \left\{ 7 + 3 \left(\frac{-1}{\mathfrak{P}_3} \right)_K + 2 \left(\frac{-1}{\mathfrak{P}_5} \right)_K + \left(\frac{-1}{\mathfrak{P}_7} \right)_K + \left(\frac{-1}{\mathfrak{P}'_3} \right)_K \right\} \\ &= \frac{1}{3} \left\{ 7 + 3 \left(\frac{-1}{3} \right) + 2 \left(\frac{-1}{5} \right) + \left(\frac{-1}{7} \right) + 1 \right\} = 2 \end{aligned}$$

where $\left(\frac{-1}{\mathfrak{P}_3} \right)_K = 1$ since -1 is a square in \mathbb{F}_9 . Thus the class number of F is 2. Let $F' = K(\sqrt{-\varepsilon})$ with $\varepsilon = 2 + \theta \succ 0$. Then if H' is the class number of F' , then

$$H'/h = \frac{1}{3} \left\{ 7 + 3 \left(\frac{-\varepsilon}{\mathfrak{P}_3} \right)_K + 2 \left(\frac{-\varepsilon}{\mathfrak{P}_5} \right)_K + \left(\frac{-\varepsilon}{\mathfrak{P}_7} \right)_K + \left(\frac{-\varepsilon}{\mathfrak{P}'_3} \right)_K \right\}.$$

From the above factorizations of $x^3 - x^2 - 4x + 3$ modulo 3, 5, 7, it follows that $-\varepsilon \equiv 1 \pmod{\mathfrak{P}_3}$, $-\varepsilon \equiv 4 \pmod{\mathfrak{P}_5}$, $-\varepsilon \equiv 1 \pmod{\mathfrak{P}_7}$ and that $-\varepsilon \pmod{\mathfrak{P}'_3}$ is not a square in \mathbb{F}_9 . Therefore

$$H'/h = \frac{1}{3} \left\{ 7 + 3 \left(\frac{1}{3} \right) + 2 \left(\frac{4}{5} \right) + \left(\frac{1}{7} \right) - 1 \right\} = 4.$$

EXAMPLE 5. Let K be a totally real quartic field, and let F be its totally imaginary quadratic unramified extension. Since $\{-240, 1\} \in \text{LR}'_4(1)$ (Siegel [20]), by the last corollary in Section 3 we have

$$H/h = \frac{1}{480} w(F) Q_{F/K} \mathfrak{f}_{0,\psi}(1).$$

Let $K = \mathbb{Q}(\sqrt{5}, \sqrt{6})$ and let $F = \mathbb{Q}(\sqrt{-2}, \sqrt{-3}, \sqrt{5})$, where F is an unramified extension of K . Then $h = 2$, $\mathfrak{d}_K = (2\sqrt{30})$, $w(F) = 6$, and $Q_{F/K} = 2$. There are 22 numbers $\mu \in \mathfrak{d}_K^{-1}$ with $\mu \succ 0$ and $\text{tr}(\mu) = 1$,

and $\mu\mathfrak{d}_K$'s are the ideals generated by $(\pm 1 + \sqrt{5})(\pm\sqrt{5} + \sqrt{6})/2$ (norm 1), $(\pm 1 + \sqrt{5})(\pm 2 + \sqrt{6})/2$ (norm 4), $\{\pm(3 + \sqrt{5}) + \sqrt{6} + \sqrt{30}\}/2$, $\{\pm(3 - \sqrt{5}) - \sqrt{6} + \sqrt{30}\}/2$, $\{\pm 2 \pm \sqrt{6} + \sqrt{30}\}/2$ (norm 19), $(\pm 1 + \sqrt{5})(\pm 1 + \sqrt{6})/2$ (norm 25), $(\pm\sqrt{6} + \sqrt{30})/2$ (norm 36). In K we have the prime decompositions $2 = \mathfrak{P}_2^2$, $3 = \mathfrak{P}_3^2$, $5 = \mathfrak{P}_5^2\mathfrak{P}_5'^2$ and $19 = \mathfrak{P}_{19}\mathfrak{P}'_{19}\mathfrak{P}''_{19}\mathfrak{P}'''_{19}$, where $\mathfrak{P}_2 = (2 + \sqrt{6})$, $\mathfrak{P}_5^2 = (1 + \sqrt{6})$ and $\mathfrak{P}_5'^2 = (1 - \sqrt{6})$. Since \mathfrak{P}_2 and \mathfrak{P}_5^2 are in the same class of $\mathbf{C}_{\mathcal{O}}$, we have $\psi(\mathfrak{P}_2) = 1$. Therefore

$$\begin{aligned} H/h &= \frac{1}{40} \left\{ 4 + 4(1 + \psi(\mathfrak{P}_2)) + 8 \left(1 + \left(\frac{-2}{19} \right) \right) \right. \\ &\quad \left. + 4 \left(1 + \left(\frac{-2}{5} \right) + \psi(\mathfrak{P}_5^2) \right) + 2(1 + \psi(\mathfrak{P}_2)) \left(1 + \left(\frac{-2}{\mathfrak{P}_3} \right) \right) \right\} \\ &= 1. \end{aligned}$$

EXAMPLE 6. Let K be a totally real quartic field, and let F be a totally imaginary quadratic extension of K with conductor \mathfrak{D} . Let $\psi = \mathbf{C}_{\mathfrak{D}}^*$ be the character associated with the extension. Suppose that $\mathfrak{D} = (4)$. Then $\chi_0 = (\mathbb{Z}/4)^*$ is trivial. Since $\{0, -256, 1\} \in \text{LR}_4(4)$, we have

$$H/h = \frac{1}{16} w(F) Q_{F/K} f_{0,\psi}(1).$$

Next, suppose that 7 is the least element in $\mathbb{N} \cap \mathfrak{D}$ and that $\chi_0 \in (\mathbb{Z}/7)^*$ is trivial. Since $\{1, -7^4, 1, 1\} \in \text{LR}_4(7)$, we have

$$H/h = w(F) Q_{F/K} (7^4 \text{Nm}(\mathfrak{D})^{-1/2} - 1)^{-1} \{f_{0,\psi}(1) + f_{0,\psi}(2)\}.$$

Let $K = \mathbb{Q}(\theta)$ with θ a zero of $f(x) := x^4 - 8x^3 + 20x^2 - 17x + 3$. It is a nonabelian totally real quartic field of discriminant 1957 ($= 19 \cdot 103$) and its \mathbb{Z} -basis is provided by $1, \theta, \theta^2, \theta^3$ (Godwin [8]). The ideal (2) remains prime at K . There are decompositions $3 = \mathfrak{P}_3\mathfrak{P}'_3$ and $7 = \mathfrak{P}_7\mathfrak{P}'_7$, where $\mathfrak{P}_3, \mathfrak{P}_7$ are primes of degree 1 and $\mathfrak{P}'_3, \mathfrak{P}'_7$ are of degree 3. The inverse different $\mathfrak{d}_K^{-1} = (1/f'(\theta))$ has $1, \theta, \theta^2, \frac{1}{1957}(\theta^3 + 691\theta^2 - 350\theta - 42)$ as its \mathbb{Z} -basis. With the aid of a computer, we can show that there are seven totally positive elements μ in \mathfrak{d}_K^{-1} with trace 1. The ideals $\mu\mathfrak{d}_K$'s are equal to \mathcal{O} for four elements and to \mathfrak{P}_3 for two and to \mathfrak{P}_7 for one. Let $F = K(\sqrt{-1})$. Then $\mathfrak{D} = (4)$, $w(F) = 4$, $Q_{F/K} = 1$, and $H/h = \frac{1}{4} \left\{ 7 + 2 \left(\frac{-1}{3} \right) + \left(\frac{-1}{7} \right) \right\} = 1$. Let $\varepsilon = -\theta^3 + 5\theta^2 - 7\theta + 2$, which is a totally positive unit. Let $F = K(\sqrt{-\varepsilon})$. Then $\mathfrak{D} = (4)$, $w(F) = 2$, $Q_{F/K} = 2$ and

$$H/h = \frac{1}{4} \left\{ 7 + 2 \left(\frac{-\varepsilon}{\mathfrak{P}_3} \right)_K + \left(\frac{-\varepsilon}{\mathfrak{P}_7} \right)_K \right\} = \frac{1}{4} \left\{ 7 + 2 \left(\frac{1}{3} \right) + \left(\frac{-1}{7} \right) \right\} = 2.$$

Let $F = K(\sqrt{-7})$. Then $\mathfrak{D} = (7)$, $w(F) = 2$, $Q_{F/K} = 1$. We have

$$\chi_0(3) = \psi(3) = \left(\frac{-7}{\mathfrak{P}_3} \right)_K \left(\frac{-7}{\mathfrak{P}'_3} \right)_K = (-1) \cdot (-1) = 1$$

since -7 is not a square in \mathbb{F}_3 and in \mathbb{F}_{3^3} . Since 3 is a generator of $(\mathbb{Z}/7)^\times$, χ_0 is trivial. Then $H/h = \frac{1}{24}\{f_{0,\psi}(1) + f_{0,\psi}(2)\}$. It can be shown that there are 58 totally positive elements in \mathfrak{d}_K^{-1} with trace 2. By a similar computation to the above, we obtain $H/h = \frac{1}{24} \cdot 48 = 2$.

5. Hereafter we consider exclusively the case where K is a real quadratic field. Let χ_K denote the Kronecker–Jacobi–Legendre symbol of K . For an ideal \mathfrak{A} , $\overline{\mathfrak{A}}$ denotes its conjugate in K . If $\psi \in \mathbf{C}_{\mathfrak{A}}^*$ is invariant under conjugation, that is, $\psi(\mathfrak{A}) = \psi(\overline{\mathfrak{A}})$ for any \mathfrak{A} , then there is a completely multiplicative function χ on \mathbb{N} such that $\psi(\mathfrak{A}) = \chi(\text{Nm}(\mathfrak{A}))$ for any ideal \mathfrak{A} . Indeed, ψ obviously gives a completely multiplicative function χ on the subset of \mathbb{N} consisting of norms of ideals. The desired χ is constructed by assigning to $\chi(p)$ any square root of $\chi(p^2)$, for each prime p which is inert. In particular, χ is not uniquely determined.

For completely multiplicative functions χ, χ' , we define $\sigma_{k-1,\chi}^{\chi'}$ by setting

$$\sigma_{k-1,\chi}^{\chi'}(m) := \sum_{0 < d|m} \chi'(m/d)\chi(d)d^{k-1}$$

for $m \in \mathbb{N}$, and $\sigma_{k-1,\chi}^{\chi'}(m) := 0$ for $m \notin \mathbb{N} \cup \{0\}$. In the sequel we denote it by $\sigma_{k-1,\chi}$ (resp. $\sigma_{k-1}^{\chi'}$) if χ' (resp. χ) is trivial. The value $\sigma_{k-1,\chi}(0)$ is defined to be $\frac{1}{2}L(1-k, \chi)$. The value $\sigma_{k-1}^{\chi'}(0)$ is defined to be 0 if $\chi' \neq 1$. For later use we present the following lemma. The proof is parallel to that of Theorem 3.4 in Cohen [5].

LEMMA 3. (1) *Let $m, n \in \mathbb{N}$. Then*

$$\sigma_{k-1,\chi}^{\chi'}(m)\sigma_{k-1,\chi}^{\chi'}(n) = \sum_{d|(m,n)} \chi'(d)\chi(d)d^{k-1}\sigma_{k-1,\chi}^{\chi'}\left(\frac{mn}{d^2}\right).$$

(2) *Let $n \in \mathbb{N}$. Then*

$$\sum_{m=0}^n \sigma_{k-1,\chi}(m)\sigma_{k-1,\chi}(n-m) = \sum_{d|n} \chi(d)d^{k-1} \sum_{m \in \mathbb{Z}} \sigma_{k-1,\chi}\left(\frac{(n/d)^2 - m^2}{4}\right).$$

(3) *Suppose that $\chi' \neq 1$. Then*

$$\sum_{m=0}^n \sigma_{k-1}^{\chi'}(m)\sigma_{k-1}^{\chi'}(n-m) = \sum_{d|n} \chi'(d)d^{k-1} \sum_{m \in \mathbb{Z}} \sigma_{k-1}^{\chi'}\left(\frac{(n/d)^2 - m^2}{4}\right).$$

PROPOSITION 4. *Let K be a real quadratic field.*

(1) *Let ψ, ψ' be as in Section 2 and let k be a natural number with the same parity as $\psi'\psi$. Suppose that there are completely multiplicative*

functions χ, χ' with $\psi = \chi \circ \text{Nm}, \psi' = \chi' \circ \text{Nm}$. Then

$$f_{k-1, \psi}^{\psi'}(n) = \sum_{0 < d|n} \chi_K(d) \chi'(d) \chi(d) d^{k-1} \sum_{m \in \mathbb{Z}} \sigma_{k-1, \chi}^{\chi'} \left(\frac{(n/d)^2 D_K - m^2}{4} \right).$$

(2) Let $\psi = \chi \circ \text{Nm} \in \mathbf{C}_{\mathcal{O}}^*$ be odd. Let p be a rational prime which is not inert. Suppose that $\chi(p) = -1$ if $\chi_K(p) = 1$. If \mathfrak{P} is a prime factor of p in K , then

$$f_{0, \psi, \mathfrak{P}}(n) = -\frac{\chi(p)}{1 + \chi_K(p)} \sum_{\substack{0 < d|n \\ (d,p)=1}} \chi_K(d) \chi(d) \sum_{m \in \mathbb{Z}} \sigma_{0, \chi} \left(\frac{(n/d)^2 D_K - m^2}{4p} \right).$$

Proof. (1) Let $N(d, \mathfrak{A}, K)$ denote the number of integral ideals of K dividing \mathfrak{A} whose norms are d . By Lemma 2, $f_{k-1, \psi}^{\psi'}(n)$ is equal to

$$\sum_{\substack{|m| < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2}}} \sum_{0 < d | (n^2 D_K - m^2)/4} \chi' \left(\frac{n^2 D_K - m^2}{4d} \right) \times \chi(d) d^{k-1} N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right).$$

It has been shown in Cohen [5] that

$$N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right) = \sum_{0 < e | \gcd(m, n, d, (n^2 D_K - m^2)/4)} \chi_K(e).$$

Then

$$\begin{aligned} f_{k-1, \psi}^{\psi'}(n) &= \sum_{\substack{|m| < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2}}} \sum_{0 < e | \gcd(m, n, (n^2 D_K - m^2)/4)} \\ &\times \sum_{0 < d_1 | ((n/e)^2 D_K - (m/e)^2)/4} \chi_K(e) \chi'(e) \\ &\times \chi' \left(\frac{(n/e)^2 D_K - (m/e)^2}{4d_1} \right) \chi(ed_1) e^{k-1} d^{k-1} \\ &= \sum_{0 < d|n} \chi_K(d) \chi'(d) \chi(d) d^{k-1} \sum_{m \in \mathbb{Z}} \sigma_{k-1, \chi}^{\chi'} \left(\frac{(n/d)^2 D_K - m^2}{4} \right). \end{aligned}$$

(2) First suppose $\chi_K(p) = 0$, that is, p is ramified at K . If $d | ((n/d)^2 D_K - m^2)/(4p)$ and if $\mathfrak{P}^{-1} \left(\frac{m + n\sqrt{D_K}}{2} \right)$ is integral, then

$$N \left(d, \mathfrak{P}^{-1} \left(\frac{m + n\sqrt{D_K}}{2} \right), K \right) = N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right).$$

By Lemma 2 and by the same argument as in (1),

$$f_{0,\psi,\mathfrak{P}}(n) = -\chi(p) \sum_{0 < d|n} \chi_K(d)\chi(d) \sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{(n/d)^2 D_K - m^2}{4p} \right).$$

Now suppose that $\chi_K(p) = 1$, that is, p is decomposed at K , and that $\chi(p) = -1$. Let $v(m, n)$ (resp. $\bar{v}(m, n)$) denote the \mathfrak{P} -adic (resp. $\bar{\mathfrak{P}}$ -adic) valuation of $(m + n\sqrt{D_K})/2$, and let $v_p(m)$ denote the p -adic valuation of $m \in \mathbb{Z}$. Then by Lemma 2,

$$\begin{aligned} f_{0,\psi,\mathfrak{P}}(n) &= \sum_{\substack{|m| < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2}}} (1 + \chi(p) + \dots + \chi(p)^{v(m,n)-1}) \\ &\quad \times (1 + \chi(p) + \dots + \chi(p)^{\bar{v}(m,n)}) \\ &\quad \times \sum_{\substack{0 < d|(n^2 D_K - m^2)/(4p) \\ (d,p)=1}} \chi(d) N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right) \\ &= \sum_{\substack{|m| < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2} \\ v(m,n) \text{ odd}, \bar{v}(m,n) \text{ even}}} \sum_{\substack{0 < d|(n^2 D_K - m^2)/(4p) \\ (d,p)=1}} \chi(d) N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right). \end{aligned}$$

A necessary condition that $v(m, n)$ be odd and $\bar{v}(m, n)$ be even is that $v_p((n^2 D_K - m^2)/4)$ be odd. Under this condition, $v(m, n)$ and $\bar{v}(m, n)$ have the above properties only for one of $\pm m (\neq 0)$ for a fixed n . Hence since

$$N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right) = N \left(d, \frac{-m + n\sqrt{D_K}}{2}, K \right),$$

it follows that

$$f_{0,\psi,\mathfrak{P}}(n) = \sum_{\substack{0 < m < n\sqrt{D_K} \\ m \equiv nD_K \pmod{2} \\ v_p((n^2 D_K - m^2)/4) \text{ odd}}} \sum_{\substack{0 < d|(n^2 D_K - m^2)/(4p) \\ (d,p)=1}} \chi(d) N \left(d, \frac{m + n\sqrt{D_K}}{2}, K \right).$$

If $v_p((n^2 D_K - m^2)/4)$ is even, then $\sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{(n/d)^2 D_K - m^2}{4p} \right)$ vanishes. Then by the same argument as in (1) it is shown that

$$f_{0,\psi,\mathfrak{P}}(n) = \frac{1}{2} \sum_{\substack{0 < d|n \\ (d,p)=1}} \chi_K(d)\chi(d) \sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{(n/d)^2 D_K - m^2}{4p} \right).$$

This shows our assertion. ■

Let χ be a completely multiplicative function on \mathbb{N} and suppose that $\psi := \chi \circ \text{Nm} \in \mathbf{C}_{(N)}^*$. Let M be a divisor of N contained in the conductor \mathfrak{f}_ψ . Then $\psi_{(M)} \in \mathbf{C}_{(M)}^*$ (see Section 2 for the notation) is also invariant under conjugation, and in particular there is a completely multiplicative function $\chi_{(M)}$ on \mathbb{N} such that $\psi_{(M)} = \chi_{(M)} \circ \text{Nm}$.

Since there is an identity

$$L_K(s, \psi) = L(s, \chi)L(s, \chi\chi_K),$$

by Propositions 2 and 4 we have the following:

THEOREM 2. *Let $k, N \in \mathbb{N}$ with $kN \neq 1$. Let K be a real quadratic field and let $\mathbf{C}_{(N)}$ be its narrow ideal class group modulo N . Let χ be a completely multiplicative function on \mathbb{N} such that $\psi := \chi \circ \text{Nm} \in \mathbf{C}_{(N)}^*$ has the same parity as k . Let χ_0 be such that $\chi_0(i) = \chi(i^2)$.*

(1) *We have the identity*

$$\begin{aligned} \lambda_{2k, \psi}(z) &= L(1 - k, \chi)L(1 - k, \chi\chi_K) \\ &\quad + 4 \sum_{n=1}^{\infty} \sum_{0 < d|n} \chi_K(d)\chi(d)d^{k-1} \\ &\quad \times \sum_{m \in \mathbb{Z}} \sigma_{k-1, \chi} \left(\frac{(n/d)^2 D_K - m^2}{4} \right) \mathbf{e}(nz), \end{aligned}$$

which is in $\mathbf{M}_{2k, \chi_0}(N)$. For M with $M | N$, the 0th Fourier coefficient at a cusp i/M , $(i, M) = 1$, is equal to 0 if $M \notin \mathfrak{f}_\psi$, and to

$$\chi_0(i)^{-1} \prod_{p|(N/M)} (1 - p^{-1})(1 - \chi_K(p)p^{-1})L(1 - k, \chi_{(M)})L(1 - k, \chi_{(M)}\chi_K)$$

otherwise, and there is an additional term $-\pi^{-2}D_K^{1/2}L(1, \chi)L(1, \chi\chi_K)$ at a cusp 0 if $k = 1$. Suppose that N is the least element in $\mathbb{N} \cap \mathfrak{f}_\psi$. Then the modular form is in $\mathbf{M}_{2k, \chi_0}^\infty(N)$ ($k > 1$) or in $\mathbf{M}_{2, \chi_0}^{\infty, 0}(N)$ ($k = 1$).

(2) *Let $k > 1$ and $N > 1$. Then*

$$\lambda_{2k}^\psi(z) = 4 \sum_{n=1}^{\infty} \chi_K(d)\chi(d)d^{k-1} \sum_{m \in \mathbb{Z}} \sigma_{k-1}^\chi \left(\frac{(n/d)^2 D_K - m^2}{4} \right) \mathbf{e}(nz)$$

is in $\mathbf{M}_{2k, \chi_0}^0(N)$. The 0th Fourier coefficient at the cusp 0 is equal to

$$4(-1)^k \left(\frac{(k-1)!}{(2\pi)^k} \right)^2 D_K^{k-1/2} L(k, \chi)L(k, \chi\chi_K).$$

Let $N \in \mathbb{N}$, $N > 1$, and let $\chi \in (\mathbb{Z}/N)^*$. Then χ is said to be *even* or *odd* according as $\chi(-1) = 1$ or -1 . Let \mathfrak{N} be an integral ideal of K

containing N so that $N \mid \text{Nm}(\mathfrak{N})$ and $\text{tr}(\mathfrak{N}) \subset N\mathbb{Z}$. Put $\psi := \chi \circ \text{Nm}$. We show that $\psi \in \mathbf{C}_{\mathfrak{N}}^*$. If $\alpha \equiv \beta \pmod{\mathfrak{N}}$ with $\alpha, \beta \in \mathcal{O}$ relatively prime to \mathfrak{N} , then $\text{Nm}(\alpha) \equiv \text{Nm}(\beta) \pmod{N}$. Indeed, putting $\alpha/\beta = 1 + \xi/\beta$, $\xi \in \mathfrak{N}$, we have

$$\frac{\text{Nm}(\alpha)}{\text{Nm}(\beta)} = 1 + \frac{1}{\text{Nm}(\beta)}(\text{tr}(\beta\bar{\xi}) + \text{Nm}(\xi)) \in 1 + \frac{N}{\text{Nm}(\beta)}\mathbb{Z},$$

where we note that $(\text{Nm}(\beta), N) = 1$. Then $\psi(\alpha\mathfrak{A}) = \psi(\mathfrak{A})$ for $\alpha \succ 0$, $\alpha \equiv 1 \pmod{\mathfrak{N}}$, which implies that $\psi \in \mathbf{C}_{\mathfrak{N}}^*$. For $\alpha \equiv \beta \pmod{\mathfrak{N}}$, we have $|\text{Nm}(\alpha)| \equiv \text{sgn}(\text{Nm}(\alpha/\beta))|\text{Nm}(\beta)| \pmod{N}$ and so ψ is even or odd according as χ is even or odd.

Now let $\mathfrak{N} = (N)$. The above argument shows that for $\chi \in (\mathbb{Z}/N)^*$, $\psi := \chi \circ \text{Nm}$ is a character in $\mathbf{C}_{(N)}^*$. However, it is sometimes possible that even if χ is in $(\mathbb{Z}/N')^*$ with $N \mid N'$, $N' > N$, ψ is still a character in $\mathbf{C}_{(N)}^*$. For example, suppose that $4 \mid D_K$ and $2 \mid N$. Then $2N \mid \text{Nm}(\mathfrak{N})$ and $2N\mathbb{Z} \subset \text{tr}(\mathfrak{N})$, that is, $2N$ plays the same role as N in the above argument. Hence $\chi \in (\mathbb{Z}/2N)^*$ gives a character ψ of the group $\mathbf{C}_{(N)}$. Later for a Dirichlet character χ we obtain the minimal $N \in \mathbb{N}$ for which $\psi \in \mathbf{C}_{(N)}^*$.

Let χ be a Dirichlet character in $(\mathbb{Z}/N)^*$ with the same parity as k . Consider the case $K = \mathbb{Q}$ in Section 2, where we have constructed a modular form $\tilde{\lambda}_{k,\psi}^{\psi'}$. Put $G_{k,\chi} := \tilde{\lambda}_{k,\chi} \in \mathbf{M}_{k,\chi}(N)$ ($k \neq 2$ or $N \neq 1$), and $G_k^\chi := \tilde{\lambda}_k^\chi \in \mathbf{M}_{k,\chi}(N)$ ($k \neq 2$ or χ is nontrivial). For $k \geq 2$, we have the expansions

$$G_{k,\chi}(z) = L(1 - k, \chi) + 2 \sum_{n=1}^{\infty} \sigma_{k-1,\chi}(n) \mathbf{e}(nz)$$

and

$$G_k^\chi(z) = 2 \sum_{n=1}^{\infty} \sigma_{k-1}^\chi(n) \mathbf{e}(nz).$$

This holds also for $k = 1$, except possibly for the constant term. Let $\theta(z) := \sum_{n=1}^{\infty} \mathbf{e}(\frac{1}{2}n^2z)$ be a thetanullwerte. Then

$$\theta(2z)G_{k,\chi}(4z) = L(1 - k, \chi) + 2 \sum_{n=1}^{\infty} \sum_{m \in \mathbb{Z}} \sigma_{k-1,\chi}\left(\frac{n - m^2}{4}\right) \mathbf{e}(nz)$$

and

$$\theta(2z)G_k^\chi(4z) = 2 \sum_{n=1}^{\infty} \sum_{m \in \mathbb{Z}} \sigma_{k-1}^\chi\left(\frac{n - m^2}{4}\right) \mathbf{e}(nz)$$

are modular forms for $\Gamma_1(4N)$ of weight $k + 1/2$ with character χ . Then $\theta(2z)G_{k,\chi}(4z)$ and $\lambda_{2k,\psi}(z)$, or $\theta(2z)G_k^\chi(4z)$ and $\lambda_{2k}^\psi(z)$ give an example of Shimura correspondence between noncusp forms of half-integral and integral weight. In a later paper we shall investigate a Shimura correspondence by using this fact.

The following lemma is easily verified. Here we denote by \bar{i} ($i \in \mathbb{Z}$) the class of $\mathbb{Z}/8\mathbb{Z}$ containing i .

LEMMA 4. (1) *Let p be an odd prime. Then the map of $\mathcal{O} (\subset K)$ to $\mathbb{Z}/p\mathbb{Z}$ defined by $\alpha \rightarrow \text{Nm}(\alpha) \pmod{p}$, $\alpha \in \mathcal{O}$, is surjective if $p \nmid D_K$. If $p \mid D_K$, then the image is the set of squares in $\mathbb{Z}/p\mathbb{Z}$.*

(2) *The image of the map $\alpha \rightarrow \text{Nm}(\alpha) \pmod{8}$ from $\{\alpha \in \mathcal{O} : (\alpha, 2) = \mathcal{O}\}$ to $(\mathbb{Z}/8\mathbb{Z})^\times$ is $(\mathbb{Z}/8\mathbb{Z})^\times$ ($D_K \equiv 1 \pmod{4}$), $\{\bar{1}, \bar{5}\}$ ($D_K \equiv 4 \pmod{8}$), $\{\bar{1}, 1 - D_K/4\}$ ($D_K \equiv 0 \pmod{8}$).*

(3) *The image of the same map from $\{\alpha \in \mathcal{O} : \alpha \equiv 1 \pmod{2}\}$ to $(\mathbb{Z}/8\mathbb{Z})^\times$ is $(\mathbb{Z}/8\mathbb{Z})^\times$ ($D_K \equiv 1 \pmod{4}$), $\{\bar{1}, \bar{5}\}$ ($D_K \equiv 4 \pmod{8}$), $\{\bar{1}\}$ ($D_K \equiv 0 \pmod{8}$).*

(4) *The image of the same map from $\{\alpha \in \mathcal{O} : \alpha \equiv 1 \pmod{4}\}$ to $(\mathbb{Z}/8\mathbb{Z})^\times$ is $\{\bar{1}, \bar{5}\}$ if $D_K \equiv 1 \pmod{4}$.*

Even if the domains of the maps in Lemma 4 are replaced by the subsets consisting of totally positive elements, the images do not change.

Let \mathbb{D} denote the set of integers of the form $u^2 D'$ with $u \in \mathbb{N}$ and D' the discriminants of a quadratic field or 1. We note that once an integer is of this form, such an expression is unique. The set \mathbb{D} is closed under multiplication. If $D' = 1$, then $\chi_{D'}$ denotes the trivial character, and otherwise it denotes the Kronecker–Jacobi–Legendre symbol. For $D = u^2 D' \in \mathbb{D}$, we define χ_D to be the character

$$\chi_D(m) = \begin{cases} \chi_{D'}(m) & ((D, m) = 1), \\ 0 & ((D, m) \neq 1). \end{cases}$$

LEMMA 5. *Let $D \in \mathbb{D}$ with $D = u^2 D'$, where D' is 1 or a discriminant and $(u, D') = 1$, and let D_K be a positive discriminant.*

(1) *Let $N = |D'| \prod_{p|u} p$ ($v_2(D' D_K) \leq 3$), $N = \frac{1}{2} |D'| \prod_{p|u} p$ ($v_2(D' D_K) = 4, 5$) and $N = \frac{1}{4} |D'| \prod_{p|u} p$ ($v_2(D' D_K) = 6$). Then $\chi_D \circ \text{Nm}$ is in $\mathbf{C}_{(N)}^*$.*

(2) *Let $u = 1$. Then a necessary and sufficient condition for N to be the minimal natural number in the conductor of $\chi_D \circ \text{Nm}$ is*

- (i) *D and D_K have no common odd prime factor, and*
- (ii) *neither $v_2(DD_K) = 4$ nor $DD_K/64 \equiv 1 \pmod{4}$.*

Proof. (1) It is enough to show the assertion in case $u = 1$. Let $Z_N := \{(\mu) : \mu \in \mathcal{O}, \mu \succ 0, \mu \equiv 1 \pmod{N}\}$. This is the identity element of $\mathbf{C}_{(N)}$. We must show that $\chi_D \circ \text{Nm}$ is trivial on Z_N . If D is odd, then there is nothing to prove. Let $D \equiv 4 \pmod{8}$. Lemma 4(2), (3) implies that $\chi_D \circ \text{Nm}$ is trivial on $Z_{D/4}$ ($D_K \equiv 4 \pmod{8}$), or on $Z_{D/2}$ ($D_K \equiv 0 \pmod{8}$), and hence $\chi_D \circ \text{Nm}$ is trivial on Z_N . Let $D \equiv 0 \pmod{8}$. For i odd, let \bar{i} denote the class in $\mathbb{Z}/(D)$ which is congruent to $i \pmod{8}$ and to 1 $\pmod{D/8}$. Then $\chi_D(\bar{5}) = -1$, $\chi_D(\bar{3}) = -(-1)^{(D/8-1)/2}$, $\chi_D(\bar{7}) = (-1)^{(D/8-1)/2}$. By

Lemma 4(2)–(4), $\chi_D \circ \text{Nm}$ is trivial on $Z_{D/2}$ ($D_K \equiv 4 \pmod{8}$), or on $Z_{D/4}$ ($D_K \equiv 0 \pmod{8}$). Thus $\chi_D \circ \text{Nm}$ is trivial on Z_N also in this case, which shows our assertion.

(2) Let p be a prime with $p \mid N$. We must show that $\chi \circ \text{Nm}$ is nontrivial on $Z_{N/p}$ for any p if and only if D and D_K satisfy the condition. Since D is a discriminant, χ_D is a primitive character mod D . Let p be odd. If $p \nmid D_K$, then the image of the map $\mathfrak{A} \rightarrow \text{Nm}(\mathfrak{A}) \pmod{p}$ from $Z_{N/p}$ to $(\mathbb{Z}/p\mathbb{Z})^\times$ is surjective by Lemma 4, and hence χ_D is nontrivial on $Z_{N/p}$ by primitiveness. If $p \mid D_K$, then χ_D is trivial on $Z_{N/p}$ again by Lemma 4. Hence (i) follows. Let $p = 2$. By a similar argument to (1), we can show that $\chi_D \circ \text{Nm}$ is nontrivial on $Z_{N/2}$ except for the case (ii). ■

Let $D = 2^w da$, $D_K = 2^w da'$ ($w = 0, 2, 3$, $2 \nmid d$, $2 \nmid a$, $2 \nmid a'$, $(a, a') = 1$) be distinct discriminants, where $aa' \equiv 1 \pmod{4}$ if $w = 3$. We note that $a \equiv a' \pmod{4}$ and that aa' is a discriminant. Let $\tilde{\chi}$ be the multiplicative function defined by $\tilde{\chi}(p) = \chi_D(p)$ ($p \nmid 2^w d$) and $\tilde{\chi}(p) = \chi_{aa'}(p)$ ($p \mid 2^w d$). Then $\tilde{\chi} \circ \text{Nm}$ is in $\mathbf{C}_{(a)}^*$ and its restriction to $\mathbf{C}_{(D)}$ is equal to the character $\chi_D \circ \text{Nm}$. Let $\psi := \chi_D \circ \text{Nm}$ and $\tilde{\psi} := \tilde{\chi} \circ \text{Nm}$. Then

$$L_K(1 - k, \tilde{\psi}) = \prod_{\mathfrak{P} \supset 2^w d} (1 - \chi_{aa'}(\text{Nm}(\mathfrak{P}))) \text{Nm}(\mathfrak{P})^{k-1} L_K(1 - k, \psi).$$

Hence

$$\begin{aligned} L(1 - k, \tilde{\chi})L(1 - k, \tilde{\chi}\chi_K) &= \prod_{p \mid 2^w d} (1 - \chi_{aa'}(p)p^{k-1})^{-1} L(1 - k, \chi_D)L(1 - k, \chi_D\chi_K) \\ &= L(1 - k, \chi_D)L(1 - k, \chi_{aa'}). \end{aligned}$$

More generally, for $M \in \mathfrak{f}_\psi$, we have

$$L(1 - k, \chi_{(M)})L(1 - k, \chi_{(M)}\chi_K) = L(1 - k, \chi_D)L(1 - k, \chi_{M^2aa'}).$$

Let $D \in \mathbb{D}$, and $k \in \mathbb{N}$ with $(-1)^k D > 0$. Put $\lambda_{2k, D_K, D} := \lambda_{2k, \chi_D \circ \text{Nm}}$ and $\lambda_{2k, D_K}^{(D)} := \lambda_{2k}^{\chi_D \circ \text{Nm}}$ for a positive discriminant D_K . Further, put $\lambda_{2k, 1, D} := (G_{k, \chi_D})^2$ ($k \neq 2$ or $D \neq 1$), and $\lambda_{2k, 1}^{(D)} := (G_k^{\chi_D})^2$ ($k \neq 2$ or D is not a square). In the following corollary we treat the case $k > 1$. The case $k = 1$ is considered in Section 7.

COROLLARY TO THEOREM 2. *Let D_K be 1 or the discriminant of a real quadratic field, and let $D \in \mathbb{D}$, u and D' be as in Lemma 5. Let $k > 1$ with $(-1)^k D > 0$. Let N be $|D'| \prod_{p \mid u} p$ if $D_K = 1$ and as in Lemma 5(1) otherwise. Put $D'' := 4D'D_K/(D', D_K)^2$ and $E := 2|D'|/(D', D_K)|$ in case $v_2(D'D_K) = 5$ or $D'D_K/64 \equiv 3 \pmod{4}$, and put $D'' := D'D_K/(D', D_K)^2$ and $E := |D'|/(D', D_K)|$ in any other case.*

(1) Suppose that $D \neq 1$ if $k = 2$ and $D_K = 1$. Then

$$\begin{aligned} \lambda_{2k, D_K, D}(z) &= L(1 - k, \chi_D)L(1 - k, \chi_{DD_K}) \\ &\quad + 4 \sum_{n=1}^{\infty} \sum_{0 < d|n} \chi_{DD_K}(d)d^{k-1} \\ &\quad \times \sum_{m \in \mathbb{Z}} \sigma_{k-1, \chi_D} \left(\frac{(n/d)^2 D_K - m^2}{4} \right) \mathbf{e}(nz) \end{aligned}$$

is in $\mathbf{M}_{2k}(N)$. For M with $M | N$ and $(M, D'') = (N, D'')$, the 0th Fourier coefficient at a cusp i/M , $(i, M) = 1$, is equal to

$$\prod_{p|(N/M)} (1 - p^{-1})(1 - \chi_{D_K}(p)p^{-1})L(1 - k, \chi_{M^2 D'})L(1 - k, \chi_{M^2 D''}).$$

The modular form is in $\mathbf{M}_{2k}^{\infty}(N)$ if D, D_K satisfy the conditions in Lemma 5(2).

(2) Let $D \neq 1$. Suppose that D is not a square if $k = 2$ and $D_K = 1$. Then

$$\lambda_{2k, D_K}^{(D)}(z) = 4 \sum_{n=1}^{\infty} \sum_{0 < d|n} \chi_{DD_K}(d)d^{k-1} \sum_{m \in \mathbb{Z}} \sigma_{k-1}^{\chi_D} \left(\frac{(n/d)^2 D_K - m^2}{4} \right) \mathbf{e}(nz)$$

is in $\mathbf{M}_{2k}^0(N)$. The 0th Fourier coefficient at the cusp 0 is

$$\begin{aligned} (-1)^k E^{-2k+1} \prod_{p|u} (1 - \chi_{D'}(p)p^{-k}) \prod_{p|(DD_K/D'')} (1 - \chi_{D''}(p)p^{-k}) \\ \times L(1 - k, \chi_{D'})L(1 - k, \chi_{D''}). \end{aligned}$$

Proof. First let D_K be a discriminant. Then the assertions (1), (2) follow immediately from Theorem 2 and Lemma 5, except for the 0th Fourier coefficient at the cusp 0. We have the equality

$$L(k, \chi_D) = \prod_{p|u} (1 - \chi_{D'}(p)p^{-k})L(k, \chi_{D'}).$$

D'' is a discriminant with $D'D_K = t^2 D''$, and

$$L(k, \chi_{DD_K}) = \prod_{p|(DD_K/D'')} (1 - \chi_{D''}(p)p^{-k})L(k, \chi_{D''}).$$

Then the functional equations of L -functions of primitive Dirichlet characters give our 0th Fourier coefficient. Now let $D_K = 1$. Our Fourier expansions are obtained by Lemma 3, and the assertions follow from Propositions 1 and 2 in case $K = \mathbb{Q}$. ■

6. We give some applications of the Corollary to Theorem 2. Let

$$G_k(z) := 1 + \frac{(-1)^{k/2} 2k}{B_k} \sum_{n=1}^{\infty} \sigma_{k-1}(n) e(nz) \quad \text{for even } k \geq 4,$$

where B_k denotes the k th Bernoulli number. This is a normalized Eisenstein series for $SL_2(\mathbb{Z})$ of weight k . The following lemma is elementary.

LEMMA 6. (i) *There is no nontrivial cusp form in $\mathbf{M}_k(N)$ if $k < 12$ and $N = 1$ or if $(k, N) = (4, 2), (4, 3), (4, 4), (6, 2)$.*

(ii) *Let $k \geq 4$ be even. If N is prime, then $(1/(N^k - 1))(N^k G_k(Nz) - G_k(z)) \in \mathbf{M}_k^{\infty}(N)$ and $(N^k/(N^k - 1))(G_k(z) - G_k(Nz)) \in \mathbf{M}_k^0(N)$. The former (resp. the latter) has 1 as its 0th coefficient at the cusp $\sqrt{-1}\infty$ (resp. 0).*

LEMMA 7. *Let $a \in \mathbb{N}$ be square-free. Let a^* be a or $4a$ according as $a \equiv 1 \pmod{4}$ or not. Denote by μ the Möbius function. Let $k \geq 2$ be even and let N be 1 or a prime. Then, up to $O(a^{k/2-1/28+\varepsilon} n^{k-1+\varepsilon})$,*

$$\sum_{m \in \mathbb{Z}} \sigma_{k-1, \chi_{N^2}} \left(\frac{n^2 a^* - m^2}{4} \right) = \begin{cases} \frac{(-1)^{k/2} B_k L(1-k, \chi_{a^*})}{B_{2k}} \sum_{d|n} \mu(d) \chi_{a^*}(d) d^{k-1} \sigma_{2k-1} \left(\frac{n}{d} \right) & (N = 1), \\ \frac{(-1)^{k/2} B_k L(1-k, \chi_{a^*})}{B_{2k}(N^k + 1)} \sum_{d|n} \mu(d) \chi_{N^2 a^*}(d) d^{k-1} \\ \times \left[\{N^k - N^{k-1} + 1 - \chi_{a^*}(N) N^{k-1}\} \sigma_{2k-1} \left(\frac{n}{d} \right) \right. \\ \left. + N^{2k-2} \{-N + \chi_{a^*}(N)(N^k - N + 1)\} \sigma_{2k-1} \left(\frac{n}{Nd} \right) \right] & (N \text{ prime}) \end{cases}$$

where there is an additional term $-\frac{1}{2}n^2$ if $N = 1, a = 1$ and $k = 2$. The term $O(a^{k/2-1/28+\varepsilon} n^{k-1+\varepsilon})$ is 0 if k and N are as in Lemma 5(1).

Proof. Let $a \equiv 1 \pmod{4}$. Suppose $N \neq 1$ or $k \neq 2$. Put

$$c_0 := (1 - N^{k-1})(1 - \chi_a(N) N^{k-1}) \zeta(1-k) L(1-k, \chi_a),$$

$$c'_0 := (1 - N^{-1})(1 - \chi_a(N) N^{-1}) \zeta(1-k) L(1-k, \chi_a).$$

Then by the Corollary to Theorem 2, λ_{2k,a,N^2} is in $\mathbf{M}_{2k}^{\infty,0}(N)$ with c_0 (resp. c'_0) as its 0th Fourier coefficient at $\sqrt{-1}\infty$ (resp. 0). By Lemma 6(ii), $\lambda_{2k,a,N^2}(z) = (c_0/(N^k - 1))(N^k G_k(Nz) - G_k(z)) + (c'_0 N^k/(N^k - 1))(G_k(z) - G_k(Nz))$ plus some cusp form. Comparing the Fourier coefficients and using the Möbius inversion formula we obtain the formula. The error term

vanishes if $\mathbf{M}_{2k}(N)$ contains no nontrivial cusp form. A similar argument works also for other cases except for the case $N = 1, a = 1, k = 2$ in (1) of the Corollary to Theorem 2, where nonexistence of $\lambda_{4,1,1}$ causes difficulty. For this, we refer to Cohen [5], Theorem 3.6. The Ramanujan–Petersson conjecture proved by Deligne and Iwaniec’s result [14] gives the estimate of the error term. ■

We give arithmetic expressions for values of $L(1 - k, \chi_D)$ ($k = 2, 3, 4$) with D being discriminants of quadratic fields.

EXAMPLE 1. Let D be a positive discriminant. Then

$$\begin{aligned} L(-1, \chi_D) &= -\frac{1}{5} \sum_{m \in \mathbb{Z}} \sigma_1\left(\frac{D - m^2}{4}\right) = \frac{-1}{4 - \chi_D(2)} \sum_{m \in \mathbb{Z}} \sigma_1^{\chi_4}\left(\frac{D - m^2}{4}\right) \\ &= \frac{-2}{9 - \chi_D(3)} \sum_{m \in \mathbb{Z}} \sigma_1^{\chi_9}\left(\frac{D - m^2}{4}\right), \\ L(-3, \chi_D) &= \sum_{m \in \mathbb{Z}} \sigma_3\left(\frac{D - m^2}{4}\right). \end{aligned}$$

These equalities are obtained by substituting $n = 1$ in Lemma 7. Let D be a negative discriminant. Then

$$\begin{aligned} L(-2, \chi_D) &= \frac{1}{31 + 4(-1)^{(D+1)/2}} \sum_{m \in \mathbb{Z}} \sigma_{2, \chi_{-4}}(|D| - m^2) \quad (2 \nmid D), \\ &\quad - \sum_{m \in \mathbb{Z}} \sigma_{2, \chi_{-4}}\left(\frac{|D| - m^2}{4}\right) \quad (v_2(D) \geq 2). \end{aligned}$$

Indeed, let $D_K = -4D$ ($2 \nmid D$), $-D/4$ ($v_2(D) = 2$), $-D$ ($v_2(D) = 3$). Then $\lambda_{6, D_K, -4}$ is in $\mathbf{M}_6(2)$, $\mathbf{M}_6^\infty(4)$, $\mathbf{M}_6^\infty(2)$ in the respective cases. From $\{8, -512, -1\} \in \text{LR}_6(2)$, $\{8, 0, -1\} \in \text{LR}_6(4)$, $\{8, -1\} \in \text{LR}'_6(2)$, the formula follows.

For a positive definite integral quadratic form f , we denote by $r_f(a)$ the number of integral representations of a by f . If f is a sum of k squares, then we denote it by $r_k(a)$. For a square-free a , we can have a formula for $r_{2k+1}(n^2a)$ up to $O(a^{k/2-1/28+\varepsilon}n^{k-1+\varepsilon})$ (cf. van Asch [1]). However, we treat several other quadratic forms here.

Let S be a positive even symmetric matrix of size $2k$ ($k \geq 2$) with square determinant M^2 ($M \in \mathbb{N}$) with level N , that is, N is the least number in \mathbb{N} such that NS^{-1} is even. Suppose that k is even and $N = 1$ or a prime. The theta series

$$\Theta_S(z) = \sum_{r \in \mathbb{Z}^{2k}} e\left(\frac{1}{2} {}^t r S r z\right)$$

associated with S is in $\mathbf{M}_k(N)$. The theta series takes the value $(-1)^{k/2}/M$ at the cusp 0 by the inversion formulas for theta series. It is written as a sum of Eisenstein series in Lemma 6 up to cusp forms. Let $g = \frac{1}{2}{}^t\mathbf{x}S\mathbf{x}$ with ${}^t\mathbf{x} = (x_1, \dots, x_{2k})$. By the expression of Θ_S , $r_g(n)$ ($n \in \mathbb{N}$) is shown to be equal, up to $O(n^{(k-1)/2+\varepsilon})$, to

$$\frac{2k}{B_k}\sigma_{k-1}(n) \quad (4 \mid k, N = 1),$$

$$\frac{2k}{(N^k - 1)B_k} [\{M^{-1}N(N^{k-1} - 1) + (-1)^{k/2}(N - 1)\} \\ \times \sigma_{k-1}(n) + N(M^{-1} - (-1)^{k/2})\sigma_{k-1, \chi_{N^2}}(n)] \quad (2 \mid k, \text{prime } N).$$

Here we note that for N prime,

$$\sigma_{k-1}(n/N) = N^{-k+1}(\sigma_{k-1}(n) - \sigma_{k-1, \chi_{N^2}}(n)),$$

$$\sigma_{k-1}^{\chi_{N^2}}(n) = (1 - N^{-k+1})\sigma_{k-1}(n) + N^{-k+1}\sigma_{k-1, \chi_{N^2}}(n).$$

Let $f = g + x_{2k+1}^2$. Then $r_f(n) = \sum_{m \in \mathbb{Z}} r_g(n - m^2)$. By the above formulas for r_g , $r_f(n)$ is written in terms of $\sigma_{k-1}(n - m^2)$, $\sigma_{k-1, \chi_{N^2}}(n - m^2)$ up to $O(n^{k/2+\varepsilon})$. Then Lemma 7 gives a formula for r_f . For a square-free $a \in \mathbb{N}$, $r_g(n^2a)$ is equal, up to $O(a^{k/2-1/28+\varepsilon}n^{k-1+\varepsilon})$, to

$$\frac{2kL(1 - k, \chi_{a^*})}{B_{2k}} \sum_{d \mid n^*} \mu(d)\chi_{a^*}(d)d^{k-1}\sigma_{2k-1}(n^*/d) \quad (4 \mid k, N = 1),$$

$$\frac{(-1)^{k/2}2kL(1 - k, \chi_{a^*})}{M(N^{2k} - 1)B_{2k}} \sum_{N \nmid d \mid n^*} \mu(d)\chi_{a^*}(d)d^{k-1} [\{N^{2k} - (-1)^{k/2}M \\ - \chi_{a^*}(N)N^k(1 - (-1)^{k/2}M)\}\sigma_{2k-1}(n^*/d) \\ - N^{k-1}\{N^{k+1}(1 - (-1)^{k/2}M) + \chi_{a^*}(N)(-N + (-1)^{k/2} \\ \times M(N^{2k} + N - 1))\}\sigma_{2k-1}(n^*/Nd)] \quad (N \text{ being prime}),$$

where n^* denotes $2n$ or n according as $a \equiv 1 \pmod{4}$ or not and where in the latter formula there is an additional term $-240(N - M)M^{-1}(N + 1)^{-1}n^2$ if $k = 2$ and $a = 1$.

EXAMPLE 2. Let $g = x_1^2 + x_2^2 + x_3^2 + x_4^2 + x_5^2 + x_1x_2 + x_3x_4$. Then $k = 2$, $N = M = 3$, and

$$r_g(n^2a) = 6L(-1, \chi_{a^*}) \sum_{3 \nmid d \mid n^*} \mu(d)\chi_{a^*}(d)d\{(-7 + 3\chi_{a^*}(3))\sigma_3(n^*/d) \\ + 9(3 - 7\chi_{a^*}(3))\sigma_3(n^*/(3d))\}.$$

Since $\mathbf{M}_4(3)$ contains no nontrivial cusp form, there appears no error term.

EXAMPLE 3. Let A_{8k} ($k \in \mathbb{N}$) be a positive even unimodular matrix of size $8k$, and let $g = \frac{1}{2} {}^t \mathbf{x} A_{8k} \mathbf{x} + x_{8k+1}^2$ with ${}^t \mathbf{x} = (x_1, \dots, x_{8k})$. For a square-free integer $a \in \mathbb{N}$,

$$r_g(n^2 a) = \frac{8kL(1-4k, \chi_{a^*})}{B_{8k}} \sum_{d|n^*} \mu(d) \chi_{a^*}(d) d^{4k-1} \sigma_{8k-1}(n^*/d) + O(a^{2k-1/28+\varepsilon} n^{4k-1+\varepsilon}).$$

If $k = 1$, then

$$r_g(n^2 a) = -240L(-3, \chi_{a^*}) \sum_{d|n^*} \mu(d) \chi_{a^*}(d) d^3 \sigma_7(n^*/d),$$

since there is no nontrivial cusp form in $\mathbf{M}_8(1)$.

Finally, we give a formula in the case of a quadratic form with nonsquare discriminant.

EXAMPLE 4. Let $g = x_1^2 + x_2^2 + x_3^2 + x_4^2 + 2x_5^2$. Let $a \in \mathbb{N}$ be square-free. Then

$$r_g(n^2 a) = \begin{cases} -8L(-1, \chi_{8a}) \sum_{d|n} \mu(d) \chi_{8a}(d) d \left\{ \sigma_3\left(\frac{n}{d}\right) - 16\sigma_3\left(\frac{n}{4d}\right) \right\} & (2 \nmid a), \\ -8L(-1, \chi_{2a}) \sum_{d|n} \mu(d) \chi_{2a}(d) d \left\{ 3\sigma_3\left(\frac{n}{d}\right) - 8\sigma_3\left(\frac{n}{2d}\right) \right\} & (a \equiv 6 \pmod{8}), \\ -8L(-1, \chi_{a/2}) \sum_{d|n} \mu(d) \chi_{2a}(d) d \left\{ (19 - 6\chi_{a/2}(2))\sigma_3\left(\frac{n}{d}\right) + 8(-3 + 2\chi_{a/2}(2))\sigma_3\left(\frac{n}{2d}\right) \right\} & (a \equiv 2 \pmod{8}). \end{cases}$$

Let f denote a quaternary form $x_1^2 + x_2^2 + x_3^2 + 2x_4^2$. By a standard argument, we have $r_f(n) = 2(4\sigma_1^{\chi_8}(n) - \sigma_{1, \chi_8}(n))$. Since $r_g(n) = \sum_{m \in \mathbb{Z}} r_f(n - m^2)$, we have

$$r_g(n) = 2 \sum_{m \in \mathbb{Z}} (4\sigma_1^{\chi_8}(n - m^2) - \sigma_{1, \chi_8}(n - m^2)).$$

Let $a \equiv 1 \pmod{4}$. By Corollary to Theorem 2, $\lambda_{4,a,8} \in \mathbf{M}_4^\infty(8)$ and $\lambda_{4,a}^{(8)} \in \mathbf{M}_4^0(8)$, and hence their U_2 -images are in $\mathbf{M}_4^\infty(4)$ and $\mathbf{M}_4^0(4)$ respectively. Now $U_2(\lambda_{4,a}^{(8)})$ has $-2^{-6}L(-1, \chi_{8a})$ at its 0th Fourier coefficient at 0. We

have

$$\begin{aligned}
 2\lambda_{4,a}^{(8)}(z) - \frac{1}{2}\lambda_{4,a,8}(z) &= -\frac{1}{2}L(-1, \chi_8)L(-1, \chi_{8a}) + 2 \sum_{n=1}^{\infty} \sum_{d|n} \chi_{8a}(d)d \\
 &\quad \times \sum_{m \in \mathbb{Z}} \left(4\sigma_1^{\chi_8} \left(\frac{(n/d)^2 a - m^2}{4} \right) - \sigma_{1, \chi_8} \left(\frac{(n/d)^2 a - m^2}{4} \right) \right) \mathbf{e}(nz)
 \end{aligned}$$

and so,

$$\begin{aligned}
 U_2 \left(2\lambda_{4,a}^{(8)} - \frac{1}{2}\lambda_{4,a,8} \right) (z) &= \frac{1}{2}L(-1, \chi_{8a}) + \sum_{n=1}^{\infty} \sum_{d|n} \chi_{8a}(d) dr_f((n/d)^2 a) \mathbf{e}(nz),
 \end{aligned}$$

which is equal to

$$2^{-5}L(-1, \chi_{8a}) \left\{ \frac{16}{15}(16G_4(4z) - G_4(2z)) - \frac{16}{15}(G_4(z) - G_4(2z)) \right\}.$$

By comparing Fourier coefficients, we obtain the formula in this case. By a similar argument we can obtain formulas for $a \not\equiv 1 \pmod{4}$.

7. In this section we consider a modular form $\lambda_{2k,\psi}$ in case $k = 1$. Its 0th coefficient is essentially a product of two class numbers of imaginary quadratic number fields. Costa's result [6] has already shown that modular forms are effective in the study of class numbers. Our purpose is different and we investigate a relation between ternary forms and class numbers. For m nonsquare, let $h(m)$ and $w(m)$ denote the class number of $\mathbb{Q}(\sqrt{m})$ and the number of roots of unity, respectively. Let D be a negative discriminant. Then $L(0, \chi_D)$ equals $2h(D)/w(D)$. The number $w(D)$ is 4 ($D = -4$), 6 ($D = -3$), or 2 (otherwise).

Let $N > 1$. Let $l \in \mathbb{N}$ be a divisor of N^m for some $m \in \mathbb{N}$. Let $\mathbf{M}_2(N, l)$ denote the subspace consisting of modular forms f in $\mathbf{M}_2(N)$ for which

$$\left(U_l \prod_{p|N} (U_p - 1) \right) (f) = 0.$$

When N is prime, $\mathbf{M}_2(N, 1)$ denotes the subspace in $\mathbf{M}_2(N)$ consisting of modular forms invariant under U_N . Obviously if $l|l'$, then $\mathbf{M}_2(N, l) \subset \mathbf{M}_2(N, l')$, and if $p^2|N$, then $U_p(\mathbf{M}_2(N, l)) \subset \mathbf{M}_2(N/p, l/(l, p))$. For the first several prime N , a basis of the space of cusp forms in $\mathbf{M}_2(N, 1)$ and their Fourier coefficients are computed in [21].

PROPOSITION 5. (1) Let the notation be as in Theorem 2. Suppose that $k = 1$ and that χ is a real-valued odd Dirichlet character with conductor N' . Let l be a natural number such that $N' \mid ((l \prod_{p \mid N} p)^2 D_K) (2 \nmid N')$, or $N' \mid ((l \prod_{p \mid N} p)^2 D_K / 4) (2 \mid N')$. Then $\lambda_{2,\psi}$ is in $\mathbf{M}_2(N, l)$.

(2) Let the notation be as in Proposition 4(2). Let $\psi = \chi \circ \text{Nm}$. If χ is real-valued, that is, ψ is a genus character, then $\lambda_{2,\psi}$ is in $\mathbf{M}_2(p, 1)$.

Proof. (1) Put

$$s(n) = \sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{n^2 D_K - m^2}{4} \right).$$

Let $c \in \mathbb{N}$ be so that $\chi(c) = -1$. In particular, c is not a square. Then $\sigma_{0,\chi}(c)$ vanishes because for $d \mid c$, the equality $\chi(d) + \chi(d') = 0$ holds, d' being the complementary divisor. This shows that $\sigma_{0,\chi} \left(\frac{(ln \prod_{p \mid N} p)^2 D_K - m^2}{4} \right)$ vanishes if $(N', m) = 1$, or if $2 \mid N'$ and $(N', m/2) = 1$. Thus

$$s \left(ln \prod_{p \mid N} p \right) = \sum_{p_1 \mid N} s \left(ln \prod_{p \neq p_1} p \right) - \sum_{p_1, p_2 \mid N} s \left(ln \prod_{p \neq p_1, p_2} p \right) + \dots,$$

where p, p_i are primes. Putting $a(n) = \sum_{0 < d \mid n} \chi_K(d) \chi(d) s(n/d)$, we have

$$a \left(ln \prod_{p \mid N} p \right) = \sum_{p_1 \mid N} a \left(ln \prod_{p \neq p_1} p \right) - \sum_{p_1, p_2 \mid N} a \left(ln \prod_{p \neq p_1, p_2} p \right) + \dots$$

Since $a(n)$ ($n > 0$) is the higher Fourier coefficient of $\lambda_{2,\psi}$, we have shown that the modular form is in $\mathbf{M}_2(N, l)$. Thus our assertion follows.

(2) The higher Fourier coefficient of $\lambda_{2,\psi}$ is obtained in Proposition 4(2). If $\chi_K(p) = 0$, then its n th and pn th coefficients are obviously equal for any $n \in \mathbb{N}$, that is, $\lambda_{2,\psi}$ is invariant under U_p . Suppose $\chi_K(p) \neq 0$. Let $c = p^r c'$ with $(c', p) = 1$. Since $\chi(p) = -1$, $\sigma_{0,\chi}(c)$ is equal to 0 if r is odd, and to $\sigma_{0,\chi}(c')$ otherwise. So

$$\sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{(pn)^2 D_K - m^2}{4p} \right) = \sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{n^2 D_K - m^2}{4p} \right),$$

which shows that $\lambda_{2,\psi}$ is invariant under U_p . ■

THEOREM 3. (1) Let D and D_1 be negative discriminants. Let $a \in \mathbb{N}$ be square-free. Let a^* denote a or $4a$ according as $a \equiv 1 \pmod{4}$ or not. Assume that

- (i) there is $u \in \mathbb{N}$ such that $a^* D_1 = u^2 D$, and
- (ii) $\chi_D(p) \neq 1$ for any prime factor p of u .

Let t denote the cardinality of $\{p : p \mid u, \chi_D(p) = -1\}$. Let $N = |D_1|$

($2 \nmid a^*$ or $2 \nmid D_1$), $\frac{1}{2}|D_1|$ ($v_2(a^*D_1) = 4, 5$), $\frac{1}{4}|D_1|$ ($v_2(a^*D_1) = 6$). Then

$$\lambda_{2,a^*,D_1}(z) = 2^{t+2}h(D_1)h(D)/w(D_1)w(D) + 4 \sum_{n=1}^{\infty} \sum_{0 < d|n} \chi_{u^2D}(d) \sum_{m \in \mathbb{Z}} \sigma_{0,\chi_{D_1}} \left(\frac{(n/d)^2 a^* - m^2}{4} \right) e(nz)$$

is a modular form in $\mathbf{M}_2(N, l)$, where $l = 2^u$ with the least integer $u \geq \max\{0, (v_2(D_1) - v_2(a^*))/2\}$. If D_1 and a^* have no common odd prime factor and if neither $v_2(D_1 a^*) = 4$ nor $D_1 a^*/64 \equiv 1 \pmod{4}$, then the modular form is also in $\mathbf{M}_2^{\infty,0}(N)$. Suppose otherwise. Let $M > 1$ be a divisor of N . Then the 0th coefficient at a cusp i/M , $(i, M) = 1$, is equal to 0 ($(M, D) \neq 1$),

$$2^{t_M+2} \prod_{p|(N/M)} (1 - p^{-1})h(D_1)h(D)/w(D_1)w(D) \quad ((M, D) = 1),$$

where t_M denotes the cardinality of $\{p : p \mid M, \chi_D(p) = -1\}$.

(2) Let D and D_1 be negative discriminants such that $a^* = DD_1$ is the discriminant of a real quadratic field. Let p be a rational prime such that $\chi_{D_1}(p) = -1$ and $\chi_D(p) = 0$ or -1 , and let χ be a completely multiplicative function on \mathbb{N} defined by $\chi(q) = \chi_{D_1}(q)$ for a prime q with $q \nmid D_1$, and $\chi(q) = \chi_D(q)$ for q dividing D_1 . Then

$$4h(D_1)h(D)/w(D_1)w(D) + \frac{2}{1 - \chi_D(p)} \sum_{n=1}^{\infty} \sum_{\substack{0 < d|n \\ (d,pD_1)=1}} \chi_D(d) \sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{(n/d)^2 a^* - m^2}{4p} \right) e(nz)$$

is a modular form in $\mathbf{M}_2(p, 1)$.

Proof. (1) The 0th Fourier coefficient of λ_{2,a^*,D_1} is $L(0, \chi_{D_1})L(0, \chi_{u^2D})$, which is equal to $2^t L(0, \chi_{D_1})L(0, \chi_D) = 2^{t+2}h(D_1)h(D)/(w(D_1)w(D))$. The 0th coefficients at other cusps are obtained as in the Corollary to Theorem 2. Thus by Lemma 5, Theorem 2(1) and Proposition 5, our assertion follows.

(2) Let K be a quadratic field with $D_K = a^*$, and let $\psi := \chi \circ \text{Nm}$ be a genus character corresponding to the decomposition $a^* = D \cdot D_1$. By Proposition 5(2), $\lambda_{2,\psi}$ is in $\mathbf{M}_2(p, 1)$. Its 0th coefficient is equal to $(1 - \psi(\mathfrak{P}))L_K(0, \psi) = 2L(0, \chi_D)L(0, \chi_{D_1})$, and the higher coefficients are given in Proposition 4(2). Thus $\frac{1}{2}\lambda_{2,\psi}$ is the modular form in the theorem. ■

In Theorem 3, the 0th coefficients at a cusp 0 are not presented. However, by Lemma 1, they can be obtained from the 0th coefficients at other cusps.

We give an application of Theorem 3(2).

EXAMPLE. Let $r \equiv 3 \pmod{8} > 3$ be square-free, and let $-s$ be a negative discriminant with $s \not\equiv 7 \pmod{8}$ and $(s, r) = 1$. Let $p = 2$, $D_1 =$

$-r$ and $D = -s$ in Theorem 3(2). Then

$$h(-r)h(-s) + \frac{2}{1 - \chi_{-s}(2)} \sum_{n=1}^{\infty} \sum_{\substack{d|n \\ (d,2r)=1}} \chi_{-s}(d) \times \sum_{m \in \mathbb{Z}} \sigma_{0,\chi} \left(\frac{(n/d)^2 rs - m^2}{8} \right) \mathbf{e}(nz) \in \mathbf{M}_2(2).$$

Since $\{24, -1\} \in \text{LR}'_2(2)$, we have

$$h(-r)h(-s) = \frac{1}{12(1 - \chi_{-s}(2))} \sum_{\substack{m \in \mathbb{Z} \\ m \equiv s \pmod{2}}} \sigma_{0,\chi} \left(\frac{rs - m^2}{8} \right).$$

If q is the minimal prime with $\chi(q) = 1$, then $0 \leq \sigma_{0,\chi}(m) \leq \log_q m$ (see the proof of Proposition 5). Thus we obtain the estimate

$$h(-r)h(-s) \leq \frac{1}{12(1 - \chi_{-s}(2))} (\sqrt{rs} + 2) \log_3(rs) < \frac{1}{12} (\sqrt{rs} + 1) (\log |r| + \log |s|).$$

Note that this cannot be obtained from the usual estimate such as $h(-s) < C\sqrt{|s|} \log |s|$ with a constant C (see for example Newman [15]). A similar argument is possible for some other congruence conditions.

Let D be a discriminant. Then for $m \in \mathbb{N}$, $\sigma_{0,\chi_D}(m)$ is equal to the number of integral ideals in $\mathbb{Q}(\sqrt{D})$ with norm m . Hence for $D < 0$, $w(D)\sigma_{0,\chi_D}(m)$ is equal to the number of representations of m by positive definite quadratic forms of discriminant D which form a complete system of representatives of the proper equivalence classes. It follows that higher Fourier coefficients of λ_{2,a^*,D_1} in Theorem 3(1) are closely related to representations of natural numbers by ternary forms.

We give an application of Theorem 3(1). We examine the case $D_1 = -4$. Let a be square-free with $a \not\equiv 7 \pmod{8}$. Then $D = -4a$ ($a \equiv 1, 2 \pmod{4}$), $D = -a$ ($a \equiv 3 \pmod{8}$) satisfy the conditions (i), (ii), where $t = 0$ in the former, and $t = 1$ in the latter. Since $h(-4) = 1$ and $w(-4) = 4$,

$$\lambda_{2,a^*,-4} = 2^t h(-a)/w(-a) + 4 \sum_{n=1}^{\infty} \sum_{d|n} \chi_{-4a}(d) \sum_{m \in \mathbb{Z}} \sigma_{0,\chi_{-4}} \left(\frac{(n/d)^2 a^* - m^2}{4} \right) \mathbf{e}(nz).$$

Considering the norm form for $\mathbb{Q}(\sqrt{-1})$, we have

$$r_3(n) = 4 \sum_{m \in \mathbb{Z}} \sigma_{0,\chi_{-4}}(n - m^2) \quad \text{for } n \in \mathbb{N}.$$

Here $U_2(\lambda_{2,a^*,-4})$ ($a \equiv 1 \pmod{4}$) and $\lambda_{2,a^*,-4}$ ($a \not\equiv 1 \pmod{4}$) are in $\mathbf{M}_2(2)$ and they have the expansion

$$2^t h(-a)/w(-a) + \sum_{n=1}^{\infty} \left\{ \sum_{d|n} \chi_{-4a}(d) r_f((n/d)^2 a) \right\} \mathbf{e}(nz).$$

Since $\{24, -1\} \in \text{LR}'_2(2)$, we have shown that for a square-free $a > 3$,

$$h(-a) = \begin{cases} \frac{1}{12} r_3(a) & (a \equiv 1, 2 \pmod{4}), \\ \frac{1}{24} r_3(a) & (a \equiv 3 \pmod{8}), \end{cases}$$

which is known as ‘‘Gauss’ three-square theorem’’. Since $\mathbf{M}_2(2)$ is spanned by $G_{2,\chi_4}(z)$, comparison of Fourier coefficients leads to

$$(2^{t+3} 3h(-a)/w(-a)) \sigma_{1,\chi_4}(n) = \sum_{d|n} \chi_{-4a}(d) r_3((n/d)^2 a)$$

for any n . By the M\"obius inversion formula, we obtain

$$r_3(n^2 a) = (2^{t+3} 3h(-a)/w(-a)) \sum_{d|n} \mu(d) \chi_{-4a}(d) \sigma_{1,\chi_4}(n/d),$$

which is a classical result (Bachmann [3], Bateman [4]).

In this way we can obtain other such formulas by replacing D_1 by other negative discriminants. We state some of them as a corollary.

COROLLARY. *Let m be any natural number. Let $m = n^2 a$ with a square-free. Let n^* be $2n$ or n according as $a \equiv 1 \pmod{4}$ or not.*

(1) *Then $r_3(m) = 0$ ($a \equiv 7 \pmod{8}$), and $r_3(m) = \delta_1(a) h(-a) \times \sum_{d|n} \mu(d) \chi_{-4a}(d) \sigma_{1,\chi_4}(n/d)$ (otherwise), where $\delta_1(a) = 6$ ($a = 1$), 8 ($a = 3$), 12 ($a \equiv 1, 2 \pmod{4}$, $a > 1$), 24 ($a \equiv 3 \pmod{8}$, $a > 3$).*

(2) *Let $f = x^2 + y^2 + 2z^2$. Then $r_f(m) = 0$ if $a \equiv 14 \pmod{16}$. Suppose otherwise. Then*

$$r_f(m) = \begin{cases} \delta_2(m) h(-2a) \sum_{d|n} \mu(d) \chi_{-8a}(d) \sigma_{1,\chi_4}(n/d) & (2 | a \text{ or } 2 \nmid n), \\ \delta_2(m) h(-2a) \sum_{d|n} \mu(d) \chi_{-8a}(d) \sigma_{1,\chi_4}(n/2d) & (2 \nmid a \text{ and } 2 | n), \end{cases}$$

where $\delta_2(m)$ denotes 6 ($a = 2$), 8 ($a = 6$), 12 ($a \equiv 2 \pmod{8}$, $a > 2$), 24 ($a \equiv 6 \pmod{16}$, $a > 6$), 4 ($2 \nmid a$, $2 \nmid n$), 12 ($2 \nmid a$, $2 | n$).

(3) *Let $f = x^2 + y^2 + yz + z^2$. Then*

$$r_f(m) = \begin{cases} 0 & (a \equiv 6 \pmod{9}), \\ \delta_3(a) h(-3a) \sum_{d|n^*} \mu(d) \chi_{-3a^*}(d) \sigma_{1,\chi_9}(n^*/d) & (\text{otherwise}), \end{cases}$$

where $\delta_3(a)$ denotes 2 ($a = 1$), 3 ($a = 3$), $6(1 + v_3(a))$ ($a \neq 1, 3$).

(4) Let $f = x^2 + y^2 + 3z^2$. Then $r_f(m) = 0$ if $a \equiv 6 \pmod{9}$. Suppose otherwise. Then

$$r_f(m) = \begin{cases} \delta'_3(a)h(-3a) \sum_{d|n} \mu(d)\chi_{-12a}(d)\sigma_{1,\chi_9}(n/d) & (a \equiv 1 \pmod{8}), \\ \delta'_3(a)h(-3a) \sum_{d|n} \mu(d)\chi_{-3a}(d)\sigma_{1,\chi_9}(n/d) & (a \equiv 5 \pmod{8}), \\ \delta'_3(a)h(-3a) \sum_{d|n} \mu(d)\chi_{-12a}(d)\{\sigma_{1,\chi_9}(n/d) \\ \qquad \qquad \qquad + 2\sigma_{1,\chi_9}(n/(2d))\} & (a \equiv 2, 3 \pmod{4}), \end{cases}$$

where $\delta'_3(a) = 4$ ($a = 1$), 2 ($a = 3$), $12(1 + v_3(a))$ ($a \equiv 1 \pmod{8}$, $a > 1$), $8(1 + v_3(a))$ ($a \equiv 5 \pmod{8}$), $2(1 + v_3(a))$ ($a \equiv 2, 3 \pmod{4}$, $a \neq 3$).

(5) Let $f = x^2 + y^2 + yz + 2z^2$. Then

$$r_f(m) = \begin{cases} 0 & (a/7 \not\equiv 3, 5, 6 \pmod{7}), \\ 2\delta_7(a)(1 + v_7(a))h(-7a) \\ \quad \times \sum_{d|n^*} \mu(d)\chi_{-7a^*}(d)\sigma_{1,7}(n^*/d) & (\text{otherwise}), \end{cases}$$

where $\delta_7(7) = 1/2$, $\delta_7(21) = 1/3$ and $\delta_7(a) = 1$ ($a \neq 7, 21$).

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