

whence

$$\int_{T\varphi^2}^T \frac{|M(x)|}{x^{3/2}} dx \geq c_{25}$$

and finally

$$\int_{T\varphi^2}^T \frac{|M(x)|}{x} dx \geq c_{26} \varphi T^{1/2}.$$

This clearly implies (1.8).

References

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On the zeros of Hecke's L -functions II

by

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Introduction

1. In the first paper (see [1]) it has been proved in particular that the Hecke-Landau function $\zeta(s, \chi)$ of the field K of degree $n \geq 1$ with a complex character χ modulo \mathfrak{f} has no zero in a rectangle

$$1 - A_0/\log D \leq \sigma \leq 1, \quad |t| \leq D^2$$

(where $D = |\Delta|N\mathfrak{f} \geq D_0 > 1$, Δ denotes the discriminant of the field and $A_0 > 0$ depends only on n). For at most one real χ in that rectangle may be a simple zero $\beta' = 1 - \delta$ of $\zeta(s, \chi)$; it is real and, if D_0 is large enough, then

$$(1) \quad \delta > D^{-2n}.$$

β' , if it exists, is called the "exceptional" zero. The corresponding character $\chi = \chi'$ and function $\zeta(s, \chi')$ also are called the "exceptional" ones. Consider, that χ' is a real character, not necessarily different from the principal one.

In this paper we shall prove the following

THEOREM. *There is an absolute constant $A > 0$ (which depends only on n) such that for*

$$\delta_0 = \begin{cases} \delta & \text{if } \delta \leq A/\log D, \\ A/\log D & \text{otherwise,} \end{cases}$$

$$\lambda_0 = A \log \frac{eA}{\delta_0 \log D} \in [A, \frac{1}{2} \log D]$$

in the rectangle $(1 - \lambda_0/\log D \leq \sigma \leq 1, |t| \leq D)$ there is no zero of the function $Z(s) = \prod_{\chi} \zeta(s, \chi)$ with at most one exception β' .

We may suppose that the exceptional zero exists. If it does not, then this theorem (with $\delta_0 = A_0/\log D$) is a simple consequence of that proved in [1]. And so it is (with $\delta_0 = \frac{1}{2} A_0/\log D$) if $\delta_0 \in [\frac{1}{2} A_0/\log D, A_0/\log D]$. Hence, in what follows we suppose that

$$\delta < \frac{1}{2} A_0/\log D.$$

The method used in this paper is on the whole that employed by Rodoskii for L -functions of Dirichlet (cf. [3], X § 3). He has based his proof on a transformation of the function $L'/L(s, \chi)$, although it is more convenient to deal directly with the function itself (cf. Lemma 2 of this paper and [3], X, Lemmas 3.4-3.9 or X, Lemmas 2.4-2.9).

2. The notation (and the convention $n \ll 1$) remains generally the same as that used in [1]. The constant A_0 keeps its meaning throughout this paper. We shall need the following estimates

$$(2) \quad \sum_{p \leq x} \log p \ll x \quad (x > 0),$$

$$(3) \quad \sum_{p \leq x} \log p/p = \log x + O(1) \quad (x \geq 1),$$

$$(4) \quad \sum_{p \leq x} 1/p = \log \log x + c_1 + O(1/\log x) \quad (x \rightarrow \infty),$$

$$(5) \quad \prod_{p \leq x} (1-1/p)^{-1} = c_2 \log x + O(1) \quad (x \rightarrow \infty),$$

where p runs through all primes (see, for example, [3], I, Satz 3.1, 4.1). Other quotations from [3] and [1] will follow during the proofs.

Two general lemmas

3. LEMMA 1. Let $A, \lambda, E, a, \gamma_0, \gamma$ denote parameters such that $A > 1$ may increase indefinitely, $0 < c \leq \lambda \leq \frac{2}{3}A$, $1 < E \ll 1$,

$$(6) \quad a = \lambda/A, \quad e^{\lambda/A} \leq \gamma_0 \leq e^A, \quad \gamma = \min(1, e^{\lambda/A}).$$

Let further S be some set of points $\rho = \beta + i\tau$ in the strip $\frac{1}{2} \leq \sigma \leq 1$. If there is a point $\rho_0 = \beta_0 + i\tau_0 \in S$ in the region $(1-a \leq \sigma \leq 1, |t| \leq \gamma_0)$, then there is also a "convenient" point $\rho_1 = \beta_1 + i\tau_1 \in S$ such that $\beta_1 \geq \beta_0$, $|\tau_1 - \tau_0| < 5E\lambda\gamma$ and in the region

$$(7) \quad \beta_1 + 1/E\lambda \leq \sigma \leq 1, \quad |t - \tau_1| \leq 5\gamma$$

there is no point $\rho \in S$.

Proof. If there is no point $\rho \in S$ in the region $R_0(\beta_0 + 1/E\lambda \leq \sigma \leq 1, |t - \tau_0| \leq 5\gamma)$, then ρ_0 is a "convenient" point and we have nothing to prove. If there are points ρ in R_0 , then there is at least one point $\rho_2 = \beta_2 + i\tau_2$ (say) in R_0 having the maximal β_2 . And if in the corresponding region $R_2(\beta_2 + 1/E\lambda \leq \sigma \leq 1, |t - \tau_2| \leq 5\gamma)$ there is no $\rho \in S$, then ρ_2 is a "convenient" point, etc. Repeating this argument we get the "convenient" point ρ_1 in less than $E\lambda$ steps (since there are no points ρ on the right of the line $\sigma = 1$).

4. LEMMA 2. Let the function $F(s)$ be meromorphic in $\sigma > -\frac{1}{2}$ and satisfy the following conditions.

(i) The only singularities of $F(s)$ in $\sigma > -\frac{1}{2}$ are simple poles ρ on the left of the line $\sigma = 1$ with residues $m_\rho \geq 1$, such that

$$(8) \quad \sum_{|e^{-1}-\rho| < r} m_\rho \ll r\lambda$$

for $1/\lambda \leq r \leq 2$, $|t| \leq e^{2\lambda}$

(ii) In the notation of Lemma 1, there is a pole ρ_0 of $F(s)$ in the rectangle $(1-a \leq \sigma \leq 1, |t| < \gamma_0)$ and we have in the region $1-a \leq \sigma \leq 4$, $|t - \tau_1| < 1 + 5\gamma$

$$(9) \quad F(s) - \sum_{|s-\rho| < 1} \frac{m_\rho}{s-\rho} \ll \lambda$$

(iii) In $\sigma > 1$

$$(10) \quad F(s) = \sum_{m=1}^{\infty} a_m m^{-s}, \quad a_p \ll p^{c_1 A} \log p,$$

and the terms of the expansion (10) with $m \neq p$ constitute a series which is absolutely and uniformly convergent in $\sigma \geq 1-a$ having the sum $\ll 1$.

Write

$$(11) \quad Z = \exp\left\{ \lambda \frac{\log(1+\lambda)}{2+2\lambda} \right\},$$

$$(12) \quad F_1(s) = \sum_{Z < p < M} a_p p^{-s}, \quad F_2(s) = \sum_{p > M} a_p p^{-s} \quad (\sigma > 1)$$

where $M \geq e^{2\lambda}$ is a fixed number $< \infty$.

Under these circumstances either (I) there is a point $s_1 = \sigma_1 + it_1$ in

$$(13) \quad R_I(1-a \leq \sigma \leq 1+3a, |t - \tau_1| \leq 4a)$$

satisfying

$$|F_1(s_1)| \geq \lambda$$

or (II) there is a point $s_2 = \sigma_2 + it_2$ in

$$R_{II}(1 + \frac{1}{2}a \leq \sigma \leq 1 + \frac{3}{2}a, |t - \tau_1| \leq a)$$

such that

$$(14) \quad |F_2(s_2)| > \lambda \exp(-c_0 \lambda).$$

Proof. Let us introduce the point $s_3 = \rho_1 + 3/E\lambda$ at which, by (9),

$$(15) \quad \operatorname{re} F(s_3) \geq \frac{1}{3}E\lambda - c\lambda.$$

Writing

$$(16) \quad f(s) = \sum_{\substack{m \\ m \neq p}} a_m m^{-s} + \sum_{p \leq Z} a_p p^{-s}, \quad F_3(s) = F(s) - f(s)$$

we have in $\sigma \geq 1 - a$

$$(17) \quad f(s) \ll A,$$

by (10), (11), (6), (3). Hence, by (15),

$$|F_3(s_3)| > 2A$$

(provided that E is large enough). This implies the inequality

$$(18) \quad |F_1(s_3)| + |F_2(s_3)| > 2A,$$

since

$$(19) \quad F_3(s) = F_1(s) + F_2(s)$$

by (10), (12), (16) (in the first instance in $\sigma > 1$ and further by analytic continuation).

Now suppose that (I) does not hold. Then we have for all $s \in R_I$

$$(20) \quad |F_1(s)| < A$$

and hence, by (18), $|F_2(s_3)| > A$ (since $s_3 \in R_I$).

Let C_1 be the circle passing through the point s_3 and having its centre at $1 + a + i\tau_1$; then its radius is

$$(21) \quad r_1 = 1 + a - (\beta_1 + 3/E\Lambda).$$

Write

$$M_1 = \max_{s \in C_1} |F_2(s)|.$$

If z_1 denotes that point of C_1 (or one of such points) where $|F_2(s)|$ takes its maximal value, then

$$(22) \quad |F_2(z_1)| = M_1 > A.$$

Denoting $\sigma_0 = \beta_1 + 1/E\Lambda$,

$$\operatorname{re} \sum_{\substack{|s-\rho| < 1 \\ \beta \leq \sigma_0}} \frac{m_\rho}{s-\rho} = P(s), \quad \operatorname{re} \sum_{\substack{|s-\rho| < 1 \\ \beta > \sigma_0}} \frac{m_\rho}{s-\rho} = Q(s)$$

we have in R_I

$$(23) \quad \operatorname{re} F(s) = P(s) + Q(s) + O(\Lambda),$$

by (9).

Let $v(u) = v(u, t)$ (for $u \geq \gamma$, $|t| \leq e^{2A}$) denote the sum $\sum m_\rho$ over the points $\rho = \beta + i\tau$ in the rectangle R_t defined by $\sigma_0 \leq \beta \leq 1$, $|\tau - t| \leq u$. Since R_t can be covered by $\ll u$: (λ/Λ) circles of radius $2\lambda/\Lambda$ having their centres on the line $\sigma = 1$, by (8) $v(u) \ll u\Lambda$. Hence, by (13), (7) and [1] (6), if $s \in R_I$ and $\gamma < 1$,

$$(24) \quad Q(s) \ll a \left(\int_{\gamma}^1 \frac{v(u)}{u^3} du + v(1) \right) \ll \Lambda.$$

(If $\gamma = 1$, then $Q = 0$ as an empty sum.)

Let now s, s^* be any two points in the region

$$\beta_1 + 2/E\Lambda \leq \sigma \leq 1 + 3a, \quad |t - \tau_1| \leq 4a$$

satisfying $|s - s^*| \leq 1/E\Lambda$. If s replaced by s^* , then the term

$$P_\rho = \operatorname{re} \frac{m_\rho}{s - \rho} = m_\rho \frac{\operatorname{re}(s - \rho)}{|s - \rho|^2}$$

of $P(s)$ changes into $k_\rho P_\rho$, where

$$k_\rho = \frac{\operatorname{re}(s^* - \rho)}{\operatorname{re}(s - \rho)} \cdot \frac{|s - \rho|^2}{|s^* - \rho|^2} \in \left[\frac{1}{4}, 4\right].$$

Hence the ratio $P(s):P(s^*)$ remains in the segment $[\frac{1}{4}, 4]$. From this and (23), (24), (16), (17), (19), (20), (22) we deduce that for all s in the circle $C_0(|s - z_1| < r, r = 1/E\Lambda)$

$$P(s) \leq 4P(z_1) \leq 4|\operatorname{re} F(z_1)| + c_2\Lambda < 4|F(z_1)| + c_2\Lambda < 4|F_3(z_1)| + c_3\Lambda \\ \leq 4|F_1(z_1)| + 4|F_2(z_1)| + c_3\Lambda < 4|F_2(z_1)| + c_4\Lambda < c_5M_1.$$

Hence, by the same formulae, in C_0

$$|\operatorname{re} F_2(s)| < c_6M_1.$$

Now by the theorem of Borel-Carathéodory (see, for example, [3], A, Satz 4.2) in all points of the circle

$$(25) \quad C'_0(|s - z_1| \leq r', r' = 1/E^2\Lambda)$$

we have

$$|F_2(s) - F_2(z_1)| \leq \frac{2r'}{r - r'} \left\{ \max_{s \in C'_0} |\operatorname{re} F_2(s)| - \operatorname{re} F_2(z_1) \right\} < \frac{1}{2}M_1,$$

provided that E is large enough. Hence, by (22), at that point $s = s'$ (say) of the circle $C_2(|1 + a + i\tau_1 - s| \leq r_2)$ with

$$(26) \quad r_2 = r_1 - r',$$

where C_2 touches C'_0 , we have

$$|F_2(s')| > \frac{1}{2}M_1$$

and thus

$$(27) \quad \max_{s \in C_2} |F_2(s)| = M_2 > \frac{1}{2}M_1.$$

Writing

$$M_3 = \max_{s \in C_3} |F_2(s)|, \quad C_3(|1 + a + i\tau_1 - s| \leq r_3), \quad r_3 = \frac{1}{2}a$$

we have, by (27), (21), (26), (25), (22) and Hadamard's three circle theorem (see, for example, [3], A, Satz 9.2)

$$M_3 \geq M_1^{\frac{\log r_3/r_2}{\log r_1/r_2}} M_2^{\frac{\log r_1/r_3}{\log r_1/r_2}} \\ \geq M_1 2^{-(\log r_1 - \log r_3)/\log 1 : (r_3/r_1)} \geq \Lambda \exp(-c_7 E^2 \lambda),$$

whence (14) follows.



Proof of the inequality (28) for $\chi_0 \neq \chi'$

5. LEMMA 3. *There are positive absolute constants A_1, A_2 such that if*

$$\delta < A_1 \log D$$

and $\rho = \beta + i\tau \neq \beta'$ is a zero in $|t| \leq D$ of any function $\zeta(s, \chi)$ with a character mod f , then

$$(28) \quad \beta < 1 - \frac{A_2}{\log D} \log \frac{eA_1}{\delta \log D}.$$

The proof of this lemma will be the object of the following paragraphs 6-16.

Let $\rho_0 = \beta_0 + i\tau_0$ be a zero of $\zeta(s, \chi)$ such that $|\tau_0| \leq D, \beta_0 \geq 0$; write

$$(29) \quad \beta_0 = 1 - \lambda / \log D.$$

Since, by (1),

$$\log \frac{eA_1}{\delta \log D} < c_1 \log D,$$

if $\beta_0 < \frac{1}{2}$ or $\lambda \geq \frac{3}{2} \log D$, then (28) holds (with β_0 instead of β) for any $A_2 \leq \frac{2}{3} e^{-1}$. Hence it remains to prove the lemma for $\lambda < \frac{3}{2} \log D$.

6. We shall begin the proof by verifying that the function

$$(30) \quad F(s) = \begin{cases} \zeta'/\zeta(s, \chi) + \zeta'/\zeta(s - \delta, \chi\chi') & \text{if } \chi = \chi_0 \neq \chi', \\ \zeta'/\zeta(s, \chi) + \zeta'/\zeta(s + \delta, \chi\chi') & \text{if } \chi \neq \chi_0 \neq \chi', \\ \zeta'/\zeta(s, \chi) & \text{if } \chi \neq \chi_0 = \chi' \end{cases}$$

satisfies the conditions of Lemma 2 with

$$(31) \quad A = \log D, \quad \lambda \in [A_0, \frac{3}{2} \log D], \quad \gamma_0 = D, \quad M = D^{4n}.$$

Consider that for $\chi = \chi_0 \neq \chi'$ the pole of $\zeta'/\zeta(s, \chi_0)$ at $s = 1$ (with residue -1) is cancelled out by the pole at $s = 1$ (with residue 1) of $\zeta'/\zeta(s - \delta, \chi')$. And for $\chi \neq \chi_0 \neq \chi'$ the same remark applies to the pole at $s = \beta'$ (with residue -1) of $\zeta'/\zeta(s + \delta, \chi_0)$ and the corresponding pole of $\zeta'/\zeta(s, \chi')$ with residue 1. In the other cases there are no poles of ζ'/ζ with negative residues.

We have (8) in a consequence of (31) and [1] (43), [1] (36).

(9) holds for $\gamma_0 = D, A = \log D$, by [1] (41), since $|\tau_1| < D^{9/2}$ (cf. Lemma 1).

The condition (iii) of Lemma 2 results from (30) and [1] (11).

7. Since any prime p in the field K is a product of at most n prime ideals \mathfrak{p} with $N\mathfrak{p} = p$, in the exposition (10) for any of the functions (30) we have, by [1] (11),

$$(32) \quad |a_{\mathfrak{p}}| < n(1 + p^{\delta}) \log p.$$

By (2), [1] (6),

$$\sum_{p \geq x} \frac{\log p}{p^{1+a/\delta}} < c_1 / ax^{a/\delta} \quad (\alpha > 0, x > 1).$$

From this and (32) (since $\delta < A_0/6 \log D, \alpha \geq A_0/\log D$, by (31) and § 1) we deduce that there is an absolute constant $A \geq 8n$ such that

$$(33) \quad \sum_{p > D^A} \frac{|a_p|}{p^{1+a/2}} < 2n \sum_{p > D^A} \frac{1 \log p}{p^{1+a/\delta}} < \frac{1}{4} \exp(-c_0 \lambda) \log D$$

for c_0 defined by (14).

Since, by (11), (31),

$$Z = D^{(\log(1+\lambda))/(2+2\lambda)}$$

we have, by (4),

$$\sum_{Z \leq p < D^{4n}} p^{\frac{1}{p}} < D^{4na} (\log \log D^{4n} - \log \log Z + 1) < \exp(c_2 \lambda),$$

$$\sum_{D^{4n} < p < D^A} \frac{|a_p|}{p^{1+a/2}} < 2n \sum_{D^{4n} < p < D^A} \frac{\log p}{p^{1+a/\delta}} < c_3 e^{-n\lambda} \log D.$$

Hence, if $B \ll 1$ is large enough,

$$(34) \quad e^{-B\lambda} \sum_{Z \leq p < D^{4n}} \frac{1}{p^{1-a}} < 1/12n^2,$$

$$(35) \quad e^{-B\lambda} \sum_{D^{4n} < p < D^A} \frac{|a_p|}{p^{1+a/2}} < \frac{1}{4} \exp(-c_0 \lambda) \log D.$$

If there is a positive number $q < D^A$ such that either $1 - q^{-\delta} \geq e^{-B\lambda}$ or $q^{\delta} - 1 \geq e^{-B\lambda}$ then we have respectively

$$e^{B\lambda} \geq \frac{1}{1 - \exp(-\delta \log q)} \geq \frac{1}{1 - \exp(-A\delta \log D)} \geq \frac{1}{A\delta \log D}$$

(since $1/(1 - e^{-x}) \geq 1/x$ for $x > 0$) or

$$e^{B\lambda} \geq \frac{1}{\exp(\delta \log q) - 1} \geq \frac{1}{\exp(A\delta \log D) - 1} > \frac{1}{c_4(A) \delta \log D},$$

whence

$$\lambda > B^{-1} \log \frac{1}{c_5(A) \delta \log D}.$$

From this and (29) we deduce (28) (with β_0 instead of β). Hence, we may suppose that

$$(36) \quad \left. \begin{matrix} 1 - N\mathfrak{p}^{-\delta} \\ N\mathfrak{p}^{\delta} - 1 \end{matrix} \right\} < e^{-B\lambda} \quad \text{if } N\mathfrak{p} < D^A.$$

8. In the following paragraphs 8-11 we shall consider the first and second case of (30), namely that of $\chi_0 \neq \chi'$. Then the coefficients a_p with indices $p = Np$ in (10) satisfy

$$-a_p = \begin{cases} \sum_{\substack{p \\ Np=p}} \{1 + \chi'(p)Np^\delta\} \log Np & \text{if } \chi = \chi_0, \\ \sum_{\substack{p \\ Np=p}} \chi(p) \{1 + \chi'(p)Np^{-\delta}\} \log Np & \text{if } \chi \neq \chi_0. \end{cases}$$

Let p in this paragraph denote prime ideals such that $Np = p, p \nmid f$. If in Lemma 2 the alternative (I) holds, then we have, by (13), (12), (31),

$$\sum_{\substack{p \\ Z < Np \leq D^{4n}}} \frac{1 + \chi'(p)Np^{-\delta}}{Np^{1-\alpha}} \log Np \geq \log D,$$

resp.,

$$\sum_{\substack{p \\ Z < Np \leq D^{4n}}} \frac{Np^\delta + \chi'(p)}{Np^{1-\alpha}} \log Np \geq \log D,$$

whence

$$\sum_{\substack{p \\ Z < Np \leq D^{4n}}} \frac{1 + \chi'(p)Np^{-\delta}}{Np^{1-\alpha}} > 1/4n,$$

resp.,

$$\sum_{\substack{p \\ Z < Np \leq D^{4n}}} \frac{Np^\delta + \chi'(p)}{Np^{1-\alpha}} > 1/4n$$

and thus, by (36), (34),

$$(37) \quad \sum_{\substack{p \\ Z < Np \leq D^{4n} \\ \chi'(p)=1}} \frac{1}{Np^{1-\alpha}} > 1/24n.$$

Let us distribute the ideals p of the last sum into sets S_1, \dots, S_r , the set S_j containing all the p satisfying

$$2^{-j} \log D^{4n} < \log Np \leq 2^{-j+1} \log D^{4n}, \quad j = 1, \dots, r; \quad r \ll \log(2+2\lambda).$$

For at least one set $S_j = S'$ (say) we have, by (37),

$$\sum_{p \in S'} \frac{1}{Np^{1-\alpha}} > c_1 / \log(2+2\lambda).$$

Raising to the power 2^j and dividing through by $2^j! D^{8n\alpha} < \exp(c_2\lambda)$ we get the inequality

$$(38) \quad \sum_{\substack{u \\ D^{4n} < Nu \leq D^{8n}}} 1/Nu > \exp(-c_3\lambda),$$

where u denotes ideals of which all the prime divisors are the p having $\chi'(p) = 1, Np > Z$.

If in Lemma 2 the alternative (II) holds, then, by (14), (36), (35), (33)

$$4 \sum_{\substack{D^{4n} < Np \leq D^A \\ \chi'(p)=1}} \frac{\log Np}{Np^{1+\alpha/2}} > \exp(-c_0\lambda) \log D - e^{-B\lambda} \sum_{\substack{D^{4n} < Np \leq D^A \\ \chi'(p)=-1}} \frac{\log Np}{Np^{1+\alpha/2}} \\ - \sum_{\substack{p \\ Np > D^A}} \frac{(1+Np^\delta) \log Np}{Np^{1+\alpha/2}} > \frac{1}{2} \exp(-c_0\lambda) \log D,$$

whence

$$(39) \quad \sum_{\substack{u \\ D^{4n} < Nu \leq D^A}} 1/Nu > \exp(-c_4\lambda),$$

u being defined as in (38). Consider that (39), being a weaker inequality than (38), (since $A > 8n$) may be used in the former case as well.

9. In this and the following paragraph a and b denote ideals, prime to f , such that every prime divisor of b is in norm less than Z , whereas those of a have the norms $\epsilon(Z, D^{4n})$. Hence $(a, b) = o$ and if

$$g(c) = \sum_{b|c} \chi'(b)$$

then, by [1] § 22,

$$g(ab) = g(a)g(b) \leq \tau(a)g(b),$$

whence

$$\sum_{\substack{b \\ Nb \leq D^{4n}}} \frac{g(b)}{Nb} \prod_{\substack{p \\ Z < Np \leq D^{4n}}} (1-1/Np)^{-2} = \sum_{\substack{b \\ Nb \leq D^{4n}}} \frac{g(b)}{Nb} \sum_a \frac{\tau(a)}{Na} \geq \sum_{\substack{c \\ Nc \leq D^{4n}}} \frac{g(c)}{Nc}$$

or

$$(40) \quad \sum_{\substack{b \\ Nb \leq D^{4n}}} \frac{g(b)}{Nb} > c_6(1+\lambda)^{-2n} \sum_{\substack{c \\ Nc \leq D^{4n}}} \frac{g(c)}{Nc},$$

by (5), (11), (31). Defining u as in (39) we have (since $g(u) \geq 1$)

$$(41) \quad \sum_{\substack{b \\ Nb \leq D^{4n}}} \frac{g(b)}{Nb} \sum_{\substack{u \\ D^{4n} < Nu \leq D^A}} \frac{1}{Nu} < \sum_{\substack{b \\ Nb \leq D^{4n}}} \frac{g(b)}{Nb} \sum_{\substack{u \\ D^{4n} < Nu \leq D^A}} \frac{g(u)}{Nu} \leq \sum_{\substack{c \\ D^{4n} < Nc \leq D^{4+4n}}} \frac{g(c)}{Nc}.$$

10. Writing

$$U_1 = \sum_{Nc > D^a} \frac{g(c)}{Nc} \{ \exp(-D^{-b}Nc) - \exp(-D^{-a}Nc) \},$$

$$U_2 = \sum_{Nc < D^a} \frac{g(c)}{Nc} \{ \exp(-D^{-b}Nc) - \exp(-D^{-a}Nc) \},$$



where $a \geq 2n + 1, b \geq a + 1$, we have

$$U_1 = \sum_{\substack{c \\ Nc > D^a}} \frac{g(c)}{Nc} \exp(-D^{-b}Nc) [1 - \exp\{-(D^{-a} - D^{-b})Nc\}]$$

$$> \sum_{\substack{c \\ D^a < Nc < D^b}} \frac{g(c)}{Nc} e^{-1} (1 - e^{-1/2}) > \frac{1}{2} \sum_{\substack{c \\ D^a < Nc < D^b}} \frac{g(c)}{Nc}.$$

By the arguments of [1], § 22

$$U_1 + U_2 = (b - a)\mu \log D + O(D^{1-a} \log^{2n+1} D), \quad \mu = \zeta(1, \chi') \operatorname{Res} \zeta(s, \chi_0),$$

and $U_2 > 0$ exceeds the absolute value of the remaining term $O(D^{1-a} \log^{2n+1} D)$. Hence

$$(b - a)\mu \log D > \frac{1}{2} \sum_{\substack{c \\ D^a < Nc < D^b}} \frac{g(c)}{Nc}.$$

Taking $a = 4n, b = 4n + A$ we have, by (41), (40), (39),

$$(42) \quad \mu > \frac{c_6}{\log D} \sum_{\substack{c \\ D^{4n} < Nc < D^{4n+A}}} \frac{g(c)}{Nc} > \frac{c_6}{\log D} \sum_{\substack{b \\ N^b < D^{4n}}} \frac{g(b)}{N^b} \sum_{\substack{u \\ D^{4n} < Nu < D^A}} \frac{1}{Nu}$$

$$> \frac{c_7}{\log D} (1 + \lambda)^{-2n} \exp(-c_4 \lambda) \sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc} > \frac{\exp(-c_4 \lambda)}{\log D} \sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc}.$$

11. Now we take in [1] (5) $y = D^{-2n}, w = \beta', f(s) = \zeta(s, \chi') \zeta(s, \chi_0)$ and move the contour of integration to the line $\sigma = \frac{1}{2}$. By [1] (4), [1] (32) and the theorem of residues

$$(43) \quad \sum_c g(c) Nc^{-\beta'} e^{-\nu Nc} = D^{2n\delta} \Gamma(\delta) \mu + R, \quad R \ll D^{-2n\delta/2+1/2} \log^{2n} D.$$

Since $g(c) \geq 0$ and $g(0) = 1$, the principal term on the right-hand side exceeds twice the modulus of the remaining term. For $Nc \leq D^{4n}$ we have $Nc^\delta \ll 1$, whence

$$\sum_{\substack{c \\ Nc < D^{4n}}} g(c) Nc^{-\beta'} e^{-\nu Nc} = \sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc} Nc^\delta e^{-\nu Nc} < c_9 \sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc}.$$

Since each of these sums exceeds 1 and

$$\left| \sum_{\substack{c \\ Nc > D^{4n}}} \frac{g(c)}{Nc} e^{-\nu Nc} - R \right| < \frac{1}{2}$$

for $D > D_0$, we have

$$\sum_c g(c) Nc^{-\beta'} e^{-\nu Nc} - R < c_{10} \sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc}.$$

Hence, by (43), (42)

$$\sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc} > c_{11} \mu / \delta > c_{11} \frac{\exp(-c_8 \lambda)}{\delta \log D} \sum_{\substack{c \\ Nc < D^{4n}}} \frac{g(c)}{Nc},$$

whence

$$1 > c_{11} \frac{\exp(-c_8 \lambda)}{\delta \log D}, \quad \lambda > \frac{1}{c_8} \log \frac{c_{11}}{\delta \log D}.$$

From this and (29) we get (28) for $\chi' \neq \chi_0$.

Proof of (28) for $\chi_0 = \chi' \neq \chi$

12. In the following paragraphs 12-15 we shall consider the case of $\chi \neq \chi_0 = \chi'$ to which in (30) corresponds the function

$$F(s) = - \sum_{\substack{p, m \\ m \geq 1}} \frac{\chi(p^m) \log Np}{Np^{ms}} \quad (\sigma > 1).$$

Suppose first that in Lemma 2 the alternative (I) holds. Then

$$\sum_{\substack{Z < p < D^{4n} \\ (p = Np, p \nmid f)}} \frac{\log p}{p^{1-a}} > \frac{1}{n} \log D,$$

whence

$$(44) \quad \sum_{\substack{p \\ Z < p < D^{4n} \\ (p = Np, p \nmid f)}} \frac{1}{p^{1-a}} > 1/4n^2.$$

Distribute the primes p of the last sum into sets S_1, \dots, S_r , the set S containing all the p satisfying

$$2^{-j} \log D^{4n} < \log p \leq 2^{1-j} \log D^{4n} \quad (j = 1, \dots, v; v \ll \log(2 + 2\lambda)).$$

For at least one set $S_j = S$ (say) we have, by (44),

$$\sum_{p \in S} \frac{1}{p^{1-a}} > c_1 / \log(2 + 2\lambda).$$

Raising to the power 2^j and dividing through by $2^{j!} D^{2na} < \exp(c_2 \lambda)$ we get the inequality

$$(45) \quad \sum_{D^{4n} < u < D^{2n}} \frac{1}{u} > \exp(-c_3 \lambda)$$

where u denotes integers all the prime divisors of which are $p = Np > Z(p \nmid f)$.

If in Lemma 2 the alternative (II) holds, then

$$\sum_{Np=p > D^{4n}} \frac{\log p}{p^{1+a/2}} > \exp(-c_0\lambda)\log D,$$

whence, by (33), for a sufficiently large $A \geq 8n$

$$\sum_{D^{4n} < p = Np < D^A} \frac{\log p}{p^{1+a/2}} > \frac{1}{2} \exp(-c_0\lambda)\log D$$

or

$$\sum_{D^{4n} < p = Np < D^A} \frac{1}{p} > \frac{1}{2An} \exp(-c_0\lambda).$$

Hence for a suitable c_4 in both cases (I), (II) of Lemma 2

$$(46) \quad \sum_{D^{4n} < u < D^A} \frac{1}{u} > \exp(-c_4\lambda)$$

the numbers u having the same meaning as in (45).

13. Writing

$$\zeta_1(s) = \zeta(s) \prod_{p|Nf} (1-p^{-s}) = \sum_{\substack{m=1 \\ (m, Nf)=1}}^{\infty} m^{-s} (\sigma > 1), \quad G(s) = \zeta(s, \chi_0) / \zeta_1(s),$$

we have

$$\zeta(s, \chi_0) = \zeta_1(s) G(s) = \sum_{\substack{m=1 \\ (m, Nf)=1}}^{\infty} \frac{1}{m^s} \cdot \sum_{\substack{m=1 \\ (m, Nf)=1}}^{\infty} \frac{\gamma_m}{m^s} = \sum_{m=1}^{\infty} \frac{g(m)}{m^s},$$

where

$$g(m) = \sum_{d|m} \gamma_d$$

for $(m, Nf) = 1$ is the number of ideals of K having the norm $= m$, and $= 0$ otherwise. This is a multiplicative function ≥ 0 . Let during this and the following paragraph a and b denote natural numbers prime to Nf such that any prime dividing b is $< Z$, whereas the primes dividing a satisfy the inequalities $Z < p \leq D^{4n}$. Since $(a, b) = 1$, we have

$$g(ab) = g(a)g(b) \leq d_n(a)g(b)$$

where the numbers $d_n(a)$ are defined by the expansion

$$\zeta_1^n(s) = \sum_{\substack{m=1 \\ (m, Nf)=1}}^{\infty} \frac{d_n(m)}{m^s} \quad (\sigma > 1)$$

(cf. [2], Hilfssatz 1). Hence

$$\sum_{b < D^{4n}} \frac{g(b)}{b} \prod_{\substack{Z < p < D^{4n} \\ p \nmid Nf}} \left(1 - \frac{1}{p}\right)^{-n} = \sum_{b < D^{4n}} \frac{g(b)}{b} \sum_a \frac{d_n(a)}{a} \geq \sum_{m \leq D^{4n}} \frac{g(m)}{m}.$$

From this and (5) we deduce that

$$(47) \quad \sum_{b < D^{4n}} \frac{g(b)}{b} > c_5(1+\lambda)^{-n} \sum_{m \leq D^{4n}} \frac{g(m)}{m}.$$

Since $g(u) \geq 1$,

$$(48) \quad \sum_{b < D^{4n}} \frac{g(b)}{b} \sum_{D^{4n} < u < D^A} \frac{1}{u} < \sum_{b < D^{4n}} \frac{g(b)}{b} \sum_{D^{4n} < u < D^A} \frac{g(u)}{u} \leq \sum_{D^{4n} < m < D^{4n+A}} \frac{g(m)}{m}.$$

14. Now we use the identity

$$\sum_{m=1}^{\infty} \frac{g(m)}{m} e^{-ym} = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} y^{1-s} \Gamma(s-1) \zeta(s, \chi_0) ds \quad (y > 0)$$

(cf. [1] (5)) with $y = D^{-4n}, D^{-4n-A}$. Moving the contour of integration to the line $\sigma = \frac{1}{2}$ and subtracting we get, by the arguments of § 10,

$$\frac{1}{2} \sum_{D^{4n} < m < D^{4n+A}} \frac{g(m)}{m} < A\mu_0 \log D + O(D^{-1}), \quad \mu_0 = \operatorname{Res}_{s=1} \zeta(s, \chi_0) = D^{O(1)} (D \rightarrow \infty)$$

(cf. [1] (13)), whence for D large enough

$$A\mu_0 \log D > \frac{1}{\tau} \sum_{D^{4n} < m \leq D^{4n+A}} \frac{g(m)}{m}.$$

Comparing this with (48), (46), (47) we deduce

$$(49) \quad \mu_0 > \frac{c_6}{\log D} \sum_{D^{4n} < m \leq D^{4n+A}} \frac{g(m)}{m} > \frac{c_7}{\log D} \sum_{b < D^{4n}} \frac{g(b)}{b} \sum_{D^{4n} < u < D^A} \frac{1}{u} > \frac{c_8}{\log D} (1+\lambda)^{-n} \exp(-c_4\lambda) \cdot \sum_{m < D^{4n}} \frac{g(m)}{m} > \frac{\exp(-c_0\lambda)}{\log D} \sum_{m \leq D^{4n}} \frac{g(m)}{m}.$$

15. Using in [1] (5) $w = \beta'$, $y = D^{-3n}$, $f(s) = \zeta(s, \chi_0) = \sum_m g(m) m^{-s}$ ($\sigma > 1$) and moving the contour of integration to the line $\sigma = \frac{1}{2}$, we obtain by [1] (4), [1] (32) and the theorem of residues

$$(50) \quad \sum_m g(m) m^{-\beta'} e^{-ym} = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} y^{\beta'-s} \Gamma(s-\beta') \zeta(s, \chi_0) ds = y^{-\beta'} \Gamma(\beta) \mu_0 + R, \quad R \ll D^{-1}.$$

Since $y^{-\delta} > 1$, $\mu_0 > D^{-1/\delta}$, $\Gamma(\delta) > 1/2\delta > \log D$ for $D > D_0$, the principal term on the right-hand side exceeds twice the modulus of the remaining term. For $m \leq D^{4n}$ we have $m^\delta \ll 1$, whence

$$\sum_{m \leq D^{4n}} \frac{g(m)}{m^{\beta'}} e^{-ym} = \sum_{m \leq D^{4n}} \frac{g(m)}{m} m^\delta e^{-ym} < c_{10} \sum_{m \leq D^{4n}} \frac{g(m)}{m}.$$

Since $g(1) = 1$, each of these sums exceed 1 and for $D > D_0$

$$\left| \sum_{m > D^{4n}} g(m) m^{-\beta'} e^{-ym} - R \right| < \frac{1}{2}.$$

Hence

$$\sum_m g(m) m^{-\beta'} e^{-ym} - R < c_{11} \sum_{m \leq D^{4n}} \frac{g(m)}{m}$$

and, by (50), (49)

$$\sum_{m \leq D^{4n}} \frac{g(m)}{m} > c_{12} \mu_0 / \delta > c_{12} \frac{\exp(-c_0 \lambda)}{\delta \log D} \sum_{m \leq D^{4n}} \frac{g(m)}{m},$$

whence

$$1 > c_{12} \frac{\exp(-c_0 \lambda)}{\delta \log D}, \quad \lambda > \frac{1}{c_0} \log \frac{c_{12}}{\delta \log D}.$$

From this and (29) we get the lemma for $\chi \neq \chi_0 = \chi'$.

Proof of (28) for $\chi = \chi_0 = \chi'$

16. In this case we suppose the existence of a zero $\varrho_0 = \beta_0 + i\tau_0 \neq \beta'$ of $\zeta(s, \chi_0)$ in $\frac{1}{3} \leq \sigma \leq 1$, $|\tau| \leq D$ (otherwise there is nothing to prove). By the arguments of § 3 we get the "convenient" zero $\varrho_1 = \beta_1 + i\tau_1$ having the property that there are no zeros of $\zeta(s, \chi_0)$ in $\sigma > \beta_1 + 1/\mathcal{E} \log D$, $|\tau - \tau_1| \leq 5\gamma \leq 5$. If $|\tau_1| \geq 7$, then we use Lemma 2 with $F(s) = \zeta'/\zeta(s, \chi_0)$, $A = \log D$, $\gamma_0 = D$ and get the required result arguing as in §§ 12-15. (*)

Let us suppose $|\tau_1| < 7$. Since there are no zeros of $\zeta(s)$ in the region $R(\sigma > -\frac{1}{2}, |\tau| \leq 14)$ (see [4], II § 12, XV § 1), the function

$$G(s) = \zeta(s, \chi_0)/\zeta_1(s), \quad \text{where} \quad \zeta_1(s) = \zeta(s) \prod_{p|N^{\dagger}} (1-p^{-s})$$

is regular in R (cf. [1] (12)). Write

$$(51) \quad f(s) = \zeta(s, \chi_0) \zeta_1(s + \delta) G(s - \delta).$$

This function is regular in R , since the poles $s = 1$ of $\zeta(s, \chi_0)$ and $s = \beta'$ of $\zeta_1(s + \delta)$ are cancelled out by the zeros at the same points of the function

(*) Since in the proof of Lemma 2 the condition $m_0 > 0$ is used only in the strip $|\tau - \tau_1| < 1 + 5\gamma$, the pole at $s = 1$ (with residue -1) of $\zeta'/\zeta(s, \chi_0)$ in the present case does not play any rôle.

$G(s - \delta)$ and $\zeta(s, \chi_0)$, respectively. Let S be the set of zeros $\neq \beta'$ of $\zeta(s, \chi_0)$ in R . The set of zeros of the function $f(s)$ in the same region consists of S and its displacement by δ in the direction of the positive real axis. Hence by the same displacement we get the "convenient" zero of $f(s)$ from that of $\zeta(s, \chi_0)$. The arguments used in § 6 prove that for $F(s) = f'/f(s)$, when A, λ, γ_0, M defined by (31) and $|\tau_1| < 7$, the conditions of Lemma 2 are satisfied.

During the rest of this paragraph let p and \mathfrak{p} denote, respectively, primes and prime ideals not dividing N^{\dagger} , and $k(p)$ be the number of different prime ideals in K having the norm p [$0 \leq k(p) \leq n$]. Further let $\Phi(s), \Phi_1(s), \dots$ denote Dirichlet's series $\sum_q c_q q^{-s}$ with $q = p^2, p^3, \dots$, absolutely convergent in $\sigma > \frac{1}{2}$.

By (51), [1] (9), we have in $\sigma > 1$

$$G(s) = \prod_{\mathfrak{p}} (1 - N\mathfrak{p}^{-s})^{-1} : \prod_{\mathfrak{p}} (1 - p^{-s})^{-1},$$

$$\frac{G'}{G}(s) = \sum_{\mathfrak{p}} \frac{p^{-s} \log p}{1 - p^{-s}} - \sum_{\mathfrak{p}} \frac{N\mathfrak{p}^{-s} \log N}{1 - N\mathfrak{p}^{-s}} = \sum_{\mathfrak{p}} \frac{[1 - k(\mathfrak{p})] \log p}{p^s} + \Phi(s),$$

$$\zeta'/\zeta(s, \chi_0) = \sum_{\mathfrak{p}} \frac{-k(\mathfrak{p}) \log p}{p^s} + \Phi_1(s), \quad \zeta'_1/\zeta_1(s) = - \sum_{\mathfrak{p}} \frac{\log p}{p^s} + \Phi_2(s),$$

$$\begin{aligned} \frac{f'}{f}(s) &= \sum_{\mathfrak{p}} \frac{-k(\mathfrak{p}) \log p}{p^s} - \sum_{\mathfrak{p}} \frac{p^{-\delta} \log p}{p^s} + \sum_{\mathfrak{p}} \frac{[1 - k(\mathfrak{p})] p^\delta \log p}{p^s} + \Phi_3(s) \\ &= \sum_{\mathfrak{p}} \frac{\log p}{p^s} \{-k(\mathfrak{p})(1 + p^\delta) + p^\delta - p^{-\delta}\} + \Phi_3(s). \end{aligned}$$

Hence for the coefficients in (10) we have

$$(52) \quad -a_p = \{k(p)(1 + p^\delta) + p^{-\delta} - p^\delta\} \log p.$$

λ, A, B being defined as in §§ 5, 7 we may suppose

$$(53) \quad \left. \begin{matrix} 1 - p^{-\delta} \\ p^\delta - 1 \end{matrix} \right\} < e^{-B\lambda} \quad \text{if} \quad p < D^A.$$

Let us suppose first that in Lemma 2 the alternative (I) holds. Then

$$\sum_{\substack{\mathfrak{p} \\ Z < N\mathfrak{p} - p < D^{4n}}} \frac{|a_{\mathfrak{p}}|}{p^{1-\sigma}} > \log D,$$

whence, by (52), (53),

$$\sum_{Z < p = Np < D^{4n}} \frac{\log p}{p^{1-a}} > \frac{1}{3n} \log D, \quad \sum_{Z < p = Np < D^{4n}} \frac{1}{p^{1-a}} > 1/12n^2.$$

By the arguments used in § 12 we get the inequality

$$(54) \quad \sum_{D^{4n} < u < D^{8n}} 1/u > \exp(-c_1 \lambda),$$

where u denotes integers all the prime divisors of which are $p = Np > Z$, prime to $N\bar{f}$.

If the alternative (II) of Lemma 2 holds, then

$$\sum_{p = Np > D^{4n}} \frac{|a_p|}{p^{1+a/2}} > \exp(-c_0 \lambda) \log D.$$

Hence, by (52), (53) and the analogous of (33),

$$\sum_{D^{4n} < p = Np < D^A} \frac{\log p}{p^{1+a/2}} > \frac{1}{6n} \exp(-c_0 \lambda) \log D,$$

if $A \geq 8n$ is large enough (cf. § 8), whence

$$\sum_{D^{4n} < p = Np < D^A} \frac{1}{p} > \frac{1}{6An} \exp(-c_0 \lambda).$$

Comparing with (54) we deduce that in both cases (I), (II) of Lemma 2

$$\sum_{D^{4n} < u < D^A} 1/u > \exp(-c_2 \lambda),$$

the integers u being defined as in (54). We complete the proof by arguments used in §§ 13-15.

Proof of the theorem

17. By § 1 there is a $c_1 > 0$ such that in the region

$$(55) \quad R(1 - c_1 / \log D \leq \sigma \leq 1, |t| \leq D)$$

there is at most one zero $\rho = \beta'$ of $Z(s)$. If β' is not in R , then the theorem holds for $A = c_1$. If $\beta' \in R$, then $\delta \leq c_1 / \log D$ and taking $A = c_1$ we have $\delta_0 = \delta$. By Lemma 3 there is no zero $\rho \neq \beta'$ of $Z(s)$ in the region $\sigma \geq \sigma_0$, $|t| \leq D$, if

$$1 - \sigma_0 = \frac{A_2}{\log D} \log \frac{eA_1}{\delta \log D}.$$

This is

$$> \frac{A}{\log D} \log \frac{eA}{\delta_0 \log D}$$

if $A = c_1 < \min(A_1, A_2)$, which can be taken for granted (otherwise replace c_1 in (55) by a smaller constant).

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