

## The distribution of 4-full numbers

by

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**1. Introduction.** A positive integer  $n$  is called *4-full* whenever  $p|n$  implies that  $p^4|n$ , where  $p$  denotes a prime number. Let  $Q_4(x)$  be the number of 4-full numbers not exceeding  $x$ , for  $x$  sufficiently large. The problem of finding an asymptotic formula for  $Q_4(x)$  with a good error term has a long and distinguished history, beginning with a famous paper of Erdős and Szekeres [3]. Elementary (Abel summation, Euler–Maclaurin summation), analytic (Perron formula, residue theorem), and exponential sum methods have subsequently been used to attack the problem. Let

$$F(s) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} \quad \text{for } \operatorname{Re}(s) > 1,$$

where  $\chi(n)$  is the character function of 4-full integers; then

$$F(s) = \frac{\zeta(4s)\zeta(5s)\zeta(6s)\zeta(7s)}{\zeta(10s)} \sum_{n=1}^{\infty} \frac{c(n)}{n^s},$$

the Dirichlet series  $\sum c(n)n^{-s}$  is absolutely convergent for  $\operatorname{Re}(s) > 1/11$ , so that by the residue theorem we can write

$$Q_4(x) = \sum_{4 \leq i \leq 7} W_i x^{1/i} + \Delta(x),$$

with  $\Delta(x)$  an error term. Let

$$\lambda = \inf\{\varrho : \Delta(x) \ll x^\varrho\}.$$

The following list of upper bounds of  $\lambda$  can be found in the literature:

- $1/5 = 0.2$ , Erdős and Szekeres [3] (1935),
- $1/6 = 0.1666\dots$ , Bateman and Grosswald [2] (1958),
- $169/1360 = 0.1242\dots$ , Krätzel [7] (1972),
- $257/2072 = 0.1240\dots$ , Ivić [4] (1978),
- $3187/25852 = 0.1232\dots$ , Ivić [5] (1981),
- $3091/25981 = 0.1189\dots$ , Ivić and Shiu [6] (1982),

$5/44 = 0.1136\dots$ , Krätzel [8] (1983),  
 $21/187 = 0.1122\dots$ , Krätzel [10] (1989);

in particular, the last result of Krätzel was obtained by using the three-dimensional lattice point results of his paper [9]. Professor Krätzel informed the author in June 1993 that Dr. Menzer (Jena) already got a further improvement on his result.

The purpose of this paper is to give a better upper bound for  $\lambda$ . We will show the following

**THEOREM 1.**  $\lambda \leq 6/59 = 0.1016\dots$

Our result is near but still falls short of the expected bound, namely,  $\lambda \leq 0.1$ . In light of the argument involved in Krätzel [10], it suffices to deduce the following

**THEOREM 2.** For any  $\varepsilon > 0$ ,

$$\sum_{n_1^4 n_2^5 n_3^6 n_4^7 \leq x} 1 = Ax^{1/4} + Bx^{1/5} + Cx^{1/6} + Dx^{1/7} + O(x^{6/59+\varepsilon})$$

with some absolute constants  $A, B, C$  and  $D$ .

Following the approach of Krätzel, Theorem 2 can be reduced to 4-dimensional exponential sums, which can be estimated by a combination of Kolesnik's method and a refined version of the Bombieri–Fouvry–Iwaniec method (cf. [11], [12]).

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**2. Proof of Theorem 2 (reduction).** From (4), (5) and (7) of [10],

$$\sum_{n_1^4 n_2^5 n_3^6 n_4^7 \leq x} 1 = Ax^{1/4} + Bx^{1/5} + Cx^{1/6} + Dx^{1/7} + E(x),$$

where

$$E(x) = - \sum_{(a,b,c,d)} S(a, b, c, d; x) + O(x^{1/11}),$$

$(a, b, c, d)$  runs through all permutations of  $(4, 5, 6, 7)$ , and

$$S(a, b, c, d; x) = \sum_1 \psi \left( \left( \frac{x}{n_1^a n_2^b n_3^c} \right)^{1/d} \right),$$

$\psi(t) = t - [t] - \frac{1}{2}$  ( $[t]$  is the integral part of  $t$ ), with  $\sum_1$  denoting summation over all lattice points  $(n_1, n_2, n_3)$  with

$$n_1^a n_2^b n_3^{c+d} \leq x, \quad 1 \leq n_1 (\leq) n_2 \leq n_3;$$

here  $n_1 (\leq) n_2$ ) means  $n_1 \leq n_2$  if  $(a, b) = (a_i, a_j)$  for  $i < j$ , and  $n_1 < n_2$  otherwise, and we have set  $(4, 5, 6, 7) = (a_1, a_2, a_3, a_4)$ . We can write  $S(a, b, c, d; x)$  as  $O((\ln x)^3)$  subsums of the type  $S(a, b, c, d; \mathbf{N})$ , together with a permissible error, where  $\mathbf{N} = (N_1, N_2, N_3)$ ,  $N_v$ 's are positive integers, and

$$S(a, b, c, d; \mathbf{N}) = \sum_2 \psi \left( \left( \frac{x}{n_1^a n_2^b n_3^c} \right)^{1/d} \right)$$

with  $\sum_2$  denoting summation over lattice points  $(n_1, n_2, n_3)$  with

$$(0) \quad n_1^a n_2^b n_3^{c+d} \leq x, \quad 1 \leq n_1 (\leq) n_2 \leq n_3, \quad N_v \leq n_v < 2N_v \quad (v = 1, 2, 3).$$

By means of the Fourier series treatment of the function  $\psi(t)$  (cf. (18) and (19) of [11]), for a parameter  $K \in [10, x^{1/2}]$  and some number  $H \in [1, K^2]$  ( $H$  depends on  $K$ ), we have the estimate

$$(1) \quad x^{-\varepsilon} S(a, b, c, d; \mathbf{N}) \ll N_1 N_2 N_3 K^{-1} + \min(1, K/H) (\Phi(H; \mathbf{N}) + \Psi(H; \mathbf{N})),$$

where

$$(2) \quad \begin{aligned} \Phi(H; \mathbf{N}) &= H^{-1} \sum_{h \sim H} \left| \sum_3 e(f(h, n_1, n_2, n_3)) \right|, \\ f(h, n_1, n_2, n_3) &= h \left( \frac{x}{n_1^a n_2^b n_3^c} \right)^{1/d} \end{aligned}$$

with  $\sum_3$  denoting summation over lattice points  $(n_1, n_2, n_3)$  with

$$(*) \quad n_1^a n_2^b n_3^{c+d} \leq x, \quad 1 \leq n_1 < n_2 \leq n_3, \quad N_v \leq n_v < 2N_v \quad (v = 1, 2, 3),$$

and

$$\begin{aligned} \Psi(H; \mathbf{N}) &= H^{-1} \sum_{h \sim H} \left| \sum_4 e(f_1(h, n_2, n_3)) \right|, \\ f_1(h, n_2, n_3) &= h(x n_2^{-a-b} n_3^{-c})^{1/d} \end{aligned}$$

with  $\sum_4$  denoting summation over lattice points  $(n_2, n_3)$  with

$$(\#) \quad n_2^{a+b} n_3^{c+d} \leq x, \quad 1 \leq n_2 \leq n_3, \quad N_v \leq n_v < 2N_v \quad (v = 2, 3)$$

(that is,  $(\#)$  is obtained from (0) by taking  $n_1 = n_2$ ).

Throughout this paper we use the notations  $r \sim R$  and  $r \cong R$  to mean  $1 \leq r/R < 2$  and  $C_1 \leq r/R \leq C_2$ , respectively;  $C_i$  ( $i = 1, 2, 3, \dots$ ) will be some absolute constants. As usual,  $e(\xi) = \exp(2\pi i \xi)$  for a real number  $\xi$ .

As the contribution of  $\Psi(H; \mathbf{N})$  is always negligible when compared with that of  $\Phi(H; \mathbf{N})$ , we will omit  $\Psi(H; \mathbf{N})$  from our argument throughout. For convenience we can assume that  $x = \sqrt{5} \cdot Z$ , where  $Z$  is an integer, that is,  $x$  is a quadratic irrational (otherwise we can replace  $x$  by  $5^{1/2}[x5^{-1/2}]$  and add a permissible error in (1)). To deal with  $\Phi(H; \mathbf{N})$  we first transform summation over  $n_3$  to summation over  $u$  via the following lemma.

LEMMA 1. *Let  $f(x)$  and  $g(x)$  be algebraic functions for  $x \in [a, b]$ , satisfying*

$$|f''(x)| \cong R^{-1}, \quad f'''(x) \ll (RU)^{-1},$$

$$|g(x)| \leq H, \quad g'(x) \ll HU_1^{-1}, \quad U, U_1 \geq 1.$$

Then

$$\begin{aligned} & \sum_{a \leq n \leq b} g(n)e(f(n)) \\ &= \sum_{\alpha \leq u \leq \beta} b_u \frac{g(n(u))}{\sqrt{f''(n(u))}} e(f(n(u)) - un(u) + 1/8) \\ & \quad + O(H \ln(\beta - \alpha + 2) + H(b - a + R)(U^{-1} + U_1^{-1})) \\ & \quad + O\left(H \min\left(R^{1/2}, \max\left(\frac{1}{\langle \alpha \rangle}, \frac{1}{\langle \beta \rangle}\right)\right)\right), \end{aligned}$$

where  $[\alpha, \beta]$  is the image of  $[a, b]$  under the mapping  $y = f'(x)$ ,  $n(u)$  is determined by the equation  $f'(n(u)) = u$ , and

$$b_u = \begin{cases} 1 & \text{for } \alpha < u < \beta, \\ 1/2 & \text{for } u = \alpha = \text{integer or } u = \beta = \text{integer}; \end{cases}$$

the function  $\langle x \rangle$  is defined as follows:

$$\langle x \rangle = \begin{cases} \|x\| & \text{if } x \text{ is not an integer,} \\ \beta - \alpha & \text{otherwise,} \end{cases}$$

where  $\|x\| = \min_{n \in \mathbb{Z}} |x - n|$ ; and  $\sqrt{f''} > 0$  if  $f'' > 0$ , and  $\sqrt{f''} = i\sqrt{|f''|}$  if  $f'' < 0$ .

Proof. This is Lemma 1.4 of [13].

Now put

$$X = xn_1^{-a},$$

$$M_1 = \max(N_3, n_2), \quad M_2 = \min((Xn_2^{-b})^{1/(c+d)}, 2N_3),$$

$$U_1 = \frac{hc}{d}(Xn_2^{-b}M_2^{-c-d})^{1/d}, \quad U_2 = \frac{hc}{d}(Xn_2^{-b}M_1^{-c-d})^{1/d}.$$

Lemma 1 yields

$$\begin{aligned}
 (3) \quad & \sum_{M_1 \leq n_3 \leq M_2} e(g) \\
 &= \sum_{U_1 < u < U_2} C_1(X^{-1}h^{-d}n_2^b u^{2d+c})^{1/(2(d+c))} e(g) \\
 &+ O\left(N_3(HF)^{-1} + \ln x + \min\left((N_3^2 H^{-1} F^{-1})^{1/2}, \frac{1}{U_2 - hc/d}\right)\right) \\
 &+ O\left(\sum_{1 \leq i \leq 2} \min\left((N_3^2 H^{-1} F^{-1})^{1/2}, \frac{1}{\|T(n_2, X_i)\|}\right)\right) \\
 &+ R(h, n_1, n_2),
 \end{aligned}$$

where

$$\begin{aligned}
 g &= C_2(Xh^d u^c n_2^{-b})^{1/(c+d)}, \quad X_1 = \max(n_2, N_3), \quad X_2 = 2N_3, \\
 F &= (XN_3^{-c} N_2^{-b})^{1/d}, \\
 R(h, n_1, n_2) &= \begin{cases} \frac{1}{2} C_1(X^{-1}h^{-d}n_2^b U_1^{2d+c})^{-1/(2(c+d))} e(g) \\ \quad \text{if } M_2 = (Xn_2^{-b})^{1/(d+c)} \text{ and } U_1 \text{ integer,} \\ 0 & \text{otherwise,} \end{cases} \\
 T(n_2, w) &= \frac{hc}{d} (Xn_2^{-b} w^{-d-c})^{1/d}.
 \end{aligned}$$

We find that (as  $F \gg N_3$ )

$$(4) \quad \sum_{n_1} \sum_{n_2} \min\left((N_3^2 H^{-1} F^{-1})^{1/2}, \frac{1}{U_2 - hc/d}\right) \ll x^{1/11}.$$

Let  $G = (xN_1^{-a} N_2^{-b} N_3^{-c})^{1/d} (\cong F)$ . By Hilfssatz 4 of [9] we get

$$\begin{aligned}
 (5) \quad & \sum_{n_1} \sum_{n_2} \min\left((N_3^2 H^{-1} F^{-1})^{1/2}, \frac{1}{\|T(n_2, X_i)\|}\right) \\
 &\ll N_1(1 + HGN_3^{-1})((N_3^2 H^{-1} G^{-1})^{1/2} + H^{-1}G^{-1}N_2N_3) \ln x \\
 &\ll N_1(HG)^{1/2} \ln x + x^{1/11}.
 \end{aligned}$$

Finally, an application of the exponent pair  $(1/6, 4/6)$  gives

$$\begin{aligned}
 (6) \quad & \sum_{n_1} \sum_{n_2} R(h, n_1, n_2) \\
 &\ll N_1(N_3^2(HG)^{-1})^{1/2}(N_2^{4/6}(GHN_2^{-1})^{1/6} + N_2H^{-1}G^{-1}) \\
 &\ll N_1N_2^{1/2}N_3G^{-1/3} + N_1N_2N_3G^{-3/2} \ll N_1N_2^{1/2}N_3^{2/3} + N_1N_2 \\
 &\ll (N_1^7N_2^6N_3^9)^{13/132} + N_1N_2 \ll x^{13/132}.
 \end{aligned}$$

From (2)–(6) we get

$$(7) \quad \Phi(H; \mathbf{N}) \ll H^{-1}(N_3^2 H^{-1} G^{-1})^{1/2} \sum_{h \sim H} \left| \sum_5 g_1(n_1) g_2(n_2) g_3(u) e(g) \right| \\ + N_1 (HG)^{1/2} \ln x + x^{13/132},$$

where  $\sum_5$  denotes summation over lattice points  $(n_1, n_2, u)$  with

$$1 \leq n_1 < n_2, \quad N_v \leq n_v < 2N_v \quad (v = 1, 2), \quad U_1 < u < U_2,$$

and  $g_i(\cdot)$  ( $i = 1, 2, 3$ ) are monomials with  $|g_i(\cdot)| \leq 1$ .

### 3. Three estimates for $S(a, b, c, d; \mathbf{N})$

LEMMA 2. Let  $f(x, y) = Ax^\alpha y^\beta$ ,  $g(x, y) = Bx^\gamma y^\delta$ , where  $\alpha, \beta, \gamma$  and  $\delta$  are rationals with  $\alpha\beta(\alpha + \beta - 1)(\alpha + \beta - 2) \neq 0$ ,  $A > 0$ , and suppose that  $g(x, y) \cong G$  holds for  $(x, y)$  with  $x \sim X$  and  $y \sim Y$ . Moreover, suppose that  $D \subset \{(x, y) \mid x \sim X, y \sim Y\}$ ,  $D$  is embraced by  $O(1)$  algebraic curves, and  $X \gg Y$ . Let  $N = XY$ ,  $F = AX^\alpha Y^\beta$ . Then

$$\sum_{(x, y) \in D} g(x, y) e(f(x, y)) \ll (N + AN)^\varepsilon G (\sqrt[6]{F^2 N^3} + N^{5/6} \\ + \sqrt[8]{N^8 F^{-1} X^{-1}} + NF^{-1/4} + NY^{-1/2}).$$

Proof. This is a “weighted” version of Lemma 9 of [11], and can be obtained similarly by Kolesnik’s original method.

Applying Lemma 2 to the variables  $n_2$  and  $u$  of the multiple sum of (7), we get

$$x^{-\varepsilon} \Phi(H; \mathbf{N}) \ll \sqrt[6]{(HG)^2 N_1^6 N_2^3 N_3^3} + \sqrt[8]{(HG)^3 N_1^8 N_2^7} \\ + (HG)^{1/2} N_1 N_2^{1/2} + N_1 N_2 N_3^{1/2} + x^\varphi,$$

where  $\varphi = 13/132$ ; thus from (1) we obtain

$$(8) \quad x^{-2\varepsilon} S(a, b, c, d; \mathbf{N}) \ll N_1 N_2 N_3 K^{-1} + \sqrt[6]{(KG)^2 N_1^6 N_2^3 N_3^3} \\ + \sqrt[8]{(KG)^3 N_1^8 N_2^7} + (KG)^{1/2} N_1 N_2^{1/2} \\ + N_1 N_2 N_3^{1/2} + x^\varphi.$$

To choose  $K$  optimally we need the following

LEMMA 3. Let  $M, N, u_m, v_n, A_m, B_n$  be positive ( $1 \leq n \leq N, 1 \leq m \leq M$ ), and  $Q_1$  and  $Q_2$  be given non-negative numbers with  $Q_1 < Q_2$ . Then

there is a number  $Q$  such that  $Q_1 \leq Q \leq Q_2$  and

$$\sum_{1 \leq m \leq M} A_m Q^{u_m} + \sum_{1 \leq n \leq N} B_n Q^{-v_n} \ll \sum_{1 \leq n \leq N} \sum_{1 \leq m \leq M} (A_m^{v_n} B_n^{u_m})^{1/(u_m+v_n)} + \sum_{1 \leq m \leq M} A_m Q_1^{u_m} + \sum_{1 \leq n \leq N} B_n Q_2^{-v_n}.$$

**Proof.** This is Lemma 2 of [11].

By Lemma 3 we can choose a  $K \in [0, x^{1/2}]$  optimally in (8) so that

$$(9) \quad x^{-2\varepsilon} S(a, b, c, d; \mathbf{N}) \ll \sqrt[8]{G^2 N_1^8 N_2^5 N_3^5} + \sqrt[11]{G^3 N_1^{11} N_2^{10} N_3^3} + \sqrt[3]{GN_1^3 N_2^2 N_3} + N_1 N_2 N_3^{1/2} + x^\varphi.$$

This is our first estimate. To get the second, we apply the next

**LEMMA 4.** *Let  $H \geq 1, X \geq 1, Y \geq 1000, \alpha, \beta$  and  $\gamma$  be real numbers with  $\alpha\gamma(\gamma-1)(\beta-1) \neq 0$ , and let  $A > C(\alpha, \beta, \gamma) > 0$  and  $f(h, x, y) = Ah^\alpha x^\beta y^\gamma$ . Define*

$$S(H, X, Y) = \sum_{(h,x,y) \in D} C_1(h, x) C_2(y) e(f(h, x, y)),$$

where  $D$  is a region contained in the rectangle  $\{(h, x, y) \mid h \sim H, x \sim X, y \sim Y\}$  such that for any fixed pair  $(h_0, x_0)$ , the intersection  $D \cap \{(h_0, x_0, y) \mid y \sim Y\}$  has at most  $O(1)$  segments. Also, suppose that  $|C_1(h, x)| \leq 1, |C_2(y)| \leq 1$  and  $F = AH^\alpha X^\beta Y^\gamma \gg Y$ . Then, for  $L = \ln((A+1)HXY+2)$  and  $M = \max(1, FY^{-2})$ ,

$$L^{-3} S(H, X, Y) \ll \sqrt[22]{(HX)^{19} Y^{13} F^3} + HXY^{5/8} (1 + Y^7 F^{-4})^{1/16} + \sqrt[32]{(HX)^{29} Y^{28} F^{-2} M^5} + \sqrt[4]{(HX)^3 Y^4 M}.$$

**Proof.** This is Theorem 3 of [12].

We apply Lemma 4 to the triple  $(h, u, n_2)$  of (7), with the choice  $(h, x, y) = (h, u, n_2)$ , and we estimate the sum over  $n_1$  trivially in (7), thus obtaining (note that  $u \cong HGN_3^{-1}$ ):

$$(10) \quad x^{-\varepsilon} \Phi(H; \mathbf{N}) \ll \sqrt[22]{H^8 G^{11} N_1^{22} N_2^{13} N_3^3} + (HG)^{1/2} N_1 N_2^{5/8} + \sqrt[16]{(HG)^4 N_1^{16} N_2^{17}} + \sqrt[32]{H^8 G^{11} N_1^{32} N_2^{28} N_3^3} + \sqrt[32]{H^{13} G^{16} N_1^{32} N_2^{18} N_3^3} + \sqrt[4]{GN_1^4 N_2^4 N_3} + \sqrt[4]{(HG)^2 N_1^4 N_2^2} + x^\varphi.$$

From (1) and (10) we find that

$$\begin{aligned}
 (11) \quad x^{-2\varepsilon} S(a, b, c, d; \mathbf{N}) &\ll N_1 N_2 N_3 K^{-1} \\
 &+ \sqrt[22]{K^8 G^{11} N_1^{22} N_2^{13} N_3^3} + (KG)^{1/2} N_1 N_2^{5/8} \\
 &+ \sqrt[16]{(KG)^4 N_1^{16} N_2^{17}} + \sqrt[32]{K^8 G^{11} N_1^{32} N_2^{28} N_3^3} \\
 &+ \sqrt[32]{K^{13} G^{16} N_1^{32} N_2^{18} N_3^3} + \sqrt[4]{GN_1^4 N_2^4 N_3} \\
 &+ \sqrt[4]{(KG)^2 N_1^4 N_2^4} + x^\varphi.
 \end{aligned}$$

Choosing  $K \in [0, x^{1/2}]$  optimally via Lemma 3, we obtain

$$\begin{aligned}
 (12) \quad x^{-2\varepsilon} S(a, b, c, d; \mathbf{N}) &\ll \sqrt[30]{G^{11} N_1^{30} N_2^{21} N_3^{11}} + \sqrt[24]{(GN_3)^8 N_2^{18} N_1^{24}} \\
 &+ \sqrt[20]{(GN_3)^4 N_2^{21} N_1^{20}} + \sqrt[40]{(GN_3)^{11} N_2^{36} N_1^{40}} \\
 &+ \sqrt[45]{(GN_3)^{16} N_2^{31} N_1^{45}} + \sqrt[5]{(GN_3)^2 N_2^3 N_1^5} \\
 &+ \sqrt[4]{GN_3 N_2^4 N_1^4} + x^\varphi.
 \end{aligned}$$

This is the second estimate. To deduce the third, we first relax the severe constraint  $n_1 < n_2$  and  $U_1 < u < U_2$  by the next familiar lemma.

LEMMA 5. *Let  $T, \alpha$  and  $\beta$  be real,  $T > 0, \beta > 0$ . Then*

$$\frac{1}{\pi} \int_{-T}^T e^{it\alpha} \frac{\sin t\beta}{t} dt = \begin{cases} 1 + O(1/(T(\beta - |\alpha|))) & \text{if } |\alpha| \leq \beta, \\ O(1/(T(|\alpha| - \beta))) & \text{if } |\alpha| > \beta. \end{cases}$$

We can apply Lemma 5 as follows. For instance, we want to remove the inequality

$$u > U_1 = \frac{hc}{d} (xn_1^{-a} n_2^{-b} (2N_3)^{-c-d})^{1/d}$$

when  $M_2 = 2N_3$ ; then we choose  $T = x^{1000}$ ,  $\alpha = \ln(x(hc)^d)$  and  $\beta = \ln((ud)^d n_1^a n_2^b (2N_3)^{c+d})$  in Lemma 5. As  $x = \sqrt{5}Z$  with  $Z$  an integer, the contribution of  $O(T(|\alpha| - \beta)^{-1})$  of Lemma 5 can be estimated satisfactorily by using the fact that  $|x - p/q| \gg q^{-2}x^{-1}$  for all rationals  $p/q$  with  $q > 0$  (which is implied by a special case of Liouville’s theorem, cf. §5 of Chapter 6 in Baker [1]). In this fashion we can remove all the relationships between the lattice points  $(h, n_2)$  and  $(n_1, u)$  consecutively. Thus from (7) we get

$$\begin{aligned}
 (13) \quad x^{-\varepsilon} \Phi(H; \mathbf{N}) &\ll (H^{-3}G^{-1}N_3^2)^{1/2} \sum_{h \sim H} \sum_{n_2 \sim N_2} \left| \sum_{(n_1, u) \in D_1} C(n_1, u) e(C_2(xh^d u^c n_1^{-a} n_2^{-b})^\delta) \right| \\
 &+ N_1(HG)^{1/2} + x^\varphi,
 \end{aligned}$$

where, for brevity,  $\delta = 1/(c + d)$ , and  $D_1$  is a region contained in  $\{(n_1, u) \mid n_1 \sim N_1, u \cong U = HGN_3^{-1}\}$ , independent of  $h$  and  $n_2$ ;  $|C(n_1, u)| \leq 1$ . By



Lemma 4 of [11] we get from (13) the estimate

$$(14) \quad x^{-2\varepsilon} \Phi(H; \mathbf{N})^2 \ll H^{-2} N_3^2 B_1 B_2 + HGN_1^2 + x^{2\varphi},$$

where  $B_1$  is the number of lattice points  $(h, n_2, \tilde{h}, \tilde{n}_2)$  such that

$$h, \tilde{h} \sim H, \quad n_2, \tilde{n}_2 \sim N_2, \quad |(h^d n_2^{-b})^{1/(c+d)} - (\tilde{h}^d \tilde{n}_2^{-b})^{1/(c+d)}| \ll \Delta R_1,$$

with  $\Delta = (HG)^{-1}$  and  $R_1 = (H^d N_2^{-b})^{1/(c+d)}$ , and where  $B_2$  is the number of lattice points  $(n_1, u, \tilde{n}_1, \tilde{u})$  such that

$$n_1, \tilde{n}_1 \sim N_1, \quad u, \tilde{u} \cong HGN_3^{-1}, \\ |(u^c n_1^{-a})^{1/(c+d)} - (\tilde{u}^c \tilde{n}_1^{-a})^{1/(c+d)}| \ll \Delta R_2,$$

with  $R_2 = ((HGN_3^{-1})^c N_1^{-a})^{1/(c+d)}$ . By Lemma 5 of [11],

$$(15) \quad B_1 \ll (HN_2 + HN_2 N_2 G^{-1})(\ln x)^2 \ll HN_2 \ln^2 x,$$

$$(16) \quad B_2 \ll (N_1 HGN_3^{-1} + HGN_1^2 N_3^{-2})(\ln x)^2 \ll N_1 HGN_3^{-1} \ln^2 x.$$

From (14)–(16) we get

$$x^{-2\varepsilon} \Phi(H; \mathbf{N}) \ll (GN_1 N_2 N_3)^{1/2} + N_1 (HG)^{1/2} + x^\varphi,$$

which, in conjunction with (1) and the choice  $K = (G^{-1} N_2^2 N_3^3)^{1/3}$ , gives

$$(17) \quad x^{-3\varepsilon} S(a, b, c, d; \mathbf{N}) \ll (GN_1 N_2 N_3)^{1/2} + \sqrt[3]{GN_1^3 N_2 N_3} + x^\varphi \\ \ll (GN_1 N_2 N_3)^{1/2} + x^\varphi.$$

This is our third estimate.

**4. Proof of Theorem 2 (completion).** Recall that  $N_1 \ll N_2 \ll N_3$ ; thus

$$(18) \quad (N_3 \ll) G := (xN_1^{-a} N_2^{-b} N_3^{-c})^{1/d} \ll (xN_1^{-7} N_2^{-6} N_3^{-5})^{1/4}$$

for any permutation  $(a, b, c, d)$  of  $(4, 5, 6, 7)$ . By our three estimates (9), (12), (17), and the fact (18), we have, with  $\eta = 4\varepsilon$ ,

$$(19) \quad x^{-\eta} S(a, b, c, d; \mathbf{N}) \ll \sqrt[16]{xN_1^9 N_2^4 N_3^5} + \sqrt[44]{x^3 N_1^{23} N_2^{22} N_3^{-3}} \\ + \sqrt[12]{xN_1^5 N_2^2 N_3^{-1}} + N_1 N_2 N_3^{1/2} + x^\varphi \\ \ll \sqrt[16]{xN_1^9 N_2^4 N_3^5} + \sqrt[44]{x^3 N_1^{23} N_2^{19}} \\ + \sqrt[12]{xN_1^5 N_2} + N_1 N_2 N_3^{1/2} + x^\varphi,$$

$$\begin{aligned}
(20) \quad x^{-\eta}S(a, b, c, d; \mathbf{N}) &\ll \sqrt[120]{x^{11}N_1^{43}N_2^{18}N_3^{-11}} + \sqrt[24]{x^2N_1^{10}N_2^6N_3^{-2}} \\
&+ \sqrt[20]{xN_1^{13}N_2^{15}N_3^{-1}} + \sqrt[160]{x^{11}N_1^{83}N_2^{78}N_3^{-11}} \\
&+ \sqrt[45]{x^4N_1^{17}N_2^7N_3^{-4}} + \sqrt[10]{xN_1^3N_3^{-1}} \\
&+ \sqrt[16]{xN_1^9N_2^{10}N_3^{-1}} + x^\varphi,
\end{aligned}$$

and

$$(21) \quad x^{-\eta}S(a, b, c, d; \mathbf{N}) \ll \sqrt[8]{xN_1^{-3}N_2^{-2}N_3^{-1}} + x^\varphi.$$

It remains to deduce the required estimate from (19)–(21).

From (19) and (21) it is seen that

$$x^{-\eta}S(a, b, c, d; \mathbf{N}) \ll \sqrt[16]{xN_1^9N_2^4N_3^5} + E_1 + E_2 + E_3 + x^\varphi,$$

where

$$\begin{aligned}
E_1 &= \min(\sqrt[44]{x^3(N_1N_2)^{21}}, \sqrt[8]{x(N_1N_2)^{-3}}) \leq x^{0.1}, \\
E_2 &= \min(\sqrt[12]{x(N_1N_2)^3}, \sqrt[8]{x(N_1N_2)^{-3}}) \leq x^{0.1}, \\
E_3 &= \min(N_1N_2N_3^{1/2}, \sqrt[8]{xN_1^{-3}N_2^{-2}N_3^{-1}}) \ll (xN_1^{-1})^{0.1} \leq x^{0.1}.
\end{aligned}$$

Thus

$$(22) \quad x^{-\eta}S(a, b, c, d; \mathbf{N}) \ll \sqrt[16]{xN_1^9N_2^4N_3^5} + x^{0.1}.$$

From (20) and (22) we obtain

$$(23) \quad x^{-\eta}S(a, b, c, d; \mathbf{N}) \ll \sum_{4 \leq i \leq 10} E_i + x^{0.1},$$

where

$$(24) \quad E_4 = \min(\sqrt[10]{xN_1^3N_3^{-1}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \ll \sqrt[33]{x^3N_1^{12}N_2^2},$$

$$(25) \quad E_5 = \min(\sqrt[120]{x^{11}N_1^{43}N_2^{18}N_3^{-18}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \\ \ll \sqrt[888]{x^{73}N_1^{377}N_2^{162}},$$

$$(26) \quad E_6 = \min(\sqrt[12]{xN_1^5N_2^3N_3^{-1}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \ll \sqrt[76]{x^6N_1^{34}N_2^{19}},$$

$$(27) \quad E_7 = \min(\sqrt[20]{xN_1^{13}N_2^{15}N_3^{-1}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \ll \sqrt[116]{x^6N_1^{74}N_2^{79}},$$

$$(28) \quad E_8 = \min(\sqrt[160]{x^{11}N_1^{83}N_2^{78}N_3^{-11}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \\ \ll \sqrt[488]{x^{33}N_1^{257}N_2^{217}},$$

$$(29) \quad E_9 = \min(\sqrt[45]{x^4N_1^{17}N_2^7N_3^{-4}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \ll \sqrt[289]{x^{24}N_1^{121}N_2^{51}},$$

$$(30) \quad E_{10} = \min(\sqrt[16]{xN_1^9N_2^{10}N_3^{-1}}, \sqrt[16]{xN_1^9N_2^4N_3^5}) \ll \sqrt[96]{x^6(N_1N_2)^{54}}.$$

By (21) and (22) we get

$$(31) \quad x^{-\eta} S(a, b, c, d; \mathbf{N}) \ll \min(\sqrt[16]{x N_1^9 N_2^4 N_3^5}, \sqrt[8]{x N_1^{-3} N_2^{-2} N_3^{-1}}) + x^{0.1} \\ \ll \sqrt[28]{(x N_1^{-1} N_2^{-1})^3} + x^{0.1}.$$

For brevity we set  $J = N_1 N_2$ . From (23) to (31) we find that

$$x^{-\eta} S(a, b, c, d; \mathbf{N}) \ll \sum_{11 \leq i \leq 17} E_i + x^{0.1},$$

where

$$E_{11} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[33]{x^3 J^7}) \ll x^{6/59}, \\ E_{12} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[888]{x^{73} J^{269.5}}) \ll x^{0.1007}, \\ E_{13} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[76]{x^6 J^{26.5}}) \ll x^{0.1006}, \\ E_{14} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[116]{x^6 J^{79}}) \ll x^{0.1}, \\ E_{15} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[488]{x^{33} J^{237}}) \ll x^{0.1}, \\ E_{16} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[289]{x^{24} J^{86}}) \ll x^{0.1008}, \\ E_{17} = \min(\sqrt[28]{(x J^{-1})^3}, \sqrt[48]{x^3 J^{27}}) \ll x^{0.1},$$

as required.

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