

**Mordell–Weil rank of the jacobians of the curves  
defined by  $y^p = f(x)$**

by

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**1. Introduction.** It is an interesting problem to study, for a given abelian variety  $A$  defined over a number field  $K$ , how the Mordell–Weil rank of  $A(L)$  varies when  $L$  runs through finite extensions of  $K$ . Especially, it seems to be interesting to construct explicitly a sequence  $\{L_n : n \geq 1\}$  of finite extensions of  $K$  such that  $\text{rank}(A(L_n))$  grows rapidly as  $n$  tends to infinity.

Recently Top ([4]) settled this problem for hyperelliptic curves  $C$  over  $\mathbb{Q}$  with a  $\mathbb{Q}$ -rational point: he constructed explicitly infinitely many extensions of  $\mathbb{Q}$  of the form  $L = \mathbb{Q}(\sqrt{d_1}, \dots, \sqrt{d_m})$  for which  $\text{rank}(J(L)) \geq \text{rank}(J(\mathbb{Q})) + m$  where  $J$  denotes the jacobian variety of  $C$ .

On the other hand, it has been shown by Mazur that for any  $\mathbb{Z}_l$ -extension  $L = \bigcup_{n=1}^{\infty} L_n$  of  $K$ , there exists a non-negative integer  $\varrho$  such that

$$\text{rank}(A(L_n)) + \text{corank}(H^1(\text{Gal}(L/L_n), A(L))) = \varrho l^n + \text{const}$$

for sufficiently large  $n$  (see [1] or [2]). He also showed that under some conditions,  $\varrho = 0$ . Thus it seems not unlikely that if a sequence  $\{L_n\}$  of finite  $l$ -abelian extensions of  $K$  satisfies the desired property, then the  $l$ -rank of  $\text{Gal}(L_n/K)$  must grow when  $n$  tends to infinity. The above result of Top ([4]) shows that this is indeed the case for the jacobians of hyperelliptic curves.

The purpose of this paper is to extend Top's result to the case of the superelliptic curves  $y^p = f(x)$ , where  $p$  is an arbitrary prime. In our case the fields are chosen among the Kummer extensions of exponent  $p$ .

**2. Statement of the result.** Our main theorem is the following:

**THEOREM.** *Let  $p$  be a prime number,  $\zeta_p$  a primitive  $p$ -th root of unity, and set  $K = \mathbb{Q}(\zeta_p)$ . Denote by  $\mathfrak{D}_K$  the ring of integers of  $K$ . Let  $f \in \mathfrak{D}_K[X]$  be a separable polynomial such that the degree of  $f$ , denoted by  $n$ , is prime to  $p$  and  $\frac{1}{2}(p-1)(n-1) \geq 1$ . Let  $C$  be a smooth projective model of the*

curve given by  $y^p = f(x)$  and let  $J$  be the jacobian variety of  $C$ . For every  $m \geq 1$  one can explicitly construct infinitely many extensions of  $K$  of the form  $L = K(\sqrt[p]{d_1}, \dots, \sqrt[p]{d_m})$  for which

$$\text{rank}(J(L)) \geq \text{rank}(J(K)) + (p - 1)m.$$

Remark 1. In the case of  $p = 2$ , this reduces to Top’s theorem ([4]).

Remark 2. We can apply this theorem to the Fermat curve  $F_p : x^p + y^p = 1$ , where  $p$  is an odd prime number. In fact, putting  $u := 1/(x - 1)$  and  $v := y/(x - 1)$ ,  $F_p$  is birationally equivalent to the curve

$$v^p = - \prod_{i=1}^{p-1} ((\zeta_p^i - 1)u - 1).$$

In [5], Weil expressed the  $L$ -function  $L(s, J_p/k)$  of the jacobian variety  $J_p$  of  $F_p$  over a number field  $k$  by means of Hecke  $L$ -functions. If the conjecture of Tate in [3] holds, for fields  $M$  constructed in the theorem  $L(s, J_p/M)$  must have a zero at  $s = 1$  of order  $\geq (p - 1)m$ . So it is interesting to prove directly that  $L(s, J_p/M)$  has a zero at  $s = 1$  of order  $\geq (p - 1)m$ . Because the action of  $\mathbb{Z}[\zeta_p]$  on the Tate module of  $J_p$  commutes with the Galois action, this  $L$ -series is a  $(p - 1)$ st power. So the factor  $p - 1$  in the conjectured order of vanishing is understood.

**3. The proof of the theorem.** Firstly we calculate the genus  $g$  of  $C$ . Consider the morphism  $\theta : C \rightarrow \mathbb{P}^1$  defined by

$$\theta : (x, y) \mapsto x.$$

Let  $O$  be a point of  $C$  such that  $\theta(O) = \infty$  and let  $e$  be the ramification index of  $\theta$  at  $O$ . Then the rational function  $f(x)$  on  $C$  has a pole at  $O$  of order  $en$  ( $n = \text{deg}(f)$ ). Since  $y^p = f(x)$ ,  $p$  must divide  $en$ . By the assumption  $(p, n) = 1$ ,  $p \mid e$ . Since  $\theta$  is a Galois covering of degree  $p$ ,  $e = 1$  or  $p$ , hence  $e = p$ . So it follows that  $\theta^{-1}(\infty) = \{O\}$  and  $O \in C(K)$ . Applying the Hurwitz formula, we have

$$g = \frac{1}{2}(p - 1)(n - 1) \geq 1.$$

The following two lemmas are proved by Top [4].

LEMMA 1. Let  $A$  be an abelian variety defined over a number field  $M$  and let  $\mathfrak{q}$  be a prime ideal of  $M$  such that

1.  $e_{\mathfrak{q}} < q - 1$ , where  $e_{\mathfrak{q}}$  is the ramification index of  $\mathfrak{q}$  in  $M/\mathbb{Q}$  and  $q$  is a prime number for which  $\mathfrak{q} \mid (q)$ ,
2.  $A$  has good reduction at  $\mathfrak{q}$ .

Then reduction modulo  $\mathfrak{q}$  defines an injection

$$\varrho : A(M)_{\text{torsion}} \rightarrow \bar{A}(M(\mathfrak{q})),$$

with  $\bar{A}$  denoting the reduction of  $A$  modulo  $\mathfrak{q}$  and  $M(\mathfrak{q})$  denoting the residue field of  $\mathfrak{q}$ .

LEMMA 2. Let  $F \in \mathfrak{D}_K[X]$  be a non-constant separable polynomial. There exist infinitely many prime ideals  $\mathfrak{q}$  of  $K$  for which there is  $d \in \mathfrak{D}_K$  with  $\mathfrak{q} \mid F(d)$  and  $\mathfrak{q}^2 \nmid F(d)$  (hence  $\mathfrak{q}^p \nmid F(d)$ ).

From now on, we fix once and for all a prime ideal  $\mathfrak{q}$  of  $K$  such that

1.  $(\mathfrak{q}, p) = 1$ ,
2.  $f \bmod \mathfrak{q} \in K(\mathfrak{q})[x]$  is separable, i.e.,  $C$  (and  $J$ ) have good reduction modulo  $\mathfrak{q}$ ,
3.  $p < q - 1$ , where  $q$  is a prime number for which  $\mathfrak{q} \mid (q)$ .

Define  $F(X) := q^{pn} f(X + 1/q) \in \mathfrak{D}_K[X]$  ( $n = \deg(f)$ ). We can find  $d_1, \dots, d_m \in \mathfrak{D}_K$  such that for  $1 \leq i \leq m$  the fields  $K_i := K(\sqrt[p]{F(d_i)})$  satisfy  $K_i \neq K$ , and for every  $i$  there is a prime ideal of  $K$  which ramifies in  $K_i/K$  but not in  $K_j/K$  for  $1 \leq j \leq i - 1$ . Indeed, by Lemma 2 there exists a prime ideal  $\mathfrak{p}_1$  of  $K$  for which  $(\mathfrak{p}_1, p) = 1$  and there is  $d_1 \in \mathfrak{D}_K$  with  $\mathfrak{p}_1 \mid F(d_1)$  and  $\mathfrak{p}_1^p \nmid F(d_1)$ . Put  $K_1 := K(\sqrt[p]{F(d_1)})$ . Then by the theory of Kummer extensions we see that  $\mathfrak{p}_1$  ramifies in  $K_1/K$ . Again, by Lemma 2 there exists a prime ideal  $\mathfrak{p}_2$  of  $K$  such that  $(\mathfrak{p}_2, pF(d_1)) = 1$  and there is  $d_2 \in \mathfrak{D}_K$  with  $\mathfrak{p}_2 \mid F(d_2)$  and  $\mathfrak{p}_2^p \nmid F(d_2)$ . Put  $K_2 := K(\sqrt[p]{F(d_2)})$ . Then  $\mathfrak{p}_2$  ramifies in  $K_2/K$  but not in  $K_1/K$ . Repeating this operation we can get  $d_1, \dots, d_m \in \mathfrak{D}_K$  which satisfy the desired condition. From the condition it follows that  $K_i \cap K_j = K$  if  $i \neq j$  and  $K_i \cap \prod_{j \neq i} K_j = K$  for  $1 \leq i \leq m$ .

We define

$$P_i^{(j)} := (d_i + 1/q, \zeta_p^j \sqrt[p]{f(d_i + 1/q)}) \in C(K_i)$$

( $1 \leq i \leq m$ ,  $0 \leq j \leq p - 1$ ) and

$$D_i^{(j)} := [P_i^{(j)} - O] \in \text{Pic}^0(C)(K_i) = J(K_i).$$

Consider the automorphism  $\sigma$  of  $C$  defined by

$$(x, y) \mapsto (x, \zeta_p y)$$

and define the endomorphism  $\varphi$  of  $J$  by

$$\varphi([D]) = [\sigma(D)]$$

where  $D = \sum_R n_R R$  is a divisor of degree 0 on  $C$  and  $\sigma(D) = \sum_R n_R \sigma(R)$ . Let  $\text{End}(J)$  denote the endomorphism ring of  $J$  and put  $\text{End}^0(J) := \text{End}(J) \otimes_{\mathbb{Z}} \mathbb{Q}$ . We define the  $\mathbb{Q}$ -algebra homomorphism

$$\Phi : \mathbb{Q}[T] \rightarrow \text{End}^0(J), \quad T \mapsto \varphi.$$

Now we claim that

$$\text{Ker } \Phi = (T^{p-1} + T^{p-2} + \dots + 1).$$

Indeed, for any  $R = (x, y) \in C$ , we have

$$\begin{aligned} (\varphi^{p-1} + \varphi^{p-2} + \dots + 1)([R - O]) &= [(x, y) + (x, \zeta_p y) + \dots + (x, \zeta_p^{p-1} y) - pO] \\ &= [\operatorname{div}(z \circ \theta)] = 0 \end{aligned}$$

where  $z$  is a rational function on  $\mathbb{P}^1$  for which  $\operatorname{div}(z) = x - \infty$ . Since  $J = \operatorname{Pic}^0(C)$  is generated by the set  $\{[R - O] : R \in C\}$ ,

$$(T^{p-1} + T^{p-2} + \dots + 1) \subseteq \operatorname{Ker} \Phi.$$

The claim holds, because  $\mathbb{Q}[T]$  is a P.I.D. and  $T^{p-1} + T^{p-2} + \dots + 1$  is irreducible in  $\mathbb{Q}[T]$ . So we get the injective  $\mathbb{Q}$ -algebra homomorphism, denoted by the same letter  $\Phi$ :

$$\Phi : K \hookrightarrow \operatorname{End}^0(J), \quad \zeta_p \mapsto \varphi.$$

LEMMA 3.  $D_i^{(0)}, \dots, D_i^{(p-2)}$  are independent points in  $J(K_i)$  for  $1 \leq i \leq m$ .

PROOF. Suppose that they are not independent. Then there is a non-trivial relation

$$\lambda_0 D_i^{(0)} + \dots + \lambda_{p-2} D_i^{(p-2)} = 0.$$

This implies that  $\varphi'(D_i^{(0)}) = 0$  where  $\varphi' := \lambda_0 + \lambda_1 \varphi + \dots + \lambda_{p-2} \varphi^{p-2} \in \operatorname{End}(J)$ . Since  $\varphi' \in \Phi(K^\times)$ ,  $\varphi'$  is a unit of  $\operatorname{End}^0(J)$ , i.e., an isogeny of  $J$ . Hence  $\operatorname{Ker} \varphi'$  is finite, so  $D_i^{(0)} \in J(K_i)_{\text{torsion}}$ . Let  $\mathfrak{Q}_i$  be a prime ideal of  $K_i$  lying over  $\mathfrak{q}$ . Then  $e_{\mathfrak{Q}_i} \leq p < q - 1$ . Moreover,  $J$  has good reduction modulo  $\mathfrak{Q}_i$  and  $D_i^{(0)} \bmod \mathfrak{Q}_i$  is the identity element of  $\bar{J}$ . By Lemma 1,  $D_i^{(0)}$  is the identity element of  $J$ , i.e., there is a rational function  $w$  on  $C$  such that  $\operatorname{div}(w) = P_i^{(0)} - O$ . So  $C$  must be isomorphic to  $\mathbb{P}^1$ ; this contradicts  $g \geq 1$  and proves the lemma. ■

Let  $L := K_1 \cdot \dots \cdot K_m$  and take a basis  $Q_1, \dots, Q_r$  of  $J(K)$  modulo torsion. We show that  $D_1^{(0)}, \dots, D_1^{(p-2)}, \dots, D_m^{(0)}, \dots, D_m^{(p-2)}, Q_1, \dots, Q_r$  are independent points in  $J(L)$ . We assume that there is a relation

$$\begin{aligned} \lambda_1^{(0)} D_1^{(0)} + \dots + \lambda_1^{(p-2)} D_1^{(p-2)} + \dots + \lambda_m^{(0)} D_m^{(0)} + \dots + \lambda_m^{(p-2)} D_m^{(p-2)} \\ + \mu_1 Q_1 + \dots + \mu_r Q_r = 0. \end{aligned}$$

Putting  $D_i := \lambda_i^{(0)} D_i^{(0)} + \dots + \lambda_i^{(p-2)} D_i^{(p-2)}$  ( $1 \leq i \leq m$ ), this implies that

$$D_1 = -D_2 - \dots - \mu_r Q_r \in J(K_1 \cap K_2 \cdot \dots \cdot K_m) = J(K).$$

Let  $\tau$  be the element of  $\operatorname{Gal}(K_1/K)$  defined by

$$\tau : \sqrt[p]{f(d_1 + 1/q)} \mapsto \zeta_p \sqrt[p]{f(d_1 + 1/q)}.$$

Then since  $D_1^{(0)} + \dots + D_1^{(p-2)} + D_1^{(p-1)} = 0$  in  $J$ , we have

$$\begin{aligned} D_1^\tau &= \lambda_1^{(0)} D_1^{(1)} + \dots + \lambda_1^{(p-3)} D_1^{(p-2)} + \lambda_1^{(p-2)} D_1^{(p-1)} \\ &= -\lambda_1^{(p-2)} D_1^{(0)} + (\lambda_1^{(0)} - \lambda_1^{(p-2)}) D_1^{(1)} + \dots + (\lambda_1^{(p-3)} - \lambda_1^{(p-2)}) D_1^{(p-2)}. \end{aligned}$$

Since  $D_1^\tau = D_1$ , Lemma 3 implies that

$$\begin{aligned} \lambda_1^{(0)} &= -\lambda_1^{(p-2)}, \\ \lambda_1^{(1)} &= \lambda_1^{(0)} - \lambda_1^{(p-2)}, \\ &\vdots \\ \lambda_1^{(p-2)} &= \lambda_1^{(p-3)} - \lambda_1^{(p-2)}. \end{aligned}$$

Hence for

$$B := \begin{pmatrix} 1 & 0 & \dots & 0 & 1 \\ -1 & 1 & 0 & \dots & 0 & 1 \\ 0 & -1 & 1 & 0 & \dots & 0 & 1 \\ \vdots & & \ddots & \ddots & & \vdots \\ & & & -1 & 1 & 1 \\ 0 & \dots & & 0 & -1 & 2 \end{pmatrix} \in M_{p-1}(\mathbb{Z}),$$

we have

$$B \begin{pmatrix} \lambda_1^{(0)} \\ \lambda_1^{(1)} \\ \vdots \\ \lambda_1^{(p-2)} \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}.$$

LEMMA 4.  $\det B = p$ .

PROOF. For any integer  $h \geq 1$  let  $B^{(h)}$  be the  $h \times h$  matrix defined as above. By induction on  $h$  we prove that  $\det B^{(h)} = h + 1$ . In case  $h = 1$ , since  $B^{(1)} = (2)$ , the claim is true. Assuming  $\det B^{(h-1)} = h$ , we have

$$\begin{aligned} \det B^{(h)} &= \det B^{(h-1)} + \det \left. \begin{pmatrix} 0 & \dots & 0 & 1 \\ -1 & 1 & 0 & \dots & 0 & 1 \\ 0 & \ddots & \ddots & & \vdots \\ \vdots & & -1 & 1 & 1 \\ 0 & \dots & 0 & -1 & 2 \end{pmatrix} \right\} h-1 \text{ rows} \\ &= \dots = \det B^{(h-1)} + \det \begin{pmatrix} 0 & 1 \\ -1 & 2 \end{pmatrix} = h + 1. \end{aligned}$$

Hence the claim holds. So  $\det B = \det B^{(p-1)} = p$ . This completes the proof of the lemma. ■

By Lemma 4, it follows that

$$\lambda_1^{(0)} = \dots = \lambda_1^{(p-2)} = 0.$$

By the same reasoning,

$$\lambda_i^{(0)} = \dots = \lambda_i^{(p-2)} = 0$$

for every  $i$ . Moreover, by the choice of  $Q_1, \dots, Q_r$ , we have

$$\mu_1 = \dots = \mu_r = 0.$$

Hence our relation is trivial. This proves the theorem.

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