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On some problems of the arithmetical theory of continued fractions

by

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§ 1. For a given quadratic surd ξ let us denote by

$$[b_0, b_1, \ldots, b_{h-1}, \overline{b_h, b_{h+1}, \ldots, b_{h+k-1}}]$$

its expansion into an arithmetical continued fraction, by $\ln \xi$ —the length of the shortest period of this expansion, by $\ln \xi$ —the number of terms before the period. For some polynomials f(n) assuming only integral values (so-called *integer-valued* polynomials) there are known formulae for the expansion of $\sqrt{f(n)}$ into continued fractions such that the partial quotients are also integer-valued polynomials and $\ln \sqrt{f(n)}$ is independent of n (cf. [3], [5]). Recently H. Schmidt has proved ([3], Satz 10) that

If h is an integer $\neq 0$, ± 1 , ± 2 , ± 4 , then for each n_0 the set of all integers $\geqslant n_0$ cannot be decomposed into a finite number of classes, so that the relation

$$\sqrt{n^2+h}=[p_0(n), \overline{p_1(n),\ldots,p_k(n)}], \quad n\geqslant n_0, \quad n\in K,$$

holds for each class K (p_* are polynomials assuming integral values for $n \in K$, k depends only upon K).

This theorem suggests the following problem P.

P. Decide for a given integer-valued polynomial f(n) whether

$$\overline{\lim} \ln \sqrt{f(n)} < \infty.$$

An investigation of this problem is the main aim of the present paper. In § 2 we investigate the relation between $\ln \xi$ and $\ln (p\xi + r)/(q\xi + s)$, where p, q, r, s are integers.

In § 3 we give a negative solution of the problem P for polynomials of odd degree and for a large class of polynomials of even degree.

In § 4 after more accurate study of the behaviour of the function lpt/n^2+h and on the base of results of § 2 we give a complete solution of the problem P for polynomials of the second degree.

We shall use the following notation; ξ, ξ', ξ'' will denote either rational numbers or quadratic surds; in the latter case η, η', η'' will be corresponding conjugate numbers. Putting

$$\xi = [b_0, b_1, b_2, \dots]$$

we shall assume simultaneously

(1)
$$A_{-1} = 1, \quad A_0 = b_0, \quad A_{\nu} = b_{\nu} A_{\nu-1} + A_{\nu-2},$$

$$B_{-1} = 0, \quad B_0 = 1, \quad B_{\nu} = b_{\nu} B_{\nu-1} + B_{\nu-2},$$

(whence $[b_0, b_1, ..., b_n] = A_n/B_n$) and

$$\xi_r = [b_r, b_{r+1}, b_{r+2}, \dots]$$
 (cf. [2], p. 24 and 34).

For rational ξ we put $lp \xi = 0$ and

$$\operatorname{lap} \xi = egin{cases} 1 & ext{if} & \xi ext{ is an integer,} \ h & ext{if} & \xi = [b_0, b_1, \ldots, b_{h-1}], \ b_{h-1} > 1 \end{cases}$$
 (the so-called normal expansion).

§ 2. Lemma 1. Let b > 1, h and s be positive integers. If

$$\xi = [b_0, b_1, \dots, b_{h-1}]$$

or

(2")
$$\xi = [b_0, b_1, \ldots, b_{h-1}, \overline{b_h, b_{h+1}, \ldots, b_{h+k-1}}],$$

where

$$(3) b_i < b (1 \leqslant i \leqslant h-1),$$

then

$$i\leqslant B_i\leqslant b^i\quad (0\leqslant i\leqslant h-1).$$

Moreover, if for some integers p and r

$$\xi' = (p\xi + r)/s,$$

then

$$(6) lap \xi' < 2sb^h.$$

Proof. Formula (4) follows by easy induction from (1) and (2). Hence for rational ξ we immediately get the remaining part of the lemma. In fact, putting

$$\xi' = [b_0', b_1', \ldots, b_{h'-1}'] = \frac{A_{h'-1}'}{B_{h'-1}'},$$

we have in view of (5)

$$rac{A_{h'-1}^{'}}{B_{h'-1}^{'}}=rac{pA_{h-1}+rB_{h-1}}{sB_{h-1}};$$

then $\operatorname{lap} \xi' = h' \leqslant B'_{h'-1} \leqslant s B_{h-1} \leqslant s b^{h-1} < 2s b^h$.

In the case p = 0 we have likewise

$$rac{A_{h'-1}'}{B_{h'-1}'}=rac{r}{s}, \quad ext{whence} \quad ext{lap } \xi'=h'\leqslant B_{h'-1}'\leqslant s<2sb^h.$$

One can therefore assume that ξ is irrational and $p \neq 0$. It follows from (2") that

$$\xi = [b_0, b_1, \ldots, b_{h-1}, \xi_h];$$

 ξ_h , which has a pure period in its expansion, is by a well-known theorem, a reduced surd, i.e.

(7)
$$\xi_h > 1, \quad 0 > \eta_h > -1.$$

On the basis of well-known formulae (cf. [2], § 13, (7)) we have:

$$\xi = \frac{A_{h-1}}{B_{h-1}} + \frac{(-1)^{h-1}}{B_{h-1}(B_{h-1}\,\xi_h + B_{h-2})},$$

$$\eta = rac{A_{h-1}}{B_{h-1}} + rac{(-1)^{h-1}}{B_{h-1}(B_{h-1}\eta_h + B_{h-2})},$$

whence

$$|\xi - \eta| = \frac{|1 - \eta_h/\xi_h|}{|B_{h-1} + B_{h-2}/\xi_h| \cdot |B_{h-1} \eta_h + B_{h-2}|}.$$

Since, in view of (7),

$$\begin{split} 0 < & -\eta_h/\xi_h, \quad 0 < B_{h-1} + B_{h-2}/\xi_h < B_{h-1} + B_{h-2} \leqslant B_h, \\ -B_{h-1} < B_{h-1}\eta_h + B_{h-2} < B_{h-2} < B_{h-1}, \end{split}$$

we get by (4)

$$|\xi - \eta| > 1/B_{h-1}B_h > 1/b^{2h-1}$$

and by (5)

$$|\xi' - \eta'| = \frac{|p|}{s} |\xi - \eta| > \frac{1}{sh^{2h-1}}.$$

If $\xi' > \eta'$, we assume $h' = 2sb^h - 2$. Therefore, in view of (4),

$$(8) \quad B'_{h'-1}B'_{h'-2}\geqslant h'(h'-1)=(2sb^h-2)(2sb^h-3)\geqslant sb^{2h-1}>\frac{1}{|\xi'-\eta'|}.$$

We shall prove that $\xi_{h'}'$ is a reduced surd. It follows from the formula

$$\eta' = rac{A_{h'-1}'}{B_{h'-1}'} + rac{(-1)^{h'-1}}{B_{h'-1}'(B_{h'-1}'\eta_{h'}' + B_{h'-2}')}$$

that

$$B'_{h'-1}(B'_{h'-1}\eta'_{h'}+B'_{h'-2})=\frac{(-1)^{h'}}{A'_{h'-1}/B'_{h'-1}-\eta'}.$$

Since $h' = 2sb^h - 2$ is even, we have

$$A'_{h'-1}/B'_{h'-1}-\eta'>\xi'-\eta'>0$$
.

The last two formulae together give

$$\frac{1}{\xi'-\eta'} > (B'_{h'-1}\eta'_{h'}+B'_{h'-2})B'_{h'-1} > 0.$$

We then get, on the one hand,

$$0 < B'_{h'-1}\eta'_{h'} + B'_{h'-2}, \quad ext{whence} \quad \eta'_{h'} > -B'_{h'-2}/B'_{h'-1} > -1;$$

on the other hand, in view of (8),

$$B'_{h'-1}B'_{h'-2} > B'_{h'-1}(B'_{h'-1}\eta'_{h'} + B'_{h'-2}), \quad \text{whence} \quad \eta'_{h'} < 0.$$

Therefore $0 > \eta'_{h'} > -1$ and since $\xi'_{h'} > 1$, the surd $\xi'_{h'}$ is reduced (for $h' = 2sb^h - 2$).

In the case $\eta' > \xi'$ we prove similarly that the surd $\xi'_{h'}$ is reduced for $h' = 2sb^h - 1$. Since a reduced surd gives in its expansion a pure period, we have in both cases

$$lap \xi' = h' < 2sb^h, \quad q. e. d.$$

Remark. Inequalities (4) and (6) can be greatly improved; however, it is without any importance for the applications intended.

In the following we shall profit by a theorem used in the investigation of Hurwitz's continued fractions and due to A. Hurwitz and A. Châtelet. We quote this theorem according to Perron's monograph ([2], Satz 4.1) with slight changes in his notation to avoid confusion with ours.

H. Let $[b_0, b_1, b_2, \ldots]$ be the arithmetical continued fraction for a quadratic surd $\xi_0, A_\lambda, B_\lambda$ —the numerators and denominators of its convergents, and ξ_λ —its complete quotients. Further, let

$$\xi' = \frac{p_0 \, \xi + r_0}{s_0} \qquad (p_0, r_0, s_0 - integers, \ p_0 > 0, \ s_0 > 0, \ p_0 s_0 = d > 1).$$

For any index $v (\ge 1)$ the number

$$\frac{p_0[b_0, b_1, \dots, b_{\nu-1}] + r_0}{s_0} = \frac{p_0 A_{\nu-1} + r_0 B_{\nu-1}}{s_0 B_{\nu-1}}$$

can be developed in an arithmetical continued fraction $[d_0,d_1,\ldots,d_{\mu-1}]$ and besides the number of its terms can be chosen so that $\mu\equiv\nu\,(\mathrm{mod}\,2)$; let $C_1,\ D_1$ be the numerators and denominators of its convergents, so that in particular

$$\frac{p_0 A_{\nu-1} + r_0 B_{\nu-1}}{s_0 B_{\nu-1}} = \frac{C_{\mu-1}}{D_{\mu-1}}.$$

Then there exist three uniquely determined integers p_1, r_1, s_1 such that the formula

$$\begin{pmatrix} p_0 & r_0 \\ 0 & s_0 \end{pmatrix} \begin{pmatrix} A_{\nu-1} & A_{\nu-2} \\ B_{\nu-1} & B_{\nu-2} \end{pmatrix} = \begin{pmatrix} C_{\mu-1} & C_{\mu-2} \\ D_{\mu-1} & D_{\mu-2} \end{pmatrix} \begin{pmatrix} p_1 & r_1 \\ 0 & s_1 \end{pmatrix}$$

holds and besides

The theorem quoted obviously preserves its validity for d=1 as well as for rational ξ ; in the latter case under the condition $\nu \leqslant \operatorname{lap} \xi$. On the basis of Lemma 1 and theorem H we shall show

THEOREM 1. For arbitrary positive integers m and d there exists a number M=M(m,d) such that if $\operatorname{lap}\xi\leqslant m$ and

(9)
$$\xi' = \frac{p_0 \xi + r_0}{s_0} \quad (p_0, r_0, s_0 - integers, p_0, s_0 > 0, p_0 s_0 = d)$$

then $lap \xi' \leqslant M$.

Proof. We shall prove it by induction with respect to m. For m=1 the theorem follows immediately from Lemma 1, whence after the substitution b=2, h=1 (assumption (3) being satisfied in emptiness), $p=p_0$, $r=r_0$, $s=s_0$ we get

$$ap \xi' < 4s_0$$
.

Assume now that the theorem is valid for m = h-1 (h > 1); we shall show that it is valid for m = h.

By hypothesis there exists a number M(h-1,d) such that if $\log \xi \le h-1$ and $\xi'=(p\xi+r)/s$ $(p\,,\,r\,,\,s-$ integers, $p>0\,,\,\,s>0\,,\,\,ps=d),$ then

$$\operatorname{lap} \xi' \leqslant M(h-1,d)$$
.

Let $M=2M(h-1,d)+2^{h+1}d^{h+1}$. The proof will be complete if we show that for any ξ such that lap $\xi\leqslant h$ the number ξ' defined by (9) satisfies the inequality

$$lap \xi' \leq M$$
.

Since M(h-1, d) < M, we can assume that $lap \xi = h$ and that ξ is given by one of the formulae (2).

If for each i < h is $b_i < 2d$, then putting in Lemma 1 b=2d, $p=p_0$, $r=r_0,\, s=s_0,\,$ we get

lap
$$\xi' \leq 2s_0(2d)^h \leq 2^{h+1}\dot{d}^{h+1} \leq M$$
.

It remains to consider the case where for some $\nu < h \colon b_{\nu} \geqslant 2d$. We then have

(10)
$$\xi = [b_0, b_1, \dots, b_{v-1}, \xi_v], \quad \xi_v \geqslant b_v \geqslant 2d$$

In virtue of theorem H there exist integers p_1, r_1, s_1 such that

$$(11) p_1 > 0, s_1 > 0, p_1 s_1 = d, -s_1 \leqslant r_1 \leqslant p_1,$$

$$\frac{p_0[b_0,b_1,\ldots,b_{\nu-1}]+r_0}{s_0}=[d_0,d_1,\ldots,d_{\mu-1}],$$

(13)
$$\xi' = \frac{p_0 \, \xi + r_0}{s_0} = [d_0, d_1, \dots, d_{\mu-1}, \, \xi'_{\mu}], \quad \xi'_{\mu} = \frac{p_1 \, \xi_{\nu} + r_1}{s_1}.$$

From (10) and (11) we get

$$\xi'_{\mu} \geqslant \xi_{\nu}/s_1 - 1 \geqslant \xi_{\nu}/d - 1 \geqslant 1$$

which together with formula (13) proves that numbers $d_0, d_1, \ldots, d_{\mu-1}$ are the initial partial quotients of the number ξ' . Hence

(14)
$$lap \xi' \leqslant \mu + lap \xi'_{\mu}.$$

Meanwhile, by (12)

$$\mu \leqslant 1 + \log \frac{p_0[b_0, b_1, ..., b_{r-1}] + r_0}{s_0}$$

and since $lap[b_0, b_1, ..., b_{\nu-1}] \leq \nu < h$, we have in virtue of the inductive assumption

(15)
$$\mu \leq 1 + M(h-1, d).$$

On the other hand, since $lap \xi_{\nu} = lap \xi - \nu < h$, we have

$$(16) lap \xi'_{\mu} \leqslant M(h-1,d)$$

and finally by (14), (15), (16) we get

$$lap \xi' \leq 1 + 2M(h-1, d) \leq M$$
, q. e. d.

COROLLARY. For any positive integer m and arbitrary integers d and q there exists a number M = M(m, d, q) such that if

$$\operatorname{lap} \xi \leqslant m, \quad \xi' = \frac{p \, \xi + r}{q \, \xi + s} \quad (p, r, s - integers, \, q \, \xi + s \neq 0)$$

and ps-qr=d, then $lap \xi' \leq M$.

Proof. The case d=0 is trivial; thus let $d\neq 0$. It is easy to verify the equality (cf. [2], p. 56):

$$-[b_0,b_1,b_2,b_3,\ldots] = \begin{cases} [-(b_0+1),1,b_1-1,b_2,b_3,\ldots] & \text{for} \quad b_1 > 1, \\ [-(b_0+1),b_2+1,b_3,b_4,\ldots] & \text{for} \quad b_1 = 1, \end{cases}$$
whence

$$(17) lap(-\xi) \leq 3 + lap \xi.$$

If q = 0, then $s \neq 0$ and we have

$$\xi' = \frac{\operatorname{sgn} p}{\operatorname{sgn} s} \cdot \frac{|p| \, \xi + r \operatorname{sgn} p}{|s|};$$

the corollary follows therefore directly from Theorem 1 and formula (17).

If
$$q \neq 0$$
, then

$$\xi' = \operatorname{sgn} q \cdot rac{\zeta^{-1} + p}{|q|}, \quad \zeta = -rac{\operatorname{sgn} q}{\operatorname{sgn} d} \cdot rac{|q| \, \xi + s \cdot \operatorname{sgn} q}{|d|},$$

and we obtain the corollary applying Theorem 1 successively to the numbers ζ and ξ' , using formula (17) and the obvious inequality

$$ap \zeta^{-1} \leq 1 + ap \zeta.$$

LEMMA 2. Let b, k, p and s be positive integers. If ξ is given by (2'') and ξ' by (5) and if

$$(18) b_i < b (h \leqslant i \leqslant h+k-1),$$

then $\operatorname{lp} \xi' \leqslant 8(ps)^2 b^{2k}$.

Proof. It follows from (2") that

$$\xi_h = [b_h, b_{h+1}, \ldots, b_{h+k-1}, \xi_h];$$

the number ξ_h satisfies therefore the equation

(19)
$$B_{k-1,h}x^2 + (B_{k-2,h} - A_{k-1,h})x - A_{k-2,h} = 0,$$

where numbers $A_{\lambda,h}$ and $B_{\lambda,h}$ are respectively the numerator and the denominator of the λ th convergent of $[b_h, b_{h+1}, \ldots]$.

Denoting by Δ the discriminant of the equation (19) we have

$$\Delta = (B_{k-2,h} - A_{k-1,h})^2 + 4B_{k-1,h}A_{k-2,h} = (A_{k-1,h} + B_{k-2,h})^2 + 4(-1)^{k-1},$$

and since from (18) easily follows

$$A_{k-1,h} < b^k, \quad B_{k-2,h} < b^k,$$

we get

$$\Delta \leqslant 4b^{2k}.$$

It follows from the formulae

$$\xi' = \frac{p\xi + r}{s}, \quad \xi = \frac{A_{h-1}\xi_h + A_{h-2}}{B_{h-1}\xi_h + B_{h-2}}$$

and from equation (19) for ξ_h that the number ξ'_h satisfies the equation

$$Ax^2 + Bx + C = 0,$$

where integers A, B, C are defined by the formula

$$(22) \qquad {2A \ B \ 2C} = {s \ 0 \choose -r \ p} {B_{h-2} \ A_{h-2} \choose -B_{h-1} \ A_{h-1}} {B_{h-2} \choose B_{k-2,h} - A_{k-1,h} - 2A_{k-2,h}} \times \times {B_{h-2} \choose -A_{h-2} \choose -A_{h-2} \ A_{h-1}} {s \choose 0 \ p}.$$

On the other hand, as can easily be seen from Lagrange's proof of his well-known theorem about periodical expansions of quadratic surds (cf. [2], pp. 66-68), if ξ' is a root of equation (21), then

$$lp \xi' \leqslant 2\Delta',$$

where Δ' is the discriminant of that very equation. But, as follows from (22),

$$\Delta' = - egin{array}{c|c} 2A & B \ B & 2C \ \end{array} = (ps)^2 (A_{h-1}B_{h-2} - B_{h-1}A_{h-2})^2 \, arDelta = (ps)^2 \, arDelta \, .$$

The last two formulae together with (20) finally give

$$lp \xi' \leqslant 8 (ps)^2 b^{2k}, \quad q. e. d.$$

THEOREM 2. For arbitrary integers n>0 and d, there exists a number N=N(n,d) such that if

(23)
$$\operatorname{lp} \xi \leqslant n$$
, $\xi' = \frac{p\xi + r}{q\xi + s}$ $(p, q, r, s-integers, q\xi + s \neq 0)$
and $ps - qr = d$.

then $lp \xi' \leq N$.

Proof. The case of ξ —rational or d=0 is trivial; let ξ be a quadratic surd, $d\neq 0$. On the basis of Theorem 1 there exists a number M(n,|d|) such that, if p,r,s—integers, p>0, s>0, ps=|d| and $\operatorname{lap}\zeta\leqslant n$, then

$$\operatorname{lap}rac{p\zeta+r}{s} < M(n,|d|).$$

Let $N = M(n, |d|)(|d|+1)^2 + 2^{2n+3}d^{2n+2}$. We shall show that if conditions (23) hold, then

$$\operatorname{lp} \xi' \leqslant N$$
.

Put

$$\beta = q/(p,q), \quad \delta = -p/(p,q).$$

Since $(\beta, \delta) = 1$, there exist integers a and γ such that

$$a\delta - \beta \gamma = \operatorname{sgn} d.$$

Putting

(25)
$$\xi'' = \frac{\alpha \xi' + \gamma}{\beta \xi' + \delta}$$

we get

$$\xi^{\prime\prime}=\frac{p_0\,\xi+r_0}{s_0}\,,$$

where the integers p_0, r_0, s_0 are defined by the formula

$$\begin{pmatrix} p_0 & r_0 \\ 0 & s_0 \end{pmatrix} = \begin{pmatrix} a & \gamma \\ \beta & \delta \end{pmatrix} \begin{pmatrix} p & r \\ q & s \end{pmatrix};$$

thus
$$p_0 s_0 = (a\delta - \beta \gamma)(ps - qr) = d \operatorname{sgn} d = |d|$$
.

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In view of formulae (24) and (25), the surds ξ' and ξ'' are equivalent, whence

$$\operatorname{lp} \xi' = \operatorname{lp} \xi'' = \operatorname{lp} \frac{p_0 \xi + r_0}{s_0}.$$

Changing, if necessary, the signs of p_0, r_0, s_0 we can therefore assume that

$$\xi' = \frac{p_0 \xi + r_0}{s_0}, \quad p_0 > 0, \quad s_0 > 0, \quad p_0 s_0 = d > 0.$$

Let ξ be given by formula (2"), where $k \le n$. If for each i such that $h \le i \le h+k-1$ we have $b_i < 2d$, then, putting in Lemma 2 b=2d, $p=p_0, s=s_0$, we get

$$\operatorname{lp} \xi' \leqslant 8 (p_0 s_0)^2 (2d)^{2k} \leqslant 8d^2 (2d)^{2n} = 2^{2n+3} d^{2n+2} \leqslant N.$$

It remains to consider the case, where for some $\nu \geqslant h$ holds $b_{\nu} \geqslant 2d$. We then have

$$\xi = [b_0, b_1, \ldots, b_{r-1}, b_r, b_{r+1}, \ldots, b_{r+k-1}, b_r, b_{r+1}, \ldots, b_{r+k-1}, \ldots].$$

Using theorem H we get

$$\frac{p_0[b_0,b_1,\ldots,b_{\nu-1}]+r_0}{s_0}=[d_0,\ldots,d_{\mu_1-1}],$$

(26)
$$\xi' = [d_0, \ldots, d_{\mu_1 - 1}, \xi'_{\mu_1}], \quad \xi'_{\mu_1} = \frac{p_1 \xi_r + r_1}{g_1},$$

$$(27) p_1>0, s_1>0, p_1s_1=d, -s_1\leqslant r_1\leqslant p_1,$$
 and for all $i\geqslant 1$

(28) $\frac{p_i[b_r, \dots, b_{r+k-1}] + r_i}{s_r} = [d_{\mu_i}, \dots, d_{\mu_{i+1}-1}],$

(29)
$$\xi'_{\mu_i} = [d_{\mu_i}, \dots, d_{\mu_{i+1}-1}, \xi'_{\mu_{i+1}}], \quad \xi'_{\mu_{i+1}} = \frac{p_{i+1}\xi_{\nu} + r_{i+1}}{q_{i+1}},$$

$$s_{i+1}$$

$$(30) \qquad p_{i+1}>0\,, \quad s_{i+1}>0\,, \quad p_{i+1}s_{i+1}=d\,, \quad -s_{i+1}\leqslant r_{i+1}\leqslant p_{i+1}\,.$$

In view of the inequality $\xi_r > b_r \geqslant 2d$, it follows from (27) and (30) that $\xi_{\mu_i} > 1$ ($i = 1, 2, \ldots$); the number ξ' has therefore the following expansion into an arithmetical continued fraction;

$$\xi' = [d_0, \ldots, d_{\mu_1-1}, d_{\mu_1}, \ldots, d_{\mu_2-1}, d_{\mu_2}, \ldots, d_{\mu_3-1}, d_{\mu_3}, \ldots]$$

It follows from (27) and (30) that the number of all possible different systems (p_i, r_i, s_i) does not exceed d(d+2). Thus, among the systems (p_i, r_i, s_i) $(i = 1, 2, ..., (d+1)^2)$ there must be at least two identical ones; there exist therefore positive integers $g < j \leq (d+1)^2$ such that

$$p_g = p_j, \quad r_g = r_j, \quad s_g = s_j.$$

On the basis of (26) and (29) it follows hence that

$$\xi'_{\mu_j}=\xi'_{\mu_{\boldsymbol{q}}};$$

thus

$$lp \xi' \leqslant \mu_j - \mu_g = \sum_{i=g}^{j-1} (\mu_{i+1} - \mu_i).$$

On the other hand, in virtue of formula (28), the definition of the number M(n, |d|) and the condition $k \leq n$,

$$\mu_{i+1} - \mu_i \leqslant 1 + \operatorname{lap} \frac{p_i[b_r, \dots, b_{r+k-1}] + r_i}{s_i} \leqslant M(n, |d|).$$

In view of $j-g<(d+1)^2$, we thus get

$$\operatorname{lp} \xi' \leqslant (j-g)M(n,|d|) \leqslant (d+1)^2 M(n,|d|) \leqslant N, \quad \text{ q. e. d.}$$

Remark. As can easily be seen, we use in the proof given above only a special case of Theorem 1. We proved it in full generality only for a more complete characterisation of the relation between continued fractions and rational homographic transformations.

§ 3. Lemma 3. If $\xi^{(n)} \to \zeta$ ($\xi^{(n)}$ are quadratic surds, ζ an arbitrary irrational number) and

$$\xi^{(n)} \neq \zeta,$$

then

(32)
$$\lim (\operatorname{lap} \xi^{(n)} + \operatorname{lp} \xi^{(n)}) = \infty.$$

Proof. If formula (32) does not hold, the sequence $\xi^{(n)}$ contains a subsequence for which

Proving Lemma 3 by reduction to absurdity we can therefore assume at once that inequality (33) holds. Let

$$\xi^{(n)} = [b_0^{(n)}, b_1^{(n)}, \dots, b_{n-1}^{(n)}, \overline{b_{n}^{(n)}, b_{n+1}^{(n)}, \dots, b_{n-k_{n-1}}^{(n)}}],$$

$$h_n = \text{lap } \xi^{(n)}, \quad k_n = \text{lp } \xi^{(n)}, \quad \zeta = [b_0, b_1, \dots].$$

Since & is irrational, we have

$$\lim b_i^{(n)} = b_i \quad (i = 0, 1, 2, ...);$$

thus for every i there exists an n_i such that

$$b_i^{(n)} = b_i \quad (n \geqslant n_i).$$

By (33) we have $h_n + k_n \le L$. Putting K = L! we have, for every $n, h_n \le L, k_n \mid K$, whence

$$b_i^{(n)} = b_{i+Kt}^{(n)} \quad (i \geqslant L, \ n \geqslant 1, \ t \geqslant 0).$$

Let $M = \max(n_0, n_1, ..., n_{K+L-1})$. We shall show that, contrary to assumption (31), for $n \ge M$, $\xi^{(n)} = \zeta$.

In fact, by (34) we have for $n \ge M$

$$(36) b_i^{(n)} = b_i (0 \le i < L + K).$$

Assume now that $j \ge L+K$. We obviously have j = tK+i, where t is an integer ≥ 0 , L < i < L+K and according to (35)

$$b_i^{(n)} = b_i^{(n)} (n \ge 1).$$

Put $m = \max(M, n_i)$. By (36) we have

(38)
$$b_i^{(n)} = b_i^{(m)} \quad (0 \le i < L + K, \ n \ge M).$$

Applying successively formulae (37), (38), (37) and (35) we get for $n \ge M$

$$b_j^{(n)} = b_i^{(n)} = b_i^{(m)} = b_i^{(m)} = b_i \quad (j \geqslant L + K),$$

whence by (36) it follows at last that for $n \ge M$

$$\xi^{(n)} = \zeta$$
, q. e. d.

Remark. One can easily deduce from the lemma proved above Satz 11 and Satz 12 of [3]. There is no inverse implication, but the argumentation given above is a direct generalization of the method used by Schmidt in his proofs.

Theorem 3. Let $f(x) = a_0 x^p + a_1 x^{p-1} + \ldots + a_p$ be an integer-valued polynomial with $a_0 > 0$. If

- 1. $p \equiv 1 \pmod{2}$ or
- 2. $p \equiv 0 \, (\text{mod} \, 2)$ and a_0 is not a rational square, then

$$\overline{\lim} \ln \sqrt{f(n)} = \infty.$$

Proof. In view of Lemma 3 and the equality $\operatorname{lap} V \overline{f(n)} = 1$, it is sufficient to show that the set F of all the residues mod 1 of numbers $V \overline{f(n)}$,

 $n=1,2,\ldots$ has at least one irrational point of accumulation. We shall prove more: that the set F is dense in (0,1).

In case 1 put $p=2m+1,\ m\geqslant 0$. As can easily be seen, we have in the environment of ∞

$$rac{d^k \sqrt{f(x)}}{dx^k} \sim \sqrt{a_0} inom{m+rac{1}{2}}{k} k! x^{m-k+1/2}.$$

On the other hand, by a well-known theorem of the theory of finite differences (cf. [4], p. 229, th. 221), we have

$$\Delta^k g(x) = \Delta x^k g^{(k)}(x + \Theta k \Delta x), \quad 0 < \Theta < 1,$$

where g(x) is an arbitrary real function with the kth derivative continuous in the interval $(x, x+k\Delta x)$. Putting

$$g(x) = \sqrt{f(x)}, \quad \Delta x = 1,$$

we obtain by a comparison of the preceding two formulae

$$\Delta^k \sqrt{f(x)} \sim \sqrt{a_0} \binom{m+\frac{1}{2}}{k} k! x^{m-k+1/2},$$

whence for sufficiently large x

$$\Delta^m \sqrt{f(x)} \sim \sqrt{a_0} {m+\frac{1}{2} \choose m} m! x^{1/2},$$

$$\Delta^{m+1}\sqrt{f(x)} \sim \sqrt{a_0} \binom{m+\frac{1}{2}}{m+1} (m+1)! x^{-1/2};$$

thus

$$\Delta^m \sqrt{f(x)} \to \infty$$
, $\Delta^{m+1} \sqrt{f(x)} \to 0$.

The density of the set F follows immediately in virtue of a theorem of Csillag ([1], p. 152).

In case 2, we have, as can easily be seen

$$(39') f(x) = u^2(x) + v(x),$$

where u and v are polynomials with coefficients from $K(\sqrt{a_0})$ and

(39")
$$\operatorname{degree} v < \operatorname{degree} u = \frac{1}{2} \operatorname{degree} f, \quad u(\infty) = \infty.$$

Putting p=2m, $u(x)=a_0x^m+a_1x^{m-1}+\ldots+a_m$, we find from formulae (39) that $a_0^2=a_0$, whence according to the assumption about

 a_0 it follows that a_0 is irrational. In virtue of a well-known theorem of Weyl, the set of all the residues mod 1 of numbers u(n) (n = 1, 2, ...) is dense in (0, 1). Since, in view of (39)

$$\lim \left(\sqrt{f(x)} - u(x)\right) = 0,$$

the set F has the same property, q. e. d.

Remark. In both cases, 1 and 2, it is easy to give examples of polynomials f(x) such that

(40)
$$\lim \lim \sqrt{f(n)} < \infty.$$

It suffices to assume $f_1(x) = x$, $f_2(x) = 2x^2$. The proof of inequality (40) for the polynomial $f_1(x)$ is immediate; for the polynomial $f_2(x)$ we use the fact that for an infinite sequence of positive integers x_k is $f_2(x_k) = y_k^2 + 1$ (y_k —integers), whence in view of the expansion

$$\sqrt{y^2+1}=(y,\overline{2y})$$

it follows that

§ 4. Lemma 4. Let f(n) be an integer-valued polynomial and let

(41)
$$\sqrt{f(n)} = u_0(n) + \frac{1}{|u_1(n)|} + \frac{1}{|u_2(n)|} + \dots + \frac{1}{|u_j(n)|} + \frac{1}{|w(n)|}$$

where ui are polynomials of a positive degree with rational coefficients and

$$\lim_{n\to\infty} w(n) = \infty.$$

Put

$$T_{-1}(n) = 1$$
, $T_0(n) = u_0(n)$, $T_v(n) = u_v(n)T_{v-1}(n) + T_{v-2}(n)$, (43)

$$U_{-1}(n)=0\,, \quad U_{0}(n)=1\,, \qquad \quad U_{{\scriptscriptstyle {
m r}}}(n)=u_{{\scriptscriptstyle {
m r}}}(n)\,U_{{\scriptscriptstyle {
m r}}-1}(n)+U_{{\scriptscriptstyle {
m r}}-2}(n)\,,$$

(44)
$$\sqrt{f(n)} = \xi = [b_0, b_1, b_2, \ldots], \quad b_i$$
—positive integers.

Then, for every j and $n > n_0(j)$, there exists a k = k(j, n) such that

$$\frac{A_k}{B_k} = \frac{T_j(n)}{U_j(n)},$$

(46)
$$\xi_{k+1}(n) = (-1)^{j-k} \frac{U_j^2(n)}{B_k^2} w(n) + \frac{(-1)^{j-k} U_j(n) U_{j-1}(n) - B_k B_{k-1}}{B_k^2}.$$

Proof. Since the polynomials u_i have rational coefficients, there exists a positive integer m such that

(47)
$$T_j(n) = P(n)/m, \quad U_j(n) = Q(n)/m,$$

where P(n), Q(n) are polynomials with integral coefficients. From formulae (41) and (43) we get

(48)
$$V\overline{f(n)} = \frac{T_j(n)}{U_j(n)} + \frac{(-1)^j}{U_j(n)(U_j(n)w(n) + U_{j-1}(n))},$$

whence in view of (47)

$$\left|\sqrt{f(n)}-rac{P(n)}{Q(n)}
ight|=rac{1}{Q^2(n)}\left|rac{m^2}{w(n)+\overline{U}_{i-1}(n)/U_i(n)}
ight|.$$

Since in view of (42) and (43)

$$w(n)+U_{j-1}(n)/U_j(n)\to\infty,$$

we have for sufficiently large n

$$\left|\sqrt{f(n)} - \frac{P(n)}{Q(n)|}\right| < \frac{1}{2Q^2(n)}.$$

In virtue of a well-known theorem (cf. [2], Satz 2.14), P(n)/Q(n) is therefore equal to some convergent of expansion (44). Then, for some k, equality (45) holds and since

$$\sqrt{f(n)} = rac{A_k}{B_k} + rac{(-1)^k}{B_k[B_k\xi_{k+1} + B_{k-1}]},$$

we get also (46) in view of (48).

DEFINITION. For a given prime p and a given rational number $r \neq 0$ we shall denote by $\exp(p,r)$ the exponent with which p comes into the canonical expansion of r.

LEMMA 5. Suppose we are given a prime p and integers n and h, both $\neq 0$. Let then

(49)
$$P_{-1} = h, \quad P_{0} = n, \quad P_{r} = 2nP_{r-1} + hP_{r-2},$$
$$Q_{-1} = 0, \quad Q_{0} = 1, \quad Q_{r} = 2nQ_{r-1} + hQ_{r-2}.$$

If $\exp(p, h) > 2\exp(p, 2n)$, then for every integer $v \ge 0$

(50)
$$\begin{aligned} \exp(p, P_{\nu}) &= \exp(p, n) + \nu \exp(p, 2n), \\ \exp(p, Q_{\nu}) &= \nu \exp(p, 2n). \end{aligned}$$

Proof by induction with respect to ν . For $\nu=0$ the lemma follows directly from formulae (49).

For v = 1 we have $P_1 = 2n^2 + h$, $Q_1 = 2n$; thus $\exp(p, Q_1) = \exp(p, 2n)$. Since, by hypothesis,

$$\exp(p, h) > 2 \exp(p, 2n) \ge \exp(p, 2n^2),$$

it follows that

$$\exp(p, P_1) = \exp(p, 2n^2) = \exp(p, n) + \exp(p, 2n)$$

and formulae (50) hold also for v = 1.

Assume now that the lemma is right for the numbers $\nu-2$ and $\nu-1$ $(\nu \ge 2)$; we shall show its validity for ν .

It follows easily from the inductive assumption that

$$\begin{split} e_1 &= \exp(p, 2nP_{r-1}) = \exp(p, n) + r\exp(p, 2n), \\ e_2 &= \exp(p, hP_{r-2}) = \exp(p, n) + (r-2)\exp(p, 2n) + \exp(p, h), \\ e_3 &= \exp(p, 2nQ_{r-1}) = r\exp(p, 2n), \\ e_4 &= \exp(p, hQ_{r-2}) = (r-2)\exp(p, 2n) + \exp(p, h). \end{split}$$

In view of the inequality $\exp(p,h) > 2\exp(p,2n)$ we therefore have $e_1 < e_2$, $e_3 < e_4$, whence it follows by (49) that

$$\exp(p, P_{\nu}) = e_1 = \exp(p, n) + \nu \exp(p, 2n),$$

 $\exp(p, Q_{\nu}) = e_3 = \nu \exp(p, 2n),$ q. e. d.

THEOREM 4. Suppose we are given an integer $h \neq 0$. Denote by E the set of all integers n such that $h|4n^2$. We have

(51)
$$\lim_{\substack{n \to \infty \\ n \notin E}} \ln \sqrt{n^2 + h} = \infty,$$
(52)
$$\lim_{\substack{n \to \infty \\ n \notin E}} \ln \sqrt{n^2 + h} < \infty.$$

(52)
$$\overline{\lim}_{\substack{n \to \infty \\ n \in E}} \ln \sqrt{n^2 + h} < \infty.$$

Proof. We begin with a proof of equality (51). Choose an arbitrary g; we shall show that for sufficiently large $n \notin E$

It is easy to verify the identity

$$\sqrt{n^2 + h} = n + \frac{1}{|2n/h|} + \frac{1}{|n + \sqrt{n^2 + h}|},$$

from which we immediately obtain

(53)
$$\sqrt{n^2+h} = n + \frac{1}{|2n/h|} + \frac{1}{|2n|} + \dots + \frac{1}{|2n/h|} + \frac{1}{|n+\sqrt{n^2+h}|}.$$

Put in Lemma 4

$$u_{\mathbf{v}} = \begin{cases} 2n/h & (\mathbf{v} \text{ odd } \leqslant 2g-1), \\ 2n & (\mathbf{v} \text{ even } < 2g-1). \end{cases}$$

Comparing polynomials T_v , U_v determined for these u_v by formulae (42) and polynomials P_v , Q_v defined by (49), we find by an easy induction

(54)
$$T_{\nu} = P_{\nu} h^{-[(\nu+1)/2]}, \quad U_{\nu} = Q_{\nu} h^{-[(\nu+1)/2]},$$

whence

$$\frac{T_{\nu}}{U_{\nu}} = \frac{P_{\nu}}{Q_{\nu}}.$$

Assume now that $n \notin E$, n so large that $\sqrt{n^2+h}$ is irrational, and

(56)
$$\sqrt{n^2 + h} = \xi = [b_0, b_1, \dots].$$

In virtue of Lemma 4 for sufficiently large n for each $i \leq g$ there exists a k_i such that

(57)
$$\frac{A_{k_i}}{B_{k_i}} = \frac{T_{2i-1}}{U_{2i-1}},$$

(58)
$$\xi_{k_{i+1}} = (-1)^{k_{i-1}} \frac{U_{2i-1}^2}{B_{k_i}^2} (n + \sqrt{n^2 + h}) + \frac{(-1)^{k_{i-1}} U_{2i-1} U_{2i-2} - B_{k_i} B_{k_{i-1}}}{B_{k_i}^2}.$$

Since $n \notin E$, there exists a prime p such that

(59)
$$\exp(p,h) > 2\exp(p,2n)$$

and in virtue of Lemma 5

(60)

$$\exp(p, P_{2i-1}) = \exp(p, n) + (2i-1)\exp(p, 2n),$$

 $\exp(p, Q_{2i-1}) = (2i-1)\exp(p, 2n).$

In view of (55) and (57), we therefore have

$$\exp\left(p, \frac{A_{k_i}}{B_{k_i}}\right) = \exp\left(p, \frac{P_{2i-1}}{Q_{2i-1}}\right) = \exp\left(p, n\right)$$

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and since the fraction A_{k_i}/B_{k_i} is irreducible, it follows that

$$\exp(p, B_{k_i}) = 0.$$

On the basis of (54) and (60) we get hence

$$\begin{split} \exp\left(p\,,\frac{U_{2i-1}}{B_{k_i}}\right) &= \exp(p\,,\,U_{2i-1}) = (2i-1)\exp(p\,,\,2n) \\ &- i\exp(p\,,\,h) = -\exp(p\,,\,2n) - i(\exp(p\,,\,h) - 2\exp(p\,,\,2n)). \end{split}$$

Then, in view of inequality (59), the numbers $\exp(p, U_{2i-1}/B_{k_i})$ are for $i=1,2,\ldots,g$ all different; since $\sqrt{n^2+h}$ is irrational and (58) holds, the numbers ξ_{k_i+1} have the same property. Since $k_i+1 \geqslant 1 = \log \sqrt{n^2+h}$, at least g different complete quotients occur in the period of expansion (56); we then have $\log \xi \geqslant g$, which completes the proof of (51).

In order to prove formula (52) we shall use Theorem 2. From that theorem follows the existence of a number N = N(h) such that if for positive integers D_1 , D_2 and l

$$\sqrt{D_2} = \frac{1}{2}l\sqrt{D_1}, \quad 0 < l \le |h| \quad \text{and} \quad \ln\sqrt{D_1} \le 12.$$

then $\ln \sqrt{D_2} \leqslant N$.

We shall show that for sufficiently large $n \in E$

In fact, since $n \in E$, $h|4n^2$, there exist—as can easily be seen—integers α , $\beta \neq 0$ and positive integer x such that

$$2n = a\beta x, \quad h = a\beta^2.$$

We obviously have

(62)
$$\sqrt{n^2+h} = \frac{1}{2}|\beta|\sqrt{(\alpha x)^2+4\alpha}, \quad |\beta| \leqslant |h|.$$

On the other hand, as can be verified, the following expansions hold for $x \ge 5$:

 $\alpha > 0$, x—even

$$\sqrt{(\alpha x)^2+4\alpha}=[\alpha x, \frac{1}{2}x, 2\alpha x];$$

a > 0 even, x—odd

$$\sqrt{(ax)^2+4a}=[ax,\overline{\frac{1}{2}(x-1),1,1,\frac{1}{2}(ax-2),1,1,\frac{1}{2}(x-1),2ax}];$$

 $\alpha > 0$ odd, x-odd

$$\sqrt{(\alpha x)^2+4\alpha}$$

$$= [ax, \frac{1}{2}(x-1), 1, 1, \frac{1}{2}(ax-1), 2x, \frac{1}{2}(ax-1), 1, \frac{1}{2}(x-1), 2ax]$$

 $\alpha < 0$, x—even

$$\sqrt{(\alpha x)^2 + 4\alpha} = [|\alpha|x - 1, \overline{1, \frac{1}{2}(x - 4), 1, 2|\alpha|x - 2}];$$

a < 0 even, x—odd

$$\sqrt{(\alpha x)^2 + 4\alpha}$$

=
$$[|a|x-1, 1, \frac{1}{2}(x-3), 2, \frac{1}{2}(|a|x-2), 2, \frac{1}{2}(x-3), 1, 2|a|x-2];$$

a < 0 odd, x—odd

$$\sqrt{(x)^2+4a}$$

$$= [|a|x-1, \overline{1, \frac{1}{2}(x-3), 2, \frac{1}{2}(|a|x-3), 1, 2x-2, 1, \frac{1}{2}(|a|x-3), 2, \frac{1}{2}(x-3), \frac{$$

Thus, we always have $\ln \sqrt{(ax)^2 + 4a} \leqslant 12$ and formula (61) follows immediately from (62) and the definition of N.

Theorem 5. Let $f(n)=a^2n^2+bn+c$, a,b,c—integers, a>0, $\Delta=b^2-4a^2c\neq 0$. The inequality

$$(63) \qquad \qquad \overline{\lim} \ln \sqrt{f(n)} < \infty$$

holds if and only if

(64)
$$\Delta |4(2a^2,b)^2$$
.

Proof. We obviously have

$$\sqrt{f(n)} = \frac{1}{2a} \sqrt{(2a^2n + b)^2 - \Delta}$$

and in virtue of Theorem 2 inequality (63) is equivalent to the following

$$\overline{\lim} \ln \sqrt{(2a^2n+b)^2-4} < \infty.$$

But in virtue of Theorem 4 the last inequality holds if and only if for some n_0

$$\Delta |4(2a^2n+b)|^2 \quad \text{for} \quad n > n_0.$$

We have

$$4(2a^2n+b)^2=4(2a^2,b)^2\Big(\frac{2a^2}{(2a^2,b)}\,n+\frac{b}{(2a^2,b)}\Big)^2.$$

Since the arithmetical progression

$$\frac{2a^2}{(2a^2, b)}n + \frac{b}{(2a^2, b)} \quad (n = 0, 1, \ldots)$$

whose first term and difference are relatively prime, contains infinitely many numbers coprime with 1, divisibility (64) is a necessary and sufficient condition of (65) and therefore also of (63), q. e. d.

Theorems 3 and 5 give together a complete solution of the problem P for polynomials of the second degree (the case $\Delta = 0$ is trivial).

In order to obtain by a similar method a complete solution for polynomials of higher degree, it would be necessary to have for $\sqrt{f(n)}$ an expansion analogous to (53), i.e. an expansion of form (41) and then to know whether it is periodical.

Now, for polynomials f(n) of the form

$$a^2n^{2m} + a_1n^{2m-1} + \ldots + a_{2m}$$

an expansion of form (41) is uniquely determined. In fact, let

$$\sqrt{f(n)} = u_0(n) + \frac{1}{|u_1(n)|} + \frac{1}{|u_2(n)|} + \ldots + \frac{1}{|u_j(n)|} + \frac{1}{|w_{j+1}(n)|}$$

and put for $i \leq i$

$$w_i(n) = u_i(n) + \frac{1}{|u_{i+1}(n)|} + \ldots + \frac{1}{|u_j(n)|} + \frac{1}{|w_{j+1}(n)|}.$$

Since $u_0(n)$ is the unique polynomial g such that $\lim (\sqrt{f(n)} - g(n))$ = 0, we have $u_0(n) = u(n)$, where u(n) is defined by formulae (39). Suppose now that we have determined polynomials $u_0, \ldots, u_{i-1}(n)$; we easily find

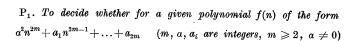
$$w_i(n) = rac{\sqrt{f(n)} + p_i(n)}{q_i(n)}$$

where p_i , q_i are polynomials with rational coefficients, and then $u_i(n)$ is uniquely determined by the conditions

$$u(n) + p_i(n) = q_i(n)u_i(n) + r_i(n), \quad \text{degree } r_i < \text{degree } q_i.$$

The construction of the sequence $u_i(n)$ is therefore easy, but the decision whether the sequence thus determined u_i is periodical presents a considerable difficulty even for polynomials of degree 4.

Thus, the investigation of the problem P has led us to the following problem P_1 :



there exist polynomials ui of positive degree with rational coefficients such

$$\sqrt{f(n)} = u_0(n) + \frac{1}{|u_1(n)|} + \frac{1}{|u_2(n)|} + \ldots + \frac{1}{|u_k(n)|}$$

(the dash denotes period).

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