

Factorization of natural numbers in algebraic number fields

by

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1. Let R be the ring of integers of an algebraic number field K with ideal class group G and class number h . If for some $a \in R \setminus (R^\times \cup \{0\})$ $a = u_1 \dots u_k$ is a factorization into irreducibles, then k is called *length* of the factorization. Let $g(a)$ denote the number of distinct lengths of possible factorizations of a . In the case $h \geq 3$ the function

$$G'_m(x) = \# \{n \in N \mid n \leq x, g(n) \leq m\}$$

was studied for every $m \geq 1$ (see [8]–[12], [14]–[16], [1], [23]) and it was proved that

$$G'_m(x) = (C + o(1)) x (\log x)^{-\eta'(K,m)} (\log \log x)^{\psi'(K,m)}$$

with non-negative constants $\eta'(K, m)$ and $\psi'(K, m)$ ([22]).

In this paper we determine these constants and especially we show $\eta'(K, m) = \eta'(K)$. Thus the exponents in the asymptotic formulae for all four functions which were introduced by W. Narkiewicz in 1964 ([8]) are known: concerning

$G'_m(x)$ see Theorem 1,

$G_m(x) = \# \{(a) \mid N(a) \leq x, g(a) \leq m\}$ see [4],

$F_m(x) = \# \{(a) \mid N(a) \leq x, f(a) \leq m\}$ see [13],

$F'_m(x) = \# \{n \in N \mid n \leq x, f(n) \leq m\}$ see [18], [21].

Here $f(a)$ denotes the number of distinct factorizations of some $a \in R \setminus (R^\times \cup \{0\})$.

In [7] the remainder terms of the asymptotic formulae of these functions are studied. In Section 4 we use these results to obtain an asymptotic formula for $\bar{F}_m(x) = \# \{(a) \mid N(a) \leq x, f(a) = m\}$.

2. Let $h \geq 3$. For a non-empty subset $G_0 \subset G$ let $\mathcal{F}(G_0)$ denote the free abelian semigroup generated by G_0 . An element $B \in \mathcal{F}(G_0)$ has the form $B = \prod_{g \in G_0} g^{v_g(B)}$ with $v_g(B) \in N$. B is called a *block* if $\sum_{g \in G_0} v_g(B) g = 0$. The set of all blocks $\mathcal{B}(G_0) \subset \mathcal{F}(G_0)$ is a subsemigroup. Thus it is commutative,

regular and we have the usual notions of divisibility. For $B \in \mathcal{B}(G_0)$ let $L(B) = \{k \mid B \text{ has a factorization into } k \text{ irreducible blocks}\}$. Further let $\Delta(L(B)) = \{s-r \mid r, s \in L(B), r < s \text{ and } t \notin L(B) \text{ for } r < t < s\}$ and $\Delta(G_0) = \bigcup_{B \in \mathcal{B}(G_0)} \Delta(L(B))$. If for some $a \in R \setminus (R^\times \cup \{0\})$ $aR = p_1 \dots p_r$ is its prime ideal decomposition then $B(a) = \prod_{g \in G} g^{*\langle p_i \mid p_i \in g, 1 \leq i \leq r \rangle} = \langle [p_1], \dots, [p_r] \rangle$ denotes the corresponding block (see [3]). Obviously $\mathcal{B}(P) = \{B(p) \mid p \in P\}$ is finite. Let $\mathcal{B}(P) = \{B_1, \dots, B_\varrho\}$.

When studying $G'_m(x)$ invariant subsets $G_0 \subset G$ with $\Delta(G_0) = \emptyset$ are of decisive importance; here, a subset $G_0 \subset G$ is called *invariant* if $G_0 = G_I = \bigcup_{i \in I} \{g \mid v_g(B_i) > 0\}$ for some $I \subset \{1, \dots, \varrho\}$.

Remarks. 1. Let $G_0 \subset G$; then by definition $\Delta(G_0) = \emptyset$ if and only if $\mathcal{B}(G_0)$ is half-factorial. In connection with the problem of describing half-factorial Dedekind domains L. Skula proves ([20], Theorem 3.1): $\Delta(G_0) = \emptyset$ if and only if $\sum_{g \in G} v_g(B)/\text{ord}(g) = 1$ for every irreducible block $B \in \mathcal{B}(G_0)$. If G is cyclic of prime power order he derives an explicit characterization of subsets $G_0 \subset G$ with $\Delta(G_0) = \emptyset$ ([20], Proposition 3.4). These subsets also play a central part in the investigation of $G_m(x)$ ([4]).

2. If K/Q is Galois then $G_0 \subset G$ is invariant if and only if G_0 is invariant under the action of the Galois group.

For a block $B \in \mathcal{B}(G)$ let $P(B) = \{p \in P \mid B(p) = B\}$ and for a subset $M \subset P$ let $q(M)$ denote the Dirichlet density of M , if it exists.

LEMMA 1. $P(B)$ is either finite or it is a regular set with positive Dirichlet density. If p is unramified then $P(B(p))$ has positive Dirichlet density.

Proof. See Lemma 11 in [22] and Section 2 in [19]. ■

Remark. Lemma 1 and Proposition 7.9 in [15] imply: if there is an unramified prime $p \in P$ remaining irreducible in R , then

$$\# \{n \leq x \mid n \text{ is irreducible in } R\} = Cx(\log x)^{-1} + o(x(\log x)^{-1}).$$

For $i \in \{1, \dots, \varrho\}$ let $q_i = q(P(B_i))$ (if $P(B_i)$ is finite then $q_i = 0$). Therefore $\sum_{i=1}^{\varrho} q_i = 1$. For $I \subset \{1, \dots, \varrho\}$ let $q_I = \sum_{i \in I} q_i$ and for an invariant subset $G_0 \subset G$ let

$$q(K, G_0) = \sum_{\substack{1 \leq i \leq \varrho \\ B_i \in \mathcal{B}(G_0)}} q_i.$$

Further let

$$q(K) = \max \{q(K, G_0) \mid G_0 \subset G \text{ invariant, } \Delta(G_0) = \emptyset\}.$$

LEMMA 2. $0 < q(K) < 1$.

Proof. (i) Let p be a prime which splits completely in the Hilbert class field of K . Then $B(p) = \langle 0, \dots, 0 \rangle$. Thus $\{0\}$ is an invariant subset and $q(K) > 0$.

(ii) Let $G_0 \subset G$ be invariant with $\Delta(G_0) = \emptyset$. Since $h \geq 3$ there is a $g \in G \setminus G_0$. Let $p \in \mathcal{P}$ be unramified having a prime ideal divisor $\mathfrak{p} \in g$. Then $q(B(\mathfrak{p})) > 0$, $B(\mathfrak{p}) \notin \mathcal{B}(G_0)$ and so $q(K, G_0) < 1$. ■

$\mathcal{G} = \{G_0 \subset G \mid G_0 \text{ invariant and } \Delta(G_0) = \emptyset\}$ is partially ordered with respect to the set-theoretical inclusion. Let $G_1, G_2 \in \mathcal{G}$. Then $G_1 \subset G_2$ implies $q(K, G_1) \leq q(K, G_2)$. If $q(K, G_1) = q(K)$ then there is a maximal subset $G_0 \in \mathcal{G}$ with $G_1 \subset G_0$ and $q(K, G_1) = q(K, G_0) = q(K)$. If K/\mathcal{Q} is Galois then $G_1 \subset G_2$, $G_1 \neq G_2$ implies $q(K, G_1) < q(K, G_2)$ and the subsets $G_0 \in \mathcal{G}$ with $q(K, G_0) = q(K)$ are maximal in \mathcal{G} .

For $n = \prod_{p \in \mathcal{P}} p^{v_p(n)} \in \mathcal{N}$ let $v_i(n) = \sum_{\substack{p \in \mathcal{P} \\ B(p) = B_i}} v_p(n)$ for every $i \in \{1, \dots, \varrho\}$. For $s = (s_i)_{i \in I^c} \in \mathcal{N}^{I^c}$ with $I \subset \{1, \dots, \varrho\}$ and $I^c = \{1, \dots, \varrho\} \setminus I$ let $\psi'(s) = \sum_{\substack{i \in I^c \\ q_i > 0}} s_i$.

Let $I \in \mathcal{I} = \{I \mid I \subset \{1, \dots, \varrho\}, q_I = q(K) \text{ and } \Delta(G_I) = \emptyset\}$. For every $m \geq 1$ let $S(I, m)$ be the set of all $s \in \mathcal{N}^{I^c}$ with

$$\{n \mid v_i(n) = s_i \text{ for every } i \in I^c\} \subset \{n \mid g(n) \leq m\}.$$

LEMMA 3. *If for some $j \in I^c$, $\{s_j \mid s \in S(I, m)\}$ is infinite, then $q_j = 0$.*

Proof. Let $j \in I^c$ and suppose $\{s_j \mid s \in S(I, m)\}$ is infinite. Then $\Delta(G_{I'}) = \emptyset$ with $I' = I \cup \{j\}$. Since $q(K) \geq q_{I'} = q_I + q_j = q(K) + q_j$ it follows that $q_j = 0$. ■

Thus the following definitions make sense:

$$\psi'(K, I, m) = \max \{\psi'(s) \mid s \in S(I, m)\},$$

$$\psi'(K, m) = \max \{\psi'(K, I, m) \mid I \in \mathcal{I}\}.$$

The constants $q(K)$ ($\psi'(K, m)$ respectively) just depend on the orbit structure of G (and on m respectively) which we define as the sequence of blocks $B_1, \dots, B_\varrho \in \mathcal{B}(G)$ and the corresponding sequence of densities $q_1, \dots, q_\varrho \in [0, 1)$ with $\sum_{i=1}^\varrho q_i = 1$.

The following lemma provides the analytic tool for Theorem 1.

LEMMA 4. *Let $I \subset \{1, \dots, \varrho\}$ with $q_I > 0$ and let $s \in \mathcal{N}^{I^c}$. Then*

$$\#\{n \leq x \mid v_i(n) = s_i \text{ for every } i \in I^c\} = (C + o(1))x(\log x)^{-1 + q_I}(\log \log x)^{\psi'(s)}.$$

Proof. See Lemma 12 in [22] and Lemma 7 in [11]. ■

THEOREM 1. *For $m \geq 1$*

$$G'_m(x) = (C + o(1))x(\log x)^{-1 + q(K)}(\log \log x)^{\psi'(K, m)}.$$

Proof. 1. Let $I \subset \{1, \dots, \varrho\}$ with $\Delta(G_I) \neq \emptyset$. There is an $n^I \in \mathcal{N}$ with $B(n^I) \in \mathcal{B}(G_I)$ and $g(n^I) > m$. Then for every $n \in \mathcal{N}$, $g(nn^I) > m$.

For every $i \in \{1, \dots, \varrho\}$ let $w_i = \max \{v_i(n^I) \mid I \subset \{1, \dots, \varrho\} \text{ with } \Delta(G_I) \neq \emptyset\}$. These constants have the following property: if for $n \in \mathcal{N}$, $\Delta(\bigcup_{\substack{1 \leq i \leq \varrho \\ v_i(n) \geq w_i}} \{g \mid v_g(B_i) > 0\}) \neq \emptyset$, then $g(n) > m$.

2. For $I \in \mathcal{I}$ let $T(I, m) = \mathcal{N}^{I^c} \setminus S(I, m)$; $T(I, m)$ is the set of all $t \in \mathcal{N}^{I^c}$ such that

$$\{n \mid g(n) > m\} \cap \{n \mid v_i(n) = t_i \text{ for every } i \in I^c\} \neq \emptyset.$$

According to Theorem 9.18 in [2] there are only finitely many minimal elements in $T(I, m)$: $t_1^I, \dots, t_{\lambda_I}^I$. For $j \in \{1, \dots, \lambda_I\}$ let $n_j^I \in N$ with $g(n_j^I) > m$ and $v_i(n_j^I) = t_{j,i}^I$ for every $i \in I^c$.

For every $i \in \{1, \dots, \varrho\}$ let $u_i = \max \{v_i(n_j^I) \mid 1 \leq j \leq \lambda_I, I \in \mathcal{I}\}$. Then for every $I \in \mathcal{I}$ and for every $t \in T(I, m)$

$$\{n \mid v_i(n) \geq u_i \text{ for } i \in I, v_i(n) = t_i \text{ for } i \in I^c\} \cap \{n \mid g(n) \leq m\} = \emptyset.$$

3. For every $i \in \{1, \dots, \varrho\}$ let $z_i = \max \{u_i, w_i\}$. Then

$$\begin{aligned} & \bigcup_{I \in \mathcal{I}} \bigcup_{\substack{s \in S(I, m), \\ s_i \leq \psi^*(K, I, m) \\ \text{for every } i \in I^c}} \{n \leq x \mid v_i(n) = s_i \text{ for every } i \in I^c\} \subset \{n \leq x \mid g(n) \leq m\} \\ &= \bigcup_I \{n \leq x \mid g(n) \leq m, v_i(n) \geq z_i \text{ for } i \in I, v_i(n) < z_i \text{ for } i \in I^c\} \\ &\stackrel{(1)}{=} \bigcup_{I, \Delta(G_I) = \emptyset} \{n \leq x \mid g(n) \leq m, v_i(n) \geq z_i \text{ for } i \in I, v_i(n) < z_i \text{ for } i \in I^c\} \\ &= \bigcup_{\substack{I, q_I < q(K) \\ \Delta(G_I) = \emptyset}} \{ \dots \} \cup \bigcup_{I \in \mathcal{I}} \{ \dots \} \\ &\stackrel{(2)}{=} \bigcup_{\substack{I, q_I < q(K) \\ \Delta(G_I) = \emptyset}} \{ \dots \} \cup \bigcup_{I \in \mathcal{I}} \bigcup_{\substack{s \in S(I, m), \\ s_i < z_i \\ \text{for every } i \in I^c}} \{n \leq x \mid v_i(n) \geq z_i \text{ for } i \in I, v_i(n) = s_i \text{ for } i \in I^c\} \\ &\subset \bigcup_{\substack{I, q_I < q(K) \\ \Delta(G_I) = \emptyset}} \{ \dots \} \cup \bigcup_{I \in \mathcal{I}} \bigcup_{\substack{s \in S(I, m), \\ s_i < \max\{z_i, \psi^*(K, I, m)\} \\ \text{for every } i \in I^c}} \{n \leq x \mid v_i(n) = s_i \text{ for every } i \in I^c\}. \end{aligned}$$

Now Lemma 4 implies the assertion. ■

3. In this section $q(K)$ will be further investigated. Due to J. Śliwa ([21])

$$F'_m(x) = (C + o(1)) x (\log x)^{-1 + q_0(K)} (\log \log x)^{\varphi'(K, m)}$$

with $q_0(K)$ being the density of primes which have only principal ideals in their prime ideal decomposition. The following proposition deals with $q_0(K)$ and $q(K)$.

PROPOSITION 1. 1. $q_0(K) = q(K, \{0\}) \leq q(K)$.

2. Let $\{B(p) \mid p \text{ is unramified and has a non-principal prime ideal divisor}\} = \{B_1, \dots, B_{\varrho'}\}$. Then the following conditions are equivalent:

(a) $q_0(K) = q(K)$.

(b) $\Delta(\{g \mid v_g(B_i) > 0\}) \neq \emptyset$ for every $i \in \{1, \dots, \varrho'\}$.

(c) $\sum_{g \in G} v_g(A) / \text{ord}(g) = 1$ for every irreducible $A \in (\mathcal{B} \{g \mid v_g(B_i) > 0\})$ for every $i \in \{1, \dots, \varrho'\}$.

3. If $p \nmid h$ for every prime $p \leq [K : \mathbb{Q}]$, then $q_0(K) = q(K)$.

Proof. 2(a) and (b) are equivalent by definition; (b) and (c) are equivalent by [20], Theorem 3.1.

3. Let $\langle 0 \rangle^k B = \langle 0 \rangle^k \langle g_1, \dots, g_r \rangle \in \{B_1, \dots, B_q\}$ with $k \in \mathbb{N}$ and $g_1, \dots, g_r \in G \setminus \{0\}$. Then $B^s = \prod_{i=1}^r \langle g_i, \dots, g_i \rangle^{s/\text{ord}(g_i)}$ with $s = \text{lcm} \{ \text{ord}(g_i) \mid 1 \leq i \leq r \}$. Since $[K : \mathbb{Q}] < \text{ord}(g_i)$ it follows

$$s \sum_{i=1}^r \frac{1}{\text{ord}(g_i)} < sr \frac{1}{[K : \mathbb{Q}]} \leq s.$$

Thus $\Delta(\{g \mid v_g(B) > 0\}) \neq \emptyset$, and so 2(b) implies the assertion. ■

From now on till the end of this section all number fields K are Galois and Γ denotes the Galois group of K over \mathbb{Q} .

PROPOSITION 2. *If for every $g \in G \setminus G^\Gamma$ there exists a $\gamma \in \Gamma$ with $g \neq g^\gamma$ such that g and g^γ are in the same cyclic subgroup of G , then*

$$q(K) = \max \{q(K, G_0) \mid G_0 \subset G^\Gamma, \Delta(G_0) = \emptyset\}.$$

Proof. It suffices to show: if $G_0 \subset G$ is invariant and $G_0 \not\subset G^\Gamma$ then $\Delta(G_0) \neq \emptyset$. Let $g \in G_0 \setminus G^\Gamma$, $\gamma \in \Gamma$ with $g \neq g^\gamma$ and $g = a + n\mathbb{Z}$, $g^\gamma = b + n\mathbb{Z}$ $\in \mathbb{Z}/n\mathbb{Z} < G$. We have

$$\frac{n}{\text{gcd}(a, n)} = \text{ord}(a + n\mathbb{Z}) = \text{ord}(b + n\mathbb{Z}) = \frac{n}{\text{gcd}(b, n)}$$

and so

$$\text{gcd}(a, n) = \text{gcd}(b, n) = k.$$

According to Proposition 5 in [5] $\Delta(\{a + n\mathbb{Z}, b + n\mathbb{Z}\}) = \emptyset$ if and only if

$$\frac{a}{k} \equiv \frac{b}{k} \pmod{\left(\frac{n}{k}\right)} \quad \text{or} \quad \frac{n}{k} \leq 2.$$

Since neither of the two conditions holds $\emptyset \neq \Delta(\{a + n\mathbb{Z}, b + n\mathbb{Z}\}) \subset \Delta(G_0)$. ■

For $d \in \mathbb{N}_+$ let $G[d] = \{g \in G \mid dg = 0\}$.

PROPOSITION 3. *Let $k \subset K$ with k/\mathbb{Q} Galois, Hilbert class field $H(k) \subset K$ and $[K : k] = d$. Then*

$$q(K) = \max \{q(K, G_0) \mid G_0 \subset G[d], G_0 \text{ invariant}, \Delta(G_0) = \emptyset\}.$$

Proof. Let $\Gamma' = \text{Gal}(K/k) \subset \text{Gal}(K/\mathbb{Q}) = \Gamma$, $\# \Gamma' = n$, $G_0 \subset G$ invariant, $\Delta(G_0) = \emptyset$ and $0 \neq g \in G_0$. Let $p \in g$ be a prime ideal of first degree and let $p \cap \mathbb{Z} = p\mathbb{Z}$. Then p splits completely in K and since $H(k) \subset K$, p splits into principal prime ideals in k . Therefore $B(p) = \langle g^\gamma \mid \gamma \in \Gamma \rangle = \prod_{i=1}^{n/d} B_i$ with $B_i = \langle g^{\gamma_i \gamma'} \mid \gamma' \in \Gamma' \rangle$ and $\Gamma = \bigcup_{i=1}^{n/d} \gamma_i \Gamma'$. Because of $\Gamma' \triangleleft \Gamma$

$$B_1^{\gamma_1^{-1} \gamma_i} = \langle g^{\gamma_1 \gamma'} \mid \gamma' \in \Gamma' \rangle^{\gamma_1^{-1} \gamma_i} = \langle g^{\gamma_1 \gamma' \gamma_1^{-1} \gamma_i} \mid \gamma' \in \Gamma' \rangle = B_i$$

for every $1 \leq i \leq n$. Therefore, if B_1 has a factorization into e irreducible blocks, then so has B_i for every $1 \leq i \leq n$. Thus $B(p)$ is a product of $(n/d)e$ irreducible blocks. On the other hand $B(p)^{\text{ord}(g)} = \prod_{\gamma \in \Gamma} \langle g^\gamma, \dots, g^\gamma \rangle$. Since $\Delta(G_0) = \emptyset$ it follows that $(n/d)e \cdot \text{ord}(g) = n$, i.e. $\text{ord}(g) \mid d$. ■

COROLLARY 1. *If $\# \Gamma = n$ then*

$$q(K) = \max \{q(K, G_0) \mid G_0 \subset G [n], G_0 \text{ invariant}, \Delta(G_0) = \emptyset\}.$$

Proof. Choose $k = Q$. ■

In the sequel we write $q(B)$ instead of $q(P(B))$ for a block B .

LEMMA 5. *Let K/Q be cyclic with prime degree l .*

1. *If $p \in P$ is unramified in K then $B(p) = \langle 0 \rangle$ or $B(p) = \langle g, \dots, g \rangle$ with $g \in G^f$ or $B(p) = \langle g, g^\gamma, \dots, g^{\gamma^{l-1}} \rangle$ with $g \in G \setminus G^f$ and $\gamma \in \Gamma$.*

2. (a) $q(\langle 0 \rangle) = (l-1)/l$.

(b) $q(\langle g^\gamma \mid \gamma \in \Gamma \rangle) = 1/h$ for every $g \in G \setminus G^f$.

(c) $q(\langle g, \dots, g \rangle) = 1/(lh)$ for every $g \in G^f$.

3. $q_0(K) = (l-1)/l + 1/(lh)$.

4. $q(K, G_0) = (l-1)/l + \# G_0/(lh)$ for every invariant $G_0 \subset G$ with $0 \in G_0$.

Proof. 1. Obvious.

2. (a) Since $\{p \in P \mid B(p) = \langle 0 \rangle\} = \{p \in P \mid p \text{ does not split}\}$ the assertion follows by Corollary 5, p. 324 in [15].

(b), (c). Let $H(K)$ be the Hilbert class field of K and let $\varphi: G \rightarrow \text{Gal}(H(K)/K)$ be the Artin isomorphism. Then $\Gamma = \text{Gal}(K/Q) = \text{Gal}(H(K)/Q)/\text{Gal}(H(K)/K)$ and $\varphi(g^\gamma) = \gamma\varphi(g)\gamma^{-1}$ for every $g \in G$ and every $\gamma \in \Gamma$. For an unramified $p \in P$ let $F(p) \subset \text{Gal}(H(K)/Q)$ denote the conjugate class of Frobenius automorphisms associated with prime divisors p of p in $H(K)$. Then, by Chebotarev's density theorem we obtain (see, for example Theorem 7.10 in [15]):

(i) for every $g \in G \setminus G^f$

$$\begin{aligned} q(\langle g^\gamma \mid \gamma \in \Gamma \rangle) &= q(\{p \in P \mid F(p) = \{\gamma\varphi(g)\gamma^{-1} \mid \gamma \in \Gamma\}\}) \\ &= \frac{\#\{\gamma\varphi(g)\gamma^{-1} \mid \gamma \in \Gamma\}}{lh} = \frac{1}{h}. \end{aligned}$$

(ii) for every $g \in G^f$

$$q(\langle g, \dots, g \rangle) = q(\{p \in P \mid F(p) = \{\varphi(g)\}\}) = 1/(lh).$$

3. $q_0(K) = q(\langle 0 \rangle) + q(\langle 0, \dots, 0 \rangle)$.

4. Let $G_0 \subset G$ be invariant and $0 \in G_0$. Since

$$\mathcal{B}(G_0) \cap \mathcal{B}(P) = \{\langle 0 \rangle\} \cup \{\langle g, \dots, g \rangle \mid g \in G_0^f\} \cup \{\langle g^\gamma \mid \gamma \in \Gamma \rangle \mid g \in G_0 \setminus G_0^f\}$$

the assertion follows by 2. ■

For a finite group Γ and a finite Γ -module G let

$$\mu_\Gamma(G) = \max \{\# G_0 \mid G_0 \subset G \text{ } \Gamma\text{-invariant}, \Delta(G_0) = \emptyset\}.$$

If Γ acts trivially on G then $\mu_\Gamma(G) = \mu(G) = \max \{\# G_0 \mid \Delta(G_0) = \emptyset\}$. Obviously $1 \leq \mu(G^f) \leq \mu_\Gamma(G) \leq \mu(G)$. Furthermore, if the condition in Proposi-

tion 2 holds (especially, if G is cyclic), then $\mu_r(G) = \mu(G^f)$. For $p \in P$, $\mu(C_{p^n}) = n + 1$ ([20], Proposition 3.4) and if G is an elementary 2-group then $\mu(G) = \text{rk}(G) + 1$ ([23], Section 5). For further results on $\mu(G)$ see [22], Lemma 1, and [17], Section 2.

LEMMA 6. *Let Γ be cyclic with prime degree l and let G be an elementary l group. If $\text{rk}(G) - \text{rk}(G^\Gamma) \leq l - 1$, then $\mu_r(G) = \mu(G^\Gamma)$.*

Proof. Let $G_0 \subset G$ be invariant with $\Delta(G_0) = \emptyset$. It suffices to show $G_0 \subset G^\Gamma$. Assume to the contrary, there is an element $g_1 \in G_0 \setminus G^\Gamma$. Then $g_1, g_2 = g_1^\gamma, \dots, g_l = g_1^{\gamma^{l-1}}$ are pairwise distinct for $\gamma \in \Gamma$. Let $G = G^\Gamma \times G_1$. Since

$$l(\text{rk}(G) - \text{rk}(G^\Gamma)) = \# G_1 \geq \# \bigcup_{i=1}^l \{g_i, 2g_i, \dots, (l-1)g_i\} + 1$$

it follows that

$$\# \bigcup_{i=1}^l \{g_i, \dots, (l-1)g_i\} < l(l-1).$$

Therefore there are g_i, g_j with $g_i \neq g_j$ and $m_i, m_j \in \{1, \dots, l-1\}$ with $m_i g_i + m_j g_j = 0$. Let $m'_i \in \{1, \dots, l-1\}$ with $m_i m'_i \equiv 1 \pmod{l}$ and let $m'_j \in \{1, \dots, l-1\}$ with $m'_j \equiv m_j m'_i \pmod{l}$. Then $g_i + m'_j g_j = 0$ and $B = \langle g_i, g_j, \dots, g_j \rangle$ is irreducible. $B^l = \langle g_i, \dots, g_i \rangle \langle g_j, \dots, g_j \rangle^{m_j}$ implies $m'_j = l - 1$. Thus $g_i = g_j$, a contradiction. ■

PROPOSITION 4. *If K/\mathbb{Q} is cyclic with prime degree l , then*

$$q(K) = \frac{l-1}{l} + \frac{1}{lh} \mu_r(G[\Gamma]).$$

Proof. The proof follows immediately by Corollary 1 and Lemma 5. ■ The final corollary is due to W. Narkiewicz ([11], Theorem 4).

COROLLARY 2. *If K is a quadratic number field, then*

$$q(K) = \frac{1}{2} + \frac{1}{2h} (\text{rk}_2(G) + 1).$$

Proof. Since Γ acts trivially on $G[2]$

$$\mu_r(G[2]) = \mu(G[2]) = \text{rk}_2(G) + 1. \quad \blacksquare$$

4. Let $h \geq 2$ and $m \geq 1$. In order to get an asymptotic formula for $\bar{F}_m(x) = \# \{(a) \mid N(a) \leq x, f(a) = m\}$ we improve the asymptotic formula for $F_m(x)$ given in [13] with the methods of [7].

THEOREM 2.

- $F_m(x) = x(\log x)^{-1+1/h} W_m(\log \log x) + O(x(\log x)^{-2+1/h}(\log \log x)^{c_m})$
with $0 \neq W_m \in C[X]$ and $c_m \geq 0$.

$$2. \bar{F}_m(x) = x(\log x)^{-1+1/h} \bar{W}_m(\log \log x) + O(x(\log x)^{-2+1/h}(\log \log x)^{\bar{c}_m})$$

with $0 \neq \bar{W}_m \in C[X]$ and $\bar{c}_m \geq 0$.

Proof. 1. If we apply in Section 5 of [13] the so-called Main Lemma of [7] (Case II with $q = 0$) we get the above formula. (Proposition 1 in [7] and the formulae appearing in the proofs of the corollaries in [13] guarantee that the assumptions of the Main Lemma are satisfied.)

2. Let $m \geq 2$. First we show that there exists an $a_0 \in R$ with $f(a_0) = m$. Let $g \in G$ with $\text{ord}(g) = n \geq 2$, let $p_1 \in g, p_2 \in -g$ be distinct prime ideals, $p_1^n = a_1 R, p_2^n = a_2 R$ and $p_1 p_2 = bR$. Since $a_0 = a_1^{m-1} a_2^{m-1} = a_1^{m-1-i} a_2^{m-1-i} b^i$ for every $i \in \{0, \dots, m-1\}$ and since there are no more factorizations of a_0 , it follows that $f(a_0) = m$.

Let $M = \{a \in R \mid (a) \text{ is a product of principal prime ideals}\}$. Then $\#\{(a) \mid a \in M, N(a) \leq x\} \geq C_1 x(\log x)^{-1+1/h}$ ([7], Lemma 2). Since for every $a \in M, f(aa_0) = m$ we obtain $\bar{F}_m(x) \geq C_2 x(\log x)^{-1+1/h}$. But $\bar{F}_m(x) = F_m(x) - F_{m-1}(x) \geq C_2 x(\log x)^{-1+1/h}$ implies $W_m - W_{m-1} \neq 0$, and thus 2 holds with $\bar{W}_m = W_m - W_{m-1}$. ■

Remark. It is possible to proceed with $F'_m(x)$ and $\bar{F}'_m(x) = \#\{n \leq x \mid f(n) = m\}$ in the same way as above, to obtain an asymptotic formula for $\bar{F}'_m(x)$ (from [18] it follows that the assumptions of the Main Lemma in [7] are satisfied; further use [21], resp. [13] 3.b).

5. Finally we consider those natural numbers which have simple sets of lengths: for $n \in N$ let $L(n)$ denote the set of lengths of possible factorizations of n , i.e. $L(n) = \{k \mid n \text{ has a factorization of length } k\}$. $L(n)$ is called *simple* if there are $y, k \in N$ such that $L(n) = \{y, y+1, \dots, y+k\}$. Lemma 4 and Lemma 7 in [3] imply that

$$\#\{n \leq x \mid L(n) \text{ is simple}\} = (1 + o(1))x.$$

There are algebraic number fields with class number $h > 3$ such that $L(n)$ is simple for every $n \in N$ ([6]).

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