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ACTA ARITHMETICA LIII (1989)

# Reducibility of lacunary polynomials, X

- 1

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In memory of V. G. Sprindžuk

1. Introduction. The main aim of this paper is to study the reducibility over the rational field Q of polynomials  $F(x^{n_1}, x^{n_2}, ..., x^{n_k})$ , where  $k \ge 3$  and  $F \in \mathbb{Z}[x_1, ..., x_k]$  is a non-reciprocal polynomial. For k = 3 we shall establish a special case of the conjecture formulated in [8] and give a necessary and sufficient condition for reducibility over Q, apart from cyclotomic factors, of every non-reciprocal  $F(x^{n_1}, x^{n_2}, x^{n_3})$ . For k > 3 we estimate the number of integer vectors  $\mathbf{n} = [n_1, n_2, ..., n_k]$  satisfying  $h(\mathbf{n}) = \max_{1 \le i \le k} |n_i| \le N$ , for which the said conjecture fails. This estimate leads to an analogue of Hilbert's irreducibility theorem. The starting point is the following theorem, which seems of independent interest.

THEOREM 1. Let **K** be any field,  $P, Q \in K[x_1, ..., x_k]$ , (P, Q) = 1 and either char K > 0 or char K = 0,  $k \leq 3$ . There exists a number  $c_1(P, Q)$  with the following property. If  $\mathbf{n} = [n_1, n_2, ..., n_k] \in \mathbf{Z}^k$ ,  $\xi \neq 0$  is in the algebraic closure of K and

(1) 
$$P(\xi^{n_1}, \xi^{n_2}, ..., \xi^{n_k}) = Q(\xi^{n_1}, \xi^{n_2}, ..., \xi^{n_k}) = 0$$

then either  $\xi^q = 1$  for a suitable integer q > 0 or there is a vector  $y \in \mathbb{Z}^k$  such that

$$0 < h(\gamma) \leqslant c_1(P, Q)$$

and

$$yn = 0$$
.

For K = Q, k arbitrary, the special case (P, Q) = 1 of Lemma 9 in [9] asserts under the same assumption (1) that either  $\xi$  is conjugate over Q to  $\xi^{-1}$  or  $\beta n = 0$  with  $\beta \in \mathbb{Z}^k$ ,

(2) 
$$0 < h(\beta) < c_1^*(P, Q),$$

where  $c_1^*(P, Q)$  is explicitly given in terms of the degree and of the coefficients of P, Q supposed integral.

From the proof of Theorem 1 given below an explicit expression for  $c_1(P, Q)$ , in the case k = 3, P,  $Q \in \mathbb{Z}[x_1, x_2, x_3]$ , can also be derived and preliminary calculations show that it is smaller than  $c_1^*(P, Q)$ . However the calculations are cumbersome and therefore not included.

For k > 3 the method of proof of Theorem 1 gives the following

THEOREM 2. Let  $P, Q \in C[x_1, ..., x_k], (P, Q) = 1$ . The number of integer vectors  $\mathbf{n} = [n_1, n_2, ..., n_k]$  such that

$$\max_{1 \leq i \leq k} |n_i| \leq N,$$

and for some  $\xi$  (1) holds, but  $\xi^q \neq 0$ , 1 for every integer q > 0, is less than

$$c_2(P, Q)N^{k-\frac{\min(k,6)}{2k-2}}\frac{(\log N)^{10}}{(\log\log N)^9},$$

where for k < 6 the logarithmic factors can be omitted.

Formulating precisely the consequences of Theorem 1 and 2 concerning reducibility of polynomials over Q we shall use the notation introduced in the former papers of this series, which we recall for the convenience of the reader.

For a field K and a non-zero polynomial  $F \in K[x_1, ..., x_k]$  the notation

$$F_{K}^{\text{can}} = \text{const} \prod_{\sigma=1}^{s} F_{\sigma}^{e_{\sigma}}$$

means, in addition to the equality, that polynomials  $F_{\sigma}$  are irreducible over K and relatively prime in pairs. If K = Q the letter K is omitted from the symbol  $\stackrel{\text{can}}{=}$ . Reducibility without qualification means reducibility over Q.

If  $\phi = \prod_{i=1}^k x_i^{\alpha_i} F(x_1, ..., x_k)$  where  $\alpha_i$  are integers, not necessarily positive,

$$F \in K[x_1, ..., x_k]$$
 and  $(F, \prod_{i=1}^k x_i) = 1$  we set  $J\phi = F$ .

A polynomial  $F \in K[x_1, ..., x_k]$  is called reciprocal if

$$JF(x_1^{-1}, \ldots, x_k^{-1}) = \pm F(x_1, \ldots, x_k).$$

If

$$J\phi \stackrel{\text{can}}{=} \operatorname{const} \prod_{\sigma=1}^{s} F_{\sigma}^{e_{\sigma}}$$

we set

$$LF = \text{const } \prod_{\sigma=1}^{s} F_{\sigma}^{e_{\sigma}}$$
 (only for  $K = Q$ ),  $KF = \text{const } \prod_{\sigma=1}^{s} F_{\sigma}^{e_{\sigma}}$ ,

where  $\prod^*$  is extended over all factors  $F_{\sigma}$  that are not reciprocal,  $\prod^{**}$  is extended over all factors  $F_{\sigma}$  not dividing  $J(\prod_{i=1}^k x_i^{\delta_i} - 1)$ , for any vector  $[\delta_1, \ldots, \delta_k] \neq [0, \ldots, 0]$ .

In particular, if  $F \in \mathbb{Q}[x]$ , KF is JF deprived of all its cyclotomic factors. The leading coefficient of KF is by definition equal to that of F. J0 = K0 = L0 = 0. For a polynomial  $F \in \mathbb{Q}[x_1, ..., x_k] ||F||$  is the sum of the squares of the coefficients of F,

$$|F| = \max_{i} \deg_{x_i} F.$$

For a vector  $\mathbf{a} \in \mathbf{R}^k$  its coordinates are denoted by  $a_1, \ldots, a_k$ ;  $h(\mathbf{a}) = \max_{1 \le i \le k} |a_i|$ . The scalar product of vectors  $\mathbf{a}$ ,  $\mathbf{b}$  is denoted by  $\mathbf{a}\mathbf{b}$ , the vector product by  $\mathbf{a} \times \mathbf{b}$ , otherwise vectors are treated as matrices with one row. For a matrix  $\mathbf{A} = [a_{ij}]$ ,  $h(\mathbf{A}) = \max|a_{ij}|$ , for an algebraic number  $\theta$   $h(\theta)$  is the usual height. Small bold face letters denote vectors, capital bold face letters sets, fields or matrices,  $c_1(P, Q), \ldots, c_{65}(k, S)$  denote real numbers depending only on the specified arguments.

We have

THEOREM 3. Let  $F \in \mathbb{Z}[x_1, x_2, x_3]$  be irreducible and non-reciprocal. There exists a number  $c_3(F)$  with the following property. For every vector  $\mathbf{n} \in \mathbb{Z}^3$  there exists an integral square matrix  $\mathbf{M} = [\mu_{ij}]$  of order three and a vector  $\mathbf{v} = [v_1, v_2, v_3] \in \mathbb{Z}^3$  such that

$$(3_1) 0 \le \mu_{ii} \le \mu_{ii} < \exp 27 \cdot 2^{||F||-5} (i \ne i), \quad \mu_{ii} = 0 (i < i),$$

$$(3_2) n = vM$$

and either

(4<sub>1</sub>) 
$$JF\left(\prod_{i=1}^{3} y_{i}^{\mu_{i1}}, \prod_{i=1}^{3} y_{i}^{\mu_{i2}}, \prod_{i=1}^{3} y_{i}^{\mu_{i3}}\right) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^{s} F_{\sigma}(y_{1}, y_{2}, y_{3})^{e_{\sigma}}$$

implies  $e_{\sigma} = 1 \ (1 \le \sigma \le s)$ ,

(4<sub>2</sub>) 
$$KF(x^{n_1}, x^{n_2}, x^{n_3}) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^{s} KF_{\sigma}(x^{\nu_1}, x^{\nu_2}, x^{\nu_3})$$

or there exists a vector  $y \in \mathbb{Z}^3$  such that

$$0 < h(\gamma) \leqslant c_3(F)$$

and

$$\gamma n = 0$$
.

THEOREM 4. For every polynomial  $F \in \mathbb{Z}[x_1, x_2, x_3]$  there exist numbers  $c_4(r, F)$   $(1 \le r \le 3)$  with the following property.

If  $n = [n_1, n_2, n_3] \in \mathbb{Z}^3$  and  $JF(x^{n_1}, x^{n_2}, x^{n_3})$  is not reciprocal

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 $KF(x^{n_1}, x^{n_2}, x^{n_3})$  is reducible if and only if there exist an integral matrix  $N = \begin{bmatrix} v_{ij} \end{bmatrix}_{\substack{i \leq r \\ i \leq 3}}$  of rank r and a vector  $v \in \mathbf{Z}^r$  such that

$$0 < h(N) \leqslant c_4(r, F),$$
  
$$n = vN,$$

$$KF\left(\prod_{i=1}^{r} y_{i}^{y_{i1}}, \prod_{i=1}^{r} y_{i}^{y_{i2}}, \prod_{i=1}^{r} y_{i}^{y_{i3}}\right) = G_{1} G_{2}, \quad G_{i} \in \mathbb{Z}[y_{1}, \dots, y_{r}] \quad (i = 1, 2)$$

and

$$KG_i(x^{v_1}, \ldots, x^{v_r}) \notin \mathbb{Z}$$
  $(i = 1, 2).$ 

A result similar to Theorem 3, but concerning polynomials in two variables has been given as Theorem 2 of [8]. The comparison shows two differences. First that theorem asserted for every vector  $\mathbf{n} \in (\mathbf{Z}^+)^2$  the existence of an integral non-singular matrix  $\mathbf{M}$  with properties similar to (3) and (4) ( $\mathbf{M}$  not necessarily triangular) and with nonnegative entries, while in Theorem 3 above the components of  $\mathbf{v}$  may be negative. Secondly, on the right-hand side of the equality corresponding to (4<sub>2</sub>) the factors occurred with exponents  $e_{\sigma}$ , while in (4<sub>2</sub>) they occur with exponent 1. In fact the exponents must be 1 for every non-singular matrix  $\mathbf{M}$ , as it has been shown in [9], p. 148. As to the first difference, in virtue of the results of Schmidt [15] and Low [7] the nonnegativity of the components of  $\mathbf{v}$  can be achieved for  $\mathbf{n} \in (\mathbf{Z}^+)^3$  at the cost of loosing the triangular form of  $\mathbf{M}$ , but the additional complicacy in the proof would obscure the idea of the argument.

A result similar to Theorem 4, but concerning polynomials in two variables has been given as Theorem 3 in [9]. The comparison shows again two differences. First, the assumption of the present theorem is, at least for an irreducible F, stronger: it is assumed that  $JF(x^{n_1}, x^{n_2}, x^{n_3})$  is not reciprocal, while in [9] it was assumed only that  $KF(x_1, x_2) = LF(x_1, x_2)$ . Secondly, the assertion of the present theorem is weaker: it gives only a necessary and sufficient condition for reducibility of  $KF(x^{n_1}, x^{n_2}, x^{n_3})$ , while in [9] the factorization of  $KF(x^{n_1}, x^{n_2})$  into irreducible factors was completely described. These deficiences are inherent in the present approach.

Theorem 2 has the following application to reducibility of polynomials over Q.

THEOREM 5. Let k > 1,  $F \in \mathbb{Z}[x_1, ..., x_k]$  be a non-reciprocal irreducible polynomial. There exists a subset S(F) of  $\mathbb{Z}^k$  with the following properties:

(i) card  $\{n \in S(F): h(n) \leq N\} = O\left(N^{k - \frac{\min\{k, 6\}}{2(k-1)}} \frac{(\log N)^{10}}{(\log \log N)^9}\right)$ , where for k < 6 the logarithmic factors can be omitted.

(ii) For every  $n \in \mathbb{Z}^k \setminus S(F)$  there exists an integral square matrix  $M = [\mu_{ij}]$ 

of order k and a vector  $v \in \mathbb{Z}^k$  such that

$$0 \le \mu_{ij} < \mu_{jj} \le \exp 9k \cdot 2^{\|F\| - 5}$$
  $(i \ne j), \quad \mu_{ij} = 0 \quad (i < j),$ 

$$(5) n = vM$$

and

(6<sub>1</sub>) 
$$JF\left(\prod_{i=1}^{k} y_i^{\mu_{i1}}, \dots, \prod_{i=1}^{k} y_i^{\mu_{ik}}\right) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^{s} F_{\sigma}(y_1, \dots, y_k)^{e_{\sigma}}$$

implies  $e_{\sigma} = 1$   $(1 \le \sigma \le s)$  and

(6<sub>2</sub>) 
$$KF(x^{n_1}, ..., x^{n_k}) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^{s} KF_{\sigma}(x^{\nu_1}, ..., x^{\nu_k}).$$

This theorem implies easily

Theorem 6. Let S be a set of positive integers with the counting function  $S(x) = \Omega(x^{1-\epsilon})$  for every  $\varepsilon > 0$ . If  $F_g \in \mathbb{Q}[x_1, \ldots, x_k]$   $(1 \le g \le h)$  are non-reciprocal polynomials such that  $F_g(x_1^d, \ldots, x_k^d)$  is irreducible for all  $g \le h$ , and all integers d > 0, then there exist infinitely many vectors  $\mathbf{n} = [n_1, \ldots, n_k] \in S^k$  such that  $KF_g(x_1^{n_1}, \ldots, x_n^{n_k})$  is irreducible for all  $g \le h$ .

This theorem shows some similarity both to Theorem 3 of [12] (which can be deduced from it, if in the notation of that paper  $[K_g:Q]<\infty$  for all  $g\leqslant h$ ) and to Hilbert's irreducibility theorem. The condition that  $F_g(x_1^d,\ldots,x_k^d)$  is irreducible for all d>0 cannot be replaced even for h=1 by the condition that  $F_g$  is itself irreducible. We shall give a relevant counterexample at the end of the paper. Also there we shall explain the difficulty of extending Theorem 6 to the case where the coefficients of  $F_g$  are irrational and the irreducibility is considered over the field generated by the ratios of these coefficients.

### 2. Twelve lemmata.

LEMMA 1. For every non-zero vector  $n \in \mathbb{Z}^k$  there exists two linearly independent vectors  $\mathbf{p}$ ,  $\mathbf{q} \in \mathbb{Z}^k$  and integers  $\mathbf{u}$ ,  $\mathbf{v}$  such that

$$n = up + vq,$$
  
$$h(p)h(q) \le c_0(k) h(n)^{(k-2)/(k-1)}$$

where  $c_0(3) = \sqrt{\frac{4}{3}}$  and  $c_0(k) \le 2$  for k > 3.

Proof, see [3] and [14].

Lemma 2. Let S be a finite subset of  $C[y_1, y_2]$ , g.c.d. F = 1. There exists  $F \in S$ 

a constant  $c_6(S)$  with the following property. If  $[n_1, n_2] \in \mathbb{Z}^2$  and

(7) g.c.d. 
$$KF(x^{n_1}, x^{n_2}) \neq 1$$

then

$$\max\{|n_1|, |n_2|\} \leqslant c_6(S)(n_1, n_2),$$

where (0, 0) = 0.

Proof. We begin with an observation already used in [13] that an equation  $\alpha_1^{n_2} = \alpha_2^{n_1}$ , where  $\alpha_1$ ,  $\alpha_2$  are complex numbers different from 0 and roots of unity and  $n_1$ ,  $n_2$  are non-zero integers, determines uniquely the fraction  $n_1/n_2$ . The height of this number will be denoted by  $C(\alpha_1, \alpha_2)$ . If the equation  $\alpha_1^{n_2} = \alpha_2^{n_1}$  implies  $n_1 = n_2 = 0$  we set  $C(\alpha_1, \alpha_2) = 0$ .

By the choice of S there exist only finitely many zeros  $(\alpha_{j1}, \alpha_{j2})$   $(1 \le j \le j_0)$  common to all  $F \in S$  and if (7) holds then for some  $\xi$  different from 0 and roots of unity and a suitable  $j \le j_0$  we have

$$\xi^{n_1}=\alpha_{j1}, \quad \xi^{n_2}=\alpha_{j2}.$$

If  $n_1 n_2 \neq 0$  it follows that  $\alpha_{j1}$ ,  $\alpha_{j2}$  are not roots of unity,

$$\alpha_{j1}^{n_2}=\alpha_{j2}^{n_1}$$

and

$$\frac{\max\{|n_1|,\,|n_2|\}}{(n_1,\,n_2)}=C(\alpha_{j1},\,\alpha_{j2}).$$

If  $n_1 n_2 = 0$  we have max  $\{|n_1|, |n_2|\} = (n_1, n_2)$ . Therefore it suffices to take

$$c_6(S) = \max \{ \max_{j \le j_0} C(\alpha_{j1}, \alpha_{j2}), 1 \}.$$

LEMMA 3. Let  $P, Q \in C[x_1, ..., x_k], (P, Q) = 1$ . If  $p, q \in \mathbb{Z}^k$ ,

$$D(y, z) = (JP(y^{p_1}z^{q_1}, ..., y^{p_k}z^{q_k}), JQ(y^{p_1}z^{q_1}, ..., y^{p_k}z^{q_k})) \in C[y, z] \setminus C[z],$$

then there exist two linearly independent vectors  $l_1, l_2 \in \mathbb{Z}^k$  such that

$$h(l_1) \le \min\{|P|, |Q|\},$$
  
 $h(l_2) \le 2|P||Q|,$   
 $(l_1, p)(l_2, q) = (l_1, q)(l_2, p)$ 

and

$$l_2q=0 \quad \text{if} \quad l_2p=0.$$

Proof. Without loos of generality we may assume that

$$|P| \leq |Q|$$
.

Let

$$P = \sum_{\alpha \in A} \pi_{\alpha} \sum_{j=1}^{k} x_{j}^{\alpha_{j}},$$

where  $A \subset \mathbb{Z}^k$  and  $\pi_{\alpha} \neq 0$  for  $\alpha \in A$ . We have

(8) 
$$JP(y^{p_1}z^{q_1}, \ldots, y^{p_k}z^{q_k}) = \sum_{\alpha \in A} \pi_{\alpha} y^{(\alpha - \alpha_1)p} z^{(\alpha - \alpha_2)q},$$

for some  $\alpha_1, \alpha_2 \in A$ . Let

$$D(y, z) = \sum_{\langle a,b \rangle \in \mathbf{D}} d_{ab} y^a z^b$$
, where  $d_{ab} \neq 0$  for  $\langle a, b \rangle \in \mathbf{D}$ .

By the assumption

$$D(y, z) \in C[y, z] \setminus C[z],$$

hence **D** is not contained in a line a = const and we can find for it a supporting line **L**, i.e. such a line  $a\lambda + b = \mu$  on the plane ab containing two or more points of **D** that all the remaining points of **D** lie above it. Let

$$D_0(y, z) = \sum_{\langle a,b\rangle \in \mathbf{D} \cap \mathbf{L}} d_{ab} y^a z^b.$$

Define the weight of a term  $cy^az^b$  ( $c \neq 0$ ) as  $a\lambda + b$ . Clearly  $D_0$  divides the part  $P_0$  of  $JP(y^{p_1}z^{q_1}, \ldots, y^{p_k}z^{q_k})$  consisting of all terms with the minimal weight. Since  $D_0$  contains at least two terms, also  $P_0$  contains at least two terms. However, by (8)

$$P_0(y, z) = \sum_{\alpha \in A_0} \pi_{\alpha} y^{(\alpha - \alpha_1)p} z^{(\alpha - \alpha_2)q},$$

where  $A_0 \subset A$ . Taking two distinct elements  $\alpha_3$ ,  $\alpha_4$  of  $A_0$  we get

$$\lambda(\alpha_3 - \alpha_1) p + (\alpha_3 - \alpha_2) q = \lambda(\alpha_4 - \alpha_1) p + (\alpha_4 - \alpha_2) q,$$

thus

$$\lambda(\alpha_4-\alpha_3)p+(\alpha_4-\alpha_3)q=0.$$

Putting  $l_1 = \alpha_4 - \alpha_3$  we get

$$0 < h(l_1) \le |P| = \min\{|P|, |O|\}$$

and

(9) 
$$\lambda l, p + l, q = 0.$$

Since  $l_1 \neq 0$  we may assume without loss of generality that  $l_{1k} \neq 0$ . Let us consider the resultant of P and Q with respect to  $x_k$ . Since (P, Q) = 1 this resultant  $R \in C[x_1, \ldots, x_{k-1}]$  is different from 0. By Lemma 5 of [9] we have

$$|R| \leqslant 2|P||Q|.$$

From the fundamental property of resultants

$$R = UP + VO$$
, where  $U, V \in C[x_1, x_2, \dots, x_n]$ .

Hence by the definition of D

$$D(y, z)|JR(y^{p_1}z^{q_1}, \ldots, y^{p_{k-1}}z^{q_{k-1}}).$$

By the same argument about  $D_0$  as before it follows that for some vectors  $\gamma_1, \gamma_2 \in \mathbb{Z}^{k-1} \times \{0\}$  we have

$$(11) 0 < h(\gamma_2 - \gamma_1) \leq |R|,$$

(12) 
$$\lambda(\gamma_2-\gamma_1)p+(\gamma_2-\gamma_1)q=0.$$

Putting  $l_2 = \gamma_2 - \gamma_1$  we get by (10) and (11)

$$0 < h(\boldsymbol{l}_2) \leqslant 2|P||Q|$$

and by (12)

$$\lambda l_2 p + l_2 q = 0.$$

Thus by (9)

$$(l_1p)(l_2q) = (l_1q)(l_2p)$$

and  $l_2 p = 0$  implies  $l_2 q = 0$ .

Moreover the vectors  $l_1$ ,  $l_2$  are linearly independent since  $l_{1k} \neq 0$ , while  $l_{2k} = 0$  and  $l_2 \neq 0$ .

LEMMA 4. Let 
$$P, Q \in C[x_1, x_2, ..., x_k], (P, Q) = 1$$
. If  $p, q \in \mathbb{Z}^k$ ,  $(JP(y^{p_1}z^{q_1}, ..., y^{p_k}z^{q_k}), JQ(y^{p_1}z^{q_1}, ..., y^{p_k}z^{q_k})) = D(z) \in C[z]$ 

and  $KD(z) \neq 1$  then there exist  $d \geq 2$  linearly independent vectors  $m_1, \ldots, m_d \in \mathbb{Z}^k$  such that for  $i \leq d$ 

$$h(\mathbf{m}_i) \leq i \max\{|P|, |Q|\}, \quad \mathbf{m}_i \mathbf{p} = 0$$

and either  $d \ge 3$  or

$$\max\{|m_1q|, |m_2q|\} \leq c_7(P, Q)(m_1q, m_2q).$$

Proof. Let

(13) 
$$P = \sum_{\alpha \in A} \pi_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j}}, \quad Q = \sum_{\alpha \in B} \varrho_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j}},$$

where  $A, B \subset \mathbb{Z}^k$ ,  $\alpha = [\alpha_1, ..., \alpha_k]$  and  $\pi_{\alpha} \neq 0$  for  $\alpha \in A$ ,  $\varrho_{\alpha} \neq 0$  for  $\alpha \in B$ .

Let A' be a subset of A saturated with respect to property that all numbers  $\alpha' p$  for  $\alpha' \in A'$  are distinct and let B' be defined similarly. We have

(14) 
$$P = \sum_{\alpha' \in A'} \prod_{j=1}^{k} x_{j}^{\alpha'_{j}} \sum_{\substack{\alpha \in A \\ (\alpha - \alpha')p = 0}} \pi_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j} - \alpha'_{j}},$$

$$Q = \sum_{\alpha' \in B'} \prod_{j=1}^{k} x_{j}^{\alpha'_{j}} \sum_{\substack{\alpha \in B \\ (\alpha - \alpha')p = 0}} Q_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j} - \alpha'_{j}};$$

$$P(y^{p_1} z^{q_1}, \ldots, y^{p_k} z^{q_k}) = \sum_{\alpha' \in A'} y^{\alpha' p} z^{\alpha' q} \sum_{\substack{\alpha \in A \\ (\alpha - \alpha')p = 0}} \pi_{\alpha} z^{(\alpha - \alpha')q},$$

(15) 
$$Q(y^{p_1}z^{q_1}, \ldots, y^{p_k}z^{q_k}) = \sum_{\alpha' \in \mathbf{B}'} y^{\alpha'p} z^{\alpha'q} \sum_{\substack{\alpha \in \mathbf{B} \\ (\alpha - \alpha')p = 0}} \varrho_{\alpha} z^{(\alpha - \alpha')q}.$$

Since D(z) has at least two terms or D(z) = 0, for each  $\alpha' \in A'$  there exists at least one  $\alpha \in A$ ,  $\alpha \neq \alpha'$  such that  $(\alpha - \alpha')p = 0$ .

Let  $\Lambda$  be the sublattice of  $Z^k$  generated by all the vectors  $\alpha - \alpha'$ , where  $(\alpha - \alpha')p = 0$  and  $\alpha$ ,  $\alpha' \in A$  or  $\alpha$ ,  $\alpha' \in B$ ; let  $d = \dim \Lambda$ .

Since  $h(\alpha - \alpha') \le \max\{|P|, |Q|\}$  for  $\alpha, \alpha' \in A \cup B$  by virtue of Lemma 6 of [9]  $\Lambda$  has a basis  $m_1, \ldots, m_d$  satisfying

$$h(\mathbf{m}_i) \leqslant i \max\{|P|, |Q|\} \quad (1 \leqslant i \leqslant d).$$

Let  $M = [m_{ij}]_{i,j \leq d}$ . Without loss of generality we may assume that

$$|M| > 0.$$

For every vector  $\alpha - \alpha'$ , where  $\alpha, \alpha' \in A$  or  $\alpha, \alpha' \in B$  we have

(17) 
$$\alpha - \alpha' = \sum_{i=1}^{d} c_{\alpha\alpha'}^{i} m_{i}, \quad c_{\alpha\alpha'}^{i} \in \mathbb{Z} \quad (1 \leq i \leq d).$$

It follows that

(18) 
$$|c_{\alpha\alpha'}^i| \leq d^{d/2} h(\alpha - \alpha') \prod_{j=1, j \neq i}^d h(m_j) \leq (d^{3/2} \max\{|P|, |Q|\})^d.$$

Let us put

$$S = \bigcup_{\alpha' \in A'} \left\{ J \sum_{\substack{\alpha \in A \\ (\alpha - \alpha')p = 0}} \pi_{\alpha} \prod_{i=1}^{d} y_{i}^{c_{i}\alpha\alpha'} \right\} \cup \bigcup_{\alpha' \in B'} \left\{ J \sum_{\substack{\alpha \in B \\ (\alpha - \alpha')p = 0}} Q_{\alpha} \prod_{i=1}^{d} y_{i}^{c_{i}\alpha\alpha'} \right\},$$

$$\Delta = \text{g.c.d. } F.$$

$$F \in S$$

Substituting

$$y_i = \prod_{i=1}^k x_j^{m_{ij}} \quad (1 \le i \le d)$$

We get by (17)

$$J\Delta\left(\prod_{j=1}^{k} x_{j}^{m_{1j}}, \ldots, \prod_{j=1}^{k} x_{j}^{m_{dj}}\right) | \left(g.c.d. J \sum_{\alpha \in A} \sum_{\alpha \in A} \pi_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j} - \alpha_{j}}, \right.$$

$$g.c.d. J \sum_{\alpha \in B} \varrho_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j} - \alpha_{j}}$$

$$g.c.d. J \sum_{\alpha \in B} \varrho_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j} - \alpha_{j}}$$

hence by (14)

$$J\Delta(\prod_{i=1}^{k} x_{j}^{m_{1j}}, \dots, \prod_{i=1}^{k} x_{j}^{m_{dj}})|(P, Q)|$$

and by the assumption

$$J\Delta(\prod_{j=1}^k x_j^{m_{1j}}, \ldots, \prod_{j=1}^k x_j^{m_{dj}}) \in \mathbb{C}.$$

Let  $|M|M^{-1} = [m'_{ij}]$ . Substituting

$$x_i = \prod_{i=1}^d z_j^{m_{i,j}} \quad (1 \le i \le d), \quad x_i = 1 \quad (d < i \le k),$$

we get

$$J\Delta(z_1^{|M|},\ldots,z_d^{|M|})\in C$$

hence by (16)

$$J\Delta(z_1,\ldots,z_d)\in C$$
.

Since by the definition of  $\Delta$  its leading coefficient is 1 and  $(\Delta(z_1, \ldots, z_d), \prod_{i=1}^d z_i) = 1$ , we have

$$\Delta(y_1, \ldots, y_d) = 1$$

On the other hand, by (15) and (17)

$$D(z)|g.c.d.JF(z^{m_1q},\ldots,z^{m_dq}).$$

If d=1 the right-hand side of the above divisibility equals  $J\Delta(z^{m_1q})$  contradicting (19). Therefore either  $d \ge 3$  or d=2 and the set S satisfies the assumption of Lemma 2.

Applying that lemma to the vector  $[m_1q, m_2q]$  we get

$$\max\{|m_1q|, |m_2q|\} \leq c_6(S)(m_1q, m_2q).$$

By (18) the number of possibilities for the set S for fixed P and Q is finite. Hence  $c_6(S)$  does not exceed a bound depending only on P and Q. Denoting this bound by  $c_7(P, Q)$  we obtain

$$\max\{|m_1q|, |m_2q|\} \leq c_7(P, Q)(m_1q, m_2q)$$

and the proof of Lemma 4 is complete.

LEMMA 5. Let  $P, Q \in K[x_1, x_2, x_3], K \subset C, (P, Q) = 1$ . If  $[n_1, n_2, n_3] \in \mathbb{Z}^3$ ,  $(n_1, n_2, n_3) = 1$  and  $\xi$  is a common zero of  $KP(x^{n_1}, x^{n_2}, x^{n_3})$  and  $KQ(x^{n_1}, x^{n_2}, x^{n_3})$  then either

$$[K(\xi):K] < 12|P||Q|\sqrt{3h(n)}$$

or there is a vector  $\gamma \in \mathbb{Z}^3$  such that

$$\gamma n = 0$$

and

$$0 < h(\gamma) \leqslant c_8(P, Q).$$

Proof. Let us choose a decomposition

(21) 
$$n = up + vq$$
,  $u, v \in \mathbb{Z}$ ,  $p, q \in \mathbb{Z}^3$ ,  $\dim(p, q) = 2$ 

with the least possible value of h(p)h(q). By Lemma 1 we have

$$(22) h(p)h(q) \leqslant \sqrt{\frac{4}{3}h(n)}.$$

Without loss of generality we may assume that

$$(23) h(p) \leqslant h(q).$$

It follows from  $(n_1, n_2, n_3) = 1$  that (u, v) = 1. If we had v = 0 it would follow  $u = \pm 1$ , h(n) = h(p) and thus

$$h(n)^2 \le \sqrt{\frac{4}{3}h(n)}; \quad h(n)^3 \le \frac{4}{3}, \quad h(n) = 1.$$

Since for h(n) = 1 we can choose a decomposition (21) with h(p) = h(q) = 1,  $v = \pm 1$ , we may assume that

$$(24) (u, v) = 1, v \neq 0.$$

Let us consider polynomials

$$(25_1) \quad G = JP(y^{p_1}z^{q_1}, y^{p_2}z^{q_2}, y^{p_3}z^{q_3}), \quad H = JQ(y^{p_1}z^{q_1}, y^{p_2}z^{q_2}, y^{p_3}z^{q_3})$$

$$(25_2) D = (G, H).$$

For further reference we note that

$$\deg_y G \leqslant 3|P|h(p), \quad \deg_z G \leqslant 3|P|h(q),$$

(26) 
$$\deg_{\alpha} H \leq 3|Q|h(p), \quad \deg_{\alpha} H \leq 3|Q|h(q).$$

If  $D \in K[y,z] \setminus K[z]$  then by Lemma 3 there are two linearly independent vectors  $l_1, l_2 \in \mathbb{Z}^3$  such that

(27) 
$$h(l_1) \leq \min\{|P|, |Q|\}, \quad h(l_2) \leq 2|P||Q|$$

and

$$(l_1p)(l_2q) = (l_2p)(l_1q).$$

Hence

$$(l_1p)(l_2n) - (l_2p)(l_1n) = (l_1p)(l_2up + l_2vq) - (l_2p)(l_1up + l_1vq) = 0$$

and we get (20) with

(28<sub>1</sub>) 
$$\gamma = (l_1 p) l_2 - (l_2 p) l_1 = (l_1 \times l_2) \times p \neq 0$$

unless

$$\boldsymbol{l}_1\boldsymbol{p}=\boldsymbol{l}_2\boldsymbol{p}=0.$$

In the latter case  $l_1 q = l_2 q = 0$  and we get

$$(28_2) l_i n = l_i (up + vq) = 0 (i = 1, 2),$$

thus we take  $\gamma = l_1 \neq 0$ . In the first case

$$(29_1) h(y) \leq 2h(l_1 \times l_2) h(p) \leq 4h(l_1) h(l_2) h(p).$$

In the second case the same inequality clearly holds. By (20) and (28)

$$n = u_1(l_1 \times l_2) + v_1 p$$
, where  $u_1, v_1 \in Q$ 

and by the argument leading to Theorem 2 of [14]

$$n = u_0 p_0 + v_0 q_0$$
, where  $u_0, v_0 \in \mathbb{Z}$ ,  $p_0, q_0 \in \mathbb{Z}^3$ ,  $\dim(p_0, q_0) = 2$  and 
$$h(p_0) h(q_0) \le h(l_1 \times l_2) h(p).$$
 By the choice of  $p, q$ 

$$h(\mathbf{p}_0) h(\mathbf{q}_0) \leq h(\mathbf{l}_1 \times \mathbf{l}_2) h(\mathbf{p})$$

$$h(p) h(q) \le h(p_0) h(q_0) \le h(l_1 \times l_2) h(p) \le 2h(l_1) h(l_2) h(p).$$

It follows by (23) that

$$h(\mathbf{p}) \leqslant h(\mathbf{q}) \leqslant 2h(\mathbf{l}_1)h(\mathbf{l}_2)$$

and hence by (27) and (29<sub>1</sub>)

$$(29_2) 0 < h(\gamma) \le 32|P|^2|Q|^2 (\min\{|P|, |Q|\})^2.$$

Assume now that

$$D \in K[z] \setminus K$$
,  $KD \neq 1$ .

Since  $p \neq 0$  we cannot have three linearly independent vectors  $m_i \in \mathbb{Z}^3$ such that m, p = 0  $(1 \le i \le 3)$ . Therefore, by Lemma 4 we have two vectors  $m_1, m_2 \in \mathbb{Z}^3$  linearly independent and such that

$$(30_1) h(m_i) \leq i \max\{|P|, |Q|\} (i = 1, 2),$$

$$m_1 p = m_2 p = 0$$
, and  $\max\{|m_1 q|, |m_2 q|\} \le c_7(P, Q)(m_1 q, m_2 q)$ .

Since p, q are linearly independent and  $m_1$ ,  $m_2$  are linearly independent, we cannot have  $m_1q = m_2q = 0$ .

Since  $m_i n = m_i (up + vq) = v m_i q$  (i = 1, 2) and by (24)  $v \neq 0$ , it follows that

$$\frac{\max\{|\boldsymbol{m}_1\boldsymbol{n}|,\,|\boldsymbol{m}_2\boldsymbol{n}|\}}{(\boldsymbol{m}_1\boldsymbol{n},\,\boldsymbol{m}_2\boldsymbol{n})} \leqslant c_7(P,\,Q).$$

We take in (20)

$$\gamma = \frac{(m_1 \times m_2) \times n}{(m_1 n, m_2 n)}$$

and obtain by  $(30_1)$ 

$$(30_2) h(y) \le c_2(P, Q)(h(m_1) + h(m_2)) \le 4 \max\{|P|, |Q|\} c_2(P, Q).$$

Finally, consider the case where

(31) 
$$D \in Q(z)$$
 and  $KD = 1$ .

Let  $G_1 = GD^{-1}$ ,  $H_1 = HD^{-1}$ , R be the resultant of  $G_1$ ,  $H_1$  with respect to z. By  $(25_2)$   $(G_1, H_1) = 1$ . By virtue of Lemma 4 of [9] and of (26) we have

$$\begin{aligned} & \operatorname{card} \{ \langle \eta, \zeta \rangle \in C^2 \colon G_1(\eta, \zeta) = H_1(\eta, \zeta) = 0 \} \leqslant |R| \\ & \leqslant \deg_y G_1 \cdot \deg_z H_1 + \deg_z G_1 \cdot \deg_y H_1 \\ & \leqslant 3|P|h(p) \cdot 3|O|h(q) + 3|O|h(p) \cdot 3|O|h(p) = 18|P||O|h(p)h(q). \end{aligned}$$

On the other hand if  $\xi$  is a common zero of  $KP(x^{n_1}, x^{n_2}, x^{n_3})$  and  $KQ(x^{n_1}, x^{n_2}, x^{n_3})$  in virtue of (21) and (25<sub>1</sub>)  $\langle \xi^{\mu}, \xi^{\nu} \rangle$  is a common zero of G and H, while in virtue of (24) and (31) it is not a zero of D. Therefore,

$$G_1(\xi^u,\,\xi^v) = H_1(\xi^u,\,\xi^v) = 0.$$

Since by (24)  $\langle \xi^{\mu}, \xi^{\nu} \rangle$  determines  $\xi$  uniquely, it follows that the number of common zeros of  $KP(x^{n_1}, x^{n_2}, x^{n_3})$  and  $KQ(x^{n_1}, x^{n_2}, x^{n_3})$  does not exceed 18|P||Q|h(p)h(q). However together with  $\xi$  every number conjugate to  $\xi$  over K is a common zero in question, hence by (22)

$$[K(\xi):K] \le 18|P||Q|\sqrt{\frac{4}{3}h(n)} = 12|P||Q|\sqrt{3h(n)}.$$

In view of (29) and (30<sub>2</sub>) the lemma holds with

$$c_8(P, Q) = \max\{32|P|^2|Q^2|(\min\{|P|, |Q|\})^2, 4\max\{|P||Q|\}c_7(P, Q)\}.$$

LEMMA 6. Let  $\mathbf{a} = [a_0, ..., a_r] \in \mathbb{C}^{r+1} \setminus \{0\},$ 

$$A(z) = \sum_{i=0}^{r} a_i z^i = a_m \prod_{i=1}^{m} (z - z_i).$$

There exist two positive real numbers  $c_9(a) \le 1$  and  $c_{10}(a)$  with the following property. If

(32) 
$$c_9(\mathbf{a}) \geqslant \varepsilon > 0,$$
 
$$\mathbf{b} = [b_0, \dots, b_r] \in C^{r+1},$$

$$(33) h(b-a) < c_{10}(a)\varepsilon',$$

then for some  $n \ge m, b_n \ne 0$ 

$$B(z) = \sum_{i=0}^{r} b_i z^i = b_n \prod_{i=1}^{n} (z - \zeta_i),$$

where

(34) 
$$|\zeta_i| > \varepsilon^{-1} \quad \text{for} \quad m < i \le n,$$

$$|\zeta_i - z_i| < \varepsilon \quad \text{for} \quad i \le m.$$

Remark. Under the additional assumption that  $b_m \neq 0$ ,  $b_{m+1} = \dots = b_r = 0$  the lemma is well known, see e.g. [6], p. 92.

Proof. Let us put

(36) 
$$c_9(\mathbf{a}) = \min(\{\frac{1}{2}|z_j - z_i|: z_j \neq z_i\} \cup \{|z_i|: z_i \neq 0\} \cup \{\frac{1}{2}|z_i|^{-1}: z_i \neq 0\} \cup \{\frac{1}{2}\})$$

and

(37) 
$$c_{10}(a) = \frac{|a_m|}{(r+1)(\max\{1, c_9(a) + \max_{1 \le i \le m} |z_i|\})^r},$$

where the maximum over an empty set equals  $-\infty$ .

We may assume without loss of generality that

$$\bigcup_{i=1}^{m} \{z_i\} = \{z_1, z_2, ..., z_{\mu}\},\,$$

where  $z_j$   $(1 \le j \le \mu)$  are distinct and  $z_j$  occurs in the sequence  $\{z_i\}$   $(1 \le i \le m)$   $v_i$  times  $(\mu = 0)$  if m = 0.

For  $|z| = \varepsilon^{-1}$  we find

$$|A(z)| \geqslant |a_m| \prod_{i=1}^m |\varepsilon^{-1} - |z_i|| \geqslant \frac{|a_m|}{2^m} \varepsilon^{-m} \geqslant |a_m|.$$

On the other hand, we have

$$c_{10}(a) \leq a_m/(r+1),$$

hence by (33)

$$|B(z) - A(z)| \le (r+1)h(b-a)\varepsilon^{-r} < (r+1)c_{10}(a) \le |a_m| \le |A(z)|.$$

In follows from Rouche's theorem that B(z) has as many zeros, counting multiplicity, in the disc  $|z| \le \varepsilon^{-1}$  as A(z), hence m (note that by (32) and (36)  $|z_i| \le c_9(a)^{-1} \le \varepsilon^{-1}$  for all  $i \le m$ ). In particular B(z) is not identically 0 and has degree  $n \ge m$ . All the zeros of B(z) outside  $|z| \le \varepsilon^{-1}$  which can be denoted, counting multiplicity,  $\zeta_{m+1}, \ldots, \zeta_n$  satisfy (34).

The discs  $|z-z_j| < \varepsilon (1 \le j \le \mu)$  are disjoint and contained in the disc  $|z| \le \varepsilon^{-1}$ . Indeed, by (32) and (36)

$$|z_i - z_j| \ge 2\varepsilon$$
  $(1 \le i < j \le \mu)$ 

and

$$|z_i| + \varepsilon \leq \max\{\varepsilon, 2|z_i|\} \leq \varepsilon^{-1}$$
.

For  $|z-z_i| = \varepsilon$ ,  $z_i \neq z_i$  we have in view of (32) and (36)

$$|z-z_i| \geqslant |z_i-z_j|-|z-z_j| \geqslant 2\varepsilon-\varepsilon=\varepsilon$$

hence

$$|A(z)| = |a_m| \varepsilon^{\nu_j} \prod_{\substack{i=1\\z_i \neq z_j}}^m |z - z_i| \geqslant |a_m| \varepsilon^m.$$

On the other hand, by (33) and (37) for  $|z-z_i| = \varepsilon$ 

$$|B(z) - A(z)| \leq (r+1)h(b-a)(\max\{1, |z_j| + \varepsilon\})^r$$
  
$$< (r+1)c_{10}(a)\varepsilon^r(\max\{1, |z_j| + c_0(a)\})^r \leq |a_m|\varepsilon^m.$$

It follows from Rouche's theorem that B(z) has as many zeros, counting multiplicity, in the disc  $|z-z_j| < \varepsilon$  as A(z), hence  $v_j$ . This accounts for  $\sum_{j=1}^{\mu} v_j = m$  zeros of B(z) in  $|z| \le \varepsilon^{-1}$ . They can be denoted by  $\zeta_1, \ldots, \zeta_m$ , counting multiplicity so that (34) is satisfied.

LEMMA 7. Let  $R \in K[y_0, y_1, ..., y_s] \setminus \{0\}$ , where  $[K:Q] < \infty$ . There exist nonnegative real numbers  $c_i(R)$  (11  $\leq i \leq$  16) satisfying

$$1 \ge c_{11}(R) > 0$$
,  $c_{14}(R) \ge 1$ ,  $c_{15}(R) \ge 4$ ,  $c_{16}(R) > 0$ 

and with the following property. For every  $\varepsilon \in (0, c_{11}(R)]$ , every  $\eta$  with  $|\eta| > 1$ , every integer  $v_0$  and every vector  $\mathbf{v} = [v_1, \dots, v_s] \in \mathbf{Z}^s$  if

(38) 
$$R(\eta^{v_0}, \eta^{v_1}, \dots, \eta^{v_s}) = 0$$

then either there exists a vector  $\delta \in \mathbf{Z}^s$  such that

$$(39) 0 < h(\delta) \le |R|$$

and

$$|\delta v| \leq \frac{c_{12}(R)|\log \varepsilon| + c_{13}(R)}{\log |\eta|},$$

or

$$|v_0|\log|\eta| > |\log \varepsilon|,$$

or there exists a real algebraic number  $\theta > 0$  such that

$$h(\theta) \leqslant c_{15}(R),$$

$$|v_0 \log |\eta| - \log \theta| < c_{16}(R)\varepsilon.$$

Proof. Let

(45) 
$$R(y_0, y_1, ..., y_s) = \sum_{i=0}^{r} y^i \sum_{\beta \in B_i} \varrho_i(\beta) \prod_{j=1}^{s} y_j^{\beta_j},$$

where  $B_r \neq \emptyset$  and  $\varrho_i : Z^s \to K$  is such that  $\varrho_i(\beta) \neq 0$  for all  $\beta \in B_i$  and  $\varrho_i(\beta) = 0$  for all  $\beta \notin B_i$   $(0 \le i \le r)$ . Put

(46) 
$$A(R) = \bigcup_{i=0}^{r} \bigcup_{\beta \in B_i} \{ \langle \varrho_0(\beta), ..., \varrho_r(\beta) \rangle \},$$

(47) 
$$Z(R) = \bigcup_{i=0}^{r} \bigcup_{\beta \in B_i} \{z : \sum_{i=0}^{n} \varrho_i(\beta) z^i = 0\}.$$

Clearly  $0 \notin A(R)$ . Hence Z(R) is finite (empty if r = 0) and the following definitions make sense:

(48) 
$$c_{11}(R) = \min \{ \min_{\substack{a \in A(R) \\ a \in A(R)}} c_{9}(a), \min_{\substack{z \in Z(R) \setminus \{0\}}} \frac{1}{2} |z| \},$$

(49) 
$$c_{12}(R) = r$$
,

(50) 
$$c_{13}(R) = \max\{0, \max_{\boldsymbol{a} \in A} |\log c_{10}(\boldsymbol{a})| + \max_{\substack{i \leq r \\ \boldsymbol{B}_i \neq \emptyset}} |\varrho_i(\boldsymbol{\beta})|\},$$

(51) 
$$c_{14}(R) = \max\{0, \max_{z \in Z(R)} [Q(|z|): Q]\},$$

(52) 
$$c_{15}(R) = \max\{4, \max_{z \in Z(R)} h(|z|)\},$$

(53) 
$$c_{16}(R) = \max\{0, \max_{z \in Z(R)\setminus\{0\}} 2|z|^{-1}\},$$

where minimum or maximum over an empty set equals  $\infty$  or  $-\infty$ , respectively.

Let

$$(54) 0 < \varepsilon \leqslant c_{11}(R)$$

and let us define vectors  $\beta_1$  and  $\beta_2$  by the equalities

$$\beta_1 v = \max_{\substack{\beta \in \bigcup B_i \\ i=1}} \{\beta v\}, \quad \beta_2 v = \max_{\substack{\beta \in \bigcup B_i \setminus \{\beta_1\} \\ i=1}} \{\beta v\}.$$

Suppose first that

$$|n|^{\beta_2 v - \beta_1 v} \ge e^{-c_{13}(R)} \varepsilon^{c_{12}(R)}$$
.

Then

$$0 \geqslant \beta_2 v - \beta_1 v \geqslant \frac{c_{12}(R) \log \varepsilon - c_{13}(R)}{\log |\eta|}$$

and putting  $\delta = \beta_2 - \beta_1$  we obtain (39) and (40). Suppose now that

$$|\eta|^{\beta_2 v - \beta_1 v} < e^{-c_{13}(R)} \varepsilon^{c_{12}(R)}$$

Then for all  $\beta \in \bigcup_{i=0}^r B_i \setminus \{\beta_1\}$ 

$$|\eta|^{\beta v - \beta_1 v} < e^{-c_{13}(R)} \varepsilon^{c_{12}(R)}.$$

thus we obtain using (49) and (50)

$$(55) \quad \left|\sum_{\boldsymbol{\beta}\in\boldsymbol{B}_{i}}\varrho_{i}(\boldsymbol{\beta})\boldsymbol{\eta}^{\boldsymbol{\beta}\boldsymbol{v}-\boldsymbol{\beta}_{1}\boldsymbol{v}}-\sum_{\substack{\boldsymbol{\beta}\in\boldsymbol{B}_{i}\\\boldsymbol{\beta}\boldsymbol{v}=\boldsymbol{\beta}_{i},\boldsymbol{v}}}\varrho_{i}(\boldsymbol{\beta})\right|\leqslant \sum_{\boldsymbol{\beta}\in\boldsymbol{B}_{i}}|\varrho_{i}(\boldsymbol{\beta})|e^{-c_{13}(R)}\,\varepsilon^{c_{12}(R)}\leqslant \varepsilon^{r}\,\min_{\boldsymbol{\alpha}\in\boldsymbol{A}(R)}c_{10}(\boldsymbol{\alpha}),$$

where the first inequality is sharp if  $B_i \neq \emptyset$  and the second inequality is sharp otherwise.

If for some  $\beta \in \bigcup_{i=0}^r B_i \setminus \{\beta_1\}$  we have  $\beta v = \beta_1 v$  then (39) and (40) hold with

 $\delta = \beta - \hat{\beta}_1$  and the left-hand side of (40) equal to 0. If for all  $\beta \in \bigcup_{i=0}^{\infty} B_i \setminus \{\beta_1\}$  we have  $\beta v \neq \beta_1 v$  then

(56) 
$$\sum_{\beta \in \mathcal{B}_i} \varrho_i(\beta) = \varrho_i(\beta_1) \quad (0 \leqslant i \leqslant r).$$

We set in Lemma 6

$$a_i = \varrho_i(\boldsymbol{\beta}_1), \quad b_i = \sum_{\boldsymbol{\beta} \in \boldsymbol{R}_i} \varrho_i(\boldsymbol{\beta}) \eta^{\boldsymbol{\beta} \boldsymbol{v} - \boldsymbol{\beta}_1 \boldsymbol{v}} \quad (0 \leqslant i \leqslant r)$$

and let

$$A(z) = \sum_{i=0}^{r} a_i z^i = a_m \prod_{i=1}^{m} (z - z_i).$$

By (46)  $a \in A(R)$ , hence by (47)

$$(57) z_i \in \mathbf{Z}(R) (1 \leq i \leq m).$$

Moreover, by (48) and (54)

$$1 \geqslant c_9(\mathbf{a}) \geqslant c_{11}(R) > \varepsilon$$

and by (55) and (56)

$$h(\boldsymbol{b}-\boldsymbol{a}) < c_{10}(\boldsymbol{a})\varepsilon^{r}.$$

The assumptions of Lemma 6 being satisfied we have in virtue of that lemma for an  $n \ge m$ .

$$B(z) = \sum_{i=0}^{r} b_i z^i = b_n \prod_{i=1}^{n} (z - \zeta_i),$$

where  $b_n \neq 0$ ,

$$|\zeta_i| > \varepsilon^{-1} \quad \text{for } m < i \le n,$$

$$|\zeta_i - z_i| < \varepsilon \quad \text{for } i \leqslant m.$$

However by (38) and (45)

$$B(\eta^{v_0}) = \sum_{i=0}^{r} \eta^{v_0 i} \sum_{\beta \in B_i} \varrho_i(\beta) \eta^{\beta v - \beta_1 v} = \eta^{-\beta_1 v} R(\eta^{v_0}, \eta^{v_1}, \dots, \eta^{v_s}) = 0,$$

hence  $\eta^{\nu_0} = \zeta_i$  for an  $i \le n$ . If i > m we have by (58)

$$|\eta|^{v_0} > \varepsilon^{-1}, \quad v_0 \log |\eta| > -\log \varepsilon = |\log \varepsilon|$$

hence (41) holds. If  $i \le m$  we set  $\theta = |z_i|$  and obtain by (59)

$$||\eta|^{v_0} - \theta| < \varepsilon.$$

If  $\theta = 0$  then

$$|\eta|^{v_0} < \varepsilon \le 1$$
,  $v_0 \log |\eta| < \log \varepsilon = -|\log \varepsilon|$ ,

hence (41) holds again. If  $0 \neq 0$  the inequalities (42) and (43) follow from (51), (52) and (57). Moreover by (48)

$$|\eta|^{v_0} > \frac{1}{2}\theta$$
,

by (60) and (53)

$$|v_0\log|\eta|-\log\theta|<\frac{||\eta|^{\nu_0}-\theta|}{\min\{|\eta|^{\nu_0},\,\theta\}}<\frac{\varepsilon}{\frac{1}{2}\theta}\leqslant c_{16}(R)\varepsilon,$$

which gives (44) and completes the proof.

LEMMA 8. Let  $P, Q \in K[x_1, ..., x_k]$ , where  $[K:Q] < \infty$  and  $k \ge 3$ . If (P, Q) = 1,

(61) 
$$P(\xi^{n_1}, \ldots, \xi^{n_k}) = Q(\xi^{n_1}, \ldots, \xi^{n_k}) = 0$$

and  $|\xi| > 1$  then there exist two linearly independent vectors  $r_1, r_2 \in \mathbb{Z}^k$  such that

(62) 
$$h(r_1) \leq \min\{|P|, |Q|\},$$

$$h(\mathbf{r}_2) \leqslant 2|P||Q|,$$

(64) 
$$|r, n| \le c_{16+\nu}(P, Q)(\log|\xi|)^{-1} \quad (\nu = 1, 2)$$

and either

(65) 
$$\max\{|r_1n|, |r_2n|\} \leq c_{19}(P, Q)(r_1n, r_2n),$$

or  $|\xi| < e$  and there exists a vector  $r_3 \in \mathbb{Z}^k$  linearly independent of  $r_1$ ,  $r_2$  such that

(66) 
$$h(r_3) \le 128|P|^3|Q|^3 \left(\min\{|P|, |Q|\}\right)^2$$

and

(67) 
$$|\mathbf{r}_3 \mathbf{n}| \le c_{20}(P, Q) (-\log \log |\xi|) (\log |\xi|)^{-1} + c_{21}(P, Q) (\log |\xi|)^{-1}.$$

Proof. Let

$$P = \sum_{\alpha \in A} \pi_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j}}, \qquad Q = \sum_{\alpha \in B} \varrho_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j}},$$

where A, B are subsets of  $Z^k$  and  $\pi_{\alpha} \neq 0$  for  $\alpha \in A$ ,  $\varrho_{\alpha} \neq 0$  for  $\alpha \in B$ . Let us put

$$c_{22}(P) = \log \frac{\sum_{\alpha \in A} |\pi_{\alpha}|}{\min_{\alpha \in A} |\pi_{\alpha}|}$$

and define  $c_{22}(T)$  similarly for any non-zero polynomial T over C. Assume without loss of generality that  $|P| \leq |Q|$ .

Let  $\alpha_5 \in A$ ,  $\alpha_6 \in A \setminus \{\alpha_5\}$  be chosen so that

$$\alpha_5 \mathbf{n} = \max_{\alpha \in A} \{\alpha \mathbf{n}\}, \quad \alpha_6 \mathbf{n} = \max_{\alpha \in A \setminus \{\alpha_5\}} \{\alpha \mathbf{n}\}.$$

The equation (61) gives

$$\left|\pi_{\alpha_{5}}\xi^{\alpha_{5}n}\right| = \left|\sum_{\alpha \in A\setminus\{\alpha_{5}\}} \pi_{\alpha}\xi^{\alpha n}\right| \leqslant \left|\xi\right|^{\alpha_{6}n} \sum_{\alpha \in A\setminus\{\alpha_{5}\}} |\pi_{\alpha}|.$$

It follows that

$$0 \leqslant \alpha_5 n - \alpha_6 n \leqslant c_{22}(P)(\log|\xi|)^{-1},$$

thus taking  $r_1 = \alpha_5 - \alpha_6$  we obtain (62) and (64) with v = 1 and  $c_{17}(P, Q) = c_{22}(P)$  (under the assumption  $|P| \leq |Q|$ ).

Let g be the least index such that  $r_{1g} \neq 0$ . Let  $R_j$  be the resultant of P and Q with respect to  $x_j$   $(1 \leq j \leq k)$ . By Lemma 5 of [9]

$$|R_q| \leq 2|P||Q|$$

and by (61)

$$R_{q}(\xi^{n_1},\ldots,\xi^{n_{g-1}},\xi^{n_{g+1}},\ldots,\xi^{n_k})=0.$$

Applying to the above equation the argument previously applied to  $P(\xi^{n_1}, \ldots, \xi^{n_k}) = 0$  we infer the existence of two vectors  $\gamma_3, \gamma_4 \in \mathbb{Z}^k$  such that

$$\begin{split} \gamma_{3g} &= \gamma_{4g} = 0, \\ 0 &< h(\gamma_3 - \gamma_4) \leqslant |R_g|, \\ |(\gamma_3 - \gamma_4)n| &\leqslant c_{22}(R_g)(\log|\xi|)^{-1}. \end{split}$$

Taking

$$r_2 = \gamma_3 - \gamma_4$$

We obtain (63) and (64) with v = 2 and

$$c_{18}(P, Q) = \max_{1 \leq j \leq k} c_{22}(R_j).$$

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Moreover, the vectors  $\mathbf{r}_1$  and  $\mathbf{r}_2$  are linearly independent since  $\mathbf{r}_{1g} \neq 0$ , while  $\mathbf{r}_{2g} = 0$  and  $\mathbf{r}_2 \neq 0$ . Let us choose the least  $h \neq g$  such that  $\mathbf{r}_{2h} \neq 0$  and replace if necessary  $\mathbf{r}_2$  by  $-\mathbf{r}_2$  so that  $\mathbf{r}_{1g}\mathbf{r}_{2h} > 0$ . Assume first that  $|\xi| < e$  and consider two auxiliary polynomials

(68) 
$$\tilde{P}(x_1, \ldots, x_k) = JP(\tilde{x}_1, \ldots, \tilde{x}_k), \quad \tilde{Q}(x_1, \ldots, x_k) = JQ(\tilde{x}_1, \ldots, \tilde{x}_k),$$
 where

$$\begin{split} \tilde{x_g} &= x_g \, x_h^{-r_{1h}} \prod_{j \neq g,h} x_j^{r_{1h}r_{2j}-r_{1j}r_{2h}}, \\ \tilde{x_h} &= x_h^{r_{1g}} \prod_{j \neq g,h} x_j^{-r_{1g}r_{2j}}, \\ \tilde{x_i} &= x_j^{r_{1g}r_{2h}} \quad \text{if } j \neq g, h. \end{split}$$

For further reference we note that

(69) 
$$|\tilde{P}| \leq 4|P|h(r_1)h(r_2), \quad |\tilde{Q}| \leq 4|Q|h(r_1)h(r_2)$$

and the operation J is performed after the substitution. Let

$$(\tilde{P}, \tilde{Q}) = \tilde{D} \in K[x_1, \ldots, x_k].$$

Substituting

(70) 
$$x_g = \prod_{j=1}^k y_j^{r_1 j r_2 h}, \quad x_h = \prod_{j \neq g} y_j^{r_2 j}, \quad x_j = y_j \quad (j \neq g, h)$$

we obtain

$$\tilde{x}_i = y_i^{r_1 g^{r_2 h}},$$

hence

$$\begin{split} J\widetilde{D}(y_1,\,\ldots,\,\prod_{j=1}^k\,y_j^{r_1j^{r_2h}},\,\ldots,\,\prod_{j\neq g}\,y_j^{r_2j},\,\ldots,\,y_k) \big| \big(JP(y_1^{r_1g^{r_2h}},\,\ldots,\,y_k^{r_1g^{r_2h}}),\\ JQ(y_1^{r_1g^{r_2h}},\,\ldots,\,y_k^{r_1g^{r_2h}})\big). \end{split}$$

Since (P, Q) = 1, by Lemma 9 of [10] the greatest common divisor on the right-hand side of the divisibility equals 1, hence

$$J\tilde{D}(y_1, ..., \prod_{j=1}^k y_j^{r_1 j r_2 h}, ..., \prod_{j \neq g} y_j^{r_2 j}, ..., y_k) \in K.$$

Two distinct terms of  $\tilde{D}$  cannot become similar after the substitution (70) since the matrix of exponents is nonsingular. Hence  $\tilde{D}$  is a monomial and since  $(\tilde{D}, x_1 \cdot \ldots \cdot x_k) = 1$  we have  $\tilde{D} = 1$ . Therefore, for all  $j \leq k$  the resultant  $\tilde{R}_j$  of  $\tilde{P}$  and  $\tilde{Q}$  with respect to  $x_j$  is non-zero. Let us take for  $\eta$  any value of  $\xi^{1/r_1g^{r_2k}}$  and put

(72) 
$$\eta_{q} = \eta^{(r_{1}m)r_{2}h}, \quad \eta_{h} = \eta^{r_{2}m}, \quad \eta_{j} = \eta^{n_{j}} \quad (j \neq g, h).$$

Clearly

(73) 
$$|\eta| = |\xi|^{1/r_{1}gr_{2h}} > 1.$$

By virtue of (61), (68) and of the implication (70)  $\rightarrow$  (71) we have

$$\tilde{P}(\eta_1,\ldots,\eta_k)=\tilde{Q}(\eta_1,\ldots,\eta_k)=0,$$

hence also

(74) 
$$\widetilde{R}_{g}(\eta_{1},\ldots,\eta_{k})=0, \quad \widetilde{R}_{h}(\eta_{1},\ldots,\eta_{k})=0.$$

Let  $\varphi$  be a unique one-to-one increasing function mapping  $\{1, 2, ..., k\}$   $\setminus \{g, h\}$  onto  $\{1, 2, ..., k-2\}$  and i = g or h. We set in Lemma 7 s = k-2,

$$R = R_i^*(y_0, y_1, ..., y_s) := \tilde{R}_i(x_1, ..., x_k),$$

where

$$x_j = y_{\varphi(j)} \quad \text{for } j \neq g, h,$$
 
$$x_{q+h-i} = y_0,$$

(note that  $\tilde{R}_i$  is independent of  $x_i$ );

(75) 
$$\varepsilon = c_{23}(R_g^*, R_h^*) \min \left\{ \max\{|r_2 n|, |r_1 n| |r_{2h}|, 4\}^{-c_{24}(R_g^*, R_h^*)}, \frac{\log |\xi|}{r_{1g} r_{2h}} \right\},$$

Where

(76) 
$$c_{23}(R_g^*, R_h^*) = \min\{c_{11}(R_g^*), c_{11}(R_h^*), (c_{16}(R_g^*) + c_{16}(R_h^*))^{-1}\} \le 1,$$

(77) 
$$c_{24}(R_g^*, R_h^*) = 1 + (32 c_{14}(R_g^*) c_{14}(R_h^*))^{400} \log c_{15}(R_g^*) \log c_{15}(R_h^*)$$

$$\times \log \min \{ \log c_{15}(R_g^*), \log c_{15}(R_h^*) \} > 1;$$

(78) 
$$v_0 = \begin{cases} r_2 n & \text{if } i = g, \\ (r_1 n) r_{2h} & \text{if } i = h, \end{cases}$$

(79) 
$$v_{j} = n_{\sigma^{-1}(j)} \quad (1 \leq j \leq k-2).$$

We have by (75), (76) and (77)  $0 < \varepsilon < c_{11}(R_i^*)$ , by (73)  $|\eta| > 1$ , by (72) and (74)

$$R_i^*(\eta^{v_0}, \eta^{v_1}, \ldots, \eta^{v_s}) = \tilde{R}_i(\eta_1, \ldots, \eta_k) = 0,$$

hence by Lemma 7 either there exists a vector  $\delta_i \in \mathbb{Z}^s$  such that

$$(80) 0 < h(\delta_i) \leqslant |R_i^*|$$

and

(81) 
$$|\delta_i v| \leq \frac{c_{12}(R_i^*)|\log \varepsilon| + c_{13}(R_i^*)}{\log |n|},$$

or

(82) 
$$|v_0|\log|\eta| > |\log \varepsilon|,$$

or finally there exists a real algebraic number  $\theta_i > 0$  such that

$$[\mathbf{Q}(\theta_i):\mathbf{Q}] \leqslant c_{14}(R_i^*),$$

$$h(\theta_i) \leqslant c_{15}(R_i^*),$$

$$|v_0 \log |\eta| - \log \theta_i| < c_{16}(R_i^*)\varepsilon.$$

We shall consider successively the following cases.

A. (80) and (81) hold for i = g or for i = h,

B. (82) holds for i = g or for i = h,

C. (83)–(85) hold for i = g or for i = h with  $\theta_i = 1$ ,

D. (83)–(85) hold for i = g and for i = h with  $\theta_i \neq 1$ .

Case A. We define the components  $r_{3j}$  or  $r_3$  by the formulae

$$r_{3j} = \begin{cases} \delta_{i\varphi(j)} & \text{for } j \in \{1, 2, \dots, k\} \setminus \{g, h\}, \\ 0 & \text{for } j \in \{g, h\}. \end{cases}$$

Clearly  $r_3 \in \mathbb{Z}^k$ . The vector  $r_3$  is linearly independent of  $r_1$ ,  $r_2$  since  $r_1 \neq 0$ ,  $r_{3g} = r_{3h} = 0$ , while  $r_{1g} \neq 0$ ,  $r_{2g} = 0$ ,  $r_{2h} \neq 0$ . Further, by (80), Lemma 5 of [9], (69), (62) and (63)

$$h(\mathbf{r}_3) \le |R_i^*| = |\tilde{R}_i| \le 2|P||Q|$$
  

$$\le 32|P||Q| h(\mathbf{r}_1)^2 h(\mathbf{r}_2)^2 \le 128|P|^3|Q|^3 (\min\{|P|,|Q|\})^2,$$

which proves (66). Moreover by (79)

$$r_3 n = \sum_{j=1}^k r_{3j} n_j = \sum_{\substack{i=1 \ j \neq g,h}}^k \delta_{i\varphi(j)} n_j = \sum_{j=1}^{k-2} \delta_{ij} n_{\varphi^{-1}(j)} = \delta_i v,$$

hence by (81), (73) and (75)

$$\begin{aligned} |\mathbf{r}_{3}\mathbf{n}| &\leq \frac{c_{12}(R_{i}^{*})|\log \varepsilon| + c_{13}(R_{i}^{*})}{\log |\eta|} \\ &\leq r_{1g}r_{2h}(\log |\xi|)^{-1} (c_{12}(R_{i}^{*})|\log c_{23}(R_{g}^{*}, R_{h}^{*})| + c_{13}(R_{i}^{*}) \\ &+ c_{12}(R_{i}^{*}) \max \{c_{24}(R_{g}^{*}, R_{h}^{*}) \log \max \{|\mathbf{r}_{2}\mathbf{n}|, |\mathbf{r}_{1}\mathbf{n}||\mathbf{r}_{2h}|, 4\}, \\ &\log r_{1g}r_{2h} - \log \log |\xi|\}), \end{aligned}$$

while by (64)

 $\log \max\{|r_1n|, |r_1n||r_{2h}|, 4\}$ 

$$\leq \log \max\{c_{18}(P, Q), c_{17}(P, Q)|r_{2h}|, 4\} - \log \log |\xi|.$$

It follows that

 $|r_3 n| \le c_{25}(P, Q, r_1, r_2)(-\log \log |\xi|)(\log |\xi|)^{-1} + c_{26}(P, Q, r_1, r_2)(\log |\xi|)^{-1},$ 

where

$$\begin{split} c_{25}(P,Q,r_1,r_2) &= r_{1g}r_{2h}\max\{c_{12}(R_g^*),c_{12}(R_h^*)\}\,c_{24}(R_g^*,R_h^*),\\ c_{26}(P,Q,r_1,r_2) &= r_{1g}r_{2h}(\max\{c_{12}(R_g^*),c_{12}(R_h^*)\}\\ &\qquad \times (|\log c_{23}(R_g^*,R_h^*)| + c_{24}(R_g^*,R_h^*) + \log\max\{c_{18}(P,Q),\\ c_{17}(P,Q)|r_{2h}|,4,r_{1g}r_{2h}\}) + \max\{c_{13}(R_g^*),c_{13}(R_h^*)\}). \end{split}$$

(Note that g, h,  $R_g^*$ ,  $R_h^*$  are uniquely determined by P, Q,  $r_1$ ,  $r_2$ .) Since by (62), (63) for given P, Q there are only finitely many possibilities for  $r_1$ ,  $r_2$ , the numbers  $c_{25}(P, Q, r_1, r_2)$  and  $c_{26}(P, Q, r_1, r_2)$  do not exceed bounds depending only on P and Q. Denoting these bounds by  $c_{20}(P, Q)$  and  $c_{21}(P, Q)$  we obtain (67).

Case B. Here we have by (73) and (75)-(77)

$$|v_0| \frac{\log |\xi|}{r_{1g} r_{2h}} = |v_0| \log |\eta| > |\log \varepsilon| > \log \max \{|r_2 n|, |r_1 n|\}.$$

However, by (78) and (64)

$$|v_0|\frac{\log|\xi|}{r_{1g}r_{2h}} \leq \max\{c_{17}(P, Q), c_{18}(P, Q)\},$$

hence we obtain

(86) 
$$\max\{|r_1n|,|r_2n|\} \leq \exp\max\{c_{17}(P,Q),c_{18}(P,Q)\}.$$

Case C. Here we have by (85), (75), (76) and (73)

$$|v_0|\log|\eta| < c_{16}(R_i^*)\varepsilon \leqslant \frac{\log|\xi|}{r_{1q}r_{2h}} = \log|\eta|,$$

hence  $v_0 = 0$ , by (78) min  $\{|r_1 n|, |r_2 n|\} = 0$  and

(87) 
$$\max\{|r_1n|,|r_2n|\} = (|r_1n|,|r_2n|).$$

Case D. Here we have by (78)

$$\begin{aligned} & \left| (r_2 n) \log |\eta| - \log \theta_g \right| < c_{16}(R_g^*) \varepsilon, \\ & \left| (r_1 n) r_{2h} \log |\eta| - \log \theta_h \right| < c_{16}(R_h^*) \varepsilon, \end{aligned}$$

hence by (75) and (76)

$$|(\mathbf{r}_{1}\mathbf{n})\mathbf{r}_{2h}\log\theta_{g} - (\mathbf{r}_{2}\mathbf{n})\log\theta_{h}| < (c_{16}(R_{g}^{*})|\mathbf{r}_{1}\mathbf{n}||\mathbf{r}_{2h}| + c_{16}(R_{h}^{*})|\mathbf{r}_{2}\mathbf{n}|)\varepsilon$$

$$< \max\{|\mathbf{r}_{2}\mathbf{n}|,|\mathbf{r}_{1}\mathbf{n}||\mathbf{r}_{2h}|,4\}^{1-c_{24}(R_{g}^{*},R_{h}^{*})}.$$

Now, by Theorem 2 of [1] (the case of two logarithms) we have either

$$(r_1 \mathbf{n}) r_{2h} \log \theta_g - (r_2 \mathbf{n}) \log \theta_h = 0$$

or

(90) 
$$|(r_1 n) r_{2h} \log \theta_g - (r_2 n) \log \theta_h| > B^{-C\Omega \log \Omega'},$$

where

$$B = \max\{|r_1 n| r_{2h}, |r_2 n|, 4\},\$$

$$\Omega = \log \max \{h(\theta_a), 4\} \log \max \{h(\theta_h), 4\},\,$$

$$\Omega' = \min \left\{ \log \max \left\{ h(\theta_g), 4 \right\}, \log \max \left\{ h(\theta_h), 4 \right\} \right\}$$

$$C = (32d)^{400}, \quad d = [Q(\theta_g, \theta_h): Q].$$

Since by (83)

$$d \leq c_{14}(R_q^*)c_{14}(R_h^*)$$

while by (84) and the inequality  $c_{15}(R_i) \ge 4$  included in Lemma 7

$$\max\{h(\theta_i), 4\} \leqslant c_{15}(R_i) \quad (i = g \text{ or } h),$$

we have by (77)

$$C\Omega \log \Omega' \leqslant c_{24}(R_g^*, R_h^*) - 1.$$

Therefore (90) is incompatible with (88) and we are left with the equality (89). This implies

$$\theta_g^{(r_1n)r_2h} = \theta_h^{(r_2n)}.$$

Since  $\theta_g$  and  $\theta_h$  are not roots of unity (they are positive and different from 1) we have either  $(r_1n)r_{2h} = r_2n = 0$  or

$$\frac{\max\{|(r_1 n)r_{2h}, |r_2 n|\}}{((r_n n)r_{2h}, r_2 n)} = C(\theta_g, \theta_h).$$

In both cases we have

(91) 
$$\max\{|r_1n|, |r_2n|\} \leq C(\theta_g, \theta_h)|r_{2h}|(r_1n, r_2n).$$

In virtue of (83) and (84) for given  $R_g^*$ ,  $R_h^*$  there are only finitely many possibilities for  $\theta_g$ ,  $\theta_h$ , in turn for given P, Q there are only finitely many possibilities for  $r_{2h}$ ,  $R_g^*$ ,  $R_h^*$ . Hence  $C(\theta_g, \theta_h)|r_{2h}|$  does not exceed a bound depending only on P, Q, which we denote by  $c_{27}(P, Q)$ . The inequality (91) implies

(92) 
$$\max\{|r_1n|,|r_2n|\} \leq c_{27}(P,Q)(r_1n,r_2n)$$

and (65) follows from (86), (87) and (92) with

$$c_{19}(P, Q) = \max\{\exp c_{17}(P, Q), \exp c_{18}(P, Q), c_{27}(P, Q)\}.$$

If  $|\xi| \ge e$  (65) with the above value of  $c_{19}(P, Q)$  follows from (64).

LEMMA 9. Let  $P, Q \in K[x_1, ..., x_k]$ , where  $[K:Q] < \infty$  and  $k \ge 3$ . If (P,Q) = 1 and  $KP(x^{n_1}, ..., x^{n_k})$ ,  $KQ(x^{n_1}, ..., x^{n_k})$  have as a common zero an algebraic integer  $\xi$  of degree at most  $d > c_{28}(P,Q)$  then there exist two linearly independent vectors  $r_1, r_2 \in \mathbf{Z}^k$  such that

(93) 
$$h(r_1) \leq \min\{|P|, |Q|\},$$

$$(94) h(r_2) \leqslant 2|P||Q|,$$

(95 
$$|r_v n| \le c_{28+v}(P, Q) d \left(\frac{\log d}{\log \log d}\right)^3 \quad (v = 1, 2)$$

and either

(96) 
$$\max\{|r_1n|,|r_2n|\} \leq c_{31}(P,Q)(r_1n,r_2n), \quad c_{31}(P,Q) \geq 1.$$

or there exists a vector  $r_3 \in \mathbb{Z}^k$  linearly independent of  $r_1$ ,  $r_2$  such that

(97) 
$$h(r_3) \le 128|P|^3|Q|^3 \left(\min\{|P|, |Q|\}\right)^2$$

and

(98) 
$$|r_3 n| \le c_{32}(P, Q) d \frac{(\log d)^4}{(\log \log d)^3}.$$

Proof. Without loss of generality we may assume that K is the least field containing the coefficients of P and Q. Let T be the set of all isomorphic injections of K into C. Since  $\xi$  is not a root of unity, by the result of Dobrowolski ([4], Corollary to Theorem 1) for every  $\varepsilon > 0$  and  $d > d_0(\varepsilon)$  we have

$$|\xi| > 1 + \frac{2 - \varepsilon}{d} \left( \frac{\log \log d}{\log d} \right)^3,$$

hence for a suitable  $\xi'$  conjugate to  $\xi$  over Q and  $d > d_0(\frac{1}{2})$ 

(99) 
$$\log|\xi'| > \frac{3}{2d} \left(\frac{\log\log d}{\log d}\right)^3 + o\left(\frac{1}{d^2}\right) : = E(d).$$

Let us choose  $c_{28}(P, Q) \ge d_0(\frac{1}{2})$  so that for  $d \ge c_{28}(P, Q)$ 

$$(100) \log d \ge 1,$$

(101) 
$$E(d) > \frac{1}{d} \left( \frac{\log \log d}{\log d} \right)^3,$$

$$-\log E(d) < 2\log d$$

and let us set

(103) 
$$c_{28+\nu}(P,Q) = \max c_{16+\nu}(P^{\tau},Q^{\tau}) \quad (\nu=1,2),$$

(104) 
$$c_{31}(P, Q) = \max_{\mathbf{r} \in T} c_{19}(P^{\mathbf{r}}, Q^{\mathbf{r}}),$$

(105) 
$$c_{32}(P, Q) = \max_{\tau} \left( 2 c_{20}(P^{\tau}, Q^{\tau}) + c_{21}(P^{\tau}, Q^{\tau}) \right).$$

Clearly there exists an isomorphic injection  $\tau$  of K into C such that  $\xi'$  is a common zero of

$$KP^{\tau}(x^{n_1},...,x^{n_k})$$
 and  $KQ^{\tau}(x^{n_1},...,x^{n_k})$ .

Applying Lemma 8 with  $K^{\tau}$ ,  $P^{\tau}$ ,  $Q^{\tau}$ ,  $\xi'$  in place of K, P, Q,  $\xi$ , respectively we obtain (93), (94) as a consequence of (62), (63); (95) as a consequence of (64) and (99), (101); finally the alternative (96) or (97), (98) as a consequence of the alternative (65) or (66), (67) and of (99)–(102), (105).

LEMMA 10. Let  $P, Q \in K[x_1, ..., x_k]$ , (P, Q) = 1,  $K_0$  be the field generated by the coefficients of P, Q over the prime field of  $K, \Omega$  a subfield of  $K_0$ ,  $\hat{\Omega}$  its algebraic closure. If  $\xi$  is a common zero of  $KP(x^{n_1}, ..., x^{n_k})$  and  $KQ(x^{n_1}, ..., x^{n_k})$  and  $KQ(x^{n_1}, ..., x^{n_k})$  and 'either  $\Omega = Q$ ,  $[K_0:Q] < \infty$ ,  $\xi$  is not an algebraic unit or  $\mathrm{tr.deg}\,K_0/\Omega = 1$ ,  $\xi \notin \hat{\Omega}$  then there exists a vector  $\gamma \in Z^k$  such that

$$(106) 0 < h(\gamma) \leqslant c_{33}(P, Q, \Omega),$$

$$\gamma n = 0.$$

Proof. In both cases considered in the lemma there is a divisor theory for the extension  $K_0/\Omega$ . For every non-zero polynomial  $F \in K_0[x_1, ..., x_k]$  we set

(108) 
$$c_{34}(F, K_0, \Omega) = \max\{\max|\operatorname{ord}_{P} f_1 - \operatorname{ord}_{P} f_2|, 1\},$$

where the inner maximum is taken over all prime divisors  $\mathfrak{p}$  of  $K_0/\Omega$  and all pairs  $\langle f_1, f_2 \rangle$  of non-zero coefficients of F (note that for every  $f \neq 0$  there exist only finitely many prime divisors  $\mathfrak{p}$  of  $K_0/\Omega$  such that  $\operatorname{ord}_{\mathfrak{p}} f \neq 0$ ). We shall prove the assertion of the lemma with

(109) 
$$c_{33}(P, Q, \Omega) = \max_{1 \leq j \leq k} (c_{34}(R_j, K_0, \Omega)|P| + c_{34}(P, K_0, \Omega)|R_j|),$$

where  $R_j$  is the resultant of P and Q with respect to  $x_j$ . Since both  $R_j$  and  $K_0$  are determined uniquely by P and Q the above definition of  $c_{33}(P, Q, \Omega)$  is correct. We assume  $\xi \in \hat{K}_0$ , otherwise  $P(x^{n_1}, \ldots, x^{n_k}) = 0$  and (106)–(107) is trivial.

Let  $K_1 = K_0(\xi)$ . In both cases considered in the lemma there exists a prime divisor  $\mathfrak{p}_1$  of  $K_1/\Omega$  such that

$$e_1 = \operatorname{ord}_{\mathfrak{p}_1} \xi \neq 0.$$

Let  $p_0$  be the divisor of  $K_0$  divisible by  $p_1$  and put

$$\operatorname{ord}_{\mathfrak{p}_{1}}\mathfrak{p}_{0}=e_{0}.$$

Let P be again given by the formula

$$P = \sum_{\alpha \in A} \pi_{\alpha} \prod_{j=1}^{k} x_{j}^{\alpha_{j}} \quad (\pi_{\alpha} \neq 0 \text{ for } \alpha \in A)$$

and let

$$\operatorname{ord}_{\mathfrak{p}_{o}}\pi_{\alpha}=p_{\alpha}.$$

It follows from

$$0 = P(\xi^{n_1}, \ldots, \xi^{n_k}) = \sum_{\alpha \in A} \pi_{\alpha} \xi^{\alpha n}$$

that the minimal value of the function  $e_0 p_x + e_1 \alpha n$  on the set A is taken by this function at least twice. Thus there exist two distinct vectors  $\alpha_7$ ,  $\alpha_8 \in A$  such that

$$e_0 p_{\alpha_2} + e_1 \alpha_2 n = e_0 p_{\alpha_8} + e_1 \alpha_8 n$$

i.e.

(110) 
$$e_1 s_1 n + e_0 \sigma_1 = 0,$$

where  $s_1 = \alpha_8 - \alpha_7$ ,  $\sigma_1 = p_{\alpha_8} - p_{\alpha_7}$ . Hence

$$(111) 0 < h(s_1) \leqslant |P|,$$

$$|\sigma_1| \leqslant c_{34}(P, K_0, \Omega).$$

Without loss of generality we may assume that  $s_{1k} \neq 0$ . Let us consider as in the proof of Lemma 3 the resultant  $R_k$  of P and Q with respect to  $x_k$ . We have

$$R_k(\xi^{n_1},\ldots,\xi^{n_{k-1}})=0$$

and by the argument applied previously to P there exist a vector  $s_2 \in \mathbb{Z}^{k-1} \times \{0\}$  and an integer  $\sigma_2$  such that

(113) 
$$e_1 s_2 n + e_0 \sigma_2 = 0,$$

$$(114) 0 < h(s_2) \leqslant |R_k|,$$

$$|\sigma_2| \leqslant c_{34}(R_k, \mathbf{K}_0, \mathbf{\Omega}).$$

We put

$$\gamma = \begin{cases} s_1 \sigma_2 - s_2 \sigma_1 & \text{if } \sigma_2 \neq 0, \\ s_2 & \text{if } \sigma_2 = 0. \end{cases}$$

The inequality (106) follows from (108), (109), (111), (112), (114), and (115), while (107) follows from (110) and (113) on eliminating  $e_0$  and  $e_1$ .

LEMMA 11. Theorem 1 holds for k = 3,  $[K:Q] < \infty$ .

Proof. We may assume without loss of generality that K is the field generated over Q by the coefficients of P, Q. Suppose first that  $(n_1, n_2, n_3) = 1$ . By Lemma 5 if  $KP(x^{n_1}, x^{n_2}, x^{n_3})$ ,  $KQ(x^{n_1}, x^{n_2}, x^{n_3})$  have a common zero  $\xi$  then either there exists a vector  $y \in Z^3$  such that

$$\gamma n = 0$$

and

$$0 < h(\gamma) < c_8(P, Q)$$

or

$$[K(\xi):K] < 12|P||Q|\sqrt{3h(n)}.$$

In the former case we have the assertion of the theorem provided  $c_1(P, Q) \ge c_8(P, Q)$ . In the latter case

(116) 
$$[Q(\xi):Q] \leq 12[K:Q]|P||Q|\sqrt{3h(n)} = d.$$

We shall consider separately two cases:

A. ξ is an algebraic integer,

B.  $\xi$  is not an algebraic integer.

A. In virtue of Lemma 9 we have either

$$(117) d < c_{28}(P, Q),$$

or there exist two vectors  $r_1, r_2 \in \mathbb{Z}^3$  linearly independent and such that

(118) 
$$h(r_1) \leq \min\{|P|, |Q|\},$$

$$(119) h(r_2) \leqslant 2|P||Q|,$$

(120) 
$$\max\{|r_1n|,|r_2n|\} \leq c_{31}(P,Q)(r_1n,r_2n),$$

or there exist three vectors  $r_1$ ,  $r_2$ ,  $r_3 \in \mathbb{Z}^3$  linearly independent and such that in addition to (116), (117)

(121) 
$$h(\mathbf{r}_3) \leq 128|P|^3|Q|^3 (\min\{|P|, |Q|\})^2,$$

(122) 
$$|r_{\nu}n| \leq c_{28+\nu}(P, Q) d \left(\frac{\log d}{\log \log d}\right)^2 \quad (\nu = 1, 2),$$

(123) 
$$|\mathbf{r}_3 \mathbf{n}| \le c_{32}(P, Q) d \frac{(\log d)^4}{(\log \log d)^3}.$$

(118), (119), (120) imply the assertion of the theorem with

$$\gamma = \begin{cases} \frac{(r_1 \times r_2) \times n}{(r_1 n, r_2 n)} & \text{if } r_1 n \neq 0, \\ r_1 & \text{if } r_1 n = 0, \end{cases}$$

provided  $c_1(P,Q) \ge c_{31}(P,Q)(2|P||Q| + \min\{|P|,|Q|\})$ . On the other hand (118), (119), (121), (122) and (123) imply via the Cramer formulae

(124) 
$$h(n) \leq 2h(r_1)h(r_2)h(r_3) \sum_{\nu=1}^{3} \frac{|r_{\nu} n|}{h(r_{\nu})} \leq c_{35}(P, Q) d \frac{(\log d)^4}{(\log \log d)^3},$$

where

$$c_{35}(P, Q) = 512|P|^4|Q|^4 (\min\{|P|, |Q|\})^2 c_{29}(P, Q) + 256|P|^3|Q|^3 (\min\{|P|, |Q|\})^3 c_{30}(P, Q) + 4|P||Q|\min\{|P|, |Q|\} c_{32}(P, Q).$$

Now, by (116) and (124)

$$d \leq 12[K:Q]|P||Q|\sqrt{3c_{35}(P,Q)}d\frac{(\log d)^4}{(\log\log d)^3},$$

hence for a suitable  $c_{36}(P, Q)$ 

$$(125) d \leq c_{36}(P, Q).$$

Let  $c_{37}(P, Q) = \max\{c_{28}(P, Q), c_{36}(P, Q)\}$ . The alternative (117) or (125) gives

$$d \leq c_{37}(P, Q)$$

and by (116), for a suitable  $c_{38}(P, Q)$ 

$$h(\mathbf{n}) \leqslant c_{38}(P, Q).$$

By the Bombieri-Vaaler theorem (see [2]) the assertion of Theorem 1 holds provided

$$c_1(P, Q) \geqslant \sqrt{3c_{38}(P, Q)}$$

B. In virtue of Lemma 10 the assertion of the theorem holds provided

$$c_1(P, Q) \geqslant c_{33}(P, Q, Q).$$

Summing up the considered cases we conclude that if  $(n_1, n_2, n_3) = 1$ Theorem 1 holds with

$$c_1(P, Q) = \max\{c_{31}(P, O)(2|P||O| + \min\{|P|, |O|\}), \sqrt{\sqrt{3}c_{38}(P, Q)}c_{33}(P, Q, Q)\}.$$

Suppose now that 
$$(n_1, n_2, n_3) = d$$
,  $n_i = dm_i$   $(1 \le i \le 3)$ . If  $(JP(x^{m_1}, x^{m_2}, x^{m_3}), JO(x^{m_1}, x^{m_2}, x^{m_3})) = G(x)$ 

then by Lemma 9 of [10]

$$(JP(x^{n_1}, x^{n_2}, x^{n_3}), JQ(x^{n_1}, x^{n_2}, x^{n_3})) = G(x^d).$$

The assumption implies that  $KG(x^d) \neq 1$ , hence  $KG(x) \neq 1$ . Since  $(m_1, m_2, m_3) = 1$  the already proved case of Theorem 1 applies and gives the existence of a vector  $y \in \mathbb{Z}^3$  such that

$$\sum_{i=1}^{3} \gamma_i m_i = 0 \quad \text{and} \quad 0 < h(\gamma) \le c_1(P, Q).$$

Now,

$$\gamma n = d \sum_{i=1}^{s} \gamma_i m_i = 0$$

and the proof is complete.

LEMMA 12. If Theorem 1 is true for given K and k then for every finite subset S of  $K[x_1, ..., x_k]$  and every vector  $n \in \mathbb{Z}^k$  if

(126) g.c.d. 
$$F = 1$$
,

but

(127) g.c.d. 
$$KF(x^{n_1}, x^{n_2}, ..., x^{n_k}) \neq 1$$

there exists a vector  $\gamma \in \mathbb{Z}^k$  such that

$$0 < h(\gamma) \le c_{39}(S)$$
 and  $\gamma n = 0$ .

Proof. Let us choose  $F_0 \in S$ ,  $F_0 \neq 0$  and let

$$F_0 \stackrel{\text{can}}{=} \underset{K}{\text{const}} \prod_{\sigma=1}^s P_{\sigma}^{e_{\sigma}}.$$

By (126) for every index  $\sigma \leq s$  there exists a polynomial  $F_{\sigma} \in S$  such that  $(P_{\sigma}, F_{\sigma}) = 1$ . The condition (127) implies that for at least one  $\varrho \leq s$ 

$$(KP_{\varrho}(x^{n_1},\ldots,x^{n_k}), \text{ g.c.d. } KF(x^{n_1},\ldots,x^{n_k})) \neq 1$$

hence a fortiori

$$(KP_{\varrho}(x^{n_1}, ..., x^{n_k}), KF_{\varrho}(x^{n_1}, ..., x^{n_k})) \neq 1.$$

By the assumption there exists a vector  $y \in \mathbb{Z}^k$  such that

$$0 < h(\gamma) < c_1(P_o, F_o), \quad \gamma n = 0.$$

Therefore, it suffices to take

$$c_{29}(S) = \max_{\sigma \leq s} c_1(P_{\sigma}, F_{\sigma}).$$

#### 3. Proofs of Theorems 1 and 2.

Proof of Theorem 1. We shall proceed by induction on the transcendence degree r of  $K_0$ , the field generated by the coefficients of P and Q over the prime field  $\Pi$  of K.

If r = 0 and char K = 0 the theorem is contained in Lemma 11. If r = 0 and char K > 0 the theorem is trivial since then for every  $P \in K_0[x] \setminus \{0\}$  we have  $KP(x) \in K_0$ .

Let us consider the case, where tr. deg.  $K_0/\Pi = r \ge 1$  assuming that the theorem holds, whenever tr. deg.  $K_0/\Pi < r$ . The assumption implies the truth of the theorem for all K with tr. deg.  $K/\Pi < r$  and k = 3 if char K = 0, k arbitrary if char K > 0. Let  $t_1, \ldots, t_r$  be a transcendence basis of  $K_0$  over  $\Pi$  so that  $[K_0:\Pi(t_1,\ldots,t_r)]<\infty$ . Let us put  $\Omega=\Pi(t_1,\ldots,t_{r-1})$  and let  $b_1,\ldots,b_s$  be a basis of  $K_0\hat{\Omega}(t_r)$  over  $\hat{\Omega}(t_r)$ ,  $\hat{\Omega}$  being the algebraic closure of  $\Omega$ . We have for suitable polynomials  $D \in \hat{\Omega}(t_r)$ ,  $P_{\sigma j}$ ,  $Q_{\sigma j} \in \hat{\Omega}[x_1,\ldots,x_k]$   $(1 \le \sigma \le s, 0 \le i \le p, 0 \le j \le q)$ 

$$P = D^{-1} \sum_{\sigma=1}^{s} \sum_{i=0}^{p} P_{\sigma i} t_{r}^{i} b_{\sigma},$$

$$Q = D^{-1} \sum_{\sigma=1}^{s} \sum_{j=0}^{q} Q_{\sigma j} t_{r}^{j} b_{\sigma}.$$

Let 
$$S = \bigcup_{\sigma=1}^{s} \bigcup_{i=0}^{p} \{P_{\sigma i}\} \cup \bigcup_{\sigma=1}^{s} \bigcup_{j=0}^{q} \{Q_{\sigma j}\}$$
. Since  $(P, Q) = 1$  we have g.c.d.  $F = 1$ .

If  $KP(x^{n_1}, \ldots, x^{n_k})$  and  $KQ(x^{n_1}, \ldots, x^{n_k})$  have a common zero  $\xi$  we have either  $\xi \in \hat{\Omega}$  or  $\xi \notin \hat{\Omega}$ . In the former case since  $t_r^i b_\sigma$   $(1 \le \sigma \le s, i = 0, 1, \ldots)$  are linearly independent over  $\hat{\Omega}$  we obtain

 $P_{\sigma i}(\xi^{n_1}, \ldots, \xi^{n_k}) = 0, \quad Q_{\sigma j}(\xi^{n_1}, \ldots, \xi^{n_k}) = 0 \quad (1 \leqslant \sigma \leqslant s, 0 \leqslant i \leqslant p, 0 \leqslant j \leqslant q)$ and since  $\xi$  is neither 0 nor a root of unity

g.c.d. 
$$KF(x^{n_1}, ..., x^{n_k}) \neq 1$$
.

Since tr.deg.  $\hat{\Omega}/\Pi = r - 1$  the inductive assumption implies by virtue of Lemma 12 the existence of a vector  $\gamma \in \mathbb{Z}^k$  such that

$$0 < h(\gamma) \leqslant c_{39}(S)$$
 and  $\gamma n = 0$ .

On the other hand,  $\Omega \subset K_0$  and tr. deg.  $K_0/\Omega = 1$ , thus if  $\xi \notin \hat{\Omega}$  Lemma 10 implies the existence of a vector  $\gamma \in Z^k$  such that

$$0 < h(\gamma) \le c_{33}(P, Q, \Omega)$$
 and  $\gamma n = 0$ .

The numbers  $c_{39}(S)$  and  $c_{33}(P, Q, \Omega)$  depend upon the choice of the transcendence basis  $t_1, \ldots, t_r$  and the choice of the linear basis  $b_1, \ldots, b_s$ . Since this choice is arbitrary and  $h(\gamma)$  takes only integer values we put

$$c_1(P, Q) = \inf \max \{c_{39}(S), c_{33}(P, Q, \Omega)\},\$$

where the infimum is taken over all possible bases  $t_1, ..., t_r$  and  $b_1, ..., b_s$ . The inductive proof is complete.

LEMMA 13. Let 
$$P, Q \in C[x_1, ..., x_k], (P, Q) = 1$$
. If  $p, q \in Z^k$ ,

$$(JP(y^{p_1}z^{q_1},\ldots,y^{p_k}z^{q_k}), JQ(y^{p_1}z^{q_1},\ldots,y^{p_k}z^{q_k})) = D(z) \in C[z],$$

 $KD(z) \neq 1$  then there exist  $d \geq 2$  linearly independent vectors  $\mathbf{m}_1, \ldots, \mathbf{m}_d \in \mathbf{Z}^k$  such that

$$h(m_i) \leqslant i \max\{|P|,|Q|\} \quad (1 \leqslant i \leqslant d), \quad m_i p = 0$$

and either  $d \ge 4$  or there exists a vector  $\beta \in \mathbb{Z}^d$  such that

$$0 < h(\beta) \le c_{40}(P, Q), \quad \beta[m_1 q, ..., m_d q] = 0.$$

Proof. We follow step by step the proof of Lemma 4 retaining the notation introduced there. If d = 2 we take

$$\beta = \begin{cases} [1, 0] & \text{if} & m_1 q = 0, \\ \left[ \frac{m_2 q}{(m_1 q, m_2 q)}, \frac{-m_1 q}{(m_1 q, m_2 q)} \right] & \text{if} & m_1 q \neq 0 \end{cases}$$

and obtain

$$0 < h(\beta) \le \max\{1, c_7(P, Q)\}.$$

If d=3 in virtue of Theorem 1 we can apply Lemma 12 taking in that lemma K=C, k=3, S defined in the proof of Lemma 4 and  $n_i=m_iq$   $(1 \le i \le 3)$ . We obtain the existence of a vector  $\beta \in \mathbb{Z}^3$  such that

$$0 < h(\beta) \le c_{39}(S), \quad \beta[m_1q, m_2q, m_3q] = 0.$$

By (18) the number of possibilities for the set S for fixed P, Q and d is finite. Hence  $c_{39}(S)$  does not exceed a bound depending only on P and Q. Denoting this bound by  $c_{41}(P,Q)$  we put

$$c_{40}(P,Q) = \max\{1, c_7(P,Q), c_{41}(P,Q)\}.$$

LEMMA 14. Let  $P, Q \in K[x_1, ..., x_k], [K:Q] < \infty, (P,Q) = 1$ . If  $(n_1, ..., n_k) = 1$  and

$$(KP(x^{n_1}, ..., x^{n_k}), KQ(x^{n_1}, ..., x^{n_k})) \neq 1$$

then either there exists a vector  $\gamma \in \mathbf{Z}^k$  such that

(128) 
$$0 < h(\gamma) \le c_{42}(P, Q),$$

$$\gamma n = 0,$$

or there exist two vectors  $l_1, l_2 \in \mathbb{Z}^k$  linearly independent and such that

(130) 
$$h(l_1) \leq \min\{|P|, |Q|\},\$$

$$(131) h(l_2) \leqslant 2|P||Q|,$$

(132) 
$$\max\{|l_1 n|, |l_2 n|\} \leq 2\sqrt{2}k|P||Q|h(n)^{(k-2)/(2k-2)}(l_1 n, l_2 n),$$

or there exist three vectors  $r_1, r_2, r_3 \in \mathbb{Z}^k$  linearly independent and such that

(133) 
$$h(r_1) \leq \min\{|P|, |Q|\},\$$

$$h(r_2) \leqslant 2|P||Q|,$$

(135) 
$$h(r_3) \le 128|P|^3|Q|^3 (\min\{|P|, |Q|\})^2,$$

$$(136) |\mathbf{r}_i \mathbf{n}| \leq c_{42+i}(P, Q) h(\mathbf{n})^{(k-2)/(k-1)} \left( \frac{\log h(\mathbf{n})}{\log \log h(\mathbf{n})} \right)^3 (i = 1, 2),$$

$$|r_3 n| \leq c_{45}(P, Q) h(n)^{(k-2)/(k-1)} (\log h(n))^4 (\log \log h(n))^{-3},$$

or there exist four vectors  $m_1$ ,  $m_2$ ,  $m_3$ ,  $m_4 \in \mathbb{Z}^k$  linearly independent and such that

(138) 
$$h(m_i) \le i \max\{|P|, |Q|\} \quad (1 \le i \le 4),$$

(139)  $\max\{|m_1 n|, |m_2 n|, |m_3 n|, |m_4 n|\}$ 

$$\leq 8k \max\{|P|, |Q|\}h(n)^{(k-2)/(k-1)}(m_1 n, m_2 n, m_3 n, m_4 n).$$

Proof. Let us choose a decomposition

(140) 
$$n = up + vq; u, v \in \mathbb{Z}, p, q \in \mathbb{Z}^k, \dim(p, q) = 2,$$

(141) 
$$h(p)h(q) \leq 2h(n)^{(k-2)/(k-1)}$$

the existence of which is guaranteed by Lemma 1. In view of symmetry between p and q we may assume that  $h(p) \le h(q)$ , hence

(142) 
$$h(p) \leqslant \sqrt{2} h(n)^{(k-2)/(2k-2)}.$$

It follows from  $(n_1, ..., n_k) = 1$  that (u, v) = 1. If we had v = 0 it would follow  $u = \pm 1, h(n) = h(p)$  and thus

$$h(n) \le \sqrt{2}h(n)^{(k-2)/(2k-2)}; \quad h(n) \le 2^{(k-1)/k} < 2, \quad h(n) = 1.$$

Since for h(n) = 1 we can choose a decomposition (140) with  $h(p) = h(q) = 1, v = \pm 1$ , we may assume that

(143) 
$$\cdot (u, v) = 1, \quad v \neq 0.$$

Let us consider polynomials

(144) 
$$G = JP(v^{p_1}z^{q_1}, \dots, v^{p_k}z^{q_k}), \quad H = JO(v^{p_1}z^{q_1}, \dots, v^{p_k}z^{q_k}),$$

$$(145) D = (G, H).$$

If  $D \in C[y,z] \setminus C[z]$  then by Lemma 3 there are two linearly independent vectors  $l_1, l_2 \in \mathbb{Z}^k$  such that (131), (132) hold,

$$(l, p)(l, q) = (l, p)(l, q)$$

and

$$l_2 q = 0$$
 if  $l_2 p = 0$ .

Hence

$$(l_1 p)(l_2 n) - (l_2 p)(l_1 n) = (l_1 p)(l_2 u p + l_2 v q) - (l_2 p)(l_1 u p + l_1 v q) = 0$$

and either  $l_2 n \neq 0$ , thus  $l_2 p \neq 0$  and by (130), (131), (142)

$$\frac{\max\{|l_1 n|, |l_2 n|\}}{(l_1 n, l_2 n)} = \frac{\max\{|l_1 p|, |l_2 p|\}}{(l_1 p, l_2 p)} \leq \max\{|l_1 p|, |l_2 p|\}$$

$$\leq k \max\{h(l_1), h(l_2)\} h(p) \leq 2\sqrt{2}k|P||Q|h(n)^{(k-2)/(2k-2)},$$

or  $l_2 n = 0$  and then

$$\max\{|l_1 n|, |l_2 n|\} = (l_1 n, l_2 n).$$

In both cases the inequality (132) holds.

If  $D \in C[z]$  and  $KD \neq 1$  then by Lemma 13 there exist  $d \geq 2$  vectors  $\mathbf{m}_i \in \mathbf{Z}^k$  ( $1 \leq i \leq d$ ) linearly independent such that (138) holds,  $\mathbf{m}_i \mathbf{p} = 0$  ( $1 \leq i \leq d$ ) and either  $d \geq 4$  or there exists a vector  $\mathbf{\beta} \in \mathbf{Z}^d$  satisfying (146)  $0 < h(\mathbf{\beta}) \leq c_{AO}(P, O)$ ,

(147) 
$$\beta[m,q,\ldots,m_dq]=0.$$

Since by (140)

$$m_i n = b m_i q \quad (1 \leq i \leq d),$$

in the former case we find either  $m_i n = 0$   $(1 \le i \le 4)$  which implies (139), or by (138), (141)

$$\frac{\max\{|m_1 n|, |m_2 n|, |m_3 n|, |m_4 n|\}}{(m_1 n, m_2 n, m_3 n, m_4 n)} = \frac{\max\{|m_1 q|, |m_2 q|, |m_3 q|, |m_4 q|\}}{(m_1 q, m_2 q, m_3 q, m_4 q)}$$

$$\leq \max_{1 \leq i \leq 4} |m_i q| \leq k \max_{1 \leq i \leq 4} h(m_i)h(q)$$

$$\leq 8k \max\{|P|, |Q|\}h(n)^{(k-2)/(k-1)}.$$

In the latter case we set

$$\gamma = \sum_{i=1}^d \beta_i \, m_i$$

and (129) follows from (147). On the other hand assuming, as we may,  $d \le 3$  we obtain from (138) and (145)

$$h(\gamma) \le h(\beta) \sum_{i=1}^{d} h(m_i) \le 6 c_{40}(P, Q) \max\{|P|, |Q|\}.$$

Since  $m_i$  are linearly independent and  $\beta \neq 0$  we have also  $h(\gamma) > 0$  and (128) holds provided

$$c_{42}(P,Q) \ge 6c_{40}(P,Q) \max\{|P|,|Q|\}.$$

It remains to consider the case

(148) 
$$D \in C[z]$$
 and  $KD(z) = 1$ .

Let  $G_1 = GD^{-1}$ ,  $H_1 = HD^{-1}$ , R be the resultant of  $G_1$ ,  $H_1$  with respect to z. By (145)  $(G_1, H_1) = 1$ . By virtue of Lemma 4 of [9] and of (144) we have

$$\operatorname{card}\{\langle \eta, \zeta \rangle \in \mathbb{C}^2 : G_1(\eta, \zeta) = H_1(\eta, \zeta) = 0\} \leq \operatorname{deg} R$$

$$\leq \deg_y G_1 \deg_z H_1 + \deg_z G_1 \deg_y H_1 \leq k|P|h(p)k|Q|h(q) + k|P|h(q)k|Q|h(p)$$

$$= 2k^2|P||Q|h(p)h(q).$$

On the other hand, if  $\xi$  is a common zero of  $KP(x^{n_1}, ..., x^{n_k})$  and  $KQ(x^{n_1}, ..., x^{n_k})$ , by virtue of (140) and (144)  $\langle \xi^{\mu}, \xi^{\nu} \rangle$  is a common zero of G and H, while by virtue of (143) and (148) it is not a zero of D. Therefore

$$G_1(\xi^{u}, \xi^{v}) = H_1(\xi^{u}, \xi^{v}) = 0.$$

Since by (143)  $\langle \xi^u, \xi^w \rangle$  determines  $\xi$  uniquely, it follows that the number of common zeros of  $KP(x^{n_1}, \ldots, x^{n_k})$ ,  $KQ(x^{n_1}, \ldots, x^{n_k})$  does not exceed  $2k^2|P||Q|h(p)h(q)$ . However together with  $\xi$  every number conjugate to  $\xi$  over the field  $K_0$  generated by the coefficients of P and Q is a common zero in question, hence by (141)

$$[K_0(\xi):K_0] \leq 4k^2|P||Q|h(n)^{(k-2)/(k-1)}$$

Since  $[K_0:Q]$  depends only of P, Q we get

(149) 
$$[Q(\xi):Q] \leq c_{46}(P,Q)h(n)^{(k-2)/(k-1)},$$

where we may assume without loss of generality that  $c_{46}(P,Q) \ge c_{28}(P,Q)$ . If  $\xi$  is an algebraic integer, we obtain from Lemma 9 the existence of three linearly independent vectors  $r_1, r_2, r_3 \in \mathbb{Z}^k$  satisfying (93)–(98) and either (96) or (97) and (98). Now (93), (94), (97) imply (133), (134), (135), respectively; (95) and (98) together with (149) imply (136) and (137), respectively, with suitable  $c_{43}, c_{44}, c_{45}$ . On the other hand, (96) imply (128) and (129) with

$$\gamma = \begin{cases} \frac{(r_1 \times r_2) \times n}{(r_1 n, r_2 n)} & \text{if} \quad r_1 n \neq 0, \\ r_1 & \text{if} \quad r_1 n = 0, \end{cases}$$

provided

$$c_{42}(P,Q) \geqslant c_{31}(P,Q)(2|P||Q| + \min\{|P|,|Q|\}).$$

In the remaining case, where  $\xi$  is not an algebraic integer we apply Lemma 10 with  $\Omega = Q$  and obtain (128) and (129) provided

$$c_{42}(P,Q) \geqslant c_{33}(P,Q,Q)$$

Lemma 15. Theorem 2 holds if the coefficients of P, Q lie in a finite extension of Q.

Proof. Let S(P, Q, N) be the set of all integer vectors n such that  $h(n) \leq N$  and

$$(KP(x^{n_1}, ..., x^{n_k}), KQ(x^{n_1}, ..., x^{n_k})) \neq 1,$$

and let  $S_0(N)$  be the subset of S(P,Q,N) consisting of all vectors satisfying  $(n_1, \ldots, n_k) = 1$ . If for a vector  $n \in S(P,Q,N)$  we have  $(n_1, \ldots, n_k) = d$ ,  $n_j = dm_i$ , then by Lemma 9 of [10]

$$(KP(x^{m_1}, \ldots, x^{m_k}), KQ(x^{m_1}, \ldots, x^{m_k})) \neq 1$$

hence  $m \in S_0(N/d)$ . Thus we have

$$S(P,Q,N) \subset \bigcup_{d=1}^{N} dS_0(N/d),$$

(150) 
$$\operatorname{card} S(P, Q, N) \leq \sum_{d=1}^{N} \operatorname{card} S_0(N/d)$$

and it will be sufficient to estimate the cardinality of  $S_0(N)$ . In virtue of Lemma 14 we have

$$S_0(N) \subset \bigcup_{i=1}^4 S_i(N),$$

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where  $S_i(N)$   $(1 \le i \le 4)$  is the set of all vectors  $n \in S_0(N)$  such that for i = 1 there exists a vector  $\gamma \in \mathbb{Z}^k$  satisfying (128) and (129), for i = 2 there exist two vectors  $l_1, l_2 \in \mathbb{Z}^k$  linearly independent and satisfying (130)–(132), for i = 3 there exist three vectors  $r_1, r_2, r_3 \in \mathbb{Z}^k$  linearly independent and satisfying (133)–(137), for i = 4 there exist four vectors  $m_1, m_2, m_3, m_4 \in \mathbb{Z}^k$  linearly independent and satisfying (138), (139). We shall estimate card  $S_i(N)$  for i = 1, 2, 3, 4 successively. The number of integer vectors n satisfying  $h(n) \le N$  and (128), (129) with  $\gamma_i \ne 0$ ,  $\gamma_{i+1} = \gamma_{i+2} = \ldots = \gamma_k = 0$  does not exceed

$$2c_{42}(P,Q)(2c_{42}(P,Q)+1)^{i-1}(2N+1)^{k-1},$$

since the coordinates  $n_j$  for  $j \neq i$  can be chosen in at most 2N+1 ways each and then  $n_i$  in at most  $2c_{42}(P,Q)(2c_{42}(P,Q)+1)^{i-1}$  ways. Hence

(152) 
$$\operatorname{card} S_1(N) \leq \sum_{i=1}^k 2c_{42}(P,Q)(2c_{42}(P,Q)+1)^{i-1}(2N+1)^{k-1} \leq c_{47}(P,Q)N^{k-1}.$$

The number of integer vectors n satisfying  $h(n) \le N$  and (132) for fixed  $l_1, l_2$  does not exceed  $(2N+1)^{k-2}$  card  $T(l_1, l_2)$ , where

$$\begin{split} T(l_1, l_2) &= \{ [\lambda_1, \lambda_2] \in Z^2 \colon \ \, \forall \ \, |\lambda_i| \leqslant kh(l_i)N; \\ &\max_{1 \leqslant i \leqslant 2} |\lambda_i| \leqslant 2\sqrt{2}k|P||Q|N^{(k-2)/(2k-2)}(\lambda_1, \lambda_2) \}. \end{split}$$

Indeed, since  $l_1, l_2$  are linearly independent there exists a set  $H \subset \{1, 2, ..., k\}$  such that card H = k-2 and  $l_1 n, l_2 n, n_h (h \in H)$  determine uniquely n. Now, for each  $h \in H$ ,  $n_h$  can be chosen in 2N+1 ways.

Thus we obtain

$$\operatorname{card} S_2(N) \leq (2N+1)^{k-2} \sum_{(l_1, l_2)}^{*} \operatorname{card} T(l_1, l_2),$$

where the sum  $\sum^*$  is taken over all pairs  $\langle l_1, l_2 \rangle$  satisfying (130) and (131). On the other hand, by Lemma 6 of [11] applied with r=2, A=2k|P||Q|N,  $B=2\sqrt{2}k|P||Q|N^{(k-2)/(2k-2)}$  we have

card 
$$T(l_1, l_2) \le 1 + 2kh(l_1)N + 2kh(l_2)N + 4 \cdot 2AB$$
  
 $\le 1 + 2k\min\{|P|, |Q|\}N + 4k|P||Q|N + 32\sqrt{2}k^2|P|^2|Q|^2N^{(3k-4)/(2k-2)}$ .

The sum  $1 + 2kh(l_1)N + 2kh(l_2)N$  is the number of vectors  $[\lambda_1, \lambda_2]$  with at least one coordinate 0 and the factor 4 in front of 2AB reflects the fact that  $\lambda_1, \lambda_2$  may be either positive or negative. It follows that

(153) 
$$\operatorname{card} S_2(N) \leq (2N+1)^{k-2} (1+2k \min\{|P|, |Q|\} + 4k|P||Q| + 32\sqrt{2}k^2|P|^2|Q|^2) N^{(3k-4)/(2k-2)} \sum_{\langle I_1, I_2 \rangle}^* 1$$

$$\leq c_{48}(P,Q) N^{k-k/(2k-2)}.$$

The number of integer vectors  $n \in \mathbb{Z}^k$  satisfying  $h(n) \leq N$  and (136), (137) for fixed  $r_1, r_2, r_3$  does not exceed  $(2N+1)^{k-3}$  card U, where

$$\begin{split} U &= \bigg\{ [\varrho_1, \varrho_2, \varrho_3] \in \pmb{Z}^3 \colon \forall \ |\varrho_i| \leqslant c_{42+i}(P,Q) N^{(k-2)/(k-1)} \bigg( \frac{\log N}{\log \log N} \bigg)^3, \\ &|\varrho_3| \leqslant c_{45}(P,Q) N^{(k-2)/(k-1)} \frac{(\log N)^4}{(\log \log N)^3} \bigg\}. \end{split}$$

Indeed, since  $r_1, r_2, r_3$  are linearly independent there exists a set  $I \subset \{1, 2, ..., k\}$  such that card I = k-3 and  $r_1n, r_2n, r_3n$  and  $n_i$   $(i \in I)$  determine uniquely n. Now, for each  $i \in I$ ,  $n_i$  can be chosen in 2N+1 ways. Thus we obtain

$$\operatorname{card} S_3(N) \leq (2N+1)^{k-3} \sum_{\langle r_1, r_2, r_3 \rangle}^{**} \operatorname{card} U,$$

where the sum  $\sum^{**}$  is taken over all triples  $\langle r_1, r_2, r_3 \rangle$  satisfying (133)–(135). On the other hand, clearly

$$\begin{split} \operatorname{card} U & \leq \prod_{i=1}^2 \left( 1 + 2c_{42+i}(P,Q) N^{(k-2)/(k-1)} \left( \frac{\log N}{\log \log N} \right)^3 \right) \\ & \times \left( 1 + 2c_{45}(P,Q) N^{(k-2)/(k-1)} \frac{(\log N)^4}{(\log \log N)^3} \right). \end{split}$$

It follows that

(154) 
$$\operatorname{card} S_3(N) \leqslant c_{49}(P, Q) N^{k - \frac{3}{k - 1}} \frac{(\log N)^{10}}{(\log \log N)^9}.$$

The number of integer vectors n satisfying  $h(n) \le N$  and (138), (139) for fixed  $m_1, m_2, m_3, m_4$  does not exceed

$$(2N+1)^{k-4}$$
 card  $V(m_1, m_2, m_3, m_4)$ ,

Where

$$\begin{split} \mathcal{V}(\mathbf{m}_1, \ \mathbf{m}_2, \ \mathbf{m}_3, \ \mathbf{m}_4) &= \big\{ \big[ \mu_1, \ \mu_2, \ \mu_3, \ \mu_4 \big] \in \mathbf{Z}^4 \colon \ \forall \ |\mu_i| \leqslant kh(\mathbf{m}_i)N; \\ &\max_{1 \leqslant i \leqslant 4} |\mu_i| \leqslant 8k \max \big\{ |P|, |Q| \big\} \, N^{(k-2)/(k-1)}(\mu_1, \ \mu_2, \ \mu_3, \ \mu_4) \big\}. \end{split}$$

Indeed, since  $m_1$ ,  $m_2$ ,  $m_3$ ,  $m_4$  are linearly independent there exists a set  $J \subset \{1, ..., k\}$  such that card J = k-4 and  $m_1 n$ ,  $m_2 n$ ,  $m_3 n$ ,  $m_4 n$  and  $n_j$   $(j \in J)$  determine uniquely n. Now, for each  $j \in J$ ,  $n_j$  can be chosen in 2N+1 ways. Thus we obtain

$$\operatorname{card} S_4(N) \leq (2N+1)^{k-4} \sum_{(m_1, m_2, m_3, m_4)}^{***} \operatorname{card} V(m_1, m_2, m_3, m_4),$$

where the sum  $\sum^{***}$  is taken over all quadruples  $\langle m_1, m_2, m_3, m_4 \rangle$  satisfying (138). On the other hand, by Lemma 6 of [12] applied with r = 4,  $A = 4k \max\{|P|, |Q|\}N$ ,  $B = 8k \max\{|P|, |Q|\}N^{(k-2)/(k-1)}$  we have

card 
$$V(m_1, m_2, m_3, m_4) \le \prod_{i=1}^4 (2kh(m_i)N+1) - \prod_{i=1}^4 (2kh(m_i)N) + 16 \cdot 2AB^3$$
  
 $\le 929k^3 (\max\{|P|, |Q|\})^3 N^3 + 65536k^4 (\max\{|P|, |Q|\})^4 N^{(4k-7)/(k-1)}.$ 

The expression  $\prod_{i=1}^{4} (2kh(\mathbf{m}_i)N+1) - \prod_{i=1}^{4} (2kh(\mathbf{m}_i)N)$  estimates the number of vectors  $[\mu_1, \mu_2, \mu_3, \mu_4]$  with at least one coordinate 0 and the factor 16 in front of  $2AB^3$  reflects the fact that  $\mu_1, \mu_2, \mu_3, \mu_4$  may be either positive or negative. It follows that

(155) 
$$\operatorname{card} S_4(N) \leq (2N+1)^{k-4} (929 k^3 (\max\{|P|, |Q|\})^3 + 65536 k^4 (\max\{P, Q\})^4)$$

$$\times N^{(4k-7)/(k-1)} \sum_{\langle m_1, m_2, m_3, m_4 \rangle}^{***} 1 \leq c_{50}(P, Q) N^{k-\frac{3}{k-1}}.$$

The inequalities (151)-(155) imply

card 
$$S_0(N) \le c_{48} N^{k-\frac{k}{2k-2}} + (c_{47} + c_{49} + c_{50}) N^{k-\frac{3}{k-1}} \frac{(\log N)^{10}}{(\log \log N)^9}$$

hence

$$\operatorname{card} S_0(N) \leq c_{51}(P,Q)N^{k-\frac{\min\{k,6\}}{2k-2}} \frac{(\log N)^{10}}{(\log\log N)^9},$$

where the logarithmic factors can be omitted for k < 6, and by (150)

$$\operatorname{card} S(P, Q, N) \leq c_1(P, Q) N^{k - \frac{\min\{k, 6\}}{2k - 2}} \frac{(\log N)^{10}}{(\log \log N)^9}$$

with the similar proviso, provided

$$c_2(P,Q) = c_{51}(P,Q)\zeta\left(k - \frac{\min\{k,6\}}{2k-2}\right).$$

LEMMA 16. Let an integer  $k \ge 4$  and a field  $K \subset C$  be given. If Theorem 2 holds for all  $P, Q \in K[x_1, ..., x_k]$ , (P, Q) = 1 then for every finite subset S of  $K[x_1, ..., x_k]$  such that

$$\begin{array}{ll}
\text{g.c.d. } F = 1, \\
F \in S
\end{array}$$

the number of vectors  $n \in \mathbb{Z}^k$  such that  $h(n) \leq N$  and

(157) g.c.d. 
$$KF(x^{n_1}, x^{n_2}, ..., x^{n_k}) \neq 1$$

does not exceed

$$c_{52}(S)N^{k-\frac{\min\{k,6\}}{2k-2}}\frac{(\log N)^{10}}{(\log\log N)^9},$$

where for k < 6 the logarithmic factors can be omitted.

Proof is similar to that of Lemma 12. We choose an  $F_0 \in S$ ,  $F_0 \neq 0$  and Write

$$F_0 \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^s P_{\sigma}^{e_{\sigma}}$$
.

For every index  $\sigma \leq s$  there exists a polynomial  $F_{\sigma} \in S$  such that  $(P_{\sigma}, F_{\sigma}) = 1$ . It suffices to take

$$c_{52}(S) = \max_{\sigma \leq s} c_2(P_{\sigma}, F_{\sigma}).$$

Proof of Theorem 2. We shall proceed by induction on the transcendence degree r of  $K_0$ , the field generated by the coefficients of P and Q over Q.

If r=0 the theorem is contained in Lemma 15. Let us consider the case, where  $\operatorname{tr.deg.} K_0/Q = r \geqslant 1$  assuming that the theorem holds, whenever  $\operatorname{tr.deg.} K_0/Q < r$ . Let  $t_1, \ldots, t_r$  be a transcendence basis of  $K_0$  over Q so that  $[K_0: Q(t_1, \ldots, t_r)] < \infty$ . Let us put  $\Omega = Q(t_1, \ldots, t_{r-1})$  and let  $b_1, \ldots, b_s$  be a basis of  $K_0 \hat{\Omega}(t_r)$  over  $\hat{\Omega}(t_r)$ ,  $\hat{\Omega}$  being the algebraic closure of  $\Omega$ . We have as in the proof of Theorem 1, for suitable polynomials  $D \in \hat{\Omega}[t_r]$ ,  $P_{\sigma i}$ ,  $Q_{\sigma j} \in \hat{\Omega}[x_1, \ldots, x_k]$   $(1 \leqslant \sigma \leqslant s, 0 \leqslant i \leqslant p, 0 \leqslant j \leqslant q)$ 

$$P = D^{-1} \sum_{\sigma=1}^{s} \sum_{i=0}^{p} P_{\sigma i} t_{r}^{i} b_{\sigma}, \quad Q = D^{-1} \sum_{\sigma=1}^{s} \sum_{i=0}^{q} Q_{\sigma j} t_{r}^{j} b_{\sigma}.$$

Let

$$S = \bigcup_{\sigma=1}^{s} \bigcup_{i=0}^{p} \{P_{\sigma i}\} \cup \bigcup_{\sigma=1}^{s} \bigcup_{j=0}^{q} \{Q_{\sigma j}\}.$$

Since (P,Q) = 1 we have

g.c.d. 
$$F = 1$$
.

Let  $S_5(N)$ ,  $S_6(N)$  be the set of vectors  $n \in \mathbb{Z}^k$  such that  $h(n) \leq N$  and  $KP(x^{n_1}, \ldots, x^{n_k})$ ,  $KQ(x^{n_1}, \ldots, x^{n_k})$  have a common zero  $\xi$  satisfying  $\xi \in \hat{\Omega}$  or  $\xi \notin \hat{\Omega}$ , respectively.

For  $n \in S_5(N)$ , since  $t_r^i b_\sigma$   $(1 \le \sigma \le s, i = 0, 1, ...)$  are linearly independent over  $\hat{\Omega}$  we obtain

$$P_{\sigma i}(\xi^{n_1}, \ldots, \xi^{n_k}) = 0,$$
  $Q_{\sigma j}(\xi^{n_1}, \ldots, \xi^{n_k}) = 0 \ (1 \le \sigma \le s, \ 0 \le i \le p, \ 0 \le j \le q)$   
and since  $\xi$  is neither 0 nor a root of unity

g.c.d. 
$$KF(x^{n_1}, ..., x^{n_k}) \neq 1$$
.

Since tr. deg.  $\Omega/Q = r - 1$  the inductive assumption implies by virtue of Lemma 16 that

card 
$$S_5(N) \le c_{52}(S) N^{k - \frac{\min(k.6)}{2k - 2}} \frac{(\log N)^{10}}{(\log \log N)^9}$$

where for k < 6 the logarithmic factors can be omitted.

On the other hand  $\Omega \subset K_0$  and tr. deg.  $K_0/\Omega = 1$ , thus if  $n \in S_6(N)$  Lemma 10 implies the existence of a vector  $\gamma \in \mathbb{Z}^k$  such that

$$0 < h(\gamma) \le c_{33}(P, Q, \Omega)$$
 and  $\gamma n = 0$ .

By the argument used in the proof of Lemma 15 to estimate card  $S_1(N)$  it follows that

$$\operatorname{card} S_6(N) \leq c_{53}(P, Q, \Omega) N^{k-1}$$

$$\operatorname{card}(S_5(N) \cup S_6(N)) \leq (c_{52}(S) + c_{53}(P, Q, \Omega)) N^{k - \frac{\min(k, 6)}{2k - 2}},$$

where for k < 6 the logarithmic factors can be omitted.

The constants  $c_{52}(S)$  and  $c_{33}(P,Q,\Omega)$  depend upon the choice of the transcendence basis  $t_1, \ldots, t_r$  and the choice of the linear basis  $b_1, \ldots, b_s$ . Since this choice is arbitrary we put

$$c_2(P,Q) = \inf(c_{52}(S) + c_{33}(P,Q,\Omega)),$$

where the infimum is taken over all possible bases  $t_1, \ldots, t_r$  and  $b_1, \ldots, b_s$ . The inductive proof is complete.

### 4. Proofs of Theorems 3 and 4.

LEMMA 17. If  $F \in \mathbb{Q}[x_1, ..., x_k]$  is irreducible and non-reciprocal and an integral matrix  $M = [\mu_{ij}]$  of order k is non-singular then

(158) 
$$LF(\prod_{i=1}^{k} y_i^{\mu_{i1}}, \ldots, \prod_{i=1}^{k} y_i^{\mu_{ik}}) = JF(\prod_{i=1}^{k} y_i^{\mu_{i1}}, \ldots, \prod_{i=1}^{k} y_i^{\mu_{ik}})$$

and the above polynomial is squarefree.

Proof. The fact that the polynomial on the left-hand side is squarefree is proved in the remark after Lemma 12 of [9]. If the equality (158) were false there would be an irreducible reciprocal polynomial  $G \in Q[y_1, \ldots, y_k]$  such that

$$G|JF(\prod_{i=1}^k y_i^{\mu_{i1}},\ldots,\prod_{i=1}^k y_i^{\mu_{ik}}),$$

hence

$$(JF(\prod_{i=1}^{k} y_i^{\mu_{i1}}, \ldots, \prod_{i=1}^{k} y_i^{\mu_{ik}}), JF(\prod_{i=1}^{k} y_i^{-\mu_{i1}}, \ldots, \prod_{i=1}^{k} y_i^{-\mu_{ik}})) \neq 1.$$

Let  $|M|M^{-1} = [\mu'_{ij}]$ . By the substitution

$$y_i = \prod_{j=1}^k x_j^{\mu'_{ij}}.$$

we obtain

$$(JF(x_1^{[M]}, x_2^{[M]}, \dots, x_k^{[M]}), JF(x_1^{-[M]}, x_2^{-[M]}, \dots, x_k^{-[M]})) \neq 1$$

and by Lemma 9 of [10]

$$(JF(x_1, x_2, ..., x_k), JF(x_1^{-1}, x_2^{-1}, ..., x_k^{-1})) \neq 1$$

contrary to the assumption about F.

Proof of Theorem 3. By Lemma 12 of [9] either  $F(x^{n_1}, x^{n_2}, x^{n_3}) = 0$  or there exist an integral square matrix  $M = [\mu_{ij}]$  of order 3 and a vector  $v = [v_1, v_2, v_3] \in \mathbb{Z}^3$  satisfying (3) and such that

(159) 
$$LF(\prod_{i=1}^{3} y_i^{\mu_{i1}}, \prod_{i=1}^{3} y_i^{\mu_{i2}}, \prod_{i=1}^{3} y_i^{\mu_{i3}})^{\text{can}} = \text{const} \prod_{\sigma=1}^{s} F_{\sigma}(y_1, y_2, y_3)^{e_{\sigma}}$$

implies

$$LF(x^{n_1}, x^{n_2}, x^{n_3}) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^{s} LF(x^{\nu_1}, x^{\nu_2}, x^{\nu_3})^{e_{\sigma}}$$

Or there exists a vector  $y \in \mathbb{Z}^3$  such that yn = 0 and

$$0 < h(\gamma) < c_{53}(F),$$

Where  $c_{53}(F)$  is an explicitly given constant.

In the first case the relation  $\gamma n = 0$  holds for a suitable  $\gamma \in \mathbb{Z}^3$  such that  $0 < h(\gamma) \le |F|$ , thus in the first and third case the assertion of Theorem 3 holds provided

$$c_3(F) \ge \max\{|F|, c_{53}(F)\}.$$

In the second case, by virtue of Lemma 17, the left-hand side of  $(4_1)$  coincides with the left-hand side of (159) and  $e_{\sigma} = 1$  for all  $\sigma \leq s$ . Hence the assertion of Theorem 3 holds provided

$$KF(x^{n_1}, x^{n_2}, x^{n_3}) = LF(x^{n_1}, x^{n_2}, x^{n_3}).$$

If the above equality does not hold,  $KF(x^{n_1}, x^{n_2}, x^{n_3})$  has a reciprocal factor and thus

$$(KF(x^{n_1}, x^{n_2}, x^{n_3}), KF(x^{-n_1}, x^{-n_2}, x^{-n_3})) \neq 1.$$

Let us put in Theorem 1

$$P = F(x_1, x_2, x_3), \quad Q = JF(x_1^{-1}, x_2^{-1}, x_3^{-1}).$$

By the assumptions about F we have (P,Q) = 1, hence the assumptions of Theorem 1 are satisfied and by virtue of that theorem there exists a vector  $y \in \mathbb{Z}^3$  such that yn = 0 and

$$0 < h(\gamma) \leqslant c_1(P,Q).$$

Therefore, Theorem 3 holds with

$$c_3(F) = \max\{|F|, c_{53}(F), c_1(P, Q)\}.$$

LEMMA 18. Let  $F \in \mathbb{Q}[x_1, ..., x_k]$ ,  $KF \notin \mathbb{Q}$ . If  $n \in \mathbb{Z}^k$  and  $KF(x^{n_1}, ..., x^{n_k}) \in \mathbb{Q}$  then there exists a vector  $y \in \mathbb{Z}^k$  such that yn = 0,

$$0 < h(\gamma) \leqslant c_{54}(F).$$

Proof. Since  $KF \notin Q$ , F has at least one irreducible factor  $F_1 \in \mathbb{Z}[x_1, ..., x_k]$  which is not an extended cyclotomic polynomial in the sense of [5].

By virtue of Lemma 3 of [5] applied with r = n either

$$\deg KF_1(x^{n_1}, x^{n_2}, ..., x^{n_k}) \geqslant \frac{1}{2} \deg JF_1(x^{n_1}, x^{n_2}, ..., x^{n_k})$$

or there exists a vector  $y \in \mathbb{Z}^k$  such that yn = 0 and

$$0 \leqslant h(\gamma) < 2|F_1|j^5 \prod_{p \leqslant j} p,$$

where j is the number of non-zero coefficients of  $F_1$ . In the former case either  $KF_1(x^{n_1}, \ldots, x^{n_k}) \notin \mathbb{Q}$ , hence  $KF(x^{n_1}, \ldots, x^{n_k}) \in \mathbb{Q}$ , or  $JF_1(x^{n_1}, \ldots, x^{n_k}) \in \mathbb{Q}$ , hence a vector  $\gamma$  with the above properties exists again. Since  $|F_1| \leq |F|$ , j is bounded in terms of F, the lemma follows.

LEMMA 19. For every polynomial  $F \in \mathbb{Z}[x_1, x_2, x_3]$  there exists a number  $c_{55}(F)$  with the following property. For every vector  $\mathbf{n} \in \mathbb{Z}^3$  there exists an integral square matrix  $\mathbf{M} = [\mu_{ij}]$  of order 3 and a vector  $\mathbf{v} \in \mathbb{Z}^3$  such that (3) holds and either  $JF(x^{n_1}, x^{n_2}, x^{n_3})$  is reciprocal or  $KF(x^{n_1}, x^{n_2}, x^{n_3})$  is irreducible or

(160) 
$$KF(\prod_{i=1}^{3}y_{i}^{\mu_{i1}},\prod_{i=1}^{3}y_{i}^{\mu_{i2}},\prod_{i=1}^{3}y_{i}^{\mu_{i3}})=\prod_{i=1}^{2}G_{i}(y_{1},y_{2},y_{3}),$$

 $G_i \in \mathbb{Z}[y_1, y_2, y_3]$ 

and

(161) 
$$KG_i(x^{v_1}, x^{v_2}, x^{v_3}) \notin \mathbb{Z}$$
  $(i = 1, 2),$ 

or there exists a vector  $\gamma \in \mathbb{Z}^3$  such that  $\gamma n = 0$  and

$$0 < h(\gamma) \leqslant c_{55}(F).$$

Proof. If JF is reciprocal then  $JF(x^{n_1}, x^{n_2}, x^{n_3})$  is reciprocal and the lemma holds with M equal to the identity matrix, v = n. If JF is not reciprocal and KF is irreducible Theorem 3 applies to the polynomial  $F_0 = KF$ . By virtue

of that theorem there exist a matrix M and a vector v satisfying (3), and such that either (4<sub>1</sub>) with F replaced by  $F_0$  implies (4<sub>2</sub>), or there exists a  $\gamma_1 \in \mathbb{Z}^3$  such that  $\gamma_1 n = 0$  and

$$(162) 0 < h(\gamma_1) \leqslant c_3(KF).$$

In the former case if on the right-hand side of  $(4_1)$  we have just one factor (s = 1) then by  $(4_2)$   $KF(x^{n_1}, x^{n_2}, x^{n_3})$  is irreducible, hence the lemma holds. If on the right-hand side of  $(4_2)$  we have  $s \ge 2$  factors then for a suitable choice of  $G_1$ ,  $G_2$  we have (160) and (161) unless for a  $\sigma \le s$ 

(163) 
$$KF_{\sigma}(x^{v_1}, x^{v_2}, x^{v_3}) \in \mathbb{Z}$$

However by Lemma 18 (163) implies the existence of a vector  $\gamma_0 \in \mathbb{Z}^3$  such that  $\gamma_0 v = 0$  and  $0 < h(\gamma_0) \le c_{54}(F_{\sigma})$ . Since, by (3<sub>1</sub>) M is taken from a finite set depending only on F and to each M there correspond only finitely many primitive  $F_{\sigma} \in \mathbb{Z}[x_1, x_2, x_3]$  (it suffices to consider only these), we obtain

$$0 < h(\gamma_0) \le c_{56}(F)$$
.

Taking  $\gamma_2 = \gamma_0 M^a$ , where  $M^a$  is the matrix adjoint to M, we obtain from  $(3_2)$   $\gamma_2 n = 0$  and from  $(3_1)$ 

$$(164) \ 0 < h(\gamma) \le 3h(\gamma_0)h(M^a) \le 6h(\gamma_0)h(M)^2 \le 6c_{56}(F)\exp 27 \cdot 2^{\|F\|-4} = c_{57}(F).$$

If JF is not reciprocal and KF is reducible we take M equal to the identity matrix, v = n. We have

$$KF = G_1 G_2$$

Where

$$G_i \in \mathbb{Z}[y_1, y_2, y_3] \setminus \mathbb{Z}$$
  $(i = 1, 2).$ 

If  $KG_i(x^{n_1}, x^{n_2}, x^{n_3}) \notin \mathbb{Z}$  (i = 1, 2) (160) and (161) hold. Otherwise, for an  $i \leq 2$ 

$$KG_i(x^{n_1}, x^{n_2}, x^{n_3}) \in \mathbf{Z}.$$

By Lemma 18 there exists a vector  $\gamma_3 \in \mathbb{Z}^3$  such that  $\gamma_3 n = 0$  and

$$(165) 0 < h(\gamma_3) \leq \max_{G|KF} c_{54}(G),$$

where the maximum is taken over all primitive polynomials  $G \in \mathbb{Z}[y_1, y_2, y_3] \setminus \mathbb{Z}$  dividing KF. Therefore, by (162), (164) and (165) the lemma holds with  $c_{55}(F) = 0$  if JF is reciprocal,  $c_{55}(F) = \max\{c_3(KF), c_{57}(F), \max_{G|KF} c_{54}(G)\}$ , otherwise.

LEMMA 20. An analogue of Theorem 4 holds for polynomials  $F \in \mathbb{Z}[x_1, x_2]$  with  $c_4(r, F)$  replaced by a suitable  $c_{58}(r, F) \ge 1$  (r = 1, 2).

Proof. The analogue of the condition for reducibility given in Theorem 4 is clearly sufficient. We proceed to prove that it is necessary assuming that

 $KF(x^{n_1}, x^{n_2})$  is reducible; the value of  $c_{58}(r, F)$  will be given later. If KF = LF then by virtue of Theorem 3 of [9] there exist an integral matrix  $N = [v_{ij}]_{\substack{i \le 2 \\ j \le r}}$  of rank  $r \le 2$  and a vector  $\mathbf{v} = [v_1, v_r] \in \mathbf{Z}^r$  such that

$$h(N) \leqslant c_{59}(r, F), \quad n = nv$$

and

$$KF(\prod_{i=1}^r y_i^{\mathbf{v}_{i1}}, \prod_{i=1}^r y_i^{\mathbf{v}_{i2}}) \stackrel{\mathsf{can}}{=} \mathsf{const} \prod_{\sigma=1}^s F_{\sigma}(y_1, y_r)^{e_{\sigma}}$$

implies

$$KF(x^{n_1}, x^{n_2}) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^{s} KF_{\sigma}(x^{\nu_1}, x^{\nu_r})^{e_{\sigma}}.$$

The matrix N and the vector v have the required properties, provided

$$c_{58}(r,F) \geqslant c_{59}(r,F).$$

If  $KF \neq LF$ , and KF is irreducible, then KF is reciprocal, JF is reciprocal and  $JF(x^{n_1}, x^{n_2})$  is reciprocal, contrary to the assumption. If  $KF \neq LF$  and KF is reducible we have

$$KF = G_1 G_2, G_i \in \mathbb{Z}[y_1, y_2] \setminus \mathbb{Z} \quad (i = 1, 2).$$

If  $KG_i(x^{n_1}, x^{n_2}) \notin \mathbb{Z}$  for i = 1, 2 the lemma holds with N equal to the identity matrix, v = n. Otherwise, for an  $i \leq 2$ 

$$KG_i(x^{n_1}, x^{n_2}) = 1.$$

By Lemma 18 there exists a vector  $\gamma \in \mathbb{Z}^2$  such that  $\gamma n = 0$  and

(166) 
$$0 < h(\gamma) \leqslant \max_{G \mid KF} c_{54}(G) = c_{60}(F),$$

where the maximum is taken over all primitive polynomials  $G \in \mathbb{Z}[y_1, y_2] \setminus \mathbb{Z}$  dividing KF.

Let  $\gamma = [\gamma_1, \gamma_2]$ , where we assume without loss of generality that  $(\gamma_1, \gamma_2) = 1$ . We have then

$$n_1 = \gamma_2 n$$
,  $n_2 = -\gamma_1 n$ ,  $n \in \mathbb{Z}$ 

and taking

(167) 
$$F_0(x) = JF(x^{\gamma_2}, x^{-\gamma_1})$$

we find

$$KF(x^{n_1}, x^{n_2}) = KF_0(x^n).$$

Now, by Theorem 1 of [9] if  $KF_0(x^n)$  is reducible there exists a positive integer  $v \le c_{61}(F_0)$  such that v|n and  $KF_0(x^v)$  is reducible. In this case we take r = 1,

$$N = [\gamma_2 \nu, -\gamma_1 \nu], \quad v = [n/\nu]$$

and find

$$h(N) \leq h(\gamma)v \leq c_{60}(F)c_{61}(F_0).$$

However  $F_0$  is uniquely determined by F and  $\gamma$  via (167) and by virtue of (166)  $\gamma$  runs through a finite set of vectors depending only on F. Hence

$$c_{61}(F_0) \leq c_{62}(F)$$

and the matrix N has the required properties, provided

$$c_{58}(1, F) \ge c_{60}(F)c_{62}(F)$$
.

Therefore, it suffices to take  $c_{58}(2, F) = c_{59}(2, F)$ , if KF = LF, 1 otherwise;

$$c_{58}(1,F) = \begin{cases} c_{59}(1,F) & \text{if } KF = LF, \\ c_{60}(F)c_{62}(F) & \text{otherwise.} \end{cases}$$

Proof of Theorem 4. The condition for reducibility given in Theorem 4 is clearly sufficient. We proceed to prove that it is necessary assuming that  $KF(x^{n_1}, x^{n_2}, x^{n_3})$  is reducible; the value of  $c_4(r, F)$  will be given later.

If the matrix M and the vector v appearing in Lemma 19 have the Properties (160) and (161), we take N = M, r = 3,  $c_A(3, F) = \exp 27 \cdot 2^{||F|| - 5}$ .

Otherwise by the lemma in question there exists a vector  $\gamma \in \mathbb{Z}^3$  such that  $\gamma n = 0$  and  $0 < h(\gamma) \le c_{55}(F)$ .

Let  $\Lambda$  be the lattice consisting of all vectors  $x \in \mathbb{Z}^3$  such that xy = 0. We have  $[\gamma_2, -\gamma_1, 0]$ ,  $[\gamma_3, 0, -\gamma_1]$ ,  $[0, \gamma_3, -\psi_2] \in \Lambda$  and two among these vectors are linearly independent, hence by Lemma 6 of [9] there exists a basis  $b_1$ ,  $b_2$  of  $\Lambda$  such that

(168) 
$$h(b_i) \leq ih(y) \leq 2c_{55}(F) \quad (i = 1, 2).$$

Let us put

(169) 
$$F_1 = JF(x_1^{b_{11}} x_2^{b_{21}}, x_1^{b_{12}} x_2^{b_{22}}, x_1^{b_{13}} x_2^{b_{23}}), \quad \mathbf{B} = \begin{bmatrix} \mathbf{b}_1 \\ \mathbf{b}_2 \end{bmatrix}.$$

Since  $n \in \Lambda$  we have n = mB for an  $m \in \mathbb{Z}^2$ . Clearly

$$JF(x^{n_1}, x^{n_2}, x^{n_3}) = JF_1(x^{m_1}, x^{m_2}),$$

thus, by the assumption,  $KF_1(x^{m_1}, x^{m_2})$  is reducible. Applying Lemma 20 we infer the existence of an integral matrix  $N' = [v'_{ij}]_{i \leq 2}$  of rank  $r \leq 2$  and of a vector  $v = [v_1, v_r] \in Z^r$  such that

(170) 
$$h(N') \leq c_{58}(r, F_1),$$

$$(171) m = vN',$$

$$KF_1(\prod_{i=1}^r y_i^{v'_{i1}}, \prod_{i=1}^r y_i^{v'_{i2}}) = G_1G_2, \quad G_2 \in \mathbb{Z}[y_1, y_r] \quad (i = 1, 2)$$

and

$$KG_i(x^{v_1}, x^{v_r}) \notin \mathbb{Z}$$
  $(i = 1, 2).$ 

Let us take N = N'B. It follows from (169) that

$$JF_1(\prod_{i=1}^r y_{i'1}^{\mathbf{y}_{i'1}}, \prod_{i=1}^r y_{i'2}^{\mathbf{y}_{i'2}}) = JF(\prod_{i=1}^r y_{i'}^{\mathbf{y}_{i'1}}, \prod_{i=1}^r y_{i'2}^{\mathbf{y}_{i'2}}, \prod_{i=1}^r y_{i'}^{\mathbf{y}_{i'3}})$$

and from (171) that

$$n = vN$$
,

moreover, since **B** is of rank 2, **N** is of rank r. Thus **N** and v have all properties required in the theorem apart from the inequality for h(N) and it remains to establish that by an appropriate choice of  $c_4(r, F)$ .

We have by (168) and (170)

$$h(N) \leq 2h(N')h(B) \leq 4c_{55}(F)c_{58}(r, F_1)$$

However,  $F_1$  is determined by F and B via (169) and by virtue of (168) B runs through a finite set of matrices depending only on F. Hence

$$c_{58}(r, F_1) \le c_{63}(r, F) \quad (r = 1, 2)$$

and the theorem holds with

$$c_4(3, F) = \exp 27 \cdot 2^{\|F\| - 5},$$
  
 $c_4(r, F) = 4c_{35}(F)c_{63}(r, F) \qquad (r = 1, 2).$ 

## 5. Proofs of Theorems 5 and 6.

Proof of Theorem 5. Let S(F) be the set of all vectors  $n \in \mathbb{Z}^k$  such that either

$$(KF(x^{n_1}, ..., x^{n_k}), KF(x^{-n_1}, ..., x^{-n_k})) \neq 1$$

or there exists a vector  $\gamma \in \mathbf{Z}^k$  satisfying  $\gamma n = 0$  and

$$0 < h(\gamma) < \exp_{2k-4}(7k|F|^{*\|F\|-1}\log\|F\|),$$

where  $|F|^* = \sqrt{\max(2,|F|)^2 + 2}$ .

Let T(F) be the set of all vectors  $n \in \mathbb{Z}^k$  for which the second part of the above alternative holds and put

$$P = F(x_1, ..., x_k), \quad Q = JF(x_1^{-1}, ..., x_k^{-1}).$$

In the notation used in the proof of Lemma 15

$$S(F) = \bigcup_{N=1}^{\infty} S(P, Q; N) \cup T(F),$$

hence, denoting by [-N, N] the closed interval

$$\operatorname{card}(S(F) \cap [-N, N]^k) \leq \operatorname{card} S(P, Q; N) + \operatorname{card}(T(F) \cap [-N, N]^k).$$

By Lemma 15 we have

card 
$$S(P, Q; N) \le c_2(P, Q)N^{k-\frac{\min(k,6)}{2(k-1)}} \frac{(\log N)^{10}}{(\log \log N)^9}$$

where for k < 6 the logarithmic factors can be omitted.

Counting the elements of T(F) in the same way as elements of  $S_1(N)$  in the proof of Lemma 15 we obtain

$$\operatorname{card}\left(T(F)\cap[-N,N]^{k}\right)\leqslant c_{56}(F)N^{k-1},$$

thus (i) follows.

If  $n \in \mathbb{Z}^k \setminus S(F)$  then by Lemma 12 of [9] there exist an integral square matrix  $M = [\mu_{ij}]$  of order k and a vector  $v \in \mathbb{Z}^k$  satisfying (5) and such that

(172) 
$$LF\left(\prod_{i=1}^{k} y_i^{\mu_{i1}}, \ldots, \prod_{i=1}^{k} y_i^{\mu_{ik}}\right) \stackrel{\text{can}}{=} \operatorname{const} \prod_{\sigma=1}^{s} F_{\sigma}(y_1, \ldots, y_k)^{e_{\sigma}}$$

implies

$$LF(x^{n_1}, \ldots, x^{n_k}) \stackrel{\text{can}}{=} \text{const} \prod_{\sigma=1}^s F_{\sigma}(x^{\nu_1}, \ldots, x^{\nu_k})^{e_{\sigma}}.$$

However, by Lemma 17 the left-hand side of  $(6_1)$  coincides with the left-hand side of (172) and  $e_{\sigma} = 1$  for all  $\sigma \leq s$ , hence  $(6_1)$  implies  $(6_2)$  provided  $KF(x^{n_1}, \ldots, x^{n_k}) = LF(x^{n_1}, \ldots, x^{n_k})$ . Otherwise we have

$$(KF(x^{n_1},...,x^{n_k}), KF(x^{-n_1},...,x^{-n_k})) \neq 1;$$

thus  $n \in S(F)$ . The obtained contradiction proves (ii).

Proof of Theorem 6. Without loss of generality we may assume that  $F_i \in \mathbb{Z}[x_1, \ldots, x_k]$   $(1 \le i \le h)$ . By the assumption about S for  $\varepsilon = \frac{\min\{k, 6\}}{3k(k-1)}$  there exists a number  $c_{64}(k, S) > 0$  and infinitely many integers N such that  $S(N) > c_{64}(k, S)N^{1-\varepsilon}$ .

Therefore, the number of vectors  $n \in S^k$  with  $h(n) \leq N$  exceeds

$$(c_{64}(k, S)N^{1-\varepsilon})^k > c_{64}(k, S)^k N^{(1-\varepsilon)k}$$

The number of vectors  $n \in \mathbb{Z}^k \cap [-N, N]^k$  such that  $n \in S(F_g)$  is by Theorem 5 less than

$$c_5(F_g)N^{k-\frac{\min\{k,6\}}{2(k-1)}}\frac{(\log N)^{10}}{(\log\log N)^9},$$

hence the number of vectors  $n \in \mathbb{Z}^k \cap [-N, N]^k$  such that  $n \in \bigcup_{i=1}^h S(F_g)$  is

$$O\left(N^{k-\frac{\min(k.6)}{2(k-1)}}\frac{(\log N)^{10}}{(\log\log N)^9}\right).$$

However

$$\varepsilon k < \frac{\min\{k, 6\}}{2(k-1)},$$

hence for infinitely many integers N there exist more than  $c_{65}(k, S)^{k-\epsilon k} > 0$ vectors  $n \in \mathbb{Z}^k \cap [-N, N]^k \setminus \bigcup_{g=1}^k S(F_g)$ . By virtue of Theorem 5 for all these vectors and all  $g \leq h$  the number  $\Omega_g$  of irreducible factors of  $KF_g(x^{n_1}, ..., x^{n_k})$  equals the number of irreducible factors of

(173) 
$$JF_g(\prod_{i=1}^k y_i^{\mu_{i1}}, \ldots, \prod_{i=1}^k y_i^{\mu_{ik}})$$

for a suitable non-singular matrix  $M_g = [\mu_{ij}]_{i,j \le k}$  depending upon g.

If for some  $g \le h$  the polynomial (173) were reducible we would obtain by the substitution

$$y_i = \prod_{j=1}^k x_j^{\mu'_{ij}}, \quad [\mu'_{ij}] = |\det M_g|M_g^{-1}$$

the reducibility of

$$F_g(x_1^{|\det M_g|}, \ldots, x_k^{|\det M_g|}),$$

contrary to the assumption. Hence  $\Omega_g = 1$  for all  $g \leq h$  and the theorem follows.

**6. Examples and comments.** We shall give an example, announced in the introduction, of a polynomial  $F \in \mathbb{Z}[x_1, x_2]$  which is non-reciprocal and irreducible, but  $KF(x^{n_1}, x^{n_2})$  is reducible for all positive integers  $n_1, n_2$ . Take

$$F(x_1, x_2) = x_1^2 + x_2^2 - 2x_1x_2 - 2a^2x_1 - 2a^2x_2 + a^4,$$

where a is an integer  $\ge 3$ . F is not reciprocal and the only conceivable factorization of F over Q into two factors of positive degree would be

$$F(x_1, x_2) = (b(x_1 - x_2) + c)(b^{-1}(x_1 - x_2) + a^4c^{-1}), \quad b, c \in \mathbb{Q},$$

whence it would follow  $F(x, x) = a^4$ , while  $F(x, x) = -4a^2x + a^4$ . Thus F is irreducible. On the other hand, if  $n_i = 2m_i$  (i = 1 or 2) we have

$$F(x^{n_1}, x^{n_2}) = (x^{n_i} - x^{n_3 - i} + a^2 + 2ax^{m_i})(x^{n_i} - x^{n_3 - i} + a^2 - 2ax^{m_i}),$$

if  $n_i = 2m_i + 1$  (i = 1, 2)

$$F(x^{n_1}, x^{n_2}) = (x^{n_1} + x^{n_2} - a^2 + 2x^{m_1 + m_2 + 1})(x^{n_1} + x^{n_2} - a^2 - 2x^{m_1 + m_2 + 1}).$$

The factors on the right-hand side have no root of unity as a zero, since  $a^2 > 2a + 2$ , thus  $KF(x^{n_1}, x^{n_2})$  is reducible for all positive integers  $n_1, n_2$ .

In the special case of Theorem 6, where  $F_g = \alpha_{g0} + \sum_{j=1}^{n} \alpha_{gj} x_j$  (k > 1) it has been possible in [12] to extend the result to the situation, where  $\alpha_{g0} \in Q(\alpha_{g1}/\alpha_{g0}, \ldots, \alpha_{gk}/\alpha_{g0}) = K_g$  and the irreducibility of  $KF_g(x^{n_1}, \ldots, x^{n_k})$  is asserted over  $K_g$ .

In general such extension is possible with a suitable modification of the notion of a reciprocal polynomial (cf. [13]) if, for all d,  $F_g(x_1^d, \ldots, x_k^d)$  is irreducible over the normal closure over Q of the field  $K_g$ , generated by the ratios of the coefficients of  $F_g$ . In particular, if  $K_g$  is normal over Q and the notion of a reciprocal polynomial is suitably redefined the theorem as it stands

extends to the reducibility over  $K_g$ . Indeed, then the norm  $N_{K_g/Q}F_g(x_1^d, \ldots, x_k^d)$  is for all d irreducible over Q and to obtain the irreducibility of  $KF_g(x^{n_1}, \ldots, x^{n_k})$  over  $K_g$  it suffices to apply Theorem 6 to  $N_{K_g/Q}F_g$ , provided this polynomial is not reciprocal in the usual sense  $(1 \le g \le h)$ .

However if  $K_1$  is not a normal extension of Q, the polynomial  $N_{K_1/Q}$   $F_1$  is not necessarily irreducible, it is up to a constant factor a power of an irreducible polynomial  $F_0$ . It could seem that, if  $F_0(x_1^d, \ldots, x_k^d)$  is irreducible for all positive integers d and non-reciprocal, then by choosing  $n_1, \ldots, n_k$  so that  $KF_0(x_1^{n_1}, \ldots, x_k^{n_k})$  is irreducible over Q we may achieve the irreducibility of  $KF_1(x_1^{n_1}, \ldots, x_k^{n_k})$  over  $K_1$ . This is, however, not the case, even for k = 1. Take

$$F_1 = x^2 - 3\sqrt[3]{p^2} x + 9\sqrt[3]{p^4}$$
, where p is a prime.

We have  $K_1 = Q(\sqrt[3]{p})$ ,

$$N_{K_1/Q}F_1 = (x^3 - 27p^2)^2 = F_0^2$$

The polynomial  $F_0(x^d) = KF_0(x^d)$  is irreducible for every positive integer d, but

$$F_1(x^2) = x^4 - 3\sqrt[3]{p^2}x^2 + 9\sqrt[3]{p^4} = (x^2 - 3\sqrt[3]{p}x + 3\sqrt[3]{p^2})(x^2 + 3\sqrt[3]{p}x + 3\sqrt[3]{p^2})$$

and, since the factors on the right-hand side have no root of unity as a zero,  $KF_1(x^2)$  is reducible over  $K_1$ .

It is possible to extend Theorem 6 in a different manner, replacing the rational field by any totally real field or any totally complex quadratic extension of a totally real field, or by a purely transcendental extension of one of such fields. However, these generalizations are not automatical and we postpone them to a later work.

Note concerning the paper [11]. The following two corrections are needed

1. p. 316. The argument given to show that  $D(\xi^{v_0}) = 0$  is impossible works only if  $v_0 \neq 0$ . If  $v_0 = 0$  we have

$$u_0 = \pm 1$$
,  $h(p) = h(n) = n_k \ge k > 1$ .

Hence, by (9)  $k \ge 3$  and (5) holds with  $\{g, h, i, j\} = \{0, 1, 2, 3\}$ . 2. p. 329. The formula (47) should read

$$T \subset \bigcup_{d=1}^{N/2} \bigcup_{n=1}^{7} dS_n \left(\frac{N}{d}\right),$$

where for a set  $S: dS = \{dx: x \in S\}$ .

Note added in proof. Theorem 1 admits the following extension.

THEOREM 7. Let K be any field and V an algebraic variety of dimension  $\leq 1$  in the affine space  $A_k(K)$ . For  $k \geq 3$  there exists a number c(V) with the following property. If  $n \in \mathbb{Z}^k$ ,  $\xi \in K^*, (\xi^{n_1}, \ldots, \xi^{n_k}) \in V$  then either  $\xi^q = 1$  for a suitable integer q > 0 or there exist an integral matrix M of size  $2 \times k$ , rank 2 and a vector  $v \in \mathbb{Z}^2$  such that

$$h(M) \leq c(V)$$
 and  $n = vM$ .

Proof by induction on k. For k = 3 the theorem follows from Theorem 1 and Lemma 12. Indeed if  $\xi$  is not a root of unity we take in the latter for S the set of polynomials defining

V and infer the existence of a vector  $y \in \mathbb{Z}^3$  such that

$$0 < h(y) < c_{39}(S)$$
 and  $yn = 0$ .

The lattice  $\Lambda$  of vectors perpendicular to  $\gamma$  has a basis  $m_1$ ,  $m_2$  satisfying

$$h(m_i) \leq ih(\gamma) \leq 2c_{39}(S)$$

(cf. the proof of (168)). Since  $n \in \Lambda$  we have  $n = v_1 m_1 + v_2 m_2, v_i \in \mathbb{Z}$ .

We take

$$c(V) = 2c_{39}(S), \quad M = \begin{bmatrix} m_1 \\ m_2 \end{bmatrix}, \quad v = [v_1, v_2],$$

Assume now that k > 3, the theorem holds for all algebraic varieties of dimension  $\leq 1$  in  $A_{k-1}(K)$  and consider such a variety V in  $A_k(K)$  and  $\xi \in K^*$  different from roots of unity such that

(174) 
$$(\xi^{n_1}, \xi^{n_2}, ..., \xi^{n_k}) \in V.$$

The projection of V on the hyperplane  $x_k = 0$  is contained in an algebraic variety  $V' \subset A_{k-1}(K)$ , where dim  $V' \leq 1$ . We have  $(\xi^{n_1}, \ldots, \xi^{n_{k-1}}) \in V'$ , hence by the inductive assumption there exist an integral matrix

(175) 
$$M' = [m'_{ij}]_{\substack{i \le 2 \\ j \le k-1}}$$
 of rank 2

and a vector  $v' \in \mathbb{Z}^2$  such that

$$h(M') \leqslant c(V'),$$

(177) 
$$n' = [n_1, \ldots, n_{k-1}] = v'M'.$$

Since the vectors  $[m'_1, 0], [m'_2, 0], [0, 1]$  are linearly independent the variety V'' obtained from V by the substitution

(178) 
$$x_i = x^{m_{1i}} y^{m_{2i}} (1 \le i < k), x_k = z$$

satisfies

$$\dim V'' \leq 1$$
.

By (174) and (177), (178) we have  $(\xi^{v_1}, \xi^{v_2}, \xi^{w_3}) \in V''$  and by the already proved case k = 3 of the theorem there exist an integral matrix M'' of size  $2 \times 3$ , rank 2 and a vector  $v'' \in \mathbb{Z}^2$  such that

$$h(M'') \leq c(V''),$$

(180) 
$$[v'_1, v'_2, n_1] = v''M''.$$

Let us take

$$M=M''\begin{bmatrix}M'&0\\0&1\end{bmatrix}, \quad v=v''.$$

It follows from (176) and (179) that

$$h(M) \leq 2c(V')c(V'')$$

and from (177) and (180) that n = vM. Moreover, since M' and M'' are of rank 2, M is of rank 2. To complete the inductive proof it suffices to take  $c(V) = \inf 2c(V') \max c(V'')$ , where infimum is taken over all varieties V' containing the projection of V on  $x_k = 0$  and the maximum is taken over all varieties V'' obtained from V by means of a substitution (178) satisfying (175) and (176).

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