

Using (2) for $i = k-1$ and $i = k$, this gives

$$\tilde{\gamma} - \sum_{j=1}^{k-3} \tilde{\beta}_j = \gamma_k (\gamma_{k-1} - \sum_{j=1}^{k-3} \beta_j^{(k-1)}) - \sum_{j=1}^{k-2} \beta_j^{(k)} \geq \gamma_k - \sum_{j=1}^{k-2} \beta_j^{(k)} > 0,$$

in analogy with (2). However, we do not know if (8) corresponds to the form (1) for the basis (7), where we now need

$$(9) \quad a_k = \underbrace{\gamma a_{k-2} - \sum_{j=1}^{k-3} \beta_j a_j}_{\text{regular by } A_{k-3}}, \quad \gamma = \left\langle \frac{a_k}{a_{k-2}} \right\rangle.$$

Equating the two expressions for a_k , we get

$$\tilde{\gamma} a_{k-2} + \sum_{j=1}^{k-3} \beta_j a_j = \gamma a_{k-2} + \sum_{j=1}^{k-3} \tilde{\beta}_j a_j.$$

The left-hand side is a regular representation by the pleasant basis A_{k-2} , and thus has a minimal coefficient sum:

$$\begin{aligned} \tilde{\gamma} + \sum_{j=1}^{k-3} \beta_j &\leq \gamma + \sum_{j=1}^{k-3} \tilde{\beta}_j, \\ \gamma - \sum_{j=1}^{k-3} \beta_j &\geq \tilde{\gamma} - \sum_{j=1}^{k-3} \tilde{\beta}_j > 0. \end{aligned}$$

This shows that (2) is satisfied for the form (9). Since A_{k-2} is pleasant, so is also the basis (7), and the Theorem is proved.

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A simple construction of minimal asymptotic bases

by

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1. Introduction. Let N be the set of all nonnegative integers. A subset A of N is called an *asymptotic basis of order h* if every sufficiently large integer can be represented as a sum of h not necessarily distinct elements in A . An asymptotic basis A of order h is called *minimal* if no proper subset of A is an asymptotic basis of order h . Stöhr [4] introduced this concept of minimality. Härtter [1] showed by a nonconstructive argument that there exist minimal asymptotic bases. Nathanson [2] constructed the first nontrivial examples of minimal asymptotic bases of order $h \geq 2$. In this paper we give a simple and explicit construction of minimal asymptotic bases of order h for every $h \geq 2$. In particular, it is proved that if $h \geq 2$ and $1/h \leq \alpha < 1$, then there exists a minimal asymptotic basis of order h whose counting function has order of magnitude x^α .

2. Results. Let W be a subset of N . Denote by $\mathcal{F}^*(W)$ the set of all finite, nonempty subsets of W . Let $A(W)$ be the set of all numbers of the form $\sum_{f \in F} 2^f$, where $F \in \mathcal{F}^*(W)$. Note that $\emptyset \notin \mathcal{F}^*(W)$, hence $0 \notin A(W)$. For any real number x , let $[x]$ denote the greatest integer n such that $n \leq x$, and $\langle x \rangle$ the least integer n such that $n \geq x$. If A is a subset of N , let hA denote the set of all sums of h elements of A . Let $A(x)$ denote the counting function of A .

THEOREM 1. *Let $h \geq 2$, and let $t = \langle \log(h+1)/\log 2 \rangle$. Partition N into h pairwise disjoint subsets W_0, \dots, W_{h-1} such that each set W_r contains infinitely many intervals of t consecutive integers. Then*

$$A = A(W_0) \cup \dots \cup A(W_{h-1})$$

is a minimal asymptotic basis of order h .

The proof uses the following two lemmas of Nathanson [3].

LEMMA 1. (a) *If W_1 and W_2 are disjoint subsets of N , then $A(W_1) \cap A(W_2) = \emptyset$.*

(b) If $W \subseteq \mathbb{N}$ and $W(x) = \alpha x + O(1)$ for some $\alpha \in (0, 1]$, then there exist positive constants c_1 and c_2 such that

$$c_1 x^\alpha < A(W)(x) < c_2 x^\alpha$$

for all x sufficiently large.

(c) Let $N = W_0 \cup W_1 \cup \dots \cup W_{h-1}$ be a partition, where $W_r \neq \emptyset$ for $r = 0, 1, \dots, h-1$. Then

$$A = A(W_0) \cup A(W_1) \cup \dots \cup A(W_{h-1})$$

is an asymptotic basis of order h . Indeed, $hA = \{n \in \mathbb{N} : n \geq h\}$ and $h(A \cup \{0\}) = \mathbb{N}$.

LEMMA 2. Let w_1, \dots, w_s be s distinct nonnegative integers. If

$$\sum_{i=1}^s 2^{w_i} = \sum_{j=1}^t 2^{x_j},$$

where x_1, \dots, x_t are nonnegative integers that are not necessarily distinct, then there is a partition of $\{1, 2, \dots, t\}$ into s nonempty sets J_1, \dots, J_s such that

$$2^{w_i} = \sum_{j \in J_i} 2^{x_j}$$

for $i = 1, 2, \dots, s$.

Proof of Theorem 1. By Lemma 1, the set A is an asymptotic basis of order h . We must show that A is minimal.

Let $a \in A$. Then $a \in A(W_r)$ for some r . Without loss of generality, we can assume that $a \in A(W_0)$. Then there is a finite, nonempty subset $F \subseteq W_0$ such that

$$a = \sum_{i \in F} 2^i.$$

Let M denote the largest element of F .

Let $a_0 = a$. We shall construct positive integers a_r for $r = 1, 2, \dots, h-1$. Choose $m(r) \in W_r$ such that $m(r) > M$ and the t consecutive integers $m(r), m(r)+1, \dots, m(r)+t-1$ belong to W_r . Let F_r be any subset of $(M, m(r)) \cap W_r$. Define a_r by

$$(1) \quad a_r = \sum_{\substack{i \in W_r \\ i < M}} 2^i + \sum_{i \in F_r} 2^i + \sum_{i=m(r)}^{m(r)+t-1} 2^i.$$

Then $a_r \in A(W_r)$ and

$$2^{m(r)} \leq a_r < 2^{m(r)+t}.$$

Let $n = a_0 + \dots + a_{h-1}$. We shall show that this is the unique representation of n as a sum of h elements of A .

Suppose $n = b_0 + \dots + b_{h-1}$, where $b_r \in A$ for $r = 0, \dots, h-1$. Then $b_r \in A(W_{k(r)})$ for some $k(r) \in [0, h-1]$. Suppose there exists $s \in \{1, 2, \dots, h-1\}$ such that $b_r \notin A(W_s)$ for $r = 0, 1, \dots, h-1$. By Lemma 2 there are subsets $U_r \subseteq W_{k(r)}$ such that

$$\sum_{i=m(s)}^{m(s)+t-1} 2^i = \sum_{r=0}^{h-1} \sum_{i \in U_r} 2^i.$$

Clearly, each i in U_r is less than $m(s)$. It follows from the definition of t that

$$\begin{aligned} 2^{m(s)}(2^t - 1) &= \sum_{i=m(s)}^{m(s)+t-1} 2^i = \sum_{r=0}^{h-1} \sum_{\substack{i \in U_r \\ i < m(s)}} 2^i \\ &\leq h \sum_{i=0}^{m(s)-1} 2^i < h2^{m(s)} \leq 2^{m(s)}(2^t - 1), \end{aligned}$$

which is impossible. Therefore, after suitable renumbering, $b_r \in A(W_r)$ for $r = 1, 2, \dots, h-1$.

Next we show that $b_0 \in A(W_0)$. Suppose $b_0 \notin A(W_0)$. We may assume without loss of generality that $b_0 \in A(W_1)$. Since $b_r \in A(W_r)$, it follows from Lemma 2 that there exist $V_0 \subseteq W_1$ and $V_r \subseteq W_r$ for $r = 1, 2, \dots, h-1$ such that

$$(2) \quad \sum_{r=0}^{h-1} \sum_{i \in V_r} 2^i = a_0 + \sum_{r=1}^{h-1} \sum_{\substack{i \in W_r \\ i < M}} 2^i.$$

Since $i < M$ for all $i \in \bigcup_{r=0}^{h-1} V_r$, it follows that

$$\begin{aligned} \sum_{r=0}^{h-1} \sum_{i \in V_r} 2^i &= \sum_{i \in V_0} 2^i + \sum_{r=1}^{h-1} \sum_{i \in V_r} 2^i \\ &\leq \sum_{i \in V_0} 2^i + \sum_{r=1}^{h-1} \sum_{\substack{i \in W_r \\ i < M}} 2^i < 2^M + \sum_{r=1}^{h-1} \sum_{\substack{i \in W_r \\ i < M}} 2^i \leq a_0 + \sum_{r=1}^{h-1} \sum_{\substack{i \in W_r \\ i < M}} 2^i, \end{aligned}$$

which contradicts (2). Hence, $b_0 \in A(W_0)$. Since the representation of an integer as a sum of distinct powers of 2 is unique, it follows that $a_r = b_r$ for $r = 0, 1, \dots, h-1$. In particular, $b_0 = a$. This completes the proof.

COROLLARY 1. Let $N = W_0 \cup W_1$ be a partition such that each W_i contains infinitely many pairs of consecutive integers. Then $A = A(W_0) \cup A(W_1)$ is a minimal asymptotic basis of order 2.

COROLLARY 2. Let $N = W_0 \cup W_1 \cup W_2$ be a partition such that each W_i contains infinitely many pairs of consecutive integers. Then $A = A(W_0) \cup A(W_1) \cup A(W_2)$ is a minimal asymptotic basis of order 3.

These two corollaries are immediate consequences of Theorem 1 with $t = 2$.

LEMMA 3. Let $t \geq 2$ and $h \geq 2$. Let $\alpha_0, \dots, \alpha_{h-1}$ be positive real numbers such that $\alpha_0 + \dots + \alpha_{h-1} = 1$. Then there exists a partition of N in the form $N = W_0 \cup W_1 \cup \dots \cup W_{h-1}$ such that, for $r = 0, 1, \dots, h-1$,

- (i) $W_r(x) = \alpha_r x + O(1)$;
- (ii) W_r contains infinitely many intervals of t consecutive integers;
- (iii) In W_r , the gaps between successive intervals of length t are bounded.

Proof. For any integer $n \geq 1$, define $a_r(n)$ and R_n by

$$a_r(n) = [n\alpha_r] \quad \text{for } r = 0, 1, \dots, h-1,$$

$$R_n = \sum_{r=0}^{h-1} a_r(n).$$

Let $\{R_{n(k)}\}_{k=1}^{\infty}$ be a maximal strictly increasing subsequence of $\{R_n\}_{n=1}^{\infty}$. It follows from $\sum_{r=0}^{h-1} \alpha_r = 1$ and the definition of R_n that

$$(3) \quad n(k) < n(k+1) \leq n(k) + h,$$

$$(4) \quad R_{n(k)} < R_{n(k+1)} \leq R_{n(k)} + h,$$

$$(5) \quad R_{n(k)} \leq n(k) < R_{n(k)} + h,$$

$$d_r(k) = a_r(n(k+1)) - a_r(n(k)) = 0 \text{ or } 1.$$

Let $R_{n(k+1)} - R_{n(k)} = u$. Then there are u distinct integers $r_i \in \{0, 1, \dots, h-1\}$ such that

$$d_{r_1}(k) = \dots = d_{r_u}(k) = 1.$$

The remaining $h-u$ integers $r_i \in \{0, 1, \dots, h-1\}$ satisfy

$$d_{r_{u+1}}(k) = \dots = d_{r_h}(k) = 0.$$

Let $t \geq 2$. Define

$$W_{r_i, k} = [(R_{n(k)} + i - 1)t, (R_{n(k)} + i)t - 1] \quad \text{for } i = 1, 2, \dots, u;$$

$$W_{r_i, k} = \emptyset \quad \text{for } i = u+1, \dots, h.$$

For each $r = 0, 1, \dots, h-1$, we define

$$(6) \quad W_r = \bigcup_{k=1}^{\infty} W_{r, k}.$$

It is clear that $N = W_0 \cup \dots \cup W_{h-1}$, that $W_i \cap W_j = \emptyset$ for $i \neq j$, and that each W_r contains infinitely many intervals of length t . It follows from $\alpha_r > 0$ that (iii) holds.

Let $x \geq 1$. Suppose that

$$tR_{n(k)} \leq x < tR_{n(k+1)}.$$

Then, by (4) and (5), we have

$$|x - tn(k)| < th,$$

$$|x - tn(k+1)| < 2th.$$

Therefore, for each $r = 0, 1, \dots, h-1$,

$$W_r(x) \leq a_r(n(k+1))t = [n(k+1)\alpha_r]t$$

$$\leq tn(k+1)\alpha_r < x\alpha_r + 2th\alpha_r,$$

$$W_r(x) \geq a_r(n(k))t = [n(k)\alpha_r]t$$

$$> tn(k)\alpha_r - t > x\alpha_r - th\alpha_r - t,$$

and so $W_r(x) = \alpha_r x + O(1)$. This completes the proof.

THEOREM 2. For every α such that $1/h \leq \alpha < 1$, there is a minimal asymptotic basis A of order h such that

$$(7) \quad c_1 x^\alpha < A(x) < c_2 x^\alpha$$

for all sufficiently large x .

Proof. Let $\alpha_0 = \alpha$, and define $\alpha_r = (1-\alpha)/(h-1)$ for $r = 1, 2, \dots, h-1$. Then $\alpha_0 + \dots + \alpha_{h-1} = 1$ and $\alpha_0 \geq \alpha_r > 0$ for $r = 1, 2, \dots, h-1$. Let $t = \langle \log(h+1)/\log 2 \rangle$. By Lemma 3, there is a partition of N in the form $N = W_0 \cup \dots \cup W_{h-1}$ such that each set W_r contains infinitely many intervals of length t and

$$W_r(x) = \alpha_r x + O(1).$$

Theorem 1 implies that $A = A(W_0) \cup \dots \cup A(W_{h-1})$ is a minimal asymptotic basis of order h , and Lemma 1 implies that (7) holds for all sufficiently large x . This completes the proof.

THEOREM 3. Let $h \geq 2$ and let $t = \langle \log(h+1)/\log 2 \rangle$. Let $\alpha_0, \dots, \alpha_{h-1}$ be positive real numbers such that $\alpha_0 + \dots + \alpha_{h-1} = 1$. Let $N = W_0 \cup \dots \cup W_{h-1}$ be a partition satisfying conditions (i), (ii), and (iii) of Lemma 3. Let $A = A(W_0) \cup \dots \cup A(W_{h-1})$, and let $a \in A$. Define $E_a = hA \setminus \{a\}$. If $a \in A(W_r)$ and $\alpha = \alpha_r$, then

$$E_a(x) \gg x^{1-\alpha}.$$

Proof. Condition (iii) implies that there is an integer L such that in every interval $(y-L, y-1]$ there are t consecutive integers belonging to W_r for each $r = 0, 1, \dots, h-1$.

Let $a \in A$. Without loss of generality we can assume that $a \in A(W_0)$. We must show that $E_a(x) \gg x^{1-\alpha_0}$.

Let 2^M be the largest power of 2 that appears in the binary representa-

tion of a . Let x be a large positive number, and let $y = (\log x)/\log 2$. The interval $(y-L, y-1]$ contains integers $m(1), m(2), \dots, m(h-1)$ such that $m(r)+j \in (y-L, y-1] \cap W_r$ for $r = 1, 2, \dots, h-1$ and $j = 0, 1, \dots, t-1$. Let $F_r \subseteq (M, y-L] \cap W_r$. Define a_r by (1). Let $n = a + a_1 + \dots + a_{h-1}$. Then $n < 2^y = x$. The proof of Theorem 1 shows that $n \in hA \setminus h(A \setminus \{a\}) = E_a$, and that different choices of the $h-1$ sets F_1, \dots, F_{h-1} lead to different numbers n . Since there are $2^{W_r(y-L) - W_r(M)}$ choices of the set F_r , it follows that the number of n determined by F_1, \dots, F_{h-1} is

$$\begin{aligned} \prod_{r=1}^{h-1} 2^{W_r(y-L) - W_r(M)} &\geq 2^{-M} 2^{\sum_{r=1}^{h-1} W_r(y-L)} \\ &= 2^{-M} 2^{\sum_{r=1}^{h-1} (\alpha_r y + O(1))} \\ &\geq (2^y)^{\sum_{r=1}^{h-1} \alpha_r} = (2^y)^{1 - \alpha_0} = x^{1 - \alpha_0}. \end{aligned}$$

Therefore, $E_a(x) \geq x^{1 - \alpha_0}$. This completes the proof.

An asymptotic basis A of order h is called *strongly minimal* if $E_a(x) \geq (A(x))^{h-1}$ for each $a \in A$ and for all x sufficiently large.

COROLLARY 3. *Let A satisfy the conditions of Theorem 3. If $\alpha_r = 1/h$ for $r = 0, 1, \dots, h-1$, then A is a strongly minimal asymptotic basis of order h .*

Proof. Since $A(x) \ll x^{1/h}$, the result follows immediately from Theorem 3.

3. Open problems

1. For $h = 2$, find all partitions $N = W_0 \cup W_1$ such that $A = A(W_0) \cup A(W_1)$ is a minimal asymptotic basis of order 2. Nathanson [3] has constructed an example of a partition of N into two disjoint sets that does not produce a minimal asymptotic basis of order 2.

2. If $N = W_0 \cup W_1 \cup \dots \cup W_{h-1}$ is a partition such that $w \in W_r$ implies either $w-1 \in W_r$ or $w+1 \in W_r$, then is $A = A(W_0) \cup A(W_1) \cup \dots \cup A(W_{h-1})$ a minimal asymptotic basis of order h ?

3. It would be interesting to extend the results of this paper to asymptotic bases constructed from partitions of N by means of g -adic representations for $g \geq 3$.

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