

For  $1.5 \leq \kappa \leq 1.85$  we apply Theorem 3 with  $r = 3$  and  $n = 4$ . It is easily checked, that

$$P_5(z, 3, \kappa) > 0 \quad \text{for } z \geq 1.6\kappa - 1.41, 1.5 \leq \kappa < 1.85$$

and this completes the proof.

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(1669)

## Equidistribution of Frobenius classes and the volumes of tubes

by

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1. Let  $G$  be a compact Lie group that fits in an exact sequence

$$(1) \quad 1 \rightarrow \mathcal{T} \rightarrow G \xrightarrow{j} H \rightarrow 1,$$

where  $\mathcal{T}$  is an  $n$ -dimensional real torus and  $H$  is a finite group. Given a countable index set  $\mathcal{P}$  and a set of conjugacy classes  $\{\sigma_p \mid p \in \mathcal{P}\}$  in  $G$ , we are interested in the following equidistribution problem. Let

$$|\cdot|: \mathcal{P} \rightarrow \mathbf{R}_+$$

be a map satisfying the asymptotic formula (8) below and let  $\mathcal{A} \subseteq G$ . For each  $x$  in  $\mathbf{R}_+$ , let

$$\mathcal{N}(\mathcal{A}, x) = \text{card}\{p \in \mathcal{P}, \sigma_p \cap \mathcal{A} \neq \emptyset, |p| < x\}.$$

One studies the asymptotics of  $\mathcal{N}(\mathcal{A}, x)$  as  $x \rightarrow \infty$ . Without loss of generality we can assume that  $\mathcal{A}$  is invariant under conjugation, i.e.

$$(2) \quad \tau^{-1} \mathcal{A} \tau = \mathcal{A} \quad \text{for } \tau \in G,$$

so that

$$(3) \quad \mathcal{N}(\mathcal{A}, x) = \text{card}\{p \in \mathcal{P}, \sigma_p \subseteq \mathcal{A}, |p| \leq x\}.$$

The manifold  $G$  inherits the natural Riemannian structure from  $\mathcal{T}$ . Let  $\mu$  be the Haar measure on  $G$  normalized by the condition  $\mu(G) = 1$ , and suppose that  $\mathcal{A}$  satisfies the following condition:

$$(4) \quad \mu(\mathcal{U}_\delta(\partial\mathcal{A})) = O(C(\mathcal{A})\delta^\alpha) \quad \text{with } \alpha > 0,$$

where  $\partial\mathcal{A}$  denotes the boundary of  $\mathcal{A}$  and where  $\mathcal{U}_\delta(\mathcal{A})$  denotes the  $\delta$ -neighbourhood of  $\mathcal{A}$ , i.e. the subset

$$(5) \quad \{x \mid x \in G, \varrho(x, \mathcal{A}) < \delta\};$$

here  $\delta > 0$  and  $\varrho$  denotes the Riemannian metric on  $G$ . Consider now the set  $\hat{G}$  of all the simple characters of  $G$ ; let  $\psi$  be an irreducible representation of  $G$  and let

$$(6) \quad \psi|_{\mathcal{F}} = \text{diag}(\lambda_1, \dots, \lambda_l), \quad \chi = \text{tr}\psi, \quad \lambda_j \in \mathcal{F}, \quad 1 \leq j \leq l.$$

In view of the isomorphism  $\mathcal{F} \cong \mathbf{Z}^n$ , one can choose a basis

$$\{\mu_j \mid 1 \leq j \leq n\}$$

of  $\mathcal{F}$ . Let

$$(7) \quad \lambda_i = \prod_{j=1}^n \mu_j^{m_{ij}}, \quad m_{ij} \in \mathbf{Z}, \quad 1 \leq i \leq l,$$

we write then

$$w(\lambda_i) = \prod_{j=1}^n (1 + |m_{ij}|), \quad w(\chi) = \max_{1 \leq i \leq l} w(\lambda_i).$$

**THEOREM 1.** *If  $\mathcal{A}$  satisfies (4) and*

$$(8) \quad \sum_{\substack{p \in \mathcal{G} \\ |p| < x}} \chi(\sigma_p) = g(\chi)B(x) + O(b(x, w(\chi))), \quad \chi \in \hat{G},$$

where  $g(\chi) = 1$  if  $\chi$  is the character of the identical representation and  $g(\chi) = 0$  for any other character and where

$$(9) \quad \sum_{m=1}^{\infty} b(x, m)m^{-\nu} = b_1(x, \nu) < \infty$$

for some  $\nu$  in  $\mathbf{R}_+$ , then (assuming (2) and (3))

$$(10) \quad \mathcal{N}(\mathcal{A}, x) = \mu(\mathcal{A})B(x) \left( 1 + O\left( \frac{C(\mathcal{A})}{\mu(\mathcal{A})} \left( \frac{b_1(x, \nu)}{B(x)} \right)^{\alpha/(\alpha+\nu n)} \right) \right).$$

*Proof.* Since, by definition,  $\varrho(g_1, g_2) = \infty$  when  $j(g_1) \neq j(g_2)$ , we have

$$\mathcal{U}_\delta(\{1\}) \subseteq \mathcal{F},$$

therefore there is a  $C^\infty$ -function  $\varphi_\delta: G \rightarrow [0, 1]$  satisfying the following conditions:

$$\int_G \varphi_\delta(g) d\mu(g) = 1, \quad \varphi_\delta \text{ is } H\text{-invariant}, \quad \varphi_\delta(g) = 0 \text{ for } g \notin \mathcal{U}_\delta(\{1\}).$$

Let  $f_+$  and  $f_-$  be the characteristic functions of  $\mathcal{U}_\delta(\mathcal{A})$  and  $\mathcal{A} \setminus \mathcal{U}_\delta(G \setminus \mathcal{A})$  respectively, and let

$$g_\pm(\beta) = \int_G f_\pm(\gamma) \varphi_\delta(\gamma^{-1}\beta) d\mu(\gamma).$$

Then  $g_\pm \in C^\infty(G)$  and  $g_\pm$  is  $H$ -invariant (since  $f_\pm$  and  $\varphi_\delta$  are). Moreover,

$$g_\pm(\beta) = \int_{\mathcal{U}_\delta(\{1\})} f_\pm(\beta\gamma^{-1}) \varphi_\delta(\gamma) d\mu(\gamma),$$

so that

$$g_\pm(\beta) \geq 0 \text{ for } \beta \in G, \quad g_+(\beta) = 1 \text{ for } \beta \in \mathcal{A}, \quad g_-(\beta) = 0 \text{ for } \beta \notin \mathcal{A}.$$

Thus

$$(11) \quad \sum_{|p| < x} g_-(\sigma_p) \leq \mathcal{N}(\mathcal{A}, x) \leq \sum_{|p| < x} g_+(\sigma_p).$$

We write

$$(12) \quad g_\pm = \sum_{\chi \in \hat{G}} c_\pm(\chi) \chi$$

and substitute (8) in (12) to obtain

$$(13) \quad \sum_{|p| < x} g_\pm(\sigma_p) = c_\pm(1)B(x) + O\left( \sum_{\chi \neq 1} |c_\pm(\chi)| b(x, w(\chi)) \right).$$

It follows from (12) that

$$c_\pm(1) = \int_G g_\pm(\beta) d\mu(\beta),$$

or recalling the definition of  $g_\pm$ ,  $f_\pm$ , and  $\varphi_\delta$ ,

$$c_\pm(1) = \int_G f_\pm(g) d\mu(g) = \mu(\mathcal{A}) \pm \mu(\mathcal{U}_\delta(\partial\mathcal{A})).$$

Therefore it follows from (4) and (13) that

$$(14) \quad \sum_{|p| < x} g_\pm(\sigma_p) = \mu(\mathcal{A})B(x) + O(B(x)\delta^\alpha C(\mathcal{A})) + O\left( \sum_{\chi \neq 1} |c_\pm(\chi)| b(x, w(\chi)) \right).$$

To estimate  $c_\pm(\chi)$  let us suppose that  $\chi$  satisfies (7) and (6) and write

$$G = \bigcup_{\gamma \in H} \mathcal{F}h_\gamma, \quad j(h_\gamma) = \gamma.$$

Then (12) gives:

$$(15) \quad c_\pm(\chi) = \int_{\mathcal{F}} d\mu(\alpha) \sum_{\gamma \in H} g_\pm(\alpha h_\gamma) \overline{\chi(\alpha h_\gamma)}.$$

In view of (6),

$$\chi(dh_\gamma) = \sum_{i=1}^l \lambda_i(\alpha) \psi_{ii}(h_\gamma).$$

Therefore

$$(16) \quad c_\pm(\chi) = \sum_{\gamma \in H} \sum_{i=1}^l \overline{\psi_{ii}(h_\gamma)} \int_{\mathcal{F}} d\mu(\alpha) g_\pm(\alpha h_\gamma) \overline{\lambda_i(\alpha)}.$$

It follows from (7) and the definition of  $g_{\pm}$  that (cf., e.g., [2], § 3)

$$(17) \quad \int_{\mathcal{F}} d\mu(\alpha) g_{\pm}(\alpha h_v) \overline{\lambda_i(\alpha)} = O(\delta^{-vn} w(\lambda_i)^{-v})$$

for each  $v$  in  $\mathbf{Z} \cap \mathbf{R}_+$ . A classical argument (cf., e.g., [8], § 8.1) shows that, in fact,

$$w(\chi) = O(w(\lambda_i)), \quad 1 \leq i \leq l,$$

for a simple character  $\chi$  and that

$$(18) \quad \text{card}\{\chi \mid \chi \in \hat{G}, w(\chi) = m\} = O(1), \quad m \in \mathbf{Z}, m \geq 1.$$

In view of (9), (14), (17) and (18), we conclude that

$$(19) \quad \sum_{|p| < x} g_{\pm}(\sigma_p) = \mu(\mathcal{A})B(x) + O(B(x)\delta^{\alpha}C(\mathcal{A})) + O(\delta^{-vn}b_1(x, v)).$$

Taking  $\delta = (b_1(x, v)/B(x))^{1/(\alpha+vn)}$  one deduces (10) from (11) and (19). This completes the proof of Theorem 1.

**COROLLARY 1.** Assume that  $\partial\mathcal{A}$  is contained in an analytic subset of dimension  $n-1$ . Then relations (8) and (9) imply (10) with  $\alpha = 1$ .

**PROOF.** By a geometric lemma discussed in the Appendix to this paper, a compact analytic set  $\mathcal{B}$  of codimension  $\alpha$  satisfies an estimate

$$\mu(\mathcal{U}_{\delta}(\mathcal{B})) = O(C(\mathcal{B})\delta^{\alpha}).$$

2. To describe an arithmetical application of Theorem 1 let  $k$  be a finite extension of  $\mathbf{Q}$ , the field of rational numbers, and let  $W(k)$  denote the (absolute) Weil group of  $k$  defined as a projective limit of the relative Weil groups  $W(K|k)$ , where  $K$  varies over all the finite Galois extensions of  $k$  (cf. [10], [11]). Let us recall that

$$W(K|k) \cong \mathbf{R}^* \times W_1(K|k)$$

with compact  $W_1(K|k)$  and that  $W(K|k)$  is defined as a group extension

$$1 \rightarrow C_K \rightarrow W(K|k) \rightarrow G(K|k) \rightarrow 1,$$

where  $C_K$  denotes the idèle-class group of  $K$  and where  $G(K|k)$  is the Galois group of  $K$  over  $k$ . Let  $S(k)$  be the set of all the prime divisors of  $k$ , and let  $I_p$  and  $\sigma_p$  be the inertia subgroup and the Frobenius class in  $W(k)$  for  $p \in S(k)$ . Consider a finite dimensional continuous representation

$$\psi: W(k) \rightarrow \text{GL}(V)$$

acting in a complex vector space  $V$ ; let

$$V_p = \{e \mid e \in V, \psi(g)e = e \text{ for } g \in I_p\}$$

be the subspace of  $I_p$ -invariant vectors and let  $\chi$  denote the character of  $\psi$ . We define  $\chi(\sigma_p)$  to be equal to the trace of the operator  $\psi(\tau_p)$  on  $V_p$  for  $\tau_p \in \sigma_p$  and notice that this definition does not depend on the choice of  $\tau_p$  in  $\sigma_p$ . One can show that the set

$$S_0(\psi) := \{p \mid p \in S(k), V_p \neq V\}$$

is finite and that  $\psi$  factors through  $W(K|k)$  for a finite extension  $K|k$ . We say that  $\psi$  is *normalized* if  $\psi$  factors through  $W_1(K|k)$  for a finite Galois extension  $K|k$ .

**THEOREM 2.** Let  $\mathcal{M}$  be a finite set of normalized (finite dimensional continuous) representations of  $W(k)$ , let

$$\check{\mathcal{M}} = \{\chi \mid \chi = \text{tr}\psi \text{ for some } \psi \text{ in } \mathcal{M}\},$$

and choose  $g_0$  in  $W(k)$  and  $\varepsilon$  in the interval  $0 < \varepsilon < 1$ . There is a positive constant  $a(\mathcal{M}; g_0, \varepsilon)$  such that

$$(20) \quad \text{card}\{p \mid p \in S(k), |\chi(\sigma_p) - \chi(g_0)| < \varepsilon, N_{k/\mathbf{Q}}p < x\}$$

$$= a(\mathcal{M}; g_0, \varepsilon) \int_2^x \frac{du}{\log u} + O(x \exp(-c_1 \sqrt{\log x})), \quad c_1 > 0,$$

and

$$(21) \quad a(\mathcal{M}; g_0, \varepsilon) > c_3 \varepsilon^{c_2},$$

where  $c_j, 1 \leq j \leq 3$ , and the implied by the  $O$ -symbol constant depend at most on  $\mathcal{M}$  (but not on  $g_0, \varepsilon, x$ ).

**PROOF.** Let  $K|k$  be a finite Galois extension such that each  $\psi$  in  $\mathcal{M}$  factors through  $W_1(K|k)$  and let  $[K:k] = n+1$ . Consider the (closed) subgroup

$$G_0 = \bigcap_{\psi \in \mathcal{M}} \text{Ker}\psi$$

of  $W(k)$  and let  $G = W(k)/G_0$ . It follows from the definitions that  $G$  fits into the exact sequence (1): indeed the restriction  $\psi|_{C_K}$  of the representation  $\psi$  to  $C_K$  is equivalent to a direct sum of (normalized) grossencharacters of  $K$ , therefore there is a finite set  $\mathcal{N}$  of the grossencharacters of  $K$  for which

$$G_0 \supseteq \bigcap_{\lambda \in \mathcal{N}} \text{Ker}\lambda;$$

since clearly

$$C_K / \left( \bigcap_{\lambda \in \mathcal{N}} \text{Ker}\lambda \right) \cong \mathcal{T} \times H_0,$$

where  $\mathcal{T}$  is a real torus of dimension not exceeding  $[K:\mathbf{Q}] - 1$  and  $H_0$  is a finite Abelian group, it follows that  $G/\mathcal{T}$  is a finite group. We let

$$S_0(\mathcal{M}) = \bigcup_{\psi \in \mathcal{M}} S_0(\psi)$$



and denote by  $\bar{\sigma}_p$  the image of the Frobenius class under the natural homomorphism

$$\varphi: W(k) \rightarrow G.$$

For  $p \in S(k) \setminus S_0(\mathcal{M})$  the set  $\bar{\sigma}_p$  is a conjugacy class in  $G$ . Moreover, it can be deduced from the Hecke's Primzahlsatz, [1] (cf. also [5], Theorem 4) that, for each  $\chi$  in  $\hat{G}$ , we have:

$$(22) \quad \sum_{|p| < x} \chi(\sigma_p) = g(\chi) \int_2^x \frac{du}{\log u} + O\left(x \exp\left(-c_4 \frac{\log x}{\log w(\chi) + \sqrt{\log x}}\right)\right)$$

with  $c_4 > 0$ , where  $|p| := N_{k/\mathbb{Q}}p$ . Let

$$\mathcal{B} = \{g \mid g \in W(k), |\chi(g) - \chi(g_0)| < \varepsilon \text{ for } \chi \in \mathcal{M}\}$$

and let

$$\mathcal{A} = \varphi(\mathcal{B}).$$

The set  $\partial\mathcal{A}$  may be regarded as a semialgebraic set, therefore it satisfies (4) with  $C(\mathcal{A})$  and  $\alpha$  independent of  $\varepsilon$  and  $g_0$  (cf. [12], Corollary 4.5). Estimate (20) follows now from Theorem 1, in view of (22). To deduce the inequality (21) we appeal to [3], Proposition 5 (cf. also [4], p. 461 and [6], Theorem 2, p. 99).

Remark 1. Theorem 2 may be regarded as a generalization of both Chebotarev's density theorem and the prime number theorem for grossen-characters due to E. Hecke. It confirms our conjecture stated in [3], p. 23, and in [6], p. 139-140.

Appendix. We reproduce here an argument kindly communicated to the author by Professor J.-P. Serre in his letter of April 24<sup>th</sup>, 1986 (cf. also [9], p. 45).

LEMMA. Let  $\mathfrak{h}$  be a compact subset of the analytic set

$$\mathcal{C} = \{x \mid x \in \mathbb{R}^n, f(x) = 0\},$$

where  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  is an analytic function, and let  $d$  denote the (real) dimension of  $\mathcal{C}$ . Then

$$(23) \quad \int_{\mathfrak{a}(\mathfrak{h})} dx < C(\mathfrak{h}) \delta^{n-d} \quad \text{for } 0 < \delta < 1.$$

Sketch of the proof. It follows from the Hironaka's theorem on resolution of singularities that

$$\mathfrak{h} \subseteq \bigcup_{j=1}^{l(\mathfrak{h})} B_j, \quad B_j = g_j(I^d),$$

where  $I := [0, 1]$  and  $g_j$  is a continuous map with the Lipschitz property, i.e.

$$|g_j(x+y) - g_j(x)| < C_j |y|, \quad C_j > 0.$$

Therefore

$$(24) \quad \int_{\mathfrak{a}(\mathfrak{h})} dx \leq \sum_{j=1}^{l(\mathfrak{h})} \int_{\mathfrak{a}(B_j)} dx.$$

Let

$$I(v, N) = \left[ \frac{v}{N}, \frac{v+1}{N} \right], \quad 0 \leq v \leq N-1,$$

and let

$$B_{j, \vec{v}} = g_j(I(v_1, N) \times \dots \times I(v_d, N)), \quad \vec{v} := (v_1, \dots, v_d).$$

Then

$$\int_{\mathfrak{a}(B_{j, \vec{v}})} dx = O\left(\left(\delta + \frac{1}{N}\right)^n\right)$$

with an  $O$ -constant depending at most on  $C_j$ ,  $1 \leq j \leq l(\mathfrak{h})$ , and therefore

$$\int_{\mathfrak{a}(B_j)} dx \leq \sum_{\vec{v}} \int_{\mathfrak{a}(B_{j, \vec{v}})} dx = O\left(N^d \left(\delta + \frac{1}{N}\right)^n\right).$$

Choosing  $N$  to be equal to  $[1/\delta]$  one obtains an estimate

$$(25) \quad \int_{\mathfrak{a}(B_j)} dx = O(\delta^{n-d}).$$

Relation (23) is a consequence of (24) and (25).

Remark 2. As it has been pointed out in [9] one should try to prove this lemma by elementary methods making no use of the theory of resolution of singularities.

Remark 3. One can deduce the inequality (21) by a direct computation (cf. [7], n°5), but we shall not do it here.

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## Über die Restklasse modulo $2^{e+2}$ des Wertes $2^e n \zeta(1-2^e n, \mathfrak{R})$ der Zetafunktion einer Idealklasse aus dem reell-quadratischen Zahlkörper $\mathcal{Q}(\sqrt{D})$ mit $D \equiv 3 \pmod{4}$

von

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**1. Einleitung.** Es sei  $D$  eine quadratfreie natürliche Zahl mit der Eigenschaft  $D \equiv 3 \pmod{4}$  und  $K = \mathcal{Q}(\sqrt{D})$  der zugehörige reell-quadratische Zahlkörper. Ferner sei  $2m \geq 2$  eine gerade natürliche Zahl. Ausgehend von den durch K. Barner [1] und C. L. Siegel [10] hergeleiteten Darstellungen für den Wert  $\zeta(1-2m, \mathfrak{R})$  der Zetafunktion einer Idealklasse  $\mathfrak{R}$  von  $K$  läßt sich zeigen, daß  $2m\zeta(1-2m, \mathfrak{R})$  in dem Ring

$$\mathcal{Z}_2 = \left\{ \frac{p}{q} \in \mathcal{Q} \mid p, q \in \mathcal{Z} \text{ und } 2 \nmid q \right\}$$

der für 2 ganzen rationalen Zahlen liegt. Spaltet man von  $2m$  die höchste Potenz von 2 ab und schreibt

$$2m = 2^e n \quad \text{mit } 2 \nmid n,$$

so kann man die Restklasse von  $2m\zeta(1-2m, \mathfrak{R}) \pmod{2^{e+2}}$  explizit durch die Bernoullischen Zahlen  $B_\mu$  und die Komponenten  $T, U$  der Grundeinheit

$$\varepsilon = T + U\sqrt{D} > 1$$

von  $K$  beschreiben. Dazu werde für  $v \in \{0, \dots, 4m-1\}$  das Polynom

$$(1.1) \quad F_v(x, y) = \frac{1}{v!} \frac{\partial^v}{\partial x^v} \sum_{q=0}^{2m-1} \binom{2m-1}{q} \frac{(-1)^{q+1}}{2q+1} D^{2m-1-q} x^{2q+1} y^{4m-2-2q} \\ = \sum_{q=0}^{2m-1} \binom{2m-1}{q} \binom{2q+1}{v} \frac{(-1)^{q+1}}{2q+1} D^{2m-1-q} x^{2q+1-v} y^{4m-2-2q}$$

aus  $\mathcal{Z}_2[x, y]$  eingeführt. Für  $v \geq 1$  hat man dafür offensichtlich:

$$F_v(x, y) = \frac{1}{v!} \frac{\partial^{v-1}}{\partial x^{v-1}} (x^2 - Dy^2)^{2m-1}.$$