

## A note on power series representations in local fields

by

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**Introduction.** Let  $p$  be a prime number and  $\mathbb{Q}_p$  the field of  $p$ -adic numbers. Every element  $\alpha \in \mathbb{Q}_p$  has a unique representation as a power series in  $p$ ,

$$\alpha = \sum_{-\infty < i} a_i p^i, \quad a_i \in \{0, 1, \dots, p-1\}.$$

It is well known that a  $p$ -adic number  $\alpha = \sum_{-\infty < i} a_i p^i$  is rational if and only if the sequence of coefficients  $a_i$  is periodic from some index  $i$  on. This equivalence relation is a characterization of the field of rational numbers  $\mathbb{Q}$  in  $\mathbb{Q}_p$ . It is natural to ask whether or not a similar relation holds for an algebraic number field of finite degree over  $\mathbb{Q}$ . The purpose of this paper is to investigate this question.

**1. Sufficient conditions.** Now we introduce the following notation. Let  $k$  and  $\mathfrak{D}$  be an algebraic number field of finite degree over  $\mathbb{Q}$  and the ring of algebraic integers of  $k$  respectively. Throughout the present paper,  $\mathfrak{p}$  denotes a fixed prime ideal in  $\mathfrak{D}$ . By  $|\cdot|_{\mathfrak{q}}$  we shall denote a so-called normalized multiplicative valuation corresponding to a divisor  $\mathfrak{q}$  of  $k$ . If  $\mathfrak{q}$  is a prime ideal,  $|\cdot|_{\mathfrak{q}}$  is non-archimedean; if  $\mathfrak{q}$  is one of the archimedean divisors  $\mathfrak{p}_{\infty, i}$  ( $i = 1, 2, \dots, r_1 + r_2$ ),  $|\cdot|_{\mathfrak{q}}$  is archimedean. Here,  $r_1$  and  $r_2$  denote the number of real archimedean divisors and that of complex archimedean divisors respectively. By a residue system we mean a complete residue system, containing 0, of the ring  $\mathfrak{D}$  modulo  $\mathfrak{p}$ , and by a prime element we mean an element  $\omega$  of  $k$  such that  $|\omega|_{\mathfrak{p}} = N_{\mathfrak{p}}^{-1}$ , here,  $N_{\mathfrak{p}}$  denotes the index  $[\mathfrak{D}:\mathfrak{p}]$ . Let  $k_{\mathfrak{p}}$  be the completion of  $k$  with respect to  $|\cdot|_{\mathfrak{p}}$ . If we choose a residue system  $S$  and a prime element  $\omega$ , every element  $\alpha$  of  $k_{\mathfrak{p}}$  has a unique representation as a power series in  $\omega$ ,  $\alpha = \sum_{-\infty < i} a_i \omega^i$ ,  $a_i \in S$ . We say that the power series has periodic coefficients when there exist integers  $\gamma > 0$  and  $\nu$  such that  $a_i = a_{i+\gamma}$  for all  $i \geq \nu$ . If  $\gamma_0 > 0$  is the smallest integer such that  $a_i = a_{i+\gamma_0}$  for all  $i \geq \nu$ , then we call  $\gamma_0$  the period of  $\alpha$ . We say that the equivalence relation  $E_{\mathfrak{p}}(\omega, S)$

holds when, for any  $\alpha \in k_p$  ( $\alpha = \sum_{-\infty < i} a_i \omega^i$ ,  $a_i \in S$ ),  $\alpha$  belongs to  $k$  if and only if the sequence of coefficients  $a_i$  is periodic. When the sequence of coefficients  $a_i$  is periodic, then  $\alpha$  belongs to  $k$  clearly. So we shall study whether or not the representation of any element  $\alpha = \sum_{-\infty < i} a_i \omega^i \in k$  has periodic coefficients.

**THEOREM 1.** *Suppose that there exists a prime element  $\omega$  satisfying  $|\omega|_q \geq 1$  for all non-archimedean  $q \neq p$  and  $|\omega|_{p, \alpha, i} > 1$  for all  $i = 1, 2, \dots, r_1 + r_2$ . Then  $E_p(\omega, S)$  holds for all  $S$ .*

*Proof.* It is sufficient to prove that the series representation of any  $\alpha \in k$  has periodic coefficients. Take a residue system  $S$ . Without loss of generality, we may assume that  $\alpha \in k$  is a  $p$ -unit,  $\alpha = \sum_{i=0}^{\infty} a_i \omega^i$  ( $a_i \in S$ ,  $a_0 \neq 0$ ). Let  $\{\alpha_n\}$  ( $n = 1, 2, 3, \dots$ ) be the sequence defined by

$$\alpha_n = \{\alpha - (a_0 + a_1 \omega + \dots + a_{n-1} \omega^{n-1})\} \omega^{-n} \in k.$$

If  $q$  is a non-archimedean divisor such that  $|\omega|_q = 1$ , then

$$\begin{aligned} |\alpha_n|_q &= |\alpha - (a_0 + a_1 \omega + \dots + a_{n-1} \omega^{n-1})|_q \\ &\leq \max\{|\alpha|_q, |a_0|_q, |a_1 \omega|_q, \dots, |a_{n-1} \omega^{n-1}|_q\} \\ &\leq \max\{|\alpha|_q, 1\}, \quad \text{for all } n \geq 1. \end{aligned}$$

If  $q$  is a non-archimedean divisor such that  $|\omega|_q > 1$ , then

$$\begin{aligned} |\alpha_n|_q &\leq \max\{|\alpha \omega^{-n}|_q, |a_0 \omega^{-n}|_q, |a_1 \omega^{-n+1}|_q, \dots, |a_{n-1} \omega^{-1}|_q\} \\ &\leq \max\{|\alpha \omega^{-n}|_q, |\omega^{-n}|_q, |\omega^{-n+1}|_q, \dots, |\omega^{-1}|_q\} \\ &= \max\{|\alpha \omega^{-n}|_q, |\omega|_q^{-1}\} \quad \text{for all } n \geq 1. \end{aligned}$$

Now let  $M_S$  denotes the real number  $\max\{|a|_{p, \alpha, i} \mid a \in S, i = 1, 2, \dots, r_1 + r_2\}$ . If  $q$  is an archimedean divisor, then

$$\begin{aligned} |\alpha_n|_q &\leq |\alpha \omega^{-n}|_q + |a_0 \omega^{-n}|_q + |a_1 \omega^{-n+1}|_q + \dots + |a_{n-1} \omega^{-1}|_q \\ &\leq |\alpha \omega^{-n}|_q + M_S |\omega|_q^{-1} (|\omega|_q^{-n+1} + \dots + |\omega|_q^{-1}) \\ &< |\alpha \omega^{-n}|_q + M_S |\omega|_q^{-1} (1 - |\omega|_q^{-1})^{-1} \\ &= |\alpha \omega^{-n}|_q + M_S (|\omega|_q - 1)^{-1} \quad \text{for all } n \geq 1. \end{aligned}$$

In case  $q = p$ , as every  $\alpha_n$   $p$ -integer, we have  $|\alpha_n|_p \leq 1$  for all  $n \geq 1$ . Therefore every  $\alpha_n$  is included in some compact subset of the adèle ring  $R(k)$  of  $k$ . Since  $k$  is a discrete subset of  $R(k)$ , we have  $\alpha_n = \alpha_\lambda$  for some natural numbers  $\mu, \lambda$  such that  $\mu < \lambda$ . Then

$$\begin{aligned} \alpha &= a_0 + a_1 \omega + \dots + a_{\mu-1} \omega^{\mu-1} + \alpha_\mu \omega^\mu \\ &= a_0 + a_1 \omega + \dots + a_{\mu-1} \omega^{\mu-1} + a_\mu \omega^\mu + \dots + a_{\lambda-1} \omega^{\lambda-1} + \alpha_\lambda \omega^\lambda, \end{aligned}$$

so that the series  $\alpha = \sum_{i=0}^{\infty} a_i \omega^i$  has periodic coefficients from  $\mu$  on. This proves our theorem.

Now we define a real valued function  $\varphi$  of divisors in  $k$  such that  $\varphi(q) > 0$  for all divisors and  $\varphi(q) = 1$  for all but a finite number of divisors. Let  $V(\varphi)$  be a parallelotope in  $R(k)$  with respect to  $\varphi$ , i.e.

$$V(\varphi) = \{(x_q) \in R(k) \mid |x_q|_q \leq \varphi(q) \text{ for all } q\},$$

and let  $\|\varphi\| = \prod_q \varphi(q)$ .

**COROLLARY TO THEOREM 1.** *Let  $\omega$  be a prime element satisfying the same conditions as in Theorem 1 and let  $S$  be a residue system. Then the period of each  $\alpha \in \mathfrak{D}$  is bounded.*

*Proof.* From the proof of Theorem 1 we have following inequalities:

- (1) If  $q$  is non-archimedean and  $|\omega|_q = 1$ , then  $|\alpha_n|_q \leq \max\{|\alpha|_q, 1\}$  for all  $n \geq 1$ ;
- (2) If  $q$  is non-archimedean and  $|\omega|_q > 1$ , then  $|\alpha_n|_q \leq \max\{|\alpha|_q |\omega|_q^{-n}, |\omega|_q^{-1}\}$  for all  $n \geq 1$ ;
- (3) If  $q$  is archimedean, then  $|\alpha_n|_q < |\alpha|_q |\omega|_q^{-n} + M_S (|\omega|_q - 1)^{-1}$  for all  $n \geq 1$ ;
- (4) If  $q = p$ , then  $|\alpha_n|_q \leq 1$  for all  $n \geq 1$ .

Therefore, if  $\alpha \in \mathfrak{D}$  then we have

- (I)  $|\alpha_n|_q \leq 1$  for all non-archimedean  $q$  and  $n \geq 1$ ,
- (II)  $|\alpha_n|_q < |\alpha|_q |\omega|_q^{-n} + M_S (|\omega|_q - 1)^{-1}$  for all archimedean  $q$  and  $n \geq 1$ .

We define

$$\varphi(q) = \begin{cases} 1 & \text{if } q \text{ is non-archimedean,} \\ M_S (|\omega|_q - 1) & \text{if } q \text{ is archimedean.} \end{cases}$$

It is clear that  $\varphi$  depends only on  $\omega, S$  and is independent of  $\alpha$ . By inequalities (I) and (II), we can see that  $\alpha_n$  belongs to  $V(\varphi)$  for all sufficiently large  $n$ . Therefore the period of each  $\alpha \in \mathfrak{D}$  is bounded by the number of elements of  $V(\varphi) \cap k$ . This completes the proof.

The following lemma is well known.

**LEMMA (S. Iyanaga [3]).** *If  $\|\varphi\| > 2^{r_2} \pi^{-r_2} |d_k|^{1/2}$ , then there exists a non-zero element in  $V(\varphi) \cap k$ .*

Here  $|d_k|$  is the ordinary absolute value of the discriminant of  $k$ . We then have the following theorem.

**THEOREM 2.** *Assume that  $N_p > 2^{r_2} \pi^{-r_2} |d_k|^{1/2}$ . Then, there is a prime element  $\omega$  such that  $E_p(\omega, S)$  holds for all  $S$ .*

**Proof.** Let  $\varepsilon$  be a real number such that  $0 < \varepsilon < 1$  and  $N_p \times \varepsilon^{k \cdot \mathfrak{Q}} > 2^{r_2} \pi^{-r_2} |d_k|^{1/2}$ . We define  $\varphi$  as follows

$$\varphi(q) = \begin{cases} N_p & \text{if } q = p, \\ 1 & \text{if } q \neq p \text{ is non-archimedean,} \\ \varepsilon^{\delta_i} & \text{if } q = p_{\infty, i} \ (1 \leq i \leq r_1 + r_2). \end{cases}$$

Here  $\delta_i$  is 1 if  $p_{\infty, i}$  is real, and 2 if  $p_{\infty, i}$  is complex. Since  $\|\varphi\| = N_p \times \varepsilon^{k \cdot \mathfrak{Q}} > 2^{r_2} \pi^{-r_2} |d_k|^{1/2}$ , by the Lemma, there exists an element  $\varrho \neq 0$  in  $V(\varphi) \cap k$ . Put  $\omega = \varrho^{-1}$ , then we have  $|\omega|_p \geq N_p^{-1}$ ,  $|\omega|_p \geq 1$  for all non-archimedean divisors  $q \neq p$  and  $|\omega|_{p_{\infty, i}} > 1$  for all  $i = 1, \dots, r_1 + r_2$ . Since  $\prod_q |\omega|_q = 1$ , we have  $|\omega|_p = N_p^{-1}$ , therefore by Theorem 1 our theorem is proved.

**2. A necessary condition.** Next, we study a necessary condition for the equivalence relation.

**THEOREM 3.** *Suppose that  $E_p(\omega, S)$  holds, then we have  $|\omega|_q \geq 1$  for all divisors  $q \neq p$ .*

**Proof.** Let  $\alpha \in k$  be a  $p$ -unit, that is,  $\alpha = \sum_{i=0}^{\infty} a_i \omega^i$  ( $a_i \in S$ ,  $a_0 \neq 0$ ). In our case, the sequence  $\{\alpha_n\}$  ( $n = 1, 2, \dots$ ) defined similarly to in the proof of Theorem 1 is periodic from some index on. Therefore,  $\max\{|\alpha_n|_q \mid n = 1, 2, \dots\}$  is bounded for all  $q$ . Now assume that  $q \neq p$  is a non-archimedean divisor such that  $|\omega|_q < 1$ . Then

$$\begin{aligned} |\alpha_n|_q &\geq |\alpha \omega^{-n}|_q - |a_0 \omega^{-n} + a_1 \omega^{-n+1} + \dots + a_{n-1} \omega^{-1}|_q \\ &\geq |\alpha \omega^{-n}|_q - \max\{|a_0 \omega^{-n}|_q, |a_1 \omega^{-n+1}|_q, \dots, |a_{n-1} \omega^{-1}|_q\} \\ &\geq |\alpha \omega^{-n}|_q - |\omega^{-n}|_q = (|\alpha|_q - 1) |\omega|_q^{-n}. \end{aligned}$$

If we take an element  $\alpha \in k$  such that  $|\alpha|_q - 1 > 0$ , then  $|\alpha_n|_q \rightarrow \infty$  ( $n \rightarrow \infty$ ) that is a contradiction. Consequently  $|\omega|_q \geq 1$  for all non-archimedean divisors  $q \neq p$ . Next, assume that  $q$  is an archimedean divisor such that  $|\omega|_q < 1$ . Then

$$\begin{aligned} |\alpha_n|_q &\geq |\omega|_q^{-n} \{|\alpha|_q - (|a_0|_q + |a_1|_q + \dots + |a_{n-1}|_q \omega^{n-1})\} \\ &\geq |\omega|_q^{-n} \{|\alpha|_q - Ms(1 + |\omega|_q + \dots + |\omega|_q^{n-1})\} \\ &> |\omega|_q^{-n} \{|\alpha|_q - Ms(1 - |\omega|_q)^{-1}\}, \end{aligned}$$

where  $Ms$  is the same as in the proof of Theorem 1. If we take  $\alpha$  to be a sufficiently large natural number which is prime to  $p$ , we may assume that  $|\alpha|_q - Ms(1 - |\omega|_q)^{-1} > 0$ . Then,  $|\alpha_n|_q \rightarrow \infty$  ( $n \rightarrow \infty$ ) that is a contradiction and our theorem is proved.

This theorem shows us that the number of prime elements  $\omega$  such that  $E_p(\omega, S)$  holds for all  $S$  is only finite.

Now let  $E_p(\omega, S)$  hold and let  $m_1$  be a natural number  $\geq 2$  which is prime to  $p$  and  $|\omega m_1|_q < 1$  for some non-archimedean divisor  $q$  and let  $m_2$  be a natural number prime to  $p$  such that  $|\omega m_2^{-1}|_q < 1$  for some archimedean divisor  $q$ . Then although  $\omega m_1$  and  $\omega m_2^{-1}$  are prime elements, neither  $E_p(\omega m_1, S)$  nor  $E_p(\omega m_2^{-1}, S)$  holds for any  $S$ . In case of  $k = \mathcal{Q}$ , let  $m_1$  be as above, there is a rational number which is never represented as a power series in  $pm_1$  with periodic coefficients. In fact, from the proof of Theorem 3,  $m_1^{-1}$  is such a rational number.

If  $k$  is totally real, then the condition for  $\omega$  in Theorem 1 is necessary and sufficient for  $E_p(\omega, S)$  to be valid. If  $k$  is imaginary quadratic and  $p$  is principal, then a generator of  $p$  satisfies inequalities for  $\omega$  in Theorem 1.

Now we let

$$q = \begin{cases} p & \text{if } p \neq 2, \\ 4 & \text{if } p = 2, \end{cases}$$

and let  $\zeta_q$  and  $\mathfrak{p}$  be a primitive  $q$ th root of unity and the unique prime ideal in  $k = \mathcal{Q}(\zeta_q)$  lying above  $p$  respectively. Then  $\omega = 1 - \zeta_q$  is a prime element. By Theorem 1 and Theorem 3, we can see that, for all  $S$ , if  $q \leq 5$  then  $E_p(\omega, S)$  holds and if  $q > 5$  then  $E_p(\omega, S)$  never holds.

**3. Counterexamples.** Lastly, we shall prove a theorem concerning counterexamples.

**THEOREM 4.** *Assume that the ideal (2) ramifies completely for  $k/\mathcal{Q}$ , and the prime ideal  $\mathfrak{p}$  of  $\mathfrak{D}$  lying above (2) is not principal. Then  $E_p(\omega, S)$  does not hold for any  $\omega$  and  $S$ .*

**Proof.** Suppose that  $E_p(\omega, S)$  holds. The assumption and the previous theorem show that  $|\omega|_p = 2^{-1}$ ,  $|\omega|_q = 1$  or  $\geq 3$  for all non-archimedean  $q \neq p$  and  $|\omega|_q \geq 1$  for all archimedean  $q$ . From the product formula  $\prod_q |\omega|_q = 1$  we have  $|\omega|_q = 1$  for all non-archimedean  $q \neq p$ , therefore  $\mathfrak{p}$  must be principal. This is a contradiction and proves our theorem.

**EXAMPLE.** Let  $m$  be a square-free rational integer  $\equiv 5 \pmod{8}$  and let  $k = \mathcal{Q}(\sqrt{-4m})$ ,  $\mathcal{Q}(\sqrt{-8m})$  or  $\mathcal{Q}(\sqrt{8m})$ . Then  $k$  satisfies the assumption of Theorem 4.

**4. The case of characteristic  $p > 0$ .** In the rest of this paper we shall treat the case of characteristic  $p > 0$ . Let  $F$  be a finite field of characteristic  $p > 0$  and  $k$  a finitely generated extension of  $F$ , of degree of transcendence 1 over  $F$ . We assume that  $F$  is algebraically closed in  $k$ . Under the same notation as in previous sections, we have

THEOREM 5.  $E_p(\omega, S)$  holds if and only if  $|\omega|_q \geq 1$  for all  $q \neq p$ .

Proof. As Theorems 1 and 3.

We define

$$\varphi(q) = \begin{cases} 1 & \text{if } q \neq p, \\ N_p & \text{if } q = p. \end{cases}$$

When  $E_p(\omega, S)$  holds, by Theorem 5,  $\omega^{-1}$  belongs to  $V(\varphi) \cap k$  which is a vector space with finite dimension over  $F$ . Let  $\dim p$  be the dimension of  $V(\varphi) \cap k$ . As

$$F = \{(x_\alpha) \in R(k) \mid |x_\alpha|_q = 1 \text{ for all } q\} \cap k,$$

we have

COROLLARY 1 TO THEOREM 5. There exists a prime element  $\omega$  satisfying  $|\omega|_q \geq 1$  for all  $q \neq p$  if and only if  $\dim p \geq 2$ .

Furthermore, we can see easily a following corollary.

COROLLARY 2 TO THEOREM 5. Let  $E_p(\omega, S)$  holds. Then the period of each  $\alpha \in \mathfrak{D}$  is bounded.

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## Über ganzzahlige Vertauschbarkeitsketten ungeraden Grades\*

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**1. Einleitung.** Motiviert durch Anwendungen in der Kryptologie haben sich in den letzten Jahren mehrere Arbeiten mit der Kette der Potenzen  $x, x^2, x^3, \dots$  sowie mit den beiden Ketten der Dicksonpolynome  $g_1(d, x), g_2(d, x), g_3(d, x), \dots, d = \pm 1$ , über den ganzen Zahlen  $\mathbf{Z}$  (vgl. [2]) und mit den davon induzierten Permutationen auf Restklassenringen  $\mathbf{Z}/(m)$  beschäftigt. Insbesondere wird in [5], [6] und [7] die Fixpunktanzahl der von den Polynomen dieser Ketten dargestellten Permutationen von  $\mathbf{Z}/(m)$  berechnet, und in [8], [1] und [4] die Gruppenstruktur der von diesen Ketten induzierten Permutationsgruppen von  $\mathbf{Z}/(m)$  ermittelt.

In [2] (vgl. Chapter 3, Prop. 3.51) wurde bewiesen, daß für ein lineares Polynom  $l = ax + b$  mit reellen Koeffizienten  $a$  und  $b$  die konjugierte Kette  $\{l^{-1} \circ x^k \circ l \mid k \in \mathbf{N}\}$  bzw.  $\{l^{-1} \circ g_k(d, x) \circ l \mid k \in \mathbf{N}\}$ ,  $d = +1$ , nur dann ganzzahlig ist, wenn  $l = ax + b$  ganzzahlig ist. Daher lassen sich Eigenschaften der von den ganzzahligen konjugierten Ketten induzierten Permutationen von  $\mathbf{Z}/(m)$  (z.B. Fixpunktanzahl, Zyklenlänge und Struktur der gebildeten Gruppen) unmittelbar aus den entsprechenden Eigenschaften der von den ursprünglichen Ketten induzierten Permutationen von  $\mathbf{Z}/(m)$  herleiten.

Lidl und Müller haben in [3] die ungerade Kette der Potenzen  $x, x^3, x^5, \dots$  und die ungerade Kette der Dicksonpolynome  $g_1(d, x), g_3(d, x), g_5(d, x), \dots, d = \pm 1$ , betrachtet. In der vorliegenden Arbeit wird gezeigt, daß konjugierte Ketten dieser Ketten auch dann ganzzahlig sein können, wenn das transformierende Polynom  $l = ax + b$  nicht ganzzahlig ist.

Es werden alle konjugierten Ketten der ungeraden Kette der Potenzen sowie der Dicksonpolynome mit  $d = +1$  bestimmt, welche ganzzahlig sind. Weiters werden Kriterien dafür angegeben, wann die Elemente der ganzzahligen konjugierten Ketten Permutationen von  $\mathbf{Z}/(m)$  induzieren, und im Fall der Potenzen auch die Anzahl der Fixpunkte dieser Permutationen sowie

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