

On new "explicit formulas" in prime number theory I

by

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1. The distribution of prime numbers is closely bound up with the distribution of the complex zeros of the Riemann zeta-function. The following "explicit formula", due to Riemann, was first rigorously proved by von Mangoldt (see e. g. [1], p. 77, Theorem 29) and gives perhaps the most striking evidence of this connection.

If

$$x > 1, \quad \psi(x) = \sum_{n \leq x} \Lambda(n);$$

where

$$\Lambda(n) = \begin{cases} \log p & \text{if } n = p^m, p \text{ prime}, m = 1, 2, \dots, \\ 0 & \text{otherwise,} \end{cases}$$

$$\psi_0(x) = \frac{1}{2} (\psi(x-0) + \psi(x+0)),$$

then

$$(1.1) \quad \psi_0(x) = x - \sum_{\rho} \frac{x^{\rho}}{\rho} - \frac{\zeta'}{\zeta}(0) - \frac{1}{2} \log \left(1 - \frac{1}{x^2} \right);$$

$\rho = \beta + i\gamma$ denoting all complex zeta-zeros and $\sum_{\rho} (x^{\rho}/\rho)$ being the limit of $\sum_{|\gamma| \leq T} (x^{\rho}/\rho)$ as $T \rightarrow \infty$. Replacing the infinite series $\sum_{\rho} (x^{\rho}/\rho)$ by its partial sum we can deduce from (1.1) an approximate formula (see e. g. [1], p. 77, Theorem 29).

$$\psi_0(x) = x - \sum_{|\gamma| \leq x^{\theta}} \frac{x^{\rho}}{\rho} + O(\log^2 x) \quad \text{for } x \geq 2.$$

Formula (1.1) suggest at once the well-known fact that the error $\max_{1 \leq x \leq T} |\psi_0(x) - x|$ in the prime number formula lies in the interval $\langle T^{\theta-\epsilon}, T^{\theta+\epsilon} \rangle$, for large T , θ being the upper bound of the real parts of all zeta-zeros. This illustrates the significance of Riemann's conjecture $\theta = \frac{1}{2}$.

It was Turán who recognized that it is of interest in the prime number theory not only to investigate the zeta-zeros but also the zeros of

$$U_N(s) = \sum_{n \leq N} \frac{1}{n^s}.$$

He has proved the following theorem:

If for integer $N > n_0$ the partial-sums $U_N(s)$ do not vanish in the half-plane

$$\sigma > 1 + \frac{\log^{100} N}{\sqrt{N}}, \quad s = \sigma + it,$$

then Riemann's hypothesis is true⁽¹⁾.

In this paper I prove a new "explicit formula", similar to (1.1) but depending on the zeros of $U_N(s)$ instead of the zeta-zeros.

The result is as follows:

For $2 \leq x \leq N$, $N \geq N_0$, we have

$$(1.2) \quad \psi_0(x) = \frac{\log N!}{N} - \sum_q \frac{x^q}{q};$$

$q = \beta + i\gamma$ denoting the zeros $U_N(s)$ and $\sum_q (x^q/q)$ being the limit of $\sum_{|q| \leq T} (x^q/q)$ as $T \rightarrow \infty$ ⁽²⁾.

Further I prove some estimates for $\sum_{|q| > T} (x^q/q)$, which give information about the behaviour of the series $\sum_q (x^q/q)$ analogous to that in the classical case.

The formula (1.2) implies the following corollary, which gives a new approximation for the "remainder term" $\psi_0(x) - x$:

If $x \geq 2$ then

$$\psi_0(x) = x - \sum_{\substack{\beta > -1 \\ |q| \leq x^{16}}} \frac{x^q}{q} + O(\log x),$$

$q = \beta + i\gamma$ being the zeros of $U_N(s)$ for $N = [e^x]$ ⁽³⁾.

(1) See [4], p. 4. The quoted result is an easy combination of theorems II and III of the paper.

(2) $\sum (x^q/q)$ could be understood as $\lim_{q \rightarrow \infty} \sum_{|q| \leq T, \beta > -q} (x^q/q)$ in virtue of a certain theorem of Pólya (see [2]) which implies that there is only a finite number of $U_N(s)$ -zeros in every strip $-\infty < A \leq t \leq B < +\infty$.

(3) $[a]$ denotes as usual the integral part of a .

I wish to express my deep gratitude to Professor Paul Turán, who has made a number of important suggestions.

2. LEMMA 1. (a) The number of zeros of $U_N(s)$ in the rectangle

$$0 \leq \sigma \leq 2, \quad n \leq t \leq n+1, \quad s = \sigma + it \quad (n = 0, 1, 2, \dots)$$

is $\leq c_1 \log N$ ⁽⁴⁾.

(b) The number of zeros of $U_N(s)$ in the rectangle

$$-m \leq \sigma \leq -m+1, \quad n \leq t \leq n+1, \quad s = \sigma + it$$

($n = 0, 1, 2, \dots$, $m = 1, 2, \dots$) is $\leq c_2 m \log N$.

Proof. Apply Jensen's inequality (see e. g. [1], p. 49, Theorem D). The number of zeros of $f(s)$ in the circle $|s - s_0| < R$ is

$$\leq \log \max_{|s-s_0|=Re} \left| \frac{f(s)}{f(s_0)} \right|.$$

Put, for (a), $s_0 = 2 + (n + \frac{1}{2})i$, $R = \sqrt{5}$. Then

$$U_N(s) = O(N^{\sqrt{5}-1}), \quad |U_N(s_0)| \geq 2 - \frac{1}{6} \pi^2 > 0$$

and

$$\log \max_{|s-s_0|=Re} \left| \frac{U_N(s)}{U_N(s_0)} \right| \leq c_1 \log N.$$

For (b) put $s_0 = 2 + (n + \frac{1}{2})i$, $R = \sqrt{(m+2)^2 + 1}$, whence

$$U_N(s) = O(N^{Re-1})$$

and

$$\log \max_{|s-s_0|=Re} \left| \frac{U_N(s)}{U_N(s_0)} \right| \leq c_2 \log N.$$

LEMMA 2. (a) In the rectangle

$$-\frac{1}{2} \leq \sigma \leq 2, \quad n \leq t \leq n+1, \quad s = \sigma + it \quad (n = 0, 1, 2, \dots)$$

we have

$$\left| \frac{U'_N}{U_N}(s) - \sum_q \frac{1}{s-q} \right| \leq c_3 \log N,$$

where q runs through the zeros of $U_N(s)$ lying in the rectangle

$$-1 \leq \sigma \leq 2, \quad n - \frac{1}{2} \leq t \leq n + \frac{3}{2}, \quad s = \sigma + it.$$

(4) Throughout this paper c_1, c_2, \dots always denote positive numerical constants.

(b) In the rectangle

$$-m - \frac{1}{2} \leq \sigma \leq -m + 1, \quad n \leq t \leq n + 1, \quad s = \sigma + it$$

($n = 0, 1, 2, \dots$; $m = 1, 2, \dots$) we have

$$\left| \frac{U'_N(s)}{U_N(s)} - \sum_{\rho} \frac{1}{s - \rho} \right| \leq c_4 m \log N,$$

where ρ runs through the zeros of $U_N(s)$ lying in the rectangle

$$-m - 1 \leq \sigma \leq -m + 2, \quad n - \frac{1}{2} \leq t \leq n + \frac{3}{2}, \quad s = \sigma + it.$$

Proof. I will prove only (b).

Put $s_0 = 2 + (n + \frac{1}{2})i$ and consider the function $G(z) = U_N(z + s_0)$ in the circle $|z| \leq 2R$, $R = \sqrt{1 + (m + 3)^2}$. Denote by z_k all the zeros of $G(z)$ in the circle $|z| < \frac{3}{2}R$. The function

$$G(z) \left(\prod_{z_k} 2R \frac{z - z_k}{4R^2 - \bar{z}z_k} \right)^{-1} \equiv G_1(z)$$

is regular and $\neq 0$ in the circle $|z| < \frac{3}{2}R$. We showed above that

$$\left| \frac{G(z)}{G(0)} \right| \leq \exp(c_5 m \log N) \quad \text{if} \quad |z| \leq 2R.$$

Denote by $G_2(z)$ the branch of $\log(G_1(z)/G_1(0))$ in the circle $|z| < \frac{3}{2}R$, determined by $G_2(0) = 0$. We have for $|z| = 2R$

$$\left| \frac{G_1(z)}{G_1(0)} \right| \leq \left| \frac{G(z)}{G(0)} \right| \leq \exp(c_5 m \log N) \quad (\text{compare e. g. [1], p. 49}).$$

Hence

$$\Re G_2(z) \leq c_5 m \log N \quad \text{for} \quad |z| < \frac{3}{2}R$$

and

$$\left| \frac{G'_1(z)}{G_1(z)} \right| \leq \frac{2 \cdot \frac{3}{2}R}{(\frac{1}{2}R)^2} c_5 m \log N \leq c_6 \log N \quad \text{for} \quad |z| \leq R$$

(apply [1], p. 50, Theorem E with $\nu = 1$). That is

$$\left| \frac{G'(z)}{G(z)} - \sum_{z_k} \left(\frac{1}{z - z_k} + \frac{1}{4R^2 - \bar{z}z_k} \right) \right| \leq c_6 \log N \quad \text{for} \quad |z| \leq R.$$

But

$$\left| \frac{4R^2}{\bar{z}z_k} - z \right| \geq \frac{4R^2}{\frac{3}{2}R} - R = \frac{5}{3}R,$$

and the number of the zeros z_k is $\leq c_7 m \log N$, whence

$$\left| \sum_{z_k} \frac{1}{4R^2 - \bar{z}z_k} \right| \leq \frac{3}{5R} c_7 m \log N \leq c_8 \log N.$$

Finally

$$\left| \frac{U'_N(s)}{U_N(s)} - \sum_{s_k} \frac{1}{s - s_k} \right| \leq c_9 \log N$$

after the transformation $s = z + s_0$. If s_k lies outside the rectangle

$$-m - 1 \leq \sigma \leq -m + 2, \quad n - \frac{1}{2} \leq t \leq n + \frac{3}{2}, \quad s = \sigma + it,$$

then $|s - s_k| \geq \frac{1}{2}$ for

$$-m - \frac{1}{2} \leq \sigma \leq -m + \frac{3}{2}, \quad n \leq t \leq n + 1, \quad s = \sigma + it$$

and the result follows.

LEMMA 3.

(a) There exists a sequence of numbers T_0, T_1, T_2, \dots such that

$$1. \quad n \leq T_n \leq n + 1,$$

$$2. \quad \left| \frac{U'_N(s)}{U_N(s)} \right| \leq c_{10} \log^2 N \quad \text{for} \quad -\frac{1}{2} \leq \sigma \leq 2, \quad t = T_n, \quad s = \sigma + it.$$

(b) For every $m = 1, 2, \dots$ there exists a sequence $T_0^{(m)}, T_1^{(m)}, T_2^{(m)}, \dots$ such that

$$1. \quad n \leq T_n^{(m)} \leq n + 1,$$

$$2. \quad \left| \frac{U'_N(s)}{U_N(s)} \right| \leq c_{11} m^2 \log^2 N \quad \text{for} \quad -m - \frac{1}{2} \leq \sigma \leq -m + 1, \quad t = T_n^{(m)},$$

$$s = \sigma + it.$$

(c) For every $m = 1, 2, \dots$ there exists a sequence $S_0^{(m)}, S_1^{(m)}, S_2^{(m)}, \dots$ such that

$$1. \quad -m + \frac{1}{2} \leq S_n^{(m)} \leq -m + 1,$$

$$2. \quad \left| \frac{U'_N(s)}{U_N(s)} \right| \leq c_{12} m^2 \log^2 N \quad \text{for} \quad \sigma = S_n^{(m)}, \quad n \leq t \leq n + 1, \quad s = \sigma + it.$$

(d) For every $m = 1, 2, \dots$ there exists a sequence $\tilde{S}_0^{(m)}, \tilde{S}_1^{(m)}, \tilde{S}_2^{(m)}, \dots$ such that

$$1. \quad -m \leq \tilde{S}_n^{(m)} \leq -m + 1,$$

$$2. \quad \left| \frac{U'_N}{U_N}(s) \right| \leq c_{13} m^2 \log^2 N \text{ for } \sigma = \tilde{S}_n^{(m)}, n \leq t \leq n + \frac{3}{2}, s = \sigma + it.$$

(e) For every $m = 1, 2, \dots$ there exists a sequence $\tilde{T}_0^{(m)}, \tilde{T}_1^{(m)}, \tilde{T}_2^{(m)}, \dots$ such that

$$1. \quad n \leq \tilde{T}_n^{(m)} \leq n + \frac{1}{2},$$

$$2. \quad \left| \frac{U'_N}{U_N}(s) \right| \leq c_{14} m^2 \log^2 N \text{ for } -m \leq \sigma \leq -m+1, t = \tilde{T}_n^{(m)},$$

$$s = \sigma + it.$$

Proof. Since all the proofs are analogous, it is clearly enough to prove, say, (b).

Divide the interval $\langle n, n+1 \rangle$ into $Q+1$ equal parts, where Q denotes the number of zeros of $U_N(s)$ in the rectangle

$$-m - \frac{1}{2} \leq \sigma \leq -m+1, \quad n \leq t \leq n+1, \quad s = \sigma + it.$$

At least one of the rectangles so obtained is free of $U_N(s)$ -zeros. Denote the ordinate of the centre of this rectangle by $T_n^{(m)}$. Let ϱ be any $U_N(s)$ -zero lying in the rectangle

$$-m-1 \leq \sigma \leq -m+2, \quad n - \frac{1}{2} \leq t \leq n + \frac{3}{2}, \quad s = \sigma + it$$

and let s^* lie on the line $t = T_n^{(m)}$. Then

$$|s^* - \varrho| \geq \frac{1}{2} \cdot \frac{1}{Q+1},$$

whence

$$\frac{1}{|s^* - \varrho|} \leq 2(Q+1) \leq c_{15} m \log N$$

by Lemma 1. Hence and from Lemma 2 we obtain

$$\left| \frac{U'_N}{U_N}(s) \right| \leq c_{11} m^2 \log^2 N$$

for

$$-m - \frac{1}{2} \leq \sigma \leq -m+1, \quad t = T_n^{(m)}, \quad s = \sigma + it.$$

LEMMA 4. The function $U'_N(s)/U_N(s)$ may be developed in a Dirichlet series $\sum_{n=1}^{\infty} (a_n/n^s)$ convergent in the half-plane

$$\sigma > 1 + 2 \frac{\log \log N}{\log N} \quad (N \geq N_0).$$

Further

$$(2.1) \quad a_n = -\Lambda(n) \quad \text{for } n \leq N$$

and

$$(2.2) \quad \text{if } x \leq N \text{ and } n \leq \frac{3}{2}x \text{ then } |a_n| \leq c_{16} x \log x.$$

Remark. It is sufficient to prove (2.2) only for $x = N$. In fact, if $x \leq \frac{2}{3}N$, then $n \leq N$ and $|a_n| \leq \log n \leq \log \frac{3}{2}x$ by (2.1). If $\frac{2}{3}N \leq x \leq N$, then $|a_n| \leq c_{16} N \log N \leq c_{16}' x \log x$, since we suppose that (2.2) holds for $x = N$.

Proof. The existence of a development of $U'_N(s)/U_N(s)$ in a Dirichlet series follows from Turán's remark (10.1.7) in [5], p. 121. The convergence of this series for

$$\sigma > 1 + 2 \frac{\log \log N}{\log N}$$

follows from another theorem of Turán ([4], p. 20), stating that

$$(2.3) \quad \left| \frac{1}{U_N(s)} \right| \leq c_{17} \frac{\log^2 N}{(\log \log N)^3} \quad \text{for } \sigma > 1 + 2 \frac{\log \log N}{\log N}.$$

This inequality, combined with a general theorem on Dirichlet series (see e. g. [3], § 9.44, p. 302), gives the required result. We note incidentally that (2.3) implies the inequality

$$(2.4) \quad \left| \frac{U'_N}{U_N}(s) \right| \leq c_{18} \frac{\log^4 N}{(\log \log N)^3} \quad \text{for } \sigma > 1 + 2 \frac{\log \log N}{\log N},$$

which we will use afterwards. Turning now to the proof of (2.1) we have

$$U'_N(s) = U_N(s) \sum_{n=1}^{\infty} \frac{a_n}{n^s}.$$

Hence, for $n \leq N$ we obtain

$$\sum_{k|n} a_k = -\log n,$$

whence by the Möbius inversion formula we obtain

$$(2.5) \quad a_n = \sum_{d|n} \mu(d) (-\log(n/d)).$$

Since this defines a_n uniquely for $n \leq N$, from the well-known formula

$$\sum_{n=1}^{\infty} \frac{-\Lambda(n)}{n^s} = \frac{\zeta'(s)}{\zeta(s)} = \sum_{n=1}^{\infty} \frac{-\log n}{n^s} \cdot \sum_{n=1}^{\infty} \frac{\mu(n)}{n^s} \quad \text{for } \sigma > 1$$

we see at once that (2.1) holds.

Now we shall prove inequality (2.2) for $x = N$. It is sufficient to consider only $N < n \leq \frac{3}{2}N$. We then have

$$\sum_{k|n} a_k = 0.$$

If $k < n$, then $k \leq \frac{1}{2}n$ and consequently $k \leq N$. Hence

$$a_n = -\sum_{\substack{k|n \\ k < n}} a_k, \quad |a_n| \leq \sum_{\substack{k|n \\ k < n}} |a_k| \leq \sum_{k|n} \log k \leq \log n! \leq c_{16} N \log N.$$

3. THEOREM. Let $N > N_0$ be an integer. If $2 \leq x \leq N$, then

$$\psi_0(x) = \frac{\log N!}{N} - \sum_q \frac{x^q}{q},$$

$q = \beta + i\gamma$ running through the zeros of $U_N(s) = \sum_{n \leq N} (1/n^s)$, and $\sum_q (x^q/q) = S_N(x)$ denoting the limit of $S_N(x, T) = \sum_{|\gamma| \leq T} (x^q/q)$ as $T \rightarrow \infty$.

Writing further

$$R_N(x, T) = S_N(x) - S_N(x, T)$$

we have

$$|R_N(x, T)| \leq \begin{cases} c_{19} \frac{x^2}{T} \left(\frac{\log^{14} N}{(\log \log N)^6} + \frac{\log^6 N}{\xi} \right) & \text{if } x \neq p^m, \\ c_{19} \frac{x^2}{T} \cdot \frac{\log^{14} N}{(\log \log N)^6} & \text{if } x = p^m, \end{cases}$$

$$|R_N(x, T)| \leq c_{19} \left(\frac{x^2}{T} \cdot \frac{\log^{14} N}{(\log \log N)^6} + \log x \right) \quad \text{always}$$

where $\xi = \xi(x)$ is the distance of x from the nearest prime power p^m .

Proof. Let $T \geq 3$ and denote by T' the least T_n of Lemma 3 which is greater than T . Let $q \geq 2$ be any integer.

Denote by C_q^T the contour consisting of the segment

$$\left\langle 2 + 6 \frac{\log \log N}{\log N} - iT', 2 + 6 \frac{\log \log N}{\log N} + iT' \right\rangle$$

and of three broken lines given by Lemma 3 as follows:

First there is given an infinite broken line consisting of the horizontal segments

$$S_n^{(1)} \leq \sigma \leq 2 + 6 \frac{\log \log N}{\log N}, \quad t = T_n.$$

$$S_n^{(m+1)} \leq \sigma \leq S_n^{(m)}, \quad t = T_n^{(m)} \quad (m = 1, 2, \dots)$$

joined by vertical ones. Secondly there is an infinite broken line consisting of the vertical segments

$$\sigma = \tilde{S}_\mu^{(a)}, \quad \tilde{T}_\mu^{(a)} \leq t \leq \tilde{T}_{\mu+1}^{(a)} \quad (\mu = 0, 1, \dots)$$

joined by horizontal ones. By symmetrical mapping in the half-plane $t < 0$ we obtain three infinite broken lines. The contour C_q^T is formed by their intersection.

Put

$$a = 2 + 6 \frac{\log \log N}{\log N} - iT', \quad b = 2 + 6 \frac{\log \log N}{\log N} + iT'.$$

Consider the integral

$$(3.1) \quad \frac{1}{2\pi i} \int_{C_q^T} \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds = \frac{1}{2\pi i} \int_a^b \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds + \frac{1}{2\pi i} (I_1 + I_2 + I_3)$$

where I_1, I_2, I_3 denote the integrals along respective upper, lower and left broken line. First of all, estimate these integrals:

$$(3.2) \quad |I_3| \leq x^{-a+1} c_{20} q^2 \log^2 N \left(\int_0^{T'} \frac{dt}{\sqrt{(q-1)^2 + t^2}} + \sum_{n \leq T'} \frac{1}{n} \right) \leq c_{21} \frac{q^2 \log^2 N}{x^{a-1}} \log T,$$

$$(3.3) \quad |I_1| \leq c_{22} \left(\sum_{v=1}^q \int_{v-1}^v \frac{x^{-\sigma}}{\sqrt{\sigma^2 + (T-1)^2}} v^2 \log^2 N d\sigma + \frac{x^2 \log^8 N}{T} + \sum_{v=1}^q \frac{v^2 \log^2 N}{x^{v-1} T} \right) \\ \leq c_{23} \frac{\log^8 N}{T} \left(x^2 + \sum_{v=1}^\infty \frac{v^2}{2^{v-1}} \right) \leq c_{24} \frac{x^2 \log^8 N}{T}$$

and similarly:

$$(3.4) \quad |I_2| \leq c_{24} \frac{x^2 \log^8 N}{T}.$$

Now apply Cauchy's theorem

$$(3.5) \quad \frac{1}{2\pi i} \int_{C_q^T} \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds = \frac{\log N!}{N} - \sum_{q \text{ inside } C_q^T} \frac{x^q}{q}.$$

As $q \rightarrow \infty$, we obtain from (3.1), (3.2), (3.3), (3.4), (3.5)

$$(3.6) \quad \frac{1}{2\pi i} \int_a^b \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds = \frac{\log N!}{N} - \sum'_q \frac{x^q}{q} + O\left(\frac{x^2}{T} \log^3 N\right)$$

where \sum'_q denotes the summation over all zeros q lying between the upper and the lower broken line. Now

$$\left| \sum'_q \frac{x^q}{q} - S_N(x, T) \right| \leq \frac{c_{25}}{T} \left(x \log^3 N + \sum_{\nu=1}^{\infty} \frac{\nu \log N}{x^{\nu-1}} \right) \leq \frac{c_{26}}{T} x \log^3 N.$$

From this and from (3.6) we obtain

$$(3.7) \quad \frac{1}{2\pi i} \int_a^b \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds = \frac{\log N!}{N} - S_N(x, T) + O\left(\frac{x^2}{T} \log^3 N\right).$$

Now put

$$I(y) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \frac{y^s}{s} ds, \quad I(y, T) = \int_{c-iT}^{c+iT} \frac{y^s}{s} ds, \quad \Delta(y, T) = I(y) - I(y, T).$$

Then it is well known (see e. g. [1], p. 75, Theorem G) that for $T > 0$

$$|\Delta(y, T)| < \begin{cases} \frac{y^c}{\pi T |\log y|} & \text{if } y \neq 1, \\ \frac{c}{\pi T} & \text{if } y = 1, \end{cases}$$

$$|\Delta(y, T)| < y^c \quad \text{always.}$$

Using this notation and putting $c = 2 + 6 \frac{\log \log N}{\log N}$ we have

$$\begin{aligned} \frac{1}{2\pi i} \int_{c-iT'}^{c+iT'} \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds &= \sum_{n=1}^{\infty} \frac{-a_n}{2\pi i} \int_{c-iT'}^{c+iT'} \frac{(x/n)^s}{s} ds = \sum_{n=1}^{\infty} -a_n I\left(\frac{x}{n}, T'\right) \\ &= \sum_{n=1}^{\infty} -a_n I\left(\frac{x}{n}\right) + \sum_{n=1}^{\infty} a_n \Delta\left(\frac{x}{n}, T'\right) \\ &= \psi_0(x) + \sum_{n=1}^{\infty} a_n \Delta\left(\frac{x}{n}, T'\right). \end{aligned}$$

Write

$$u_n = a_n \Delta\left(\frac{x}{n}, T'\right), \quad \sum_{n=1}^{\infty} u_n = X.$$

Then

$$(3.8) \quad \frac{1}{2\pi i} \int_a^b \frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right) ds = \psi_0(x) + X,$$

where

$$a = 2 + 6 \frac{\log \log N}{\log N} - iT', \quad b = 2 + 6 \frac{\log \log N}{\log N} + iT'.$$

We now estimate X . Let

$$2 + 6 \frac{\log \log N}{\log N} = d.$$

If $n \neq x$ then

$$|u_n| \leq |a_n| \cdot \frac{(x/n)^d}{\pi T' |\log(x/n)|} \leq \frac{x^2 \log^6 N \cdot |a_n|}{\pi T n^d} \cdot \frac{n+x}{|n-x|}.$$

Denote by $\nu = \nu(x)$ the integer defined by $\nu - \frac{1}{2} < x \leq \nu + \frac{1}{2}$. Then it is not difficult to see that $|a_\nu| \leq \log \nu$ and we obtain analogously to [1] (p. 79)

$$(3.9) \quad |u_\nu| \leq \begin{cases} c_{27} \frac{x^2}{T \xi} \log^6 N & \text{if } x \neq p^m, \\ c_{28} \frac{\log x}{T} & \text{if } x = p^m, \end{cases}$$

$$|u_\nu| \leq c_{29} \log x \quad \text{always.}$$

Further (compare [1], p. 79)

$$(3.10) \quad |X - u_\nu| \leq \frac{x^2 \log^6 N}{\pi T} \left(5 \sum_{n=1}^{\infty} \frac{|a_n|}{n^d} + 2 \sum_{r=1}^{[x]} \frac{\max_{1 \leq n \leq 3x/2} |a_n| \cdot \frac{5}{2} x}{\left(\frac{1}{2}x\right)^2 \frac{1}{2}r} \right).$$

Clearly

$$(3.11) \quad \sum_{n=1}^{\infty} \frac{|a_n|}{n^d} \leq \sum_{n=1}^{\infty} \frac{|a_n|^2}{n^d} + \sum_{n=1}^{\infty} \frac{1}{n^d}.$$

By the mean-value theorem for Dirichlet series (see e. g. [5], p. 307) and inequality (2.4) we obtain

$$(3.12) \quad \sum_{n=1}^{\infty} \frac{|a_n|^2}{n^d} \leq c_{30} \frac{\log^3 N}{(\log \log N)^6} \quad \text{where} \quad d = 2 + 6 \frac{\log \log N}{\log N}.$$

Further, by (2.2),

$$(3.13) \quad \sum_{r=1}^{[x]} \frac{\max_{1 \leq n \leq 3x/2} |a_n| \cdot \frac{5}{2} x}{\left(\frac{1}{2}x\right)^2 \frac{1}{2}r} \leq c_{31} \frac{x^2 \log x}{x^2} \sum_{r=1}^{[x]} \frac{1}{r} \leq c_{32} \log^2 x.$$

(3.10), (3.11), (3.12), and (3.13) give

$$(3.14) \quad |X - u_x| \leq c_{33} \frac{x^2 \log^{14} N}{T(\log \log N)^6}.$$

(3.7) and (3.8) give

$$\psi_0(x) = \frac{\log N!}{N} - S_n(x, T) + O\left(\frac{x^2}{T} \log^8 N\right) + O(|X|).$$

By (3.9) and (3.14) we obtain the required estimates for $R_N(x, T)$. The formula

$$\psi_0(x) = \frac{\log N!}{N} - \sum_{\rho} \frac{x^{\rho}}{\rho}$$

follows on letting T tend to infinity.

COROLLARY. *Let $x \geq 2$. Then*

$$(3.15) \quad \psi_0(x) = x - \sum_{\substack{|\gamma| \leq x^{16} \\ \beta \geq -1}} \frac{x^{\rho}}{\rho} + O(\log x)$$

where $\rho = \beta + i\gamma$ denote the zeros of $U_n(s) = \sum_{n \leq N} \frac{1}{n^s}$ and $N = [x^{\epsilon}]$.

Obviously

$$\frac{\log N!}{N} = x + O(1).$$

Taking further

$$T = x^2 \log^{16} N$$

we obtain

$$\begin{aligned} \left| \sum_{\substack{|\gamma| \leq T \\ -m \leq \beta < -m+1}} \frac{x^{\rho}}{\rho} \right| &\leq c_{34} x^{-m+1} \sum_{n \leq x^2 \log^{14} N} \frac{m \log N}{n} \\ &\leq c_{35} x^{-m+1} m \log(x^2 \log^{14} N) \log N \leq c_{36} m \frac{\log x}{x^{m-2}}, \end{aligned}$$

whence

$$\left| \sum_{\substack{|\gamma| \leq T \\ \beta < -1}} \frac{x^{\rho}}{\rho} \right| \leq c_{37} \log x \sum_{m=2}^{\infty} \frac{m}{x^{m-2}} \leq c_{37} \log x \sum_{m=2}^{\infty} \frac{m}{2^{m-2}} = O(\log x)$$

and the result follows.

Remark. It is not difficult to replace x^{16} in formula (3.15) by $x^{4+\epsilon}$. This could have been achieved by taking

$$c = 2 + 6 \frac{\log \log x}{\log x}$$

instead of

$$c = 2 + 6 \frac{\log \log N}{\log N}$$

in the proof of Theorem and by more careful estimation.

4. Considering now the function

$$\frac{x^s}{s^{k+1}} \left(-\frac{U'_N(s)}{U_N(s)} \right), \quad k \geq 1 \text{ integer,}$$

instead of

$$\frac{x^s}{s} \left(-\frac{U'_N(s)}{U_N(s)} \right)$$

we can obtain formulas involving $\sum_{\rho} \frac{x^{\rho}}{\rho^{k+1}}$ analogous to those already obtained.

Put, say, $x = N$. Then we prove

$$(4.1) \quad \sum_{n \leq N} A(n) \frac{\log^k(N/n)}{k!} = A_k(N) - \sum_{|\gamma| \leq T} \frac{N^{\rho}}{\rho^{k+1}} + O\left(\frac{N^2}{T^k} \cdot \frac{\log^{14} N}{(\log \log N)^6}\right)$$

where $\rho = \beta + i\gamma$ denotes the zeros of $U_N(s)$ and

$$A_k(N) = \frac{1}{k!} \cdot \frac{d^k}{ds^k} \left(-N^s \frac{U'_N(s)}{U_N(s)} \right)_{s=0}.$$

It can be noticed that we are in a position to deduce from (4.1) some information on the distribution of zeros of $U_N(s)$. As this subject seems to be of self-contained interest I will return to it somewhere else.

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On the distribution of the solutions of diophantine equations with many unknowns

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To the solutions of a diophantine equation with r unknowns correspond geometrically — as we know — in the r -dimensional space R^r the points with entire coordinates of an $(r-1)$ -dimensional hypersurface. From this geometrical interpretation follows immediately for every diophantine equation with r unknowns the following problem of a very general character, which can be formulated also merely arithmetically: how the lattice points representing the solutions of the diophantine equation in question are distributed in the space R^r . Of course this problem is interesting principally in the case when the diophantine equation has infinitely many solutions.

Let r and P be positive integers, $\Phi(x_1, \dots, x_r)$ a polynomial of r variables with entire coefficients, in respect to which we do not make, for the moment, any restrictions.

The distribution of the solutions in positive integers of the equation

$$(1) \quad \Phi(x_1, \dots, x_r) = 0$$

can be described with the aid of the solution function $R(P)$ defined in the following manner: let $R(P)$ denote the number of all the points with entire coordinates of the hypersurface (1) which are placed inside the cube $1 \leq x_1 \leq P, \dots, 1 \leq x_r \leq P$.

Purely arithmetically formulated, $R(P)$ means the number of all the positive entire solutions of the diophantine equation (1) in respect to which $x_1 \leq P, \dots, x_r \leq P$.

As each of the variables x_1, \dots, x_r can assume only the values $1, \dots, P$, for the $R(P)$ solution function we have in every case the trivial upper estimation

$$R(P) \leq P^r.$$

But in very many cases the upper estimation can be considerably improved. So for instance if $n \geq 2$, and $C = C(\varepsilon, n)$ is a positive constant de-