

## Generalized Jacobsthal sums and sums of squares

by

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*Dedicated to Paul Erdős on his 75th birthday*

**1. Introduction and notation.** It is well known that, for a prime  $p \equiv 1 \pmod{4}$ , an explicit representation of  $p$  as a sum of two integral squares is given by formulae of Jacobsthal involving Legendre symbols, and there have been numerous generalizations of this result; see, for example, [2] and the references there given. In the present paper we are interested in sums of Jacobsthal type, in which the Legendre symbols are replaced by general Dirichlet characters on a finite field. In the special cases where these characters take values in the Gaussian field, representations of prime powers as sums of squares of rational integers are obtained.

Throughout  $p$  denotes an odd prime,  $k$  a positive integer, and we write

$$(1.1) \quad q = p^k$$

and denote by  $F_q$  the finite field of  $q$  elements, whose nonzero members form the cyclic group  $F_q^*$  of order  $Q = q - 1$ , generated by the primitive element  $g$ .

The letters  $\chi$  and  $\psi$ , with or without suffixes, denote multiplicative characters on  $F_q^*$ , extended to  $F_q$  by taking the value zero at 0. The abelian group of all such characters is cyclic, being generated by the primitive character  $\chi_1$ , which is defined uniquely by the equation

$$(1.2) \quad \chi_1(g) = e^{2\pi i/Q}.$$

The principal (trivial) character is denoted by  $\chi_0$ , and, for any character  $\chi$ , we write  $\delta(\chi) = 1$  or 0 according as  $\chi$  is, or is not,  $\chi_0$ .

In applications we shall be particularly interested in the real quadratic character  $\eta$  and the biquadratic character  $\varepsilon$ . Here  $\eta = \chi_1^{Q/2}$  and is a generalization of the Legendre symbol, while  $\varepsilon$  is defined, when  $q \equiv 1 \pmod{4}$ , to be  $\chi_1^{Q/4}$ , so that  $\varepsilon(g) = i$ . We note that, for  $q \equiv \pm 1 \pmod{8}$ ,  $\eta(2) = 1$ , so that  $\varepsilon(2)$  is real.

For any positive integer  $m$ ,  $R_m$  denotes the ring  $\mathbf{Z}[\zeta_m]$  of integers in the cyclotomic field generated by

$$(1.3) \quad \zeta_m = e^{2\pi i/m}.$$

In particular,  $R_4$  is the ring of Gaussian integers.

We take positive rational integers  $e$  and  $f$  satisfying

$$(1.4) \quad ef = Q = q - 1$$

and are interested in the sums

$$(1.5) \quad S(x, e; \chi, \psi) = \sum_x \bar{\chi}(x) \psi(x^e + g^x) \quad (x \in \mathbf{Z}).$$

Here  $\sum_x$  denotes a summation over all  $x \in F_q$ . We shall write  $\sum_x^*$  to denote a sum over all  $x \in F_q^*$ .

We are also interested in the following sums:

$$(1.6) \quad a_x(e; \chi, \psi) = \sum_{n=0}^{f-1} \bar{\chi}(g^n) \psi(g^{ne} + g^x) \quad (x \in \mathbf{Z})$$

and

$$(1.7) \quad T(e, \mu; \chi, \psi) = \sum_{x=1}^Q S(x, e; \chi, \psi) \overline{S(x+\mu, e; \chi, \psi)} \quad (\mu \in \mathbf{Z}).$$

In particular, we write

$$(1.8) \quad T(e; \chi, \psi) = T(e, 0; \chi, \psi).$$

Complex conjugate quantities are denoted throughout by a bar.

When the characters  $\chi$  and  $\psi$  are powers of  $\varepsilon$ , the sums (1.5) are Gaussian or rational integers, and this will enable us to express  $q$  as a sum of squares of rational integers.

## 2. Character sums.

THEOREM 1. For  $\mu \in \mathbf{Z}$ , write

$$(2.1) \quad \mu = e\lambda, \quad \varepsilon_\mu = 1 \quad \text{if } e|\mu \quad \text{and} \quad \lambda = \varepsilon_\mu = 0 \quad \text{if } e \nmid \mu.$$

Then

$$Q^{-2} T(e, \mu; \chi, \psi) = \{Q\delta(\psi) - 1\} \psi(g^{-\mu}) \delta(\chi) - \delta(\bar{\chi}\psi^e) \\ + \varepsilon_\mu \{(q/f) - e\delta(\psi)\} \chi(g^\lambda) \bar{\psi}(g^{\lambda e}) \delta(\chi^f).$$

Proof. We have, by (1.7),

$$Q^{-2} T(e, \mu; \chi, \psi) \\ = Q^{-2} \sum_{x=1}^Q \sum_x \sum_y \bar{\chi}(x) \chi(y) \psi(x^e + g^x) \bar{\psi}(y^e + g^{x+\mu}) \\ = Q^{-2} \sum_x \sum_y \bar{\chi}(x) \chi(y) \sum_n^* \psi(x^e + n) \bar{\psi}(y^e g^{-\mu} + n) \bar{\psi}(g^\mu) \\ = Q^{-2} \bar{\psi}(g^\mu) \sum_x \sum_y \bar{\chi}(x) \chi(y) \left\{ \sum_z \psi(x^e - y^e g^{-\mu} + z) \bar{\psi}(z) - \psi(x^e y^{-e} g^\mu) \right\} \\ = Q^{-2} \bar{\psi}(g^\mu) \sum_x \sum_y \bar{\chi}(x) \chi(y) \sum_z^* \psi(1 + z^{-1} [x^e - y^e g^{-\mu}]) - \delta(\bar{\chi}\psi^e) \\ = Q^{-2} \bar{\psi}(g^\mu) \sum_{x^e \neq y^e g^{-\mu}} \bar{\chi}(x) \chi(y) \{Q\delta(\psi) - 1\} \\ + Q^{-1} \bar{\psi}(g^\mu) \sum_{x^e = y^e g^{-\mu}} \bar{\chi}(x) \chi(y) - \delta(\bar{\chi}\psi^e) \\ = \bar{\psi}(g^\mu) \{Q\delta(\psi) - 1\} \delta(\chi) \\ + Q^{-2} \bar{\psi}(g^\mu) \{q - Q\delta(\psi)\} \sum_x \sum_y \bar{\chi}(x) \chi(y) - \delta(\bar{\chi}\psi^e),$$

where  $x^e = y^e g^{-\mu}$  in the double sum. Hence, the left-hand side becomes

$$\bar{\psi}(g^\mu) \{Q\delta(\psi) - 1\} \delta(\chi) - \delta(\bar{\chi}\psi^e) + \varepsilon_\mu Q^{-2} \{q - Q\delta(\psi)\} \bar{\psi}(g^\mu) \sum_y^* \sum_{n=1}^e \chi(g^{nf+\lambda}).$$

The result follows, since the double sum on the right is

$$Qe\chi(g^\lambda) \delta(\chi^f).$$

The theorem is of particular interest when

$$(2.2) \quad \chi^f = \chi_0$$

and

$$(2.3) \quad \delta(\chi) = \delta(\psi) = \delta(\bar{\chi}\psi^e) = 0.$$

We then have

COROLLARY 1. If (2.1)–(2.3) hold, then

$$(2.4) \quad T(e, \mu; \chi, \psi) = \varepsilon_\mu Qe\chi(g^\lambda) \psi(g^{-\lambda e});$$

in particular,

$$(2.5) \quad T(e; \chi, \psi) = Qqe.$$

THEOREM 2. For each  $\kappa \in \mathbf{Z}$ ,  $a_\kappa(e; \chi, \psi) \in R_Q$ . Further, if  $f$  is even, then

- (i)  $a_0 \neq 0$  if, for some prime  $p'$  dividing  $Q$ ,  $p' \nmid \chi f - 1$ ,  
 (ii)  $a_\kappa \neq 0$ , where  $0 < \kappa < e$ , if, for some prime  $p'$  dividing  $Q$ ,  $p' \nmid \chi f$ .

Proof. That  $a_\kappa \in R_Q$  is obvious. Write  $\lambda = 1 - \zeta_Q$ , in the notation of (1.3). Then, for each  $n \in \mathbf{Z}$ ,

$$\zeta_Q^n \equiv 1 \pmod{\lambda},$$

and so (i)  $a_0 \equiv f - 1 \pmod{\lambda}$ , and (ii)  $a_\kappa \equiv f \pmod{\lambda}$  for  $0 < \kappa < e$ ; note that  $g^{ne} + 1 = 0$  for  $n = f/2$ . The results follow, since the norm of  $\lambda$  is a product of positive powers of the primes dividing  $Q$ .

COROLLARY 2. Let  $\chi$  and  $\psi$  take values in the Gaussian ring  $R_4$ , and let  $f$  be even. Then  $a_0(e; \chi, \psi) \equiv 1 \pmod{(1-i)}$ , and  $a_\kappa(e; \chi, \psi) \equiv 0 \pmod{(1-i)}$  for  $0 < \kappa < e$ . In particular,  $a_0(e; \chi, \psi) \neq 0$ .

We now obtain some further properties of the numbers  $a_\kappa(e; \chi, \psi)$ .

THEOREM 3. Suppose that  $\chi^f = \chi_0$ . Then

$$(i) \quad a_{\kappa+me}(e; \chi, \psi) = \{\bar{\chi}(g^e)\psi(g^e)\}^m a_\kappa(e; \chi, \psi) \quad (m \in \mathbf{Z}), \quad (2.6)$$

$$(ii) \quad a_\kappa(e; \chi, \psi) = \psi(g^\kappa) a_{-\kappa}(e; \bar{\chi}\psi^e, \psi), \quad (2.7)$$

$$(iii) \quad S(\kappa, e; \chi, \psi) = e a_\kappa(e; \chi, \psi), \quad (2.8)$$

$$(iv) \quad a_\kappa(e; \bar{\chi}, \bar{\psi}) = \overline{a_\kappa(e; \chi, \psi)}. \quad (2.9)$$

Proof.

$$(i) \quad a_{\kappa+me}(e; \chi, \psi) = \sum_{n=0}^{f-1} \bar{\chi}(g^n) \psi(g^{ne+g^{\kappa+me}}) \\ = \psi(g^{me}) \sum_{n=0}^{f-1} \bar{\chi}(g^n) \psi(g^{(n-m)e+g^\kappa}) \\ = \{\bar{\chi}(g)\psi(g^e)\}^m \sum_{n=0}^{f-1} \bar{\chi}(g^{n-m}) \psi(g^{(n-m)e+g^\kappa}),$$

from which (2.6) follows.

$$(ii) \quad a_\kappa(e; \chi, \psi) = \psi(1+g^\kappa) + \sum_{m=1}^{f-1} \bar{\chi}(g^{f-m}) \psi(g^{(f-m)e+g^\kappa}) \\ = \psi(1+g^\kappa) + \bar{\chi}(g^f) \psi(g^\kappa) \sum_{m=1}^{f-1} \chi(g^m) \bar{\psi}^e(g^m) \psi(g^{me+g^{-\kappa}}) \\ = \psi(g^\kappa) \sum_{m=0}^{f-1} \chi(g^m) \bar{\psi}^e(g^m) \psi(g^{me+g^{-\kappa}}) \\ = \psi(g^\kappa) a_{-\kappa}(e; \bar{\chi}\psi^e, \psi).$$

(iii) Put  $x = g^r$  in (1.5) and write

$$r = mf + n \quad \text{where} \quad 0 \leq m < e \quad \text{and} \quad 0 \leq n < f.$$

Then

$$S(\kappa, e; \chi, \psi) = \sum_{r=0}^Q \bar{\chi}(g^r) \psi(g^{re+g^\kappa}) \\ = \sum_{n=0}^{f-1} \sum_{m=0}^{e-1} \bar{\chi}(g^{mf+n}) \psi(g^{ne+g^\kappa}) \\ = e \sum_{n=0}^{f-1} \bar{\chi}(g^n) \psi(g^{ne+g^\kappa}),$$

by (2.2).

Finally, (2.9) is obvious.

We immediately deduce

COROLLARY 3. If  $\chi^f = \chi_0$ , then

$$(2.10) \quad |a_{\kappa+me}(e; \chi, \psi)| = |a_\kappa(e; \chi, \psi)| = |a_\kappa(e; \bar{\chi}, \bar{\psi})| \quad \text{for all } m \in \mathbf{Z},$$

and

$$(2.11) \quad |a_\kappa(e; \chi, \psi)| = |a_{-\kappa}(e; \bar{\chi}\psi^e, \psi)|.$$

THEOREM 4. Let  $\chi$  and  $\psi$  satisfy (2.2) and (2.3). Then

$$(2.12) \quad \sum_{\kappa=0}^{e-1} |a_\kappa(e; \chi, \psi)|^2 = e,$$

and

$$(2.13) \quad \sum_{\kappa=0}^{e-1} a_\kappa(e; \chi, \psi) \overline{a_{\kappa+v}(e; \chi, \psi)} = 0 \quad \text{if} \quad v \not\equiv 0 \pmod{e}.$$

Proof. (2.12) follows from (2.5), (2.8) and (2.10), while (2.13), follows from (2.4), (2.6) and (2.8). The theorem generalizes formulae involving Legendre symbols to be found in [3], for example.

THEOREM 5. Let  $Q = ef$ , where  $e = e_1 e_2$  and  $e_1 f_1 = e_2 f_2 = Q$ . Then

$$(2.14) \quad \sum_{v=0}^{e_1-1} \bar{\chi}(g^v) \psi(g^{ve_2}) a_{\kappa-ve_2}(e_1 e_2; \chi^{e_1}, \psi) = a_\kappa(e_2; \chi, \psi).$$

Proof. The left-hand side of (2.14) is, by (1.6),

$$\sum_{n=0}^{f-1} \sum_{v=0}^{e_1-1} \bar{\chi}(g^{e_1 n+v}) \psi(g^{e_2(e_1 n+v)+g^\kappa}),$$

from which the result follows since  $e_1 n + \nu$  runs from zero to

$$e_1(f-1) + e_1 - 1 = e_1 f - 1 = f_2 - 1.$$

COROLLARY 5. Let  $Q \equiv 0 \pmod{4}$ . Then

$$a_x(4; \chi^2, \psi) + \bar{\chi}(g)\psi(g^2)a_{x-2}(4; \chi^2, \psi) = a_x(2; \chi, \psi).$$

3.  $e = 1$ . In this case the character sums are Jacobi sums, which have been extensively discussed by various authors; see, for example, the early account [1], where examples are given for various values of  $p$ . Note also, that, when the characters take values in the Gaussian ring  $R_4$ , the relation  $|a_0|^2 = q$  gives a representation of  $q$  as a sum of two rational integral squares.

4.  $e = 2$ . We begin by proving a general result.

THEOREM 6. Let  $c \in \mathbb{F}_q^*$  and put  $g^v = -c^2$ . Then

$$\bar{\chi}(2c)S(\gamma, 2; \chi, \chi)$$

is real.

Proof. Let  $C = \mathbb{F}_q^* - \{c, -c\}$  and define

$$f(\lambda) = c \frac{\lambda + c}{\lambda - c} \quad (\lambda \in C).$$

It is easily verified that, if  $\mu = f(\lambda)$ , then  $\lambda = f(\mu)$  and that  $f$  maps  $C$  bijectively onto itself. Moreover

$$\frac{\lambda^2 - c^2}{2\lambda c} = \frac{2\mu c}{\mu^2 - c^2}.$$

Hence

$$\begin{aligned} \bar{\chi}(2c)S(\gamma, 2; \chi, \chi) &= \sum_{\lambda}^* \chi\left(\frac{\lambda^2 - c^2}{2\lambda c}\right) \\ &= \sum_{\lambda \in C} \chi\left(\frac{\lambda^2 - c^2}{2\lambda c}\right) = \sum_{\mu \in C} \chi\left(\frac{2\mu c}{\mu^2 - c^2}\right) \\ &= \sum_{\mu \in C} \bar{\chi}\left(\frac{\mu^2 - c^2}{2\mu c}\right), \end{aligned}$$

from which the theorem follows.

COROLLARY 6. Let  $\chi^f = \chi_0 \neq \chi$ . Then

$$(4.1) \quad a_\gamma(2; \chi, \chi) \bar{\chi}(2c) \text{ is real.}$$

In particular,

$$(4.2) \quad \bar{\chi}(2)a_0(2; \chi, \chi) \text{ is real if } q \equiv 1 \pmod{4},$$

and

$$(4.3) \quad \bar{\chi}(2c)a_1(2; \chi, \chi) \text{ is real if } q \equiv -1 \pmod{4},$$

where  $c = g^{(Q+2)/4} = g^{(f+1)/2}$ .

Proof. (4.1) follows from the theorem and (2.8). To deduce (4.2), put  $c = g^{Q/4}$ , so that  $-c^2 = -g^{Q/2} = 1$  and  $\gamma = 0$ ;  $\chi(c) = \pm 1$  since  $c^2 = g^f$ . For (4.3) take  $c$  as stated and note that  $-c^2 = g$  and  $\gamma = 1$ .

As an example of (4.3) take

$$q = 7, \quad g = 3, \quad e = 2, \quad f = 3 \quad \text{and} \quad \chi(g) = \omega = e^{2\pi i/3}.$$

Then

$$a_0 = 1 + 2\omega^2, \quad a_1 = 2\omega, \quad \bar{\chi}(2g^2) = \bar{\chi}(2c) = \omega^2,$$

and

$$|a_0|^2 + |a_1|^2 = 3 + 4 = 7.$$

If  $\chi$  and  $\psi$  take real values only, and (2.2) and (2.3) hold, we must have  $\chi(n) = \psi(n) = \eta(n)$ . When  $q = p$  this is the case considered by Jacobsthal and  $\eta(n)$  is the Legendre symbol  $\left(\frac{n}{p}\right)$ .

We now consider the cases when  $\chi$  and  $\psi$  take values in  $R_4$  and are not both real. In order to satisfy (2.2) and (2.3) we must have  $f \equiv 0 \pmod{4}$ , i.e.  $q \equiv 1 \pmod{8}$ . There are only six possibilities, namely

$$\chi = \varepsilon^s, \quad \psi = \varepsilon^r,$$

where  $s = 1$  or  $3$  and  $r = 1, 2$ , or  $3$ . When  $s = 3$ , the sums  $S(\chi, 2; \chi, \psi)$  take conjugate complex values to their values for  $s = 1$ , so that we may restrict our attention to the three cases

$$\chi = \varepsilon, \quad \psi = \varepsilon^r \quad (r = 1, 2, 3),$$

which we consider in

THEOREM 7. Let  $e = 2$  and  $f \equiv 0 \pmod{4}$ . Then in each of the following three cases there exist integers  $c$  and  $d$ , with  $c$  odd, such that

- (i)  $a_0(2; \varepsilon, \varepsilon) = c$ ,  $a_1(2; \varepsilon, \varepsilon) = d(1-i)$ ,
- (ii)  $a_0(2; \varepsilon, \varepsilon^2) = c$ ,  $a_1(2; \varepsilon, \varepsilon^2) = d(1+i)$ ,
- (iii)  $a_0(2; \varepsilon, \varepsilon^3) = c + id$ ,  $a_1(2; \varepsilon, \varepsilon^3) = 0$ .

Proof. (i) That  $a_0$  is an odd integer follows from (4.2) and Corollary 2, since  $\varepsilon(2)$  is real. Further, by (2.6) and (2.13),

$$0 = a_0 \bar{a}_1 + a_1 \bar{a}_2 = a_0 \bar{a}_1 - ia_1 \bar{a}_0 = a(\bar{a}_1 - ia_1),$$

so that  $\bar{a}_1 = ia_1$ . It follows that  $a_1 = d(1-i)$ , where  $d \in \mathbb{Z}$ .

(ii) We have

$$a_0 = \sum_{n=0}^{f-1} \bar{\varepsilon}(g^n) \varepsilon^2(g^{2n} + 1) = \varepsilon^2(2) + \sum_{n=1}^{f-1} \bar{\varepsilon}(g^n) \varepsilon^2(g^{2n} + 1).$$

In the last sum put  $m = f - n$ . Then, since  $\varepsilon(g^2) = -1$ ,

$$\bar{\varepsilon}(g^n) \varepsilon^2(g^{2n} + 1) = (-1)^m \bar{\varepsilon}(g^m) \varepsilon^2(g^{2m} + 1).$$

Hence,

$$a_0 = \varepsilon^2(2) + 2 \sum_{\lambda=1}^{(f/2)-1} (-1)^\lambda \varepsilon^2(g^{4\lambda} + 1)$$

and so is a real Gaussian integer, which must be odd, by Corollary 2.

Further, by (2.7) and (2.6),  $a_1 = -\overline{a_{-1}} = i\bar{a}_1$ , so that  $a_1 = d(1+i)$ , where  $d \in \mathbf{Z}$ .

(iii) We have

$$\begin{aligned} a_1 &= \sum_{n=0}^{f-1} \bar{\varepsilon}(g^n) \bar{\varepsilon}(g^{2n} + g) \\ &= \bar{\varepsilon}(g+1) + \bar{\varepsilon}(g) \bar{\varepsilon}(g^2 + g) + \sum_{n=2}^{f-1} \bar{\varepsilon}(g^n) \bar{\varepsilon}(g^{2n} + g). \end{aligned}$$

Put  $m = f+1-n$  in the last sum. Then

$$\varepsilon(g^n) \varepsilon(g^{2n} + g) = -\varepsilon(g^m) \varepsilon(g^{2m} + g),$$

from which it follows that  $a_1 = 0$ . Similarly, putting  $m = f-n$ , we deduce that

$$a_0 = \bar{\varepsilon}(2) + 2 \sum_{n=1}^{(f/2)-1} \bar{\varepsilon}(g^n) \bar{\varepsilon}(g^{2n} + 1) = c + id,$$

where  $c$  is odd and  $d$  is even, since  $\varepsilon(2) = \pm 1$ .

Note that, as a result of Theorem 7, we have representations of  $q$ , not as a real quaternary form, but as a binary form of the types  $c^2 + 2d^2$  ( $r = 1, 2$ ) and  $c^2 + d^2$  ( $r = 3$ ). As examples we find that, for  $p = q = 17$ , we have

$$a_0 = -3, \quad a_1 = -2 + 2i; \quad a_0 = 3, \quad a_1 = 2 + 2i; \quad a_0 = 1 + 4i, \quad a_1 = 0,$$

in the three cases, respectively.

When  $q = p^k$ , where  $k$  is even, a trivial representation is given by taking one of the summands to be  $p^{k/2}$  and the rest equal to zero. That this is not the only solution obtainable by sums of Jacobsthal type is shown by the case  $q = 25$ ,  $e = 2$ ,  $\chi = \psi = \eta$ , where we find  $a_0 = 3$ ,  $a_1 = 4$ .

5.  $e = 4$ . (i) The classical real case arises when

$$\chi = \psi = \eta \quad \text{and} \quad q \equiv 1 \pmod{8},$$

so that  $f$  is even. From (2.6) and (2.7) we have

$$a_{x+4} = -a_x \quad \text{and} \quad a_x = (-1)^x a_{-x},$$

so that  $a_1 = a_3$  and  $a_2 = 0$ . Hence

$$q = a_0^2 + a_1^2 + a_2^2 + a_3^2 = a_0^2 + 2a_1^2.$$

(ii) We now take

$$q \equiv 1 \pmod{16} \quad \text{and} \quad \chi \in \varepsilon, \quad \psi = \varepsilon^r \quad (r = 1, 2, 3),$$

so that (2.2) and (2.3) are satisfied. From (2.6)

$$(5.1) \quad a_{x+4} = -ia_x$$

and therefore, by Theorem 4,

$$|a_0|^2 + |a_1|^2 + |a_2|^2 + |a_3|^2 = q,$$

and

$$a_0 \bar{a}_1 + a_1 \bar{a}_2 + a_2 \bar{a}_3 + ia_3 \bar{a}_0 = a_0 \bar{a}_2 + a_1 \bar{a}_3 + ia_2 \bar{a}_0 + ia_3 \bar{a}_1 = 0.$$

We deduce that  $a_0 \bar{a}_2 + a_1 \bar{a}_3 = x(1-i)$ , where  $x$  is real, and, by Corollary 2,  $a_0 \equiv 1 \pmod{(1-i)}$ , so that  $a_0 \neq 0$ .

For example, when  $q = p = 17$ , we have

$$\begin{aligned} a_0 = -1, \quad a_1 = 3-i, \quad a_2 = 2, \quad a_3 = -1-i, \quad x = -4 \quad (r = 1), \\ a_0 = -1, \quad a_1 = 1-i, \quad a_2 = 2i, \quad a_3 = 3+i, \quad x = 2 \quad (r = 3). \end{aligned}$$

It may be verified by using (5.1) that these satisfy the formula

$$(5.2) \quad a_x(4; \varepsilon, \varepsilon^r) = i^{rx} \overline{a_{-x}(4; \varepsilon, \varepsilon^{-r})} \quad (r = 1, 2, 3),$$

which follows from (2.7).

If we now take  $r = 2$ , we find from (5.2) that  $a_0$  is real, and is therefore an odd rational integer,  $c$  say, while, since  $a_2 = \bar{a}_{-2} = -i\bar{a}_2$ , we find that  $a_2 = (1-i)d$  ( $d \in \mathbf{Z}$ ); further,  $\bar{a}_3 = -ia_1$ . Hence

$$q = |a_0|^2 + |a_1|^2 + |a_2|^2 + |a_3|^2 = c^2 + 2d^2 + 2|a_1|^2.$$

In particular, for  $p = q = 17$ ,

$$a_0 = 1, \quad a_1 = -2i, \quad a_2 = -2 + 2i, \quad a_3 = -2.$$

(iii) Finally, we take

$$q \equiv 1 \pmod{16}, \quad \chi = \varepsilon^2, \quad \psi = \varepsilon,$$

so that (2.2) and (2.3) are satisfied. From Corollary 5 and Theorem 7(i) we find that

$$(5.3) \quad a_0 + ia_{-2} = c, \quad a_1 + ia_{-1} = d(1-i),$$

so that, by (2.6) and (2.7),

$$(5.4) \quad a_0 - ia_2 = c, \quad a_1 = b(1-i), \quad a_3 = b(1+i),$$

where  $b \in \mathbb{Z}$ . Further, (2.13) gives

$$(5.5) \quad 0 = a_0 \bar{a}_2 + a_1 \bar{a}_3 + a_2 \bar{a}_4 + a_3 \bar{a}_5 = a_0 \bar{a}_2 - \bar{a}_0 a_2 - 4ib^2,$$

so that

$$(5.6) \quad q = |a_0|^2 + |a_1|^2 + |a_2|^2 + |a_3|^2 = 4b^2 + |a_0|^2 + |a_2|^2 = c^2 + 8b^2,$$

since

$$c^2 = (a_0 - ia_2)(\bar{a}_0 + i\bar{a}_2) = |a_0|^2 + |a_2|^2 + i(a_0 \bar{a}_2 - \bar{a}_0 a_2) = |a_0|^2 + |a_2|^2 - 4b^2,$$

by (5.5).

Thus  $q$ , which initially appeared to be expressed as a sum of eight squares, turns out to be expressible as a real binary quadratic form. As an illustration, we have for  $q = 17$ ,

$$a_0 = -1 - 2i, \quad a_1 = -1 + i, \quad a_2 = -2 - 2i, \quad a_3 = -1 - i,$$

giving  $b = -1$ ,  $c = -3$ .

#### References

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## On two analytic functions

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1. Denote by  $U: |z| < 1$  the open unit disk in the complex  $z$ -plane, and by  $T$  an arbitrary closed subset of  $U$ . Next let  $g \geq 2$  be a fixed integer, and let  $n$  run over all non-negative integers. Finally let

$$p(z) = p_0 + p_1 z + \dots + p_d z^d,$$

where  $d \geq 1$ , be a polynomial with complex coefficients satisfying

$$p(0) = p_0 = 1 \quad \text{and} \quad p(1) = 0.$$

Hence  $p(z)$  is divisible by  $1-z$ , say of the form

$$p(z) = (1-z)q(z),$$

where

$$q(z) = q_0 + q_1 z + \dots + q_{d-1} z^{d-1}$$

is a second polynomial with complex coefficients such that

$$q(0) = q_0 = 1.$$

We shall use the notations

$$P = |p_0| + |p_1| + \dots + |p_d| \quad \text{and} \quad Q = |q_0| + |q_1| + \dots + |q_{d-1}|$$

for the sums of the absolute values of the coefficients of  $p(z)$  and  $q(z)$ , respectively.

It is then obvious that

$$|p(z) - 1| \leq P - 1 \quad \text{and} \quad |q(z)| \leq Q \quad \text{for} \quad z \in U.$$

In these inequalities  $z$  may be replaced by  $z^{g^n}$  since with  $z$  also  $z^{g^n}$  belongs to the disk  $U$ . In fact, the following stronger inequality

$$|p(z^{g^n}) - 1| \leq (P - 1)|z|^{g^n}$$

holds if  $z \in U$ , and  $n$  is any non-negative integer.