

This is considered to be an analogue of the expansion of an Euler product into an infinite product of "basic Euler products ( $L$ -functions)" used in the method of Estermann [1] (cf. [3]).

Remark 3. Our result can be generalized to some extent by similar method, but, for example, we have no result on the analytic nature of  $\zeta(s, X)$  when  $X$  is the set of all Mersenne primes.

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DEPARTMENT OF MATHEMATICS  
TOKYO INSTITUTE OF TECHNOLOGY  
Oh-okayama, Meguro, Tokyo, 152  
Japan

Received on 20. 2. 1985  
and in revised form on 11. 9. 1985

(1496)

## On well distribution modulo 1 and systems of numeration

by

JÓZEF HORBOWICZ (Lublin)

**1. Introduction.** A sequence  $(\omega(n))$ ,  $n = 0, 1, \dots$ , of real numbers is said to be *well distributed modulo 1* (w.d. mod 1) if for all real numbers  $a, b$  with  $0 \leq a < b \leq 1$  we have

$$\lim_{N \rightarrow \infty} (N^{-1} \text{card} \{n \geq 0: k \leq n \leq k+N-1 \text{ and } a \leq \{\omega(n)\} < b\}) = b-a$$

uniformly in  $k = 0, 1, \dots, \{x\}$  denoting the fractional part of  $x$ . We shall say that a sequence  $(\alpha(n))$ ,  $n = 0, 1, \dots$ , of nonnegative integers has the *substitution property with respect to w.d. mod 1* (swd-property) if for every w.d. mod 1 sequence  $\omega$  the sequence  $\omega \circ \alpha$  is also w.d. mod 1.

In recent years Coquet [1], [2] has constructed certain sequences having the swd-property. In particular he showed that if  $q \geq 2$  is an integer and  $\sigma(n)$  denotes the sum of digits of  $n$  in the  $q$ -adic expansion ( $n = 0, 1, \dots$ ), then the sequence  $\sigma$  has the swd-property. The aim of this note is to generalize this result and also to give some new classes of sequences with the swd-property.

Our basic tool will be the Weyl criterion for w.d. mod 1 ([5], p. 41). Therefore, the following notation will be convenient.

Let  $h \neq 0$  be an arbitrary integer and put  $e(t) = e^{2\pi i h t}$ ,  $t \in \mathbb{R}$ . For any sequence  $\omega$  of real numbers and for any integers  $u \geq 0$ ,  $v \geq u$ , and  $M \geq 1$ , denote

$$S(\omega; u, v) = \sum_{n=u}^v e(\omega(n))$$

and

$$\delta(\omega; M) = \sup_{N \geq M} \sup_{k \geq 0} |N^{-1} S(\omega; k, k+N-1)|.$$

Clearly  $S$  and  $\delta$  depend also on  $h$ , but we have no need to point out this dependence explicitly.

**2. Two criteria for the swd-property.** Let  $\alpha$  be a sequence of nonnegative integers. If for all sufficiently large  $n$  we have  $\alpha(n) = n+K$  with some

constant  $K$ , then clearly  $\alpha$  has the swd-property. Therefore, we may suppose that this is not the case.

Define a sequence  $(m_n) = (m_n(\alpha))$ ,  $n = 0, 1, \dots$ , in the following way. Put  $m_0 = 0$ . If for an integer  $n \geq 0$  the number  $m_n$  has been already defined, then denote  $\mu_n = \alpha(m_{n+1}) - \alpha(m_n)$  and put

$$(1) \quad m_{n+1} = \begin{cases} 1 + \min \{u > m_n : \alpha(u+1) - \alpha(u) \neq \mu_n\} & \text{if } |\mu_n| = 1, \\ 1 + m_n & \text{otherwise.} \end{cases}$$

Clearly, for  $n = 0, 1, \dots$ , we have  $\alpha(m_n + j) = \alpha(m_n) + j\mu_n$ ,  $j = 0, 1, \dots, m_{n+1} - m_n - 1$ . It follows that the sequence  $\alpha$  has been partitioned into infinitely many segments, each of them being an increasing or decreasing finite sequence of consecutive integers.

THEOREM 1. *If*

$$(2) \quad \lim_{n \rightarrow \infty} (m_{n+1}(\alpha) - m_n(\alpha)) = \infty,$$

then  $\alpha$  has the swd-property.

Proof. Let  $\omega$  be any w.d. mod 1 sequence of real numbers. Using the Weyl criterion, we get

$$(3) \quad \delta(\omega; M) \rightarrow 0 \text{ monotonically as } M \rightarrow \infty.$$

Moreover, (1) implies that for all integers  $n \geq 0$ ,  $u$ , and  $v$  with  $m_n \leq u \leq v < m_{n+1}$ , we have

$$(4) \quad |S(\omega \circ \alpha; u, v)| = |S(\omega; \min(\alpha(u), \alpha(v)), \max(\alpha(u), \alpha(v)))| \\ \leq (v - u + 1) \delta(\omega; v - u + 1).$$

Let  $\varepsilon > 0$  be arbitrary. By (3), there exists  $N_1 \geq 1$  such that

$$(5) \quad \delta(\omega; [N^{1/2}]) \leq \varepsilon \quad \text{for all } N \geq N_1.$$

Next, by (2), we may choose an integer  $n_1 \geq 1$  such that

$$(6) \quad m_{n+1} - m_n \geq N_1 \quad \text{for all } n \geq n_1.$$

Now let an integer  $k \geq 0$  be arbitrary and let an integer  $N$  satisfy

$$(7) \quad N \geq \max(N_1, \varepsilon^{-1} m_{n_1}, \varepsilon^{-2}).$$

From (1) it follows that there exist integers  $r$  and  $s$  such that  $m_r \leq k < m_{r+1}$  and  $m_s \leq k + N - 1 < m_{s+1}$ . By (3) and (4), we have

$$(8) \quad |S(\omega \circ \alpha; k, k + N - 1)| \leq N\varepsilon \quad \text{if } r = s.$$

Now suppose that  $r < s$  and put  $t = \max(n_1, r + 1)$ . Then we may write

$$(9) \quad S(\omega \circ \alpha; k, k + N - 1) = S(\omega \circ \alpha; k, m_{r+1} - 1) + S(\omega \circ \alpha; m_{r+1}, m_t - 1) \\ + \sum_{n=t}^{s-1} S(\omega \circ \alpha; m_n, m_{n+1} - 1) + S(\omega \circ \alpha; m_s, k + N - 1),$$

where empty sums are understood to be zeros. Since  $m_t - m_{r+1} \leq m_{n_1}$ , (7) implies

$$|S(\omega \circ \alpha; m_{r+1}, m_t - 1)| \leq N\varepsilon.$$

Moreover, by (4), (5), and (6), we have

$$|S(\omega \circ \alpha; m_n, m_{n+1} - 1)| \leq (m_{n+1} - m_n)\varepsilon \quad \text{for all } n \geq t.$$

Next we observe that for every integer  $T \geq 1$  we have

$$T\delta(\omega; T) \leq N\varepsilon + T\varepsilon.$$

In fact, if  $T < N^{1/2}$ , then (7) implies  $T\delta(\omega; T) \leq T \leq N\varepsilon$ , and if  $T \geq N^{1/2}$ , then (3), (5), and (7) yield  $T\delta(\omega; T) \leq T\varepsilon$ . Now, by (9), we have

$$(10) \quad |S(\omega \circ \alpha; k, k + N - 1)| \\ \leq N\varepsilon + (m_{r+1} - k)\varepsilon + N\varepsilon + \sum_{n=t}^{s-1} (m_{n+1} - m_n)\varepsilon + N\varepsilon + (k + N - m_s)\varepsilon \leq 4N\varepsilon.$$

Combining (8) and (10) and using the Weyl criterion, we obtain that the sequence  $\omega \circ \alpha$  is w.d. mod 1. This completes the proof.

COROLLARY 1. *Let  $\alpha$  be a sequence of nonnegative integers satisfying condition (2). Let  $\eta$  be a sequence of irrational numbers such that the set  $E = \{\eta(n) : n = 0, 1, \dots\}$  is finite. Then the sequence  $\eta_\alpha$  defined by*

$$\eta_\alpha(m_n(\alpha) + j) = (m_n(\alpha) + j)\eta(n),$$

$j = 0, 1, \dots, m_{n+1}(\alpha) - m_n(\alpha) - 1$ ,  $n = 0, 1, \dots$ , is w.d. mod 1.

Proof. Let an integer  $h \neq 0$  be arbitrary and put  $C_h = \min_{x \in E} |\sin \pi hx|$ .

Clearly, we have  $C_h > 0$ . Now, for every integer  $M \geq 1$ , we have

$$\sup_{l \geq 0} \sup_{N \geq M} \sup_{k \geq 0} \left| \frac{1}{N} \sum_{n=k}^{k+N-1} e^{2\pi i h m(n)} \right| \leq \sup_{l \geq 0} \frac{1}{M |\sin \pi h \eta(l)|} = \frac{1}{C_h M}.$$

Next we proceed as in the proof of Theorem 1 with  $\delta(\omega; M)$  replaced by  $(C_h M)^{-1}$ .

Let us note that in the proof of Theorem 1 we have made no use of the type of monotonicity of particular segments of the sequence  $\alpha$ . This fact suggests the subsequent theorem the proof of which is similar to that of Theorem 1.

Let  $0 = p_0 < p_1 < \dots$  be a sequence of integers and let an integer  $K \geq 2$  be arbitrary. Given a sequence  $\alpha$  of nonnegative integers let  $\alpha^*$  be any sequence that can be obtained by the following procedure. For  $n = 0, 1, \dots$ , define

$$(\alpha^*(p_n), \alpha^*(p_n+1), \dots, \alpha^*(p_{n+1}-1)) = \begin{cases} S_1 & \text{if } p_{n+1} - p_n \leq K, \\ S_2 & \text{otherwise,} \end{cases}$$

where  $S_1$  is a permutation of  $(\alpha(p_n), \alpha(p_n+1), \dots, \alpha(p_{n+1}-1))$  and  $S_2$  is either  $(\alpha(p_n), \alpha(p_n+1), \dots, \alpha(p_{n+1}-1))$  or  $(\alpha(p_{n+1}-1), \alpha(p_{n+1}-2), \dots, \alpha(p_n))$ .

**THEOREM 2.** *The sequence  $\alpha$  has the swd-property if and only if  $\alpha^*$  has.*

To give our second criterion for the swd-property, we shall need the following notation.

Let  $\alpha$  be a sequence of nonnegative integers. For any integers  $a, u \geq 0$ , and  $v \geq u$ , denote

$$(11) \quad C(\alpha; u, v, a) = \text{card} \{n \geq 0: u \leq n \leq v \text{ and } \alpha(n) = a\}.$$

Now let  $u \geq 0$  and  $v \geq u$  be fixed and put  $m(u, v) = \max_{u \leq n \leq v} \alpha(n)$ . If  $a < 0$  or  $a > m(u, v)$ , then clearly  $C(\alpha; u, v, a) = 0$ . Thus there exist integers  $t(u, v) \geq 1$  and  $-1 = a_1 < a_2 < \dots < a_{2t(u,v)+1} = m(u, v) + 1$  such that for  $i = 1, 2, \dots, t(u, v)$  we have

$$C(a_{2i \pm 1}) < C(a_{2i}),$$

$$(12) \quad C(a) \leq C(a+1), \quad a = a_{2i-1}, a_{2i-1}+1, \dots, a_{2i}-1,$$

and

$$(13) \quad C(a) \geq C(a+1), \quad a = a_{2i}, a_{2i}+1, \dots, a_{2i+1}-1,$$

where  $C(a) = C(\alpha; u, v, a)$ ,  $a \in \mathbb{Z}$ . Denote

$$(14) \quad V(\alpha; u, v) = \sum_{i=1}^{t(u,v)} C(\alpha; u, v, a_{2i}).$$

With the above notation we have the following

**LEMMA 1.** *Let  $\omega$  be a sequence of real numbers. For any integers  $u \geq 0$ ,  $v \geq u$ ,  $l \geq 0$ , and  $M \geq 1$ , we have*

$$(15) \quad |S(\omega \circ (\alpha+l); u, v)| \leq (v-u+1)\delta(\omega; M) + 2MV(\alpha; u, v),$$

where  $(\alpha+l)(n) = \alpha(n)+l$ ,  $n = 0, 1, \dots$

**Proof.** Using (11), we may write

$$(16) \quad S(\omega \circ (\alpha+l); u, v) = \sum_{a=0}^{m(u,v)} C(a) e(\omega(a+l)).$$

Let an integer  $i$ ,  $1 \leq i \leq t(u, v)$ , be fixed. Denote

$$a' = \max(a_{2i-1}-1, a_{2i}-M), \quad C'(a_{2i-1}-1) = 0 \quad \text{and} \quad C'(a) = C(a)$$

for  $a = a_{2i-1}, a_{2i-1}+1, \dots, a_{2i}-1$ . Using Abel summation formula and (12), we get

$$(17) \quad \left| \sum_{a=a_{2i-1}}^{a_{2i}-1} C(a) e(\omega(a+l)) \right| \\ \leq \sum_{a=a_{2i-1}}^{a_{2i}-1} (C'(a) - C'(a-1)) |S(\omega; a+l, a_{2i}+l-1)| \\ \leq \delta(\omega; M) \sum_{a=a_{2i-1}}^{a'} (C'(a) - C'(a-1))(a_{2i}-a) + \sum_{a=a'+1}^{a_{2i}-1} (C'(a) - C'(a-1))(a_{2i}-a) \\ \leq \delta(\omega; M) \sum_{a=a_{2i-1}}^{a'} C(a) + MC(a_{2i}).$$

In a similar way, using (13), we obtain

$$(18) \quad \left| \sum_{a=a_{2i}}^{a_{2i+1}-1} C(a) e(\omega(a+l)) \right| \leq \delta(\omega; M) \sum_{a=a_{2i}}^{a_{2i+1}-1} C(a) + MC(a_{2i}).$$

Now we observe that (11) implies  $\sum_{a \in \mathbb{Z}} C(a) = v-u+1$ . Thus, (16), (17),

(18), and (14) yield (15).

**THEOREM 3.** *If*

$$(19) \quad \lim_{N \rightarrow \infty} (N^{-1} \sup_{k \geq 0} V(\alpha; k, k+N-1)) = 0,$$

then  $\alpha$  has the swd-property.

**Proof.** Let  $\omega$  be a w.d. mod 1 sequence of real numbers and denote

$$L_N = N^{-1} \sup_{k \geq 0} |S(\omega \circ \alpha; k, k+N-1)|, \quad N = 1, 2, \dots$$

By Lemma 1 and (19), we have

$$\overline{\lim}_{N \rightarrow \infty} L_N \leq \delta(\omega; M), \quad M = 1, 2, \dots$$

An application of the Weyl criterion completes the proof.

It is clear that criteria (2) and (19) are only sufficient ones for  $\alpha$  to have the swd-property. It can be shown that they agree whenever

$$(m_{n+1}(\alpha) - m_n(\alpha))/n \rightarrow \infty \quad \text{as } n \rightarrow \infty.$$

**EXAMPLE 1.** Let  $1 = \Psi_1 < \Psi_2 < \dots < \Psi_m$  be a finite sequence of integers. Given an integer  $n \geq 0$ , denote  $d_m = n$  and, for  $i = m, m-1, \dots, 1$ , put

$c_i(n) = [d_i/\Psi_i]$  and  $d_{i-1} = d_i - c_i(n)\Psi_i$ . Clearly, we have  $n = c_1(n)\Psi_1 + \dots + c_m(n)\Psi_m$ . For  $n = 0, 1, \dots$ , denote

$$\sigma(n) = \sum_{i=1}^m c_i(n).$$

Let integers  $k \geq 0$  and  $N \geq 1$  be arbitrary and denote

$$C(a) = C(\sigma; k, k+N-1, a), \quad a \in \mathbb{Z}.$$

For every integer  $a$ , we have

$$(20) \quad \begin{aligned} C(a) &\leq \sum_{i=0}^x C(\sigma; i\Psi_m, (i+1)\Psi_m-1, a) \\ &= \sum_{i=0}^x C(\sigma; 0, \Psi_m-1, a-i) \leq \Psi_m. \end{aligned}$$

Now put  $H = \max_{0 \leq n \leq \Psi_m} \sigma(n)$  and suppose that  $N > 2H\Psi_m$ . There exist integers  $r$  and  $s$  such that

$$r\Psi_m \leq k < (r+1)\Psi_m \quad \text{and} \quad s\Psi_m \leq k+N-1 < (s+1)\Psi_m.$$

We have  $C(a) = 0$  if  $a < r$  or  $a > s+H$  and  $C(a) = \Psi_m$  if  $r+H < a \leq s$ . Thus, using (20), we obtain  $V(\sigma; k, k+N-1) \leq (H+1)\Psi_m$ . By Theorem 3,  $\sigma$  has the swd-property.

We end this section with an application of Lemma 1 to sequences of the form  $(\alpha(n)\theta)$ ,  $\theta$  being an irrational number.

Let  $\alpha$  be a nondecreasing sequence of nonnegative integers such that  $\alpha(n) \rightarrow \infty$  as  $n \rightarrow \infty$ . For  $i = 0, 1, \dots$  denote

$$l_i = \text{card} \{n \geq 0: \alpha(n) = i\} \quad \text{and} \quad L_i = l_0 + l_1 + \dots + l_i.$$

Using Lemma 1, we may prove the following

COROLLARY 2. If

$$(21) \quad \lim_{m \rightarrow \infty} (L_m^{-1} V(\alpha; 0, L_m-1)) = 0,$$

then for every irrational  $\theta$  the sequence  $(\alpha(n)\theta)$ ,  $n = 0, 1, \dots$ , is uniformly distributed mod 1.

If the sequence  $(l_n)$  is nondecreasing, then  $V(\alpha; 0, L_m-1) = l_m$  and so in this case condition (21) has the form given by Dress [3].

**3. Sequences connected with mixed radix systems of numeration.** Let  $(\varphi_n)$ ,  $n = 1, 2, \dots$ , be a sequence of integers such that  $\varphi_1 = 1$  and  $\varphi_n \geq 2$  for all  $n \geq 2$ . For  $n = 1, 2, \dots$ , denote

$$(22) \quad \Phi_n = \prod_{i=1}^n \varphi_i.$$

Then every integer  $n \geq 0$  has the following unique representation in the system  $\Phi$  (see Fraenkel [4]):

$$(23) \quad n = \sum_{i=1}^{\infty} c_i(n)\Phi_i,$$

where  $c_i(n)$  are integers satisfying  $0 \leq c_i(n) < \varphi_{i+1}$ ,  $i = 1, 2, \dots$

Define sequences  $\sigma_\Phi$  and  $\gamma_\Phi$  by

$$(24) \quad \sigma_\Phi(n) = \sum_{i=1}^{\infty} c_i(n)$$

and

$$(25) \quad \gamma_\Phi(n) = \text{card} \{i \geq 1: c_i(n) \neq 0\}.$$

LEMMA 2. Let  $\alpha$  be one of the sequences  $\sigma_\Phi$  and  $\gamma_\Phi$ . If

$$\lim_{m \rightarrow \infty} (\Phi_m^{-1} V(\alpha; 0, \Phi_m-1)) = 0,$$

then  $\alpha$  has the swd-property.

Proof. Let  $\omega$  be any w.d. mod 1 sequence of real numbers and let integers  $m \geq 2$  and  $M \geq 1$  be arbitrary.

Given any integers  $k \geq 0$  and  $N \geq 3\Phi_m$ , there exist integers  $r$  and  $s$  such that  $r\Phi_m \leq k < (r+1)\Phi_m$  and  $s\Phi_m \leq k+N-1 < (s+1)\Phi_m$ . Clearly, we have  $N \geq (s-r-1)\Phi_m > 0$ .

By (24) and (25), for all integers  $t \geq 0$  and  $v$ ,  $0 \leq v < \Phi_m$ , we have

$$\alpha(t\Phi_m+v) = \alpha(t\Phi_m) + \alpha(v).$$

Thus, Lemma 1 yields

$$(26) \quad \begin{aligned} &|S(\omega \circ \alpha; k, k+N-1)| \\ &\leq |S(\omega \circ \alpha; k, (r+1)\Phi_m-1)| + \sum_{n=r+1}^{s-1} |S(\omega \circ \alpha; n\Phi_m, (n+1)\Phi_m-1)| \\ &\quad + |S(\omega \circ \alpha; s\Phi_m, k+N-1)| \\ &\leq 2\Phi_m + \sum_{n=r+1}^{s-1} |S(\omega \circ (\alpha + \alpha(n\Phi_m)); 0, \Phi_m-1)| \\ &\leq 2\Phi_m + N\delta(\omega; M) + 2MN\Phi_m^{-1} V(\alpha; 0, \Phi_m-1). \end{aligned}$$

Now, by the hypothesis, we may choose a sequence  $(m_i)$  such that

$$\Phi_{m_i}^{-1} V(\alpha; 0, \Phi_{m_i}-1) \rightarrow 0 \quad \text{as} \quad i \rightarrow \infty.$$

To complete the proof, it suffices to divide both sides of (26) by  $N$ , and then let  $N \rightarrow \infty$ ,  $m \rightarrow \infty$  through the values  $m_1, m_2, \dots$ , and  $M \rightarrow \infty$ . By the Weyl criterion  $\omega \circ \alpha$  is w.d. mod 1 and so  $\alpha$  has the swd-property.

In order to use Lemma 2, we shall need the following lemma from probability theory.

LEMMA 3. Let  $(X_n)$ ,  $n = 1, 2, \dots$ , be a sequence of uniformly bounded independent random variables. For  $n = 1, 2, \dots$ , denote  $Y_n = X_1 + X_2 + \dots + X_n$  and let  $s_n^2$  be the variance of  $Y_n$ . If  $s_n \rightarrow \infty$  as  $n \rightarrow \infty$ , then for every sequence  $(y_n)$  of real numbers we have  $P(Y_n = y_n) \rightarrow 0$  as  $n \rightarrow \infty$ ,  $P$  denoting the probability measure.

Proof. The sequence  $(X_n)$  satisfies Lindeberg condition, so that the lemma follows immediately from the central limit theorem.

THEOREM 4. The sequence  $\sigma_\phi$  has the swd-property.

Proof. Let an integer  $m \geq 2$  be arbitrary and denote

$$(27) \quad T_m = \sum_{j=2}^m (\varphi_j - 1).$$

Denoting  $C(m, a) = C(\sigma_\phi; 0, \Phi_m - 1, a)$ ,  $a \in Z$ , and using (23) and (24), we get

$$(28) \quad C(m, a) = 0 \quad \text{if } a < 0 \text{ or } a > T_m,$$

$$(29) \quad C(m, a) = C(m, T_m - a) \quad \text{for all } a \in Z,$$

and

$$(30) \quad C(m, a-1) \leq C(m, a) \quad \text{if } a \leq [T_m/2],$$

the above inequality being proved by induction on  $m$  (cf. Coquet [1]). Now, (28), (29), and (30) imply

$$(31) \quad V(\sigma_\phi; 0, \Phi_m - 1) = C(m, [T_m/2]).$$

We shall complete the proof by considering two cases. First suppose that  $\lim_{m \rightarrow \infty} \varphi_m = \infty$ . As in Example 1 it can be shown that  $C(m, a) \leq \Phi_{m-1}$  for all  $a \in Z$ . Thus, using (31), we get  $\Phi_m^{-1} V(\sigma_\phi; 0, \Phi_m - 1) \leq \Phi_m^{-1}$ . By Lemma 2,  $\sigma_\phi$  has the swd-property.

Next suppose that the sequence  $(\varphi_m)$  is bounded. Let  $(X_m)$ ,  $m = 2, 3, \dots$ , be a sequence of independent random variables with the distribution  $P(X_m = i) = \varphi_m^{-1}$ ,  $i = 0, 1, \dots, \varphi_m - 1$ . Denoting  $Y_m = X_2 + \dots + X_m$ , we obtain that the variance of  $Y_m$  is equal to  $12^{-1} \sum_{n=2}^m (\varphi_n^2 - 1)$ . Since  $P(Y_m = a) = \Phi_m^{-1} C(m, a)$ ,  $a \in Z$ , Lemma 3 yields  $\Phi_m^{-1} C(m, [T_m/2]) \rightarrow 0$  as  $m \rightarrow \infty$ . Thus, by (31) and Lemma 2,  $\sigma_\phi$  has the swd-property.

COROLLARY 3. The sequence  $(\sigma_\phi(n)\theta)$ ,  $n = 0, 1, \dots$ , is w.d. mod 1 (u.d. mod 1) if and only if  $\theta$  is irrational.

The  $q$ -adic version of Theorem 4 was proved by Coquet [1]. For a weaker version of Corollary 3 we refer to [6].

THEOREM 5. The sequence  $\gamma_\phi$  has the swd-property if and only if the series  $\sum_{n=1}^{\infty} \varphi_n^{-1}$  is divergent.

Proof. Let an integer  $m \geq 2$  be arbitrary. Denoting

$$C(m, a) = C(\gamma_\phi; 0, \Phi_m - 1, a), \quad a \in Z,$$

and using (23) and (25), we get

$$(32) \quad C(m, a) = 0 \quad \text{if } a < 0 \text{ or } a \geq m$$

and

$$(33) \quad C(m+1, a) = C(m, a) + (\varphi_{m+1} - 1)C(m, a-1) \quad \text{for all } a \in Z.$$

Next, using induction on  $m$ , we shall construct a sequence  $(T_m)$  such that

$$(34) \quad C(m, a-1) \leq C(m, a) \quad \text{if } a \leq T_m$$

and

$$(35) \quad C(m, a) \geq C(m, a+1) \quad \text{if } a \geq T_m.$$

Since  $C(2, 0) = 1$  and  $C(2, 1) = \varphi_2 - 1$ , we may put  $T_2 = 1$ . Now suppose that for an integer  $m \geq 2$  the number  $T_m$  has been already defined. If  $a \leq T_m$ , then (33), (34), and (35) imply

$$\begin{aligned} C(m+1, a-1) &= C(m, a-1) + (\varphi_{m+1} - 1)C(m, a-2) \\ &\leq C(m, a) + (\varphi_{m+1} - 1)C(m, a-1) = C(m+1, a). \end{aligned}$$

In the same way (33) and (35) yield  $C(m+1, a) \geq C(m+1, a+1)$  whenever  $a \geq T_m + 1$ . Putting

$$T_{m+1} = \begin{cases} T_m & \text{if } C(m+1, T_m) > C(m+1, T_m + 1), \\ T_m + 1 & \text{otherwise,} \end{cases}$$

we obtain that (34) and (35) hold with  $m+1$  substituted for  $m$ .

To prove the sufficiency part of the theorem suppose that the series  $\sum_{n=1}^{\infty} \varphi_n^{-1}$  is divergent. Let  $(X_m)$ ,  $m = 2, 3, \dots$ , be a sequence of independent random variables with the distribution  $P(X_m = 0) = \varphi_m^{-1}$  and  $P(X_m = 1) = 1 - \varphi_m^{-1}$ . Denoting  $Y_m = X_2 + \dots + X_m$ , it can be shown that the variance  $s_m^2$  of  $Y_m$  is  $s_m^2 = \sum_{n=2}^m \varphi_n^{-1} (1 - \varphi_n^{-1})$ . Since  $\varphi_n \geq 2$  for all  $n \geq 2$ , we have  $s_m^2 \geq 2^{-1} \sum_{n=2}^m \varphi_n^{-1}$ , so that  $s_m \rightarrow \infty$  as  $m \rightarrow \infty$ . We observe that  $P(Y_m = a) = \Phi_m^{-1} C(m, a)$ ,  $a \in Z$ . Thus, (34) and (35) imply  $\Phi_m^{-1} V(\gamma_\phi; 0, \Phi_m - 1) = P(Y_m = T_m)$ . By Lemmas 3 and 2,  $\gamma_\phi$  has the swd-property.

To prove the necessity of the condition suppose that  $\sum_{n=1}^{\infty} \varphi_n^{-1} < \infty$ . Let  $\omega$  be any sequence of real numbers. For  $m = 2, 3, \dots$ , denote

$$F_m = \text{card} \{n < \Phi_m : \omega(\gamma_{\Phi}(n)) = \omega(m-1)\}.$$

Since

$$C(\gamma_{\Phi}; 0, \Phi_m - 1, m - 1) = \prod_{n=2}^m (\varphi_n - 1),$$

we have

$$\lim_{m \rightarrow \infty} (F_m / \Phi_m) \geq \prod_{n=2}^{\infty} (1 - \varphi_n^{-1}) > 0.$$

It follows that the sequence  $\omega \circ \gamma_{\Phi}$  can not be w.d. mod 1, and so  $\gamma_{\Phi}$  has not swd-property. This completes the proof of the theorem.

**COROLLARY 4.** *If  $\sum_{n=1}^{\infty} \varphi_n^{-1} < \infty$ , then for no sequence  $\omega$  of reals the sequence  $\omega \circ \gamma_{\Phi}$  is u.d. mod 1.*

**COROLLARY 5.** *The sequence  $(\gamma_{\Phi}(n)\theta)$ ,  $n = 0, 1, \dots$ , is w.d. mod 1 (u.d. mod 1) if and only if  $\theta$  is irrational and the series  $\sum_{n=1}^{\infty} \varphi_n^{-1}$  is divergent.*

We remark that if  $\alpha$  and  $\beta$  are sequences having the swd-property, then the sequence  $\alpha \circ \beta$  has it also. Using this fact and Theorems 1, 2, 3, 4, and 5, we may construct other sequences with the swd-property.

**Acknowledgement.** The author is grateful to Professor W. Narkiewicz for his comments on the early version of this paper.

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ZAKŁAD METOD NUMERYCZNYCH  
 UNIwersYTET M. CURIE-SKŁODOWSKIEJ  
 pl. M. Curie-Skłodowskiej 1  
 20-031 Lublin

Received on 14. 6. 1985  
 and in revised form on 27. 9. 1985

(1518)

## Zeros of $p$ -adic $L$ -functions

by

NANCY CHILDRESS (Austin, Tex.) and ROBERT GOLD (Columbus, Ohio)

**Introduction.** In this paper, we study the zeros of the Kubota–Leopoldt  $p$ -adic  $L$ -functions. These functions are known to be related to certain formal power series over  $\mathbf{Z}_p$  defined by Iwasawa, and it is this relationship which will be exploited to analyze the occurrence of zeros for the  $L$ -functions. The zeros of these power series are better understood (via the Main Conjecture), and are more readily computed. The assumption that a zero of the Iwasawa series  $f_{\chi}(T)$  for a Dirichlet character  $\chi$  will yield a corresponding zero of the  $L$ -function  $L_p(s, \chi)$  is in general false, however. For instance, in [8], Wagstaff has computed zeros of the Iwasawa series for various fields and has used the relationship with  $L_p(s, \chi)$  to compute values for  $s$  which in many cases are not within the domain of convergence of the  $L$ -function, so clearly cannot be zeros of it. The relationship between zeros of the series  $f_{\chi}(T)$  and those of  $L_p(s, \chi)$  will be given. We then will consider the more general case of  $p$ -adic  $L$ -functions defined over the fields  $\mathbf{Q}_m$  constituting the layers of the basic  $\mathbf{Z}_p$ -extension of  $\mathbf{Q}$ , and the relationship of their zeros to those of the series  $f_{\chi}(T)$ .

The results presented here are based upon material from the first-named author's Ph. D. dissertation. The authors would like to thank Warren Sinnott for many useful conversations and suggestions.

**1.  $p$ -adic  $L$ -functions.** Let  $p$  be an odd prime. Let  $\mathbf{Z}_p$ ,  $\mathbf{Q}_p$  and  $\mathbf{C}_p$  denote the  $p$ -adic integers, the  $p$ -adic rationals, and the completion of the algebraic closure of  $\mathbf{Q}_p$ , respectively. We use the normalization  $|p| = p^{-1}$  for the  $p$ -adic absolute value. Let  $\omega$  be the  $p$ -adic Teichmüller character, defined as follows: for  $a \in \mathbf{Z}_p^*$ , let  $\omega(a)$  be the unique  $(p-1)$ st root of unity in  $\mathbf{Z}_p$  satisfying  $\omega(a) \equiv a \pmod{p}$ . [Then  $\omega$  is a Dirichlet character on  $\mathbf{Z}$  of order  $p-1$  and conductor  $p$ .] Let  $\langle a \rangle = \omega(a)^{-1}a$ .

Let  $d$  be a positive integer prime to  $p$ . Assume  $d \not\equiv 2 \pmod{4}$ . Let  $q_n = p^{n+1}d$ ,  $K_n = \mathbf{Q}(\zeta_{q_n})$ , and  $K_{\infty} = \bigcup_{n \geq 0} \mathbf{Q}(\zeta_{q_n})$ , where  $\zeta_{q_n}$  is a  $q_n$ -th root of unity. Then  $\text{Gal}(K_{\infty}/\mathbf{Q}) \cong G \times \Gamma$ , where  $G = \text{Gal}(K_0/\mathbf{Q})$  and  $\Gamma = \text{Gal}(K_{\infty}/K_0) \cong 1 + q_0 \mathbf{Z}_p = (1 + q_0)^{\mathbf{Z}_p}$ . Let  $\varkappa$  be the isomorphism between  $\Gamma$  and  $1 + q_0 \mathbf{Z}_p$ . [So  $1 + q_0$  is the image, under  $\varkappa$ , of a topological generator for