

Remarks on the arithmetic properties of the values of hypergeometric functions

by

F. BEUKERS (Leiden), T. MATAALA-AHO and K. VÄÄNÄNEN (Oulu)

1. Introduction. The purpose of the present paper is to apply the classical method of Siegel [10] to a consideration of the arithmetic properties of the values of certain hypergeometric functions

$$(1) \quad F(a, \beta, \gamma; z) = \sum_{n=0}^{\infty} \frac{a(a+1) \dots (a+n-1) \beta(\beta+1) \dots (\beta+n-1)}{n! \gamma(\gamma+1) \dots (\gamma+n-1)} z^n$$

($\gamma \neq 0, -1, \dots$)

satisfying

$$(2) \quad z(1-z)y'' + (\gamma - (1+a+\beta)z)y' - a\beta y = 0.$$

We shall prove the following theorems.

THEOREM 1. *If a, β, γ are rational numbers, $\gamma \neq 0, -1, -2, \dots$, then the functions $F(a, \beta, \gamma; z)$ and $F'(a, \beta, \gamma; z)$ belong to Galochkin's [8] class of G -functions (for definition see § 3).*

THEOREM 2. *Let $\alpha_i, \beta_i, \gamma_i$ ($i = 1, \dots, m$) be rational numbers satisfying $\gamma_i \neq 0, -1, \dots$; $\alpha_i, \beta_i, \gamma_i - \alpha_i, \gamma_i - \beta_i \notin \mathbf{Z}$ ($i = 1, \dots, m$); $\alpha_i - \alpha_j, \beta_i - \beta_j, \alpha_i - \beta_j \notin \mathbf{Z}$ ($i \neq j, i, j = 1, \dots, m$); none of the numbers $\alpha_i + \beta_i - (\alpha_j + \beta_j)$ ($i \neq j, i, j = 1, \dots, m$) is an even integer. Let x_0, x_i, y_i ($i = 1, \dots, m$) be integers, not all zero, and let us denote $h_i = \max\{1, |x_i|, |y_i|\}$ ($i = 1, \dots, m$), $H = \prod_{i=1}^m h_i^2$. Let $\varepsilon, 0 < \varepsilon < 1$, be given. There then exist positive constants λ, C , depending only on ε, m, p and the functions $F(\alpha_i, \beta_i, \gamma_i; z)$ ($i = 1, \dots, m$), such that*

$$\left| x_0 + \sum_{i=1}^m (x_i F(\alpha_i, \beta_i, \gamma_i; p/q) + y_i F'(\alpha_i, \beta_i, \gamma_i; p/q)) \right| > q^{-\lambda} H^{-1-\varepsilon}$$

for any rational number $p/q \neq 0$ satisfying $q > C$. In particular, the numbers $1, F(\alpha_i, \beta_i, \gamma_i; p/q)$ and $F'(\alpha_i, \beta_i, \gamma_i; p/q)$ ($i = 1, \dots, m$) are linearly independent over \mathcal{O} for all $q > C$.

THEOREM 3. Let α, β and γ ($\neq 0, -1, \dots$) be rational numbers such that $F(\alpha, \beta, \gamma; z)$ is not an algebraic function and $\alpha, \beta, \gamma - \alpha, \gamma - \beta \notin \mathbf{Z}$. Let $P(x_1, x_2) \neq 0$ be a polynomial in $\mathbf{Z}[x_1, x_2]$ of degree $\leq N$ and height $\leq H$. There then exist positive constants c, λ , depending only on α, β and γ , and a positive constant \bar{C} , depending only on $\alpha, \beta, \gamma, N, \theta, h$ and τ , such that

$$|P(F(\alpha, \beta, \gamma; \theta), F'(\alpha, \beta, \gamma; \theta))| > CH^{-\lambda\tau(\log h \log \log h)^{1/2}}$$

for any algebraic number θ of degree $\leq \tau$ and height $h(\theta) \leq h \geq e^e$ satisfying

$$\log h \geq (\max\{2, N\})^4 \log \log h,$$

$$0 < |\theta| < e^{-c\tau N(\log h)^{3/4}(\log \log h)^{1/4}}.$$

This theorem implies, in particular, the linear independence (over \mathbf{Q}) of the numbers $1, F(\alpha, \beta, \gamma; \theta), F'(\alpha, \beta, \gamma; \theta)$ for all algebraic numbers θ of degree $\leq \tau$ and height $\leq h \geq e^e$ satisfying $\log h > 16 \log \log h$, $0 < |\theta| < e^{-c\tau(\log h)^{3/4}(\log \log h)^{1/4}}$.

THEOREM 4. Let \mathbf{K} denote an algebraic number field of degree κ over \mathbf{Q} . Assume that α, β and γ satisfy the conditions of Theorem 1, and let $L_1(x) = a_1 + b_1 x \neq 0$, $L_2(x) = a_2 + b_2 x \neq 0$ be linear forms with integer coefficients in \mathbf{K} satisfying $\max\{|a_1|, |a_2|, |b_1|, |b_2|\} \leq H$ (for any algebraic number a the notation $|\bar{a}|$ denotes the maximum of the absolute values of the conjugates of a). There then exist positive constants $\bar{c}, \bar{\lambda}$, depending only on α, β and γ , and a positive constant \bar{C} , depending only on $\mathbf{K}, \alpha, \beta, \gamma, \theta$ and h , such that

$$\max\{|L_1(F(\alpha, \beta, \gamma; \theta))|, |L_2(F'(\alpha, \beta, \gamma; \theta))|\} > \bar{C}H^{-\bar{\lambda}\kappa(\log h \log \log h)^{1/2}}$$

for any $\theta \in \mathbf{K}$ of height $h(\theta) \leq h \geq e^e$ satisfying

$$\log h \geq 16 \log \log h, \quad 0 < |\theta| < e^{-\bar{c}\kappa(\log h \log \log h)^{1/2}}.$$

In particular, at least one of the numbers $F(\alpha, \beta, \gamma; \theta)$ and $F'(\alpha, \beta, \gamma; \theta)$ does not belong to \mathbf{K} for any $\theta \in \mathbf{K}$ satisfying the above conditions.

Siegel [10] already mentions that his method can be used to obtain results like the above, in fact he gives an explicit result for the function $F(1/2, 1/2, 1; z)$, i.e. that the number $F(1/2, 1/2, 1; p/q)$ is irrational for all rationals p/q satisfying

$$0 < |p/q| < c10^{-c/\log |q|},$$

where c is a positive constant. In this special case our Theorem 4 does not give a result as strong as that of Siegel. We note, however, that in the case $\alpha = \beta = 1/2, \gamma = 1$ we have Theorems 3 and 4 without the term $\log \log h$ in the bounds (see [9]).

Hypergeometric series have subsequently been considered in a number of papers (see [2], [3], [4], [5], [6], [9]). Chudnovsky [4], [5] (p. 64) presents results on the arithmetic properties of the values of $F(1/2, 1/2, 1; z)$, while in [6] he uses Padé approximations to obtain important irrationality measures e.g. for the numbers $F(1/2, 1/2, 1; 1/14)$ and $F(3/2, 3/2, 1; 1/14)$. It should further be noted that Bombieri's [1] new important p -adic considerations in connection with Siegel's method can evidently be applied to obtain results closely analogous to our Theorems 3 and 4.

The proof of Theorem 1 requires certain information on the divisibility properties of the coefficients of the polynomials p_n and q_n in the expression

$$\frac{1}{n!} (z(1-z))^n F^{(n)}(\alpha, \beta, \gamma; z) = p_n(z) F'(\alpha, \beta, \gamma; z) + q_n(z) F(\alpha, \beta, \gamma; z)$$

obtained from (2). This kind of result, the need of which was already pointed out by Siegel [10], is obtained in the main lemma in Section 2. The proof of the theorems is then completed in Section 3.

2. Main lemma. First we prove,

LEMMA 1. Let $\delta \in \mathbf{Q}$ and let K be the denominator of δ . For any $n \in \mathbf{N}$ let $L_n = K^n \prod_{p|K} p^{[n/p-1]}$. Then $L_n \binom{\delta}{n}$ is an integer.

Proof. Notice that

$$\binom{\delta}{n} = \frac{\delta(\delta-1)\dots(\delta-n+1)}{n!} = K^{-n} \frac{K\delta(K\delta-K)\dots(K\delta-(n-1)K)}{n!}.$$

Let p be a prime not dividing K . The number of factors p in $n!$ equals $[n/p] + [n/p^2] + \dots$. Notice that $p^a | K\delta - lK$, $p^a | K\delta - mK$ if and only if $p^a | m-l$, $p^a | K\delta - lK$. Furthermore, at least one of the numbers $K\delta, K\delta - K, \dots, K\delta - (p^a - 1)K$ is divisible by p^a . Consequently, among the numbers $K\delta, \dots, K\delta - (n-1)K$ there exist at least $[n/p]$ divisible by p , at least $[n/p^2]$ divisible by p^2 , etc. Hence the product $K\delta \dots (K\delta - (n-1)K)$ contains at least $[n/p] + [n/p^2] + \dots$ factors p . Thus we see that $\binom{\delta}{n}$ has only prime divisors of K in its denominator.

Let $p | K$. Since $n!$ contains at most $[n/p] + [n/p^2] + \dots \leq [n/p-1]$ factors p we see that

$$K^n \prod_{p|K} p^{[n/p-1]} \binom{\delta}{n}$$

is integral, as asserted.

In the following computations α, β and γ denote rational numbers with $\gamma \neq 0, -1, -2, \dots$. It follows from (2) that

$$(3) \quad z(1-z)F^{(n+2)} = [(2n + \alpha + \beta + 1)z - n - \gamma]F^{(n+1)} + (n + \alpha)(n + \beta)F^{(n)}.$$



This implies

$$\frac{1}{n!} (z(1-z))^n F^{(n)} = p_n F' + q_n F,$$

where p_n, q_n are polynomials in z with rational coefficients. The following lemma gives a bound for the denominators of these rational coefficients.

MAIN LEMMA. *Let K be the common denominator of α, β, γ and let L_n be as in Lemma 1. Suppose that $\alpha \neq 0, -1, -2, \dots$. For each $n = 1, 2, \dots$ the coefficients of p_n, q_n are rational numbers whose denominators divide $L_{n-1}^2 [1, \dots, n-1]Kn$, where $[1, \dots, n]$ denotes the least common multiple of $1, \dots, n$.*

Notation. The fact that a rational number q has denominator d will be denoted by $q \in \mathbf{Z}/d$.

Proof of the Main Lemma. Write $V_n = (n!)^{-1} (z(1-z))^n F^{(n)}$. Then, by (3),

$$(n+2)(n+1)V_{n+2} = [(2n+\alpha+\beta+1)z-n-\gamma](n+1)V_{n+1} + (n+\alpha)(n+\beta)z(1-z)V_n.$$

Substitute $V_n = a(a+1) \dots (a+n-1)U_n/n!$. Then

$$(4) \quad (n+\alpha+1)U_{n+2} = [(2n+\alpha+\beta+1)z-n-\gamma]U_{n+1} + (n+\beta)z(1-z)U_n.$$

Let $U(t) = \sum_{n=0}^{\infty} U_n t^n$ be the generating function of U_n . We shall derive an expression for $U(t)$. It follows from (4) that

$$[t - (2z-1)t^2 + z(z-1)t^3](U - U_0)' - [1 - \alpha + ((\alpha+\beta-1)z+1-\gamma)t + \beta z(1-z)t^2](U - U_0) = \alpha U_1 t + \beta z(1-z)t^2 U_0.$$

We solve this differential equation for $U - U_0$ by standard methods. After division by $t - (2z-1)t^2 + z(z-1)t^3$ we obtain

$$(U - U_0)' + \left[\frac{a-1}{t} - z \frac{\beta-\gamma+1}{1-zt} + (1-z) \frac{\gamma-\alpha}{1+(1-z)t} \right] (U - U_0) = \alpha U_1 \left[\frac{z}{1-zt} - \frac{z-1}{1+(1-z)t} \right] + \beta z(1-z)U_0 \left[\frac{1}{1-zt} - \frac{1}{1+(1-z)t} \right].$$

Solution of this differential equation yields

$$U - U_0 = G_1 U_1 + G_0 U_0,$$

where

$$G_1 = \alpha P^{-1} \int \left[\frac{z}{1-zt} - \frac{z-1}{1+(1-z)t} \right] P dt,$$

$$G_0 = \beta z(z-1)P^{-1} \int \left[\frac{1}{1-zt} - \frac{1}{1+(1-z)t} \right] P dt$$

and

$$P = t^{\alpha-1} (1-zt)^{\beta-\gamma+1} (1+(1-z)t)^{\gamma-\alpha}.$$

Let K be the common denominator of α, β, γ . If $\delta \in \mathbf{Q}$ and $\delta \in \mathbf{Z}/K$ we know by Lemma 1 that $\binom{\delta}{n} \in \mathbf{Z}/L_n$. Therefore the n th coefficient of the powerseries expansions in t of the functions $(1-tz)^{\beta-\gamma+1}$ and $(1+(1-z)t)^{\gamma-\alpha}$ is a polynomial of degree $\leq n$ in z with coefficients in \mathbf{Z}/L_n . The same holds for the product of these two expansions and its inverse. It is now straightforward to see that the n th coefficient of G_0, G_1 is a polynomial of degree $\leq n+2$ in z having coefficients of the shape $\sum_{k=0}^{n-1} a_k (\alpha+k)^{-1}$, where $a_k \in \mathbf{Z}/L_{n-1}K$. The terms $(\alpha+k)^{-1}$ arise from the integration in the expression for G_0, G_1 . This implies that

$$U_n = \tilde{p}_n U_1 + \tilde{q}_n U_0,$$

where \tilde{p}_n and \tilde{q}_n are polynomials in z having coefficients of the shape $\sum_{k=0}^{n-1} a_k (\alpha+k)^{-1}$, $a_k \in \mathbf{Z}/L_{n-1}K$. Finally, we have

$$p_n = \frac{(a+1) \dots (a+n-1)}{n!} z(1-z)\tilde{p}_n, \quad q_n = \frac{a(a+1) \dots (a+n-1)}{n!} \tilde{q}_n.$$

Let us consider the numbers of the shape

$$\frac{a(a+1) \dots (a+n-1)}{n!} \sum_{k=0}^{n-1} \frac{a_k}{a+k}.$$

Notice that

$$\begin{aligned} & \frac{a(a+1) \dots (a+n-1)}{n!(a+k)} \\ &= \frac{k!(n-k-1)!}{n!} \frac{a(a+1) \dots (a+k-1)}{k!} \frac{(a+k+1) \dots (a+n-1)}{(n-k-1)!} \\ &= \frac{1}{n \binom{n-1}{k}} \binom{a+k-1}{k} \binom{a+n-1}{n-k-1}. \end{aligned}$$

By Lemma 1, the product of the last two binomial coefficients is in $\mathbf{Z}/L_k L_{n-k-1} \subset \mathbf{Z}/L_{n-1}$. Thus we conclude that our numbers have denominators dividing $\left[\binom{n-1}{1}, \dots, \binom{n-1}{n-1} \right] L_{n-1}^2 K n$. It is a well-known fact that if a prime power p^b divides $\binom{n}{k}$, then $p^b \leq n$. This implies that $\left[\binom{n-1}{1}, \dots \right]$

..., $\binom{n-1}{n-1}$ divides $[1, \dots, n-1]$ which in turn implies that the coefficients of p_n and q_n have denominators dividing $L_{n-1}^2[1, \dots, n-1]Kn$. This proves Main Lemma.

3. Proof of the theorems. Let

$$(5) \quad g_1(z), \dots, g_s(z)$$

denote analytic functions satisfying

$$(6) \quad y_i' = Q_{i0}(z) + \sum_{j=1}^s Q_{ij}(z)y_j \quad (i = 1, \dots, s),$$

where all $Q_{ij} \in K(z)$, K denoting an algebraic number field of degree κ over Q . From this, it follows, for all $l = 0, 1, \dots$, that

$$g_i^{(l)} = Q_{i0l}(z) + \sum_{j=1}^s Q_{ijl}(z)g_j \quad (i = 1, \dots, s),$$

where all $Q_{ijl}(z) \in K(z)$. The functions (5) are said to belong to Galochkin's [8] class

$$G(K, \gamma_1, Q_1, \gamma_2, Q_2, \gamma_3, Q_3), \quad Q_1 > 0; \gamma_1, \gamma_2, \gamma_3, Q_2, Q_3 \geq 1,$$

if these functions are of the form

$$g_i(z) = \sum_{\nu=0}^{\infty} a_{i\nu} z^\nu \quad (i = 1, \dots, s),$$

where all $a_{i\nu} \in K$, and the following conditions are satisfied:

- (i) $|a_{i\nu}| \leq \gamma_1 Q_1^\nu \quad (i = 1, \dots, s; \nu = 0, 1, \dots)$;
- (ii) there exist a sequence $\{b_n\}$ of natural numbers such that $b_n \leq \gamma_2 Q_2^n$ ($n = 0, 1, \dots$) and all the numbers $a_{i\nu} b_n$ ($i = 1, \dots, s; \nu = 0, 1, \dots, n$) are integers in K ;
- (iii) there exist a sequence $\{\bar{d}_n\}$ of rational numbers and a polynomial $T(z)$ with integer coefficients in K , not all zero, such that $\bar{d}_n \leq \gamma_3 Q_3^n$ ($n = 0, 1, \dots$) and all the functions

$$\frac{\bar{d}_n}{l!} (T(z))^l Q_{ijl} \quad (i = 1, \dots, s; j = 0, \dots, s; l = 0, \dots, n)$$

are polynomials with integer coefficients in K .

To prove Theorem 1 we show that the functions $F(\alpha, \beta, \gamma; z)$ and $F'(\alpha, \beta, \gamma; z)$ belong to some class $G(Q, \gamma_1, Q_1, \gamma_2, Q_2, \gamma_3, Q_3)$. The conditions (i) and (ii) follow from [2], Lemma 3 (see [9], § 2).

In order to prove condition (iii) we note that by the Main Lemma it is possible to choose for the sequence $\{\bar{d}_n\}$ the sequence

$$d_n = L_n^2[1, \dots, n+1]^2 K,$$

which clearly satisfies $\bar{d}_n \leq \gamma_3 Q_3^n$ ($n = 0, 1, \dots$) for some constants γ_3, Q_3 , since $[1, \dots, n] \leq e^{1.04^n}$. In the case $\alpha \in \{0, -1, -2, \dots\}$ F and F' are polynomials and thus condition (iii) is obviously satisfied. Theorem 1 is thus proved.

Theorem 2, which improves [2], Theorem 1, is now an immediate corollary of Theorem 1, [2], Lemma 2, and [12], Corollary 1.

In the proof of Theorem 3 we shall need the following result giving the conditions for the algebraic independence of $F(\alpha, \beta, \gamma; z)$ and $F'(\alpha, \beta, \gamma; z)$.

THEOREM 5. *Let α, β and $\gamma (\neq 0, -1, \dots)$ be rationals. If $F(\alpha, \beta, \gamma; z)$ and $F'(\alpha, \beta, \gamma; z)$ are algebraically dependent over $C(z)$, then either (2) has only algebraic solutions or at least one of the numbers $\alpha, \beta, \gamma - \alpha, \gamma - \beta$ is integral.*

Proof. If F and F' are algebraically dependent then it follows by Siegel [11], pp. 60–62, that there exists a solution $w \neq 0$ of (2) such that w'/w is an algebraic function. In order to study the analytic behaviour of w throughout the complex plane we continue w analytically along closed loops in $C \setminus \{0, 1\}$ beginning and ending in a point $z_0 \in C$ different from 0 and 1. After traversing such a loop the function will in general change into a different branch w_1 . We now distinguish three cases.

I. There exist two other branches w_1, w_2 such that $w'/w, w_1'/w_1$ and w_2'/w_2 are mutually different. Then the difference $w'/w - w_1'/w_1 = (w'w_1 - ww_1')/(ww_1)$ and $w'w_1 - ww_1'$, which is a non-zero multiple of the Wronskian $z^\nu(1-z)^{\alpha+\beta+1-\nu}$, are algebraic. Therefore ww_1 is algebraic. Analogously ww_2 and w_1w_2 are also algebraic, which implies that (2) has only algebraic solutions.

II. There are exactly two branches w, w_1 such that $w'/w \neq w_1'/w_1$. Let $\Gamma_0, \Gamma_1, \Gamma_\infty$ be simple loops enclosing $z = 0, z = 1, z = \infty$ respectively. Suppose $\Gamma_\infty \Gamma_0 \Gamma_1 \sim 1$, that is, the path $\Gamma_\infty \Gamma_0 \Gamma_1$ can be contracted to z_0 in $C \setminus \{0, 1\}$. After traversing such a loop Γ_i two things may happen, we have either 1) a substitution $w \rightarrow \lambda w_1, w_1 \rightarrow \mu w$ or 2) a substitution $w \rightarrow \lambda w, w_1 \rightarrow \mu w_1$ ($\lambda, \mu \in C$). Because of $\Gamma_\infty \Gamma_0 \Gamma_1 \sim 1$, the possibility 1) occurs exactly twice. Let us assume that Γ_0 and Γ_1 are the loops under consideration. Denote by S_i the substitution that w_1/w undergoes after traversing Γ_i ($i = 0, 1$). Clearly S_i ($i = 0, 1$) has order two. Since $\Gamma_0 \Gamma_1 \sim \Gamma_\infty^{-1}$, the functions w, w_1 change into $\theta w, \theta_1 w_1$ after traversing $\Gamma_0 \Gamma_1$, and since (2) has rational exponents it follows that θ and θ_1 are roots of unity, and thus $S_0 S_1$ has finite order. A group generated by S_0, S_1 such

that $S_0^2 = S_1^2 = 1$ and such that $S_0 S_1$ has finite order is necessarily finite and thus w_1/w must be algebraic. By the argument in I we know that ww_1 is also algebraic. Thus w and w_1 are both algebraic and (2) has only algebraic solutions.

III. Every branch of w is a multiple of w . Then w'/w as a single-valued algebraic function must be rational. This happens only in the case at least one of the numbers $\alpha, \beta, \gamma - \alpha, \gamma - \beta$ is an integer (see [7], Chapter II).

Because of Theorem 5, Theorems 3 and 4 are immediate corollaries of the following Theorems A and B, which are proved in [13], and which slightly improve [9], Theorems 4 and 6.

THEOREM A. Assume that the functions (5) satisfying (6) are algebraically independent over $\mathbb{C}(z)$ and belong to the class $G(\mathbf{K}, \gamma_1, Q_1, \gamma_2, Q_2, \gamma_3, Q_3)$, and let $P(x_1, \dots, x_s) \neq 0$ be a polynomial with integer coefficients in \mathbf{K} satisfying $\deg P \leq N$, $|\text{coeff } P| \leq H$. There then exist positive constants c, λ , depending only on $g_1(z), \dots, g_s(z)$ and s , and a positive constant C , depending only on $\mathbf{K}, g_1(z), \dots, g_s(z), s, N, \theta, h$ and τ , such that

$$|P(g_1(\theta), \dots, g_s(\theta))| > CH^{-\lambda(\log h / \log \log h)^{1/2}}$$

for any algebraic number θ of height $h(\theta) \leq h \geq e^c$ satisfying $[\mathbf{K}(\theta):\mathbb{Q}] \leq \tau$ ($\geq \kappa$) and

$$\theta T(\theta) \neq 0, \quad \log h \geq (\max\{2, N\})^{2s} \log \log h,$$

$$0 < |\theta| < e^{-c\tau N(\log h)^{(2s-1)/2s}(\log \log h)^{1/2s}}$$

THEOREM B. Let the functions (5) satisfy the conditions of Theorem A. Let $L_i(x) = a_i + b_i x \neq 0$ ($i = 1, \dots, s$) be linear forms with integer coefficients in \mathbf{K} satisfying $\max_{1 \leq i \leq s} \{\overline{a_i}, \overline{b_i}\} \leq H$. There then exist positive constants $\bar{c}, \bar{\lambda}$, depending only on $g_1(z), \dots, g_s(z)$ and s , and a positive constant \bar{C} , depending only on $\mathbf{K}, g_1(z), \dots, g_s(z), s, \theta, h$ and τ , such that

$$\max_{1 \leq i \leq s} \{|L_i(g_i(\theta))|\} > \bar{C} e^{-\bar{\lambda}(\log h / \log \log h)^{1/2}}$$

for any algebraic number θ of height $h(\theta) \leq h \geq e^c$ satisfying $[\mathbf{K}(\theta):\mathbb{Q}] \leq \tau$ and

$$\theta T(\theta) \neq 0, \quad \log h \geq 2^{2s} \log \log h,$$

$$0 < |\theta| < e^{-\bar{c}\tau(\log h \log \log h)^{1/2}}.$$

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DEPARTMENT OF MATHEMATICS
UNIVERSITY OF LEIDEN
Leiden, Netherlands

UNIVERSITY OF OULU
Oulu, Finland

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