

Pseudoprimes and a generalization of Artin's conjecture

by

SAMUEL S. WAGSTAFF, Jr. (Urbana, Ill.)

1. Introduction. One of many generalizations of Artin's conjecture is the following one proved by Lenstra [4], assuming the Generalized Riemann Hypothesis (GRH) to be stated later. If a is a rational number different from $-1, 0, 1$, and t is a positive integer, then the set of primes q for which a has residue index t modulo q has a relative density $A(a, t)$ in the set of all primes. For an integer a other than -1 or a perfect square, Hooley [3] expressed $A(a) = A(a, 1)$ as a product from which it is clear that $A(a) > 0$ for all such a . Lenstra used a clever device to determine when $A(a, t) = 0$ without actually computing product formulas for these densities. The main result of the present paper is a formula for $A(a, t)$ similar to that which Hooley gave for $A(a)$. We express $A(a, t)$ as a rational number times Artin's constant $A = \prod (1 - 1/(q(q-1)))$, where the product is over all primes q . See Wrench [7] for A to 45 decimal places.

An odd composite natural number n is a *pseudoprime to base a* if

$$(1) \quad a^{n-1} \equiv 1 \pmod{n}.$$

It is known [2] that for each integer $a > 1$ the pseudoprimes to base a are much rarer than primes, so that a large odd n which satisfies (1) for some $a > 1$ is very likely to be prime. One might expect that n which satisfy (1) for several different bases a are even more likely to be prime. We show that the increase in certainty that n is prime is not so great as one might guess, by deriving from the equation $\sum_{t=1}^{\infty} A(a, t) = 1$ a corollary which implies that pseudoprimes to a given base are more likely to satisfy (1) for many bases than a composite number which is not known to satisfy (1) at all.

A *Carmichael number* is an odd composite number n satisfying (1) for each integer a relatively prime to n . The paper concludes with a heuristic argument connecting the number of pseudoprimes to base a up to x to the number of Carmichael numbers up to x .

The author is indebted to Professors H. W. Lenstra, Jr., C. J. Moreno and C. Pomerance for helpful discussions related to this paper.



2. The main theorem. The letters p and q always represent primes. The greatest common divisor and least common multiple of a and b are written (a, b) and $[a, b]$. For $(a, p) = 1$, let $l_a(p)$ denote the least positive exponent l for which $a^l \equiv 1 \pmod{p}$. Then $l_a(p)$ divides $p-1$, and $l_a(p) = p-1$ if and only if a is a primitive root modulo p . For positive integers t and real numbers x let $N_{a,t}(x)$ be the number of primes $p \leq x$ for which $l_a(p) = (p-1)/t$.

Let φ and μ denote the functions of Euler and Möbius. We use $p^e \parallel h$ to mean $p^e | h$ but $p^{e+1} \nmid h$. Let $\pi(x)$ be the number of primes $\leq x$.

We will determine $A(a, t)$ in terms of a certain sum $S(h, t, m)$. The following lemma, which is proved in Section 4, expresses the sum as a rational number times Artin's constant defined in the Introduction. Define

$$g(t) = \frac{1}{t^2} \prod_{q|t} \frac{q^2-1}{q^2-q-1}.$$

LEMMA 2.1. Let h, t , and m be positive integers. Write $M = m/(m, t)$ and $H = h/(Mt, h)$. Then

$$S(h, t, m) \stackrel{\text{def}}{=} \sum_{\substack{k=1 \\ m|kt}}^{\infty} \frac{\mu(k)(kt, h)}{kt\varphi(kt)} \\ = \mu(M)(Mt, h) Ag(t) \prod_{q|(M,t)} \frac{1}{q^2-1} \prod_{\substack{q|M \\ q \nmid t}} \frac{1}{q^2-q-1} \prod_{\substack{q|(t,H) \\ q \nmid M}} \frac{q}{q+1} \prod_{\substack{q|H \\ q \nmid Mt}} \frac{q(q-2)}{q^2-q-1}.$$

When a is a non-zero rational, write $a = be^2$, where c is a rational and b is a squarefree integer. Define $d(a) = b$ if $b \equiv 1 \pmod{4}$, and $d(a) = 4b$ if $b \equiv 2$ or $3 \pmod{4}$.

Let \mathbb{Q} denote the field of rational numbers and let ζ_n be a primitive n th root of unity. Let $\text{GRH}(a)$ be the statement that the Generalized Riemann Hypothesis holds for the Dedekind zeta function over Galois fields of the type $\mathbb{Q}(\zeta_k, a^{1/k})$, where k is a positive integer. (Lenstra assumed a slightly weaker GRH.) We can now state our principal result.

THEOREM 2.2. Let a be a rational $\neq -1, 0, 1$. Assume $\text{GRH}(a)$. Write $a = \pm a_0^h$, where a_0 is positive and not an exact power of a rational. Let $2^e \parallel h$. Write $a_0 = a_1 a_2^2$, where a_1 is a squarefree integer and a_2 is a rational. If $a > 0$, set $n = [2^{e+1}, d(a_0)]$. For $a < 0$, define $n = 2a_1$ if $e = 0$ and $a_1 \equiv 3 \pmod{4}$, or $e = 1$ and $a_1 \equiv 2 \pmod{4}$; let $n = [2^{e+2}, d(a_0)]$ otherwise. Let t be any positive integer. Then $N_{a,t}(x) \sim A(a, t)\pi(x)$ as $x \rightarrow \infty$, where

$$A(a, t) = S(h, t, 1) + S(h, t, n)$$

if $a > 0$ and

$$A(a, t) = S(h, t, 1) - \frac{1}{2}S(h, t, 2) + \frac{1}{2}S(h, t, 2^{e+1}) + S(h, t, n)$$

if $a < 0$. In particular, if $a > 0$ or $e = 0$, then

$$A(a, t) = (t, h) Ag(t) \prod_{q|(t,H)} \frac{q}{q+1} \prod_{\substack{q|H \\ q \nmid t}} \frac{q(q-2)}{q^2-q-1} + \\ + \mu(M)(Mt, h) Ag(t) \prod_{q|(M,t)} \frac{1}{q^2-1} \prod_{\substack{q|M \\ q \nmid t}} \frac{1}{q^2-q-1} \prod_{\substack{q|(t,H) \\ q \nmid M}} \frac{q}{q+1} \prod_{\substack{q|H \\ q \nmid Mt}} \frac{q(q-2)}{q^2-q-1},$$

where

$$H' = h/(h, t), \quad M = n/(n, t) \quad \text{and} \quad H = h/(Mt, h).$$

Lenstra identified the conditions (8.9)–(8.13) of [4] under which $A(a, t)$ vanishes (assuming $\text{GRH}(a)$). It is easy to verify directly that our expression for $A(a, t)$ vanishes in each of Lenstra's cases, but it is tedious to check that these are the only cases in which it vanishes.

3. Examples. Let us compute $A(2, t)$. We have $a = 2, h = 1, e = 0, a_0 = a_1 = 2, d(a_0) = 8, n = 8$, and $M = 8/(8, t)$. If $4 \nmid t$, then $\mu(M) = 0$ and $A(2, t) = Ag(t)$. If $4 \parallel t$, then $\mu(M) = \mu(2) = -1$ and

$$A(2, t) = Ag(t)(1 - 1/(2^2 - 1)) = (2/3)Ag(t).$$

Finally, if $8 | t$, then $\mu(M) = \mu(1) = 1$ and $A(2, t) = 2Ag(t)$. We remark that empirical data for the odd primes below 100000 shows close agreement with these formulas. Table 1 gives $N_{2,t}(x), N_{2,t}(x)/\pi(x)$, and $A(2, t)$ for $1 \leq t \leq 10$ and $x = 100000$.

TABLE 1

$x = 100000, \pi(x) = 9592, A = 0.3739558136\dots$ is Artin's constant.

t	$N_{2,t}(x)$	$N_{2,t}(x)/\pi(x)$	$A(2, t)$
1	3603	0.37563	$A = 0.37396$
2	2726	0.28420	$3A/4 = 0.28047$
3	643	0.06704	$8A/45 = 0.06648$
4	460	0.04796	$A/8 = 0.04674$
5	166	0.01731	$24A/475 = 0.01889$
6	482	0.05025	$2A/15 = 0.04986$
7	90	0.00938	$48A/2009 = 0.00893$
8	347	0.03618	$3A/32 = 0.03506$
9	74	0.00771	$8A/405 = 0.00739$
10	118	0.01230	$18A/475 = 0.01417$

To compute $A(3, t)$, we find $M = 12/(12, t)$. If t is odd, then $A(3, t) = Ag(t)$. If $(12, t) = 2$, then $A(3, t) = (16/15)Ag(t)$. If $(12, t) = 4$,



then $A(3, t) = (4/5)Ag(t)$. If $(12, t) = 6$, then $A(3, t) = (2/3)Ag(t)$. If $12 \nmid t$, then $A(3, t) = 2Ag(t)$.

The case of $A(4, t)$ is the first one with $h > 1$. We find $A(4, t) = 0$ when t is odd. This occurs because $4^{(p-1)/2} \equiv 2^{p-1} \equiv 1 \pmod{p}$, so that we cannot have $4 \equiv (p-1)/t$ with t odd. We also have $A(4, t) = cAg(t)$, where $c = 2, 4/3$, or 4 according as $2 \parallel t, 4 \parallel t$, or $8 \mid t$.

Finally, we evaluate $A(-3, t)$. It equals $cAg(t)$, where $c = 6/5, 4/5, 0$, or 2 when $(6, t) = 1, 2, 3$, or 6 , respectively. The 0 in the third case is correct, for if $p \equiv 1 \pmod{3}$, then the Legendre symbol $(-3|p) = +1$ and $(-3)^{(p-1)/2} \equiv 1 \pmod{p}$ by Euler's criterion.

4. Proof of the main theorem. We first prove Lemma 2.1. We will use the elementary fact that

$$\varphi(jk) = \varphi(j)\varphi\left(\frac{k}{(j, k)}\right)(j, k)$$

whenever j and k are positive integers and k is squarefree. Since $M = m/(m, t)$, we have

$$\begin{aligned} S(h, t, m) &= \sum_{\substack{k=1 \\ m|kt}}^{\infty} \frac{\mu(k)(kt, h)}{kt\varphi(kt)} = \sum_{k=1}^{\infty} \frac{\mu(Mk)(Mkt, h)}{Mkt\varphi(Mkt)} \\ &= \frac{\mu(M)(Mt, h)}{Mt\varphi(Mt)} \sum_{\substack{k=1 \\ (k, M)=1}}^{\infty} \frac{\mu(k)\left(k, \frac{h}{(Mt, k)}\right)}{k\varphi\left(\frac{k}{(Mt, k)}\right)(Mt, k)} \end{aligned}$$

Since the summand is a multiplicative function of k we have, using Euler's identity and writing $H = h/(Mt, h)$, that

$$\begin{aligned} S(h, t, m) &= \frac{\mu(M)(Mt, h)}{Mt\varphi(Mt)} \prod_{\substack{q \mid t \\ q \nmid M}} \frac{q^2-1}{q^2} \prod_{\substack{q \mid t \\ q \nmid M}} \frac{q-1}{q} \prod_{\substack{q \mid Mt \\ q \nmid H}} \frac{q^2-q-1}{q(q-1)} \prod_{\substack{q \mid H \\ q \nmid Mt}} \frac{q-2}{q-1} \\ &= \frac{\mu(M)(Mt, h)A}{Mt\varphi(Mt)} \prod_{\substack{q \mid t \\ q \nmid M}} \frac{q^2-1}{q^2} \prod_{\substack{q \mid (t, H) \\ q \nmid M}} \frac{q-1}{q} \times \\ &\quad \times \prod_{\substack{q \mid Mt \\ q \nmid H}} \frac{q(q-1)}{q^2-q-1} \prod_{\substack{q \mid H \\ q \nmid Mt}} \frac{q-2}{q-1}, \end{aligned}$$

since $A = \prod_q (q^2-q-1)/(q^2-q)$. Now $\varphi(Mt) = Mt \prod_{q \mid Mt} (q-1)/q$. Without loss of generality, we may assume M is squarefree, so that $M^2 = \prod_{q \mid M} q^2$. Thus we have

$$\begin{aligned} S(h, t, m) &= \frac{\mu(M)(Mt, h)A}{t^2} \prod_{q \mid t} \frac{q^2-1}{q^2-q-1} \prod_{q \mid (Mt, t)} \frac{1}{q^2-1} \times \\ &\quad \times \prod_{\substack{q \mid M \\ q \nmid t}} \frac{1}{q^2-q-1} \prod_{\substack{q \mid (t, H) \\ q \nmid M}} \frac{q}{q+1} \prod_{\substack{q \mid H \\ q \nmid Mt}} \frac{q(q-2)}{q^2-q-1}, \end{aligned}$$

which proves Lemma 2.1.

We need the following proposition to compute the degree of a Kummer extension in the proof of Theorem 2.2.

PROPOSITION 4.1. *Let a be a rational $\neq -1, 0, 1$. Write $a = \pm a_0^h$, where a_0 is positive and not an exact power of a rational. Let K be a positive integer. Write $K' = K/(K, h)$ and $[\mathcal{O}(\zeta_K, a^{1/K}) : \mathcal{O}] = \varphi(K)K'/\varepsilon(K)$. If $a > 0$, we have $\varepsilon(K) = 2$ if K' is even and $d(a_0) \mid K$; otherwise $\varepsilon(K) = 1$. Now suppose $a < 0$. If K is odd, then $\varepsilon(K) = 1$. If K is even and K' is odd, then $\varepsilon(K) = \frac{1}{2}$. If K is even and $K' \equiv 2 \pmod{4}$, then*

$$\varepsilon(K) = \begin{cases} 2 & \text{if } K \equiv 2 \pmod{4} \text{ and } d(-a_0) \mid K \\ & \text{or } K \equiv 4 \pmod{8} \text{ and } d(2a_0) \mid K, \\ 1 & \text{otherwise.} \end{cases}$$

If K is even and $4 \nmid K'$, then $\varepsilon(K) = 2$ if $d(a_0) \mid K$ and $\varepsilon(K) = 1$ if $d(a_0) \nmid K$.

Proof (sketch). From Kummer theory [1] the degree of the extension is $\varphi(K)L$, where L is the least positive integer for which a^L is a K th power in $\mathcal{O}(\zeta_K)$. One may determine $L = K'/\varepsilon(K)$ in the various cases from Lemmas 3 and 4 of [6].

Proof of Theorem 2.2. Lenstra [4] has proved, assuming GRH(a), that $N_{a,t}(x) \sim A(a, t)\pi(x)$, where

$$A(a, t) = \sum_{k=1}^{\infty} \frac{\mu(k)}{[\mathcal{O}(\zeta_{kt}, a^{1/kt}) : \mathcal{O}]}$$

A short calculation using Proposition 4.1 shows that if n -is defined as in the statement of Theorem 2.2, we have for $a > 0$

$$\varepsilon(K) = \begin{cases} 2 & \text{if } n \mid K, \\ 1 & \text{if } n \nmid K \end{cases}$$

and for $a < 0$

$$\varepsilon(K) = \begin{cases} 2 & \text{if } n \mid K, \\ \frac{1}{2} & \text{if } 2 \mid K \text{ and } 2^{e+1} \nmid K, \\ 1 & \text{otherwise.} \end{cases}$$

(There can be no conflict between the first two conditions because $2^{e+1} | n$.) Thus for $a > 0$ we have

$$\begin{aligned} A(a, t) &= \sum_{k=1}^{\infty} \frac{\mu(k)(kt, h)\varepsilon(kt)}{kt\varphi(kt)} \\ &= \sum_{\substack{k=1 \\ n \nmid kt}}^{\infty} \frac{\mu(k)(kt, h)}{kt\varphi(kt)} + 2 \sum_{\substack{k=1 \\ n|kt}}^{\infty} \frac{\mu(k)(kt, h)}{kt\varphi(kt)} \\ &= \sum_{k=1}^{\infty} \frac{\mu(k)(kt, h)}{kt\varphi(kt)} + \sum_{\substack{k=1 \\ n|kt}}^{\infty} \frac{\mu(k)(kt, h)}{kt\varphi(kt)} \\ &= S(h, t, 1) + S(h, t, n). \end{aligned}$$

The equation for $a < 0$ is proved similarly.

5. Pseudoprimes. In the proof of Theorem 5.1 we will need the equality

$\sum_{t=1}^{\infty} A(a, t) = 1$ for each $a \neq -1, 0, 1$. For natural numbers t let $\tau(t) = \sum_{d|t} 1$ and $f(t) = [Q(\zeta_t, a^{1/t}) : \mathbb{Q}]^{-1}$. Then $\tau(t) = O(t^\varepsilon)$, as $t \rightarrow \infty$, for every $\varepsilon > 0$. Also $f(t) \leq 2h/(t\varphi(t))$ by Proposition 4.1, so that $f(t) = O(t^{-2+\varepsilon})$. Thus $\sum_{t=1}^{\infty} f(t)\tau(t)$ converges. Therefore

$$\sum_{t=1}^{\infty} A(a, t) = \sum_{t=1}^{\infty} \sum_{k=1}^{\infty} \mu(k)f(kt)$$

is an absolutely convergent double sum which can be rearranged to

$$\sum_{K=1}^{\infty} f(K) \sum_{k|K} \mu(k) = f(1) = 1.$$

This proves the formula $\sum_{t=1}^{\infty} A(a, t) = 1$.

THEOREM 5.1. For all $\varepsilon > 0$ and all integers a and b with $|a| > 1$ and $|b| > 1$, if GRH(a) and GRH(b) hold, then there is a K such that for all sufficiently large x , at least $(1-\varepsilon)\pi(x)$ primes $p \leq x$ satisfy $(l_a(p), l_b(p)) \geq (p-1)/K$.

Proof. Let $\delta = \varepsilon/4$. Choose T so large that $\sum_{t=1}^T A(a, t) > 1-\delta$ and $\sum_{t=1}^T A(b, t) > 1-\delta$. Let $K = T^2$. Let x_0 be so large that for all $x \geq x_0$ we have

$$N_{a,t}(x) > (1-\delta)A(a, t)\pi(x) \quad \text{and} \quad N_{b,t}(x) > (1-\delta)A(b, t)\pi(x)$$

for $t = 1, 2, \dots, T$. Then for all $x \geq x_0$ there are at least $(1-2\delta)\pi(x)$ primes $p \leq x$ for which $(p-1)/l_a(p) \leq T$ and likewise for $l_b(p)$. Hence, for all $x \geq x_0$ there are at least $(1-4\delta)\pi(x) = (1-\varepsilon)\pi(x)$ primes $p \leq x$ for which neither $(p-1)/l_a(p)$ nor $(p-1)/l_b(p)$ exceeds T . But for such primes p we must have $(l_a(p), l_b(p)) \geq (p-1)/T^2 = (p-1)/K$.

The significance of Theorem 5.1 is explained in [5], where it is noted that the theorem shows that when $l_a(p) | n-1$ is known, it is much easier to have $l_b(p) | n-1$ as well. Hence tests (1) for several bases are not a much more reliable test for the primality of n than a single test (1). Better tests for primality are discussed in [5].

6. The expected value of $(p-1)/l_a(p)$ for fixed a . Let a be a fixed integer with $|a| > 1$. We will show that $\sum_{t=1}^{\infty} tA(a, t)$ diverges, so that $(p-1)/l_a(p)$ does not have a mean value. However, we can estimate the rate at which this sum diverges.

THEOREM 6.1. For each integer a with $|a| > 1$, there is a positive constant c_a such that $\sum_{t \leq T} tA(a, t) \sim c_a \log T$ as $T \rightarrow \infty$.

The proof requires two lemmas. Recall that

$$g(t) = t^{-2} \prod_{q|t} (q^2-1)/(q^2-q-1).$$

LEMMA 6.2. Let i and N be integers with $0 \leq i < N$. There is a positive constant $K(i, N)$ such that

$$\sum_{\substack{t \leq T \\ t \equiv i \pmod{N}}} tg(t) = K(i, N) \log T + O(1) \quad \text{as } T \rightarrow \infty.$$

Proof. Note first that $(q^2-1)/(q^2-q-1) = 1+q/(q^2-q-1)$. Hence,

$$\begin{aligned} B(T) &\stackrel{\text{def}}{=} \sum_{\substack{t \leq T \\ t \equiv i \pmod{N}}} t^2 g(t) = \sum_{\substack{t \leq T \\ t \equiv i \pmod{N}}} t \sum_{d|t} \frac{\mu^2(d)d}{\prod_{q|d} (q^2-q-1)} \\ &= \sum_{\substack{c,d \leq T \\ cd \equiv i \pmod{N}}} \frac{cd^2 \mu^2(d)}{\prod_{q|d} (q^2-q-1)} = \sum_{d \leq T} \frac{d^2 \mu^2(d)}{\prod_{q|d} (q^2-q-1)} \sum_{\substack{c \leq T/d \\ cd \equiv i \pmod{N}}} c. \end{aligned}$$

Now the inner sum (on c) extends over initial segments of residue classes modulo N . Hence that sum is $K_d(T/d)^2 + O(T/d)$, where $0 \leq K_d \leq \frac{1}{2}$, K_d depends on N and i as well as on d , and $K_d > 0$ precisely when $(d, N) | i$. The implied constant in $O(T/d)$ does not depend on d . Thus

$$B(T) = T^2 \sum_{d \leq T} \frac{\mu^2(d)K_d}{\prod_{q|d} (q^2-q-1)} + O\left(T \sum_{d \leq T} \frac{d\mu^2(d)}{\prod_{q|d} (q^2-q-1)}\right).$$



For squarefree d we have $d^{1.5}/4 < \prod_{q|d} (q^2 - q - 1) \leq d^2$. Hence for each i and N ,

$$(2) \quad \sum_{d=1}^{\infty} \frac{\mu^2(d) K_d}{\prod_{q|d} (q^2 - q - 1)}$$

converges to a positive number which we write $K(i, N)/2$. Thus

$$B(T) = T^2 K(i, N)/2 + O\left(T^2 \sum_{d>T} d^{-1.5}\right) + O\left(T \sum_{d\leq T} d^{-1}\right) \\ = T^2 K(i, N)/2 + D(T),$$

where $D(T) = O(T^{1.5})$. We use a Stieltjes integration to complete the proof. Integration by parts gives

$$\sum_{\substack{t \leq T \\ t \equiv i \pmod{N}}} tg(t) = \int_1^T u^{-2} dB(u) = \frac{B(T)}{T^2} + 2 \int_1^T B(u)u^{-3} du \\ = K(i, N)/2 + O(T^{-1/2}) + 2 \int_1^T \frac{(u^2 K(i, N)/2) + D(u)}{u^3} du \\ = K(i, N) \log T + K(i, N)/2 + 2 \int_1^{\infty} D(u)u^{-3} du - \\ - 2 \int_T^{\infty} D(u)u^{-3} du + O(T^{-1/2}) \\ = K(i, N) \log T + K(i, N)/2 + 2 \int_1^{\infty} D(u)u^{-3} du + O(T^{-1/2}).$$

This proves Lemma 6.2.

LEMMA 6.3. Let N be a positive integer. Let $\{B_i\}_{i=0}^{N-1}$ and $\{C_i\}_{i=1}^{\infty}$ be two sequences of non-negative real numbers. Assume not all B_i vanish. Suppose $C_t = tg(t)B_{t'}$ for every natural number t , where t' denotes the least non-negative residue of $t \pmod{N}$. Then $\sum_{t \leq T} C_t \sim K \log T$ as $T \rightarrow \infty$, where $K = \sum_{i=0}^{N-1} B_i \times K(i, N)$.

Proof. We have

$$\sum_{t \leq T} C_t = \sum_{t \leq T} tg(t)B_{t'} = \sum_{i=0}^{N-1} B_i \sum_{\substack{t \leq T \\ t \equiv i \pmod{N}}} tg(t) \\ = \sum_{i=0}^{N-1} B_i (K(i, N) \log T + O(1)) = \sum_{i=0}^{N-1} B_i K(i, N) \log T + O(1)$$

by Lemma 6.2. This proves Lemma 6.3.

Proof of Theorem 6.1. By Theorem 2.2 and Lemma 2.1, we know that $A(a, t)$ is a rational number times $Ag(t)$, and the rational number depends only on the residue class of t modulo $N = [n, h]$, with n and h as in Theorem 2.2. Hence there are non-negative constants B_0, B_1, \dots, B_{N-1} , depending only on a , such that $tA(a, t) = tg(t)B_{t'}$, with t' as in Lemma 6.3. By that lemma, we have $\sum_{t \leq T} tA(a, t) \sim c_a \log T$ as $T \rightarrow \infty$, where $c_a = \sum_{i=0}^{N-1} B_i K(i, N)$. Since the $A(a, t)$ do not all vanish when $|a| > 1$, at least one B_i is positive; therefore, c_a is positive. This completes the proof.

In a similar manner one can prove that for each integer a with $|a| > 1$, there is a positive constant d_a such that

$$(3) \quad \sum_{t \leq T} \varphi(t)A(a, t) \sim d_a \log T$$

as $T \rightarrow \infty$. The difference in the proof is that

$$(1 - 1/q)(q^2 - 1)/(q^2 - q - 1) = 1 + 1/(q(q^2 - q - 1)),$$

so that the series replacing (2) converges more swiftly.

7. Speculations on pseudoprimes and Carmichael numbers. Let a be an integer $\neq -1, 0, 1$. We present a heuristic argument connecting the number $P'_a(x)$ of squarefree pseudoprimes to base a up to x to the number $C(x)$ of Carmichael numbers up to x . Every Carmichael number is square-free.

For odd squarefree n , let $f(n)$ be the least common multiple of the numbers $p-1$ for $p|n$. When $(a, n) = 1$, let $l_a(n)$ be the least positive exponent l for which $a^l \equiv 1 \pmod{n}$. Then $l_a(n)|f(n)$. Let $N'_{a,t}(x)$ be the number of odd squarefree $n \leq x$ with $l_a(n) = f(n)/t$. By analogy to Theorem 2.2 and (3), suppose there are constants $A'(a, t)$ and $d'_a > 0$ so that $N'_{a,t}(x) \sim A'(a, t)x$ as $x \rightarrow \infty$ and $\sum_{t \leq T} \varphi(t)A'(a, t) \sim d'_a \log T$ as $T \rightarrow \infty$.

For most large n and most small t , t divides $f(n)$. Thus the number $D_t(x)$ of odd composite squarefree $n \leq x$ with $t|f(n)$ and $f(n)/t|n-1$ approximately equals the number $E_t(x)$ of odd composite squarefree $n \leq x$ with $f(n)|(n-1)t$. Now $D_1(x) = E_1(x) = C(x)$ because Carmichael numbers are odd squarefree n with $f(n)|n-1$. For $t \geq 1$ it is plausible that $E_t(x)$ is roughly proportional to t , at least for small t . Thus $D_t(x) \approx E_t(x) \approx tC(x)$. Let $G_t(x)$ be the number of odd composite squarefree $n \leq x$ with $t|f(n)$, $f(n)/t|n-1$ and if $1 \leq s < t$, $s|t$, then $f(n)/s \nmid n-1$. An inclusion-exclusion argument gives $G_t(x) \approx \varphi(t)C(x)$ for small t .

Let $P_{a,t}(x)$ be the number of squarefree pseudoprimes $n \leq x$ to base a with $l_a(n) = f(n)/t$. Multiplication of probabilities gives $P_{a,t}(x) \approx G_t(x)N'_{a,t}(x)\pi^2/(4x)$, since $4/\pi^2$ is the density of the odd squarefree

numbers. Using the hypothetical analogs of Theorem 2.2 and (3), we find

$$(4) \quad P'_a(x) \approx \sum_{t \leq x} P_{a,t}(x) \approx d''_a C(x) \log x$$

for some $d''_a > 0$. Our estimate for $C_t(x)$ should hold only for small t , but presumably the sum in (4) could be cut off at some point much less than x because those n with small $l_a(n)$ can be shown to be negligible. An approximate equality like (4) for $a = 2$ was noticed in [5].

Empirical data in [5] suggests that almost all pseudoprimes to base a are squarefree, that is $P_a(x) \sim P'_a(x)$ as $x \rightarrow \infty$, where $P_a(x)$ is the number of pseudoprimes to base a up to x . In a forthcoming paper, Pomerance shows that $P_2(x)/\log x$ is unbounded. From $P_2(x) \sim P'_2(x)$ and (4) it would follow that there are infinitely many Carmichael numbers.

References

[1] B. J. Birch, *Cyclotomic fields and Kummer extensions*, in: *Algebraic Number Theory (Proc. Instructional Conf., Brighton, 1965)*, Thompson, Washington, D.C., 1967, pp. 85-93.
 [2] P. Erdős, *On pseudoprimes and Carmichael numbers*, *Publ. Math. Debrecen* 4 (1956), pp. 201-206.
 [3] C. Hooley, *On Artin's Conjecture*, *J. Reine Angew. Math.* 225 (1967), pp. 209-220.
 [4] H. W. Lenstra, Jr., *On Artin's conjecture and Euclid's algorithm in global fields*, *Invent. Math.* 42 (1977), pp. 201-224.
 [5] C. Pomerance, J. L. Selfridge, and S. S. Wagstaff, Jr., *The pseudoprimes to $25 \cdot 10^9$* , *Math. Comp.* 35 (1980), pp. 1003-1026.
 [6] A. Schinzel, *A refinement of a theorem of Gerst on power residues*, *Acta Arith.* 17 (1970), pp. 161-168.
 [7] J. W. Wrench, Jr., *Evaluation of Artin's constant and the twin-prime constant*, *Math. Comp.* 15 (1961), pp. 396-398.

DEPARTMENT OF MATHEMATICS
 UNIVERSITY OF ILLINOIS
 Urbana, Illinois, U.S.A.

Current address:
 DEPARTMENT OF STATISTICS AND COMPUTER SCIENCE
 UNIVERSITY OF GEORGIA
 Athens, Georgia 30602, U.S.A.

Received on 8.11.1979
 and in revised form on 30.5.1980

(1181)

On the congruence $f(x^k) \equiv 0 \pmod q$, where q is a prime and f is a k -normal polynomial

by

J. WÓJCIK (Warszawa)

I proved in [4] the following

THEOREM A. *Let f be a polynomial with rational integral coefficients, irreducible, primitive, with a positive leading coefficient. Assume that f is different from x and is not a cyclotomic polynomial. There exists a positive integer $k_0 = k_0(f)$ such that for every positive integer k divisible by k_0 and for all positive integers D and r , where $(r, D) = 1$ and $r \equiv 1 \pmod{(D, k)}$ there exist infinitely many primes q satisfying the following condition: the congruence $f(x^k) \equiv 0 \pmod q$ is soluble, $q \equiv 1 \pmod k$, $q \equiv r \pmod D$. The Dirichlet density σ of this set of primes satisfies the inequality*

$$\frac{c(f)}{C(f)k\varphi([D, k])} \leq \sigma \leq \frac{n}{\kappa} \frac{c(f)}{C(f)\varphi([D, k])},$$

where

$$\kappa = \begin{cases} 1 & \text{if } f \text{ is not reciprocal,} \\ 2 & \text{if } f \text{ is reciprocal,} \end{cases} \quad n \text{ is the degree of } f,$$

$c(f)$, $C(f)$ denote certain natural numbers depending on f .

The main aim of this paper is to prove a related theorem in the case of what we call a k -normal polynomial. Let K be an arbitrary field. A polynomial $f \in K[x]$ is called *weakly normal over K* if $K(a)$ is the splitting field of f for every root a of f (see [1]).

Let k be any positive integer. The polynomial $f \in K[x]$ is called *k -normal over K* if $f(x)$ is irreducible over K and $f(x^k)$ is weakly normal over $K(\zeta_k)$. Obviously the polynomial f is 1-normal if and only if it is normal. If the field K is fixed, we simply say that f is *k -normal*.

The definitions and notation are taken from [4]. In particular E_k is the group of rationals congruent to 1 mod k . We shall prove the following

THEOREM. *Let f be a polynomial with rational integral coefficients, irreducible, primitive, with a positive leading coefficient. Assume that f is*