

with $b = w$, $l = r+1^x+2^x+\dots+(n-1)^x$ and $q = z$. For the second statement one can apply the Corollary of [6], Theorem 3 with $a_i = n$, $b_i = i$, $c_i = d_i = r+1^i+2^i+\dots+(n-1)^i$.

Added in proof. A result similar to Theorem 1, but for the equation $1^k+\dots+2^k+\dots+x^k+R(x) = y^2$, has been published in Acta Math. 143 (1979), pp. 1-8. Here R is a fixed polynomial with rational integer coefficients. The proof in that paper differs from the proof in this paper. Furthermore, a proof of the result of Stroeker mentioned in Remark 1 has been published in Nieuw. Arch. Wiskunde 24 (1978), pp. 476-478.

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Small solutions of quadratic congruences and small fractional parts of quadratic forms

by

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1. Introduction. As for quadratic congruence, we have

THEOREM 1. *Let $Q(x) = Q(x_1, \dots, x_h)$ be a quadratic form with integer coefficients in an odd number h of variables. Then for each natural m there are integers x_1, \dots, x_h satisfying*

$$(1) \quad Q(x_1, \dots, x_h) \equiv 0 \pmod{m}$$

and having

$$(2) \quad 0 < \max(|x_1|, \dots, |x_h|) \leq m^{e(h)},$$

where $e(h) = (1/2) + (1/2)h$.

It is clear that the result remains valid for even h , provided we set $e(h) = (1/2) + (1/2)(h-1)$ in this case. Clearly $e(h)$ may not be replaced by a number less than $1/2$, but it is conceivable that the theorem remains true with the right hand side of (2) replaced by $c_0 m^{1/2}$ for $h \geq h_0$.

As for fractional parts, Heilbronn [4] proved that for $\varepsilon > 0$, $N > c_1(\varepsilon)$ and arbitrary real α , there exists a natural $n \leq N$ with

$$\|n\alpha\| < N^{-(1/2)+\varepsilon}$$

where $\|\dots\|$ denotes the distance to the nearest integer. Danicic [2] generalized Heilbronn's result by showing that for $\varepsilon > 0$, $N > c_2(\varepsilon, s)$ and a quadratic form $Q(x_1, \dots, x_s)$, there exist integers n_1, \dots, n_s not all zero, with $|n_1|, \dots, |n_s| \leq N$ and with

$$\|Q(n_1, \dots, n_s)\| < N^{-(s/(s+1))+\varepsilon}.$$

Cook [1] was able to show that for $\varepsilon > 0$, $N > c_3(\varepsilon)$ and arbitrary α_1, α_2 , there exist integers n_1, n_2 not both zero, having $|n_1|, |n_2| \leq N$ and

$$\|\alpha_1 n_1^2 + \alpha_2 n_2^2\| < N^{-1+\varepsilon}.$$

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For $s \geq 1$, let $c_4(s)$ be the maximum of

$$(3) \quad 2 \left(1 + \frac{1}{h} + \frac{4}{s-h+1} \right)^{-1}$$

over odd h in $1 \leq h \leq (s+5)/3$. Taking h asymptotically equal to $s/3$ we obtain $c_4(s) = 2 - (18/s) + O(1/s^2)$.

THEOREM 2. *Let $s \geq 1$, $\varepsilon > 0$, $N > c_5(\varepsilon, s)$, and let $Q(x_1, \dots, x_s)$ be a quadratic form. Then there are integers n_1, \dots, n_s with*

$$(4) \quad 0 < \max(|n_1|, \dots, |n_s|) \leq N$$

having

$$(5) \quad \|Q(n_1, \dots, n_s)\| < N^{-c_4(s)+\varepsilon}.$$

For $s \leq 5$, the result of Danicic is stronger than ours, for $s = 6$ we get the same exponent as Danicic, and for $s \geq 7$ our exponent is better. That the constant $c_4(s)$ may not be replaced by a number greater than 2 is seen by taking the special quadratic form $\alpha(x_1^2 + \dots + x_s^2)$ where α is a number with bounded partial denominators in its continued fraction. However, it is conceivable that the theorem remains true with $c_4(s)$ replaced by 2 for $s > s_0$. For many special quadratic forms, $c_4(s)$ may be replaced by a number much larger than 2 (Schmidt [6]).

We will make use of Theorem 1 in order to prove Theorem 2. On the other hand, given an integral form $Q(x) = Q(x_1, \dots, x_h)$ and applying Theorem 2 to $Q_1(x) = m^{-1}Q(x)$ and $N = m^{1/(c_4(h)-\varepsilon)}$, we note the existence of a solution of (1) with $0 < \max(|x_1|, \dots, |x_h|) \leq N$, so that Theorem 1 holds with $e(h) = 1/(c_4(h)-\varepsilon)$, provided that m is large. Hence Theorem 1 may be regarded as a discrete version of Theorem 2.

No analogous results are known for forms of degree greater than 2. But for diagonal forms, see Schlickewei [5].

2. Congruences

LEMMA 1. *Let $Q(x) = Q(x_1, \dots, x_h)$ be a quadratic form with coefficients in the finite field F_q with q elements. Then Q vanishes on a certain subspace S (of h -dimensional space F_q^h) of dimension $[(h-1)/2]$ (where [...] denotes the integer part).*

Proof. Much more was shown by Segre [7]. A simple proof is as follows. We may suppose that $h \geq 3$, that h is odd and that Q is non-singular.

Suppose at first that q is odd. Then Q is equivalent to a form

$$y_1y_2 + y_3y_4 + \dots + y_{h-2}y_{h-1} + ay_h^2,$$

and we may take S to be the subspace with $y_1 = y_3 = \dots = y_{h-2} = y_h = 0$.

On the other hand, if q is even, the form may be seen to be equivalent to a form

$$c_1y_1^2 + \dots + c_hy_h^2 + d_1y_1y_2 + d_2y_2y_3 + \dots + d_{h-1}y_{h-1}y_h.$$

In this case we define S by $c_1^{q/2}y_1 + \dots + c_h^{q/2}y_h = 0$ and $y_2 = y_4 = \dots = y_{h-1} = 0$.

Proof of Theorem 1. If $m = u^2m_1$ and if y_1, \dots, y_h satisfy $Q(y_1, \dots, y_h) \equiv 0 \pmod{m_1}$ and $0 < \max(|y_1|, \dots, |y_h|) \leq m_1^{e(h)}$ then $x_1 = uy_1, \dots, x_h = uy_h$ will satisfy (1) and (2). Hence we may suppose m to be square free. Of course we may suppose that $m > 1$ and $h > 1$. Observe that h is odd by hypothesis, and put $d = (h-1)/2$.

For every prime p dividing m , there are by Lemma 1 integer points v_{p1}, \dots, v_{pd} which are linearly independent modulo p and such that $Q(x) \equiv 0 \pmod{p}$ for each combination $x = c_1v_{p1} + \dots + c_dv_{pd}$ with integer coefficients. By the Chinese Remainder Theorem there are integer vectors v_1, \dots, v_d having $v_i \equiv v_{ip} \pmod{p}$ for each prime factor p of m . Write $v_i = (v_{i1}, \dots, v_{ih})$. We have $Q(x) \equiv 0 \pmod{m}$ for

$$(6) \quad x = c_1v_1 + \dots + c_dv_d + mz$$

with integers c_1, \dots, c_d and with $z = (z_1, \dots, z_h)$ integral. According to Minkowski's Linear Forms Theorem, there are integers $c_1, \dots, c_d, z_1, \dots, z_h$, not all zero, with

$$(7) \quad \begin{aligned} |c_i| &< m & (i = 1, \dots, d), \\ |c_1v_{1j} + \dots + c_dv_{dj} + mz_j| &\leq m^{1-(d/h)} = m^{e(h)} & (j = 1, \dots, h). \end{aligned}$$

The vector $x = (x_1, \dots, x_h)$ given by (6) satisfies (1) and $\max(|x_1|, \dots, |x_h|) \leq m^{e(h)}$. If $c_1 = \dots = c_d = 0$, then also $z_1 = \dots = z_h = 0$, which is impossible. If, say, $c_1 \neq 0$, then by (7) there is a prime factor p of m with $c_1 \not\equiv 0 \pmod{p}$. In view of the linear independence of v_1, \dots, v_d modulo p , we have $x \not\equiv 0 \pmod{p}$, whence $x \neq 0$.

3. An Alternative Lemma. We now turn to Theorem 2. So let

$$Q(x) = Q(x_1, \dots, x_s) = \sum_{i,j} \lambda_{ij}x_ix_j$$

be a quadratic form with real coefficients. The matrix (λ_{ij}) may be supposed to be symmetric. We have

$$Q(x) = x_1L_1(x) + \dots + x_sL_s(x)$$

where $L_i(x) = \lambda_{i1}x_1 + \dots + \lambda_{is}x_s$ ($i = 1, \dots, s$).

The symbol $\{ \dots \}$ will denote fractional parts: $\{x\} = x - [x]$.

LEMMA 2 (Alternative Lemma). *Let $\varepsilon > 0$, $s \geq 1$, $N \geq c_6(\varepsilon, s)$ and $N^{-c_4(s)+\varepsilon} \leq I < 1$. Then either*

(i) for each interval \mathfrak{S} of length I contained in $0 \leq x < 1$, there are integers n_1, \dots, n_s with (4) and with

$$\{Q(n_1, \dots, n_s)\} \in \mathfrak{S}$$

or

(ii) there is a natural

$$(8) \quad m \leq I^{-1} N^{e/4}$$

and for each h in $1 \leq h \leq (s+5)/3$ there are h linearly independent integer vectors $r_\mu = (r_{1\mu}, \dots, r_{s\mu})$ ($\mu = 1, \dots, h$) with

$$(9) \quad |r_{i\mu}| \leq I^{-(2/(s-h+1))} N^{e/4} \quad (1 \leq \mu \leq h, 1 \leq i \leq s)$$

and

$$(10) \quad \|mL_j(r_\mu)\| < I^{-(2/(s-h+1))} N^{(s/4)-2} \quad (1 < \mu \leq h, 1 \leq j \leq s).$$

We proceed to deduce Theorem 2. Let $I = N^{-c_4(s)+\varepsilon}$. If the first alternative holds, then there are n_1, \dots, n_s with (4) and $0 \leq \{Q(n_1, \dots, n_s)\} < N^{-c_4(s)+\varepsilon}$, and we are done. So we may assume the second alternative to hold. Pick h odd in $1 \leq h \leq (s+5)/3$ such that (3) is maximized.

Put

$$n_i = r_{i1}x_1 + \dots + r_{ih}x_h \quad (1 \leq i \leq s)$$

with x_1, \dots, x_h yet to be determined. Write

$$\varphi_{\mu\nu} = m \sum_{j=1}^s \sum_{k=1}^s \lambda_{jk} r_{j\mu} r_{k\nu} = m \sum_{j=1}^s r_{j\mu} L_j(r_\nu) \quad (1 \leq \mu, \nu \leq h).$$

We have

$$(11) \quad \|\varphi_{\mu\nu}\| = |\varphi_{\mu\nu} - b_{\mu\nu}| \quad (1 \leq \mu, \nu \leq h)$$

with certain integers $b_{\mu\nu}$ ($1 \leq \mu, \nu \leq h$). By Theorem 1, there are integers x_1, \dots, x_h , not all zero, with $|x_i| \leq m^{e(h)}$ ($i = 1, \dots, h$) and

$$(12) \quad \sum_{\mu=1}^h \sum_{\nu=1}^h b_{\mu\nu} x_\mu x_\nu \equiv 0 \pmod{m}.$$

Since the vectors r_1, \dots, r_h are linearly independent, we have $(n_1, \dots, n_s) \neq (0, \dots, 0)$, and from (8), (9) we get for sufficiently large N that

$$\begin{aligned} |n_i| &\leq hm^{e(h)} \max_{1 \leq \mu \leq h} |r_{i\mu}| \leq I^{-e(h)-(2/(s-h+1))} N^{e/2} \\ &\leq N^{(c_4(s)-\varepsilon)\left(\frac{1}{2} + \frac{1}{2h} + \frac{1}{s-h+1}\right) + \frac{s}{2}} \leq N \quad (1 \leq i \leq s). \end{aligned}$$

On the other hand, by (11)

$$\begin{aligned} \|Q(n_1, \dots, n_s)\| &= \|Q(r_{11}x_1 + \dots + r_{1h}x_h, \dots, r_{s1}x_1 + \dots + r_{sh}x_h)\| \\ &= \left\| \frac{1}{m} \sum_{\mu=1}^h \sum_{\nu=1}^h \varphi_{\mu\nu} x_\mu x_\nu \right\| \\ &\leq \left\| \frac{1}{m} \sum_{\mu=1}^h \sum_{\nu=1}^h b_{\mu\nu} x_\mu x_\nu \right\| + \frac{1}{m} \sum_{\mu=1}^h \sum_{\nu=1}^h |x_\mu| |x_\nu| \|\varphi_{\mu\nu}\|. \end{aligned}$$

From (12), (9), (10) and since $|x_\mu| \leq m^{e(h)}$ ($1 \leq \mu \leq h$), we get

$$\|Q(n_1, \dots, n_s)\| \leq s^3 m^{2e(h)-1} (I^{-(2/(s-h+1))} N^{e/4}) (N^{-(2/(s-h+1))} N^{(s/4)-2}).$$

By virtue of (8) and of $2e(h)-1 = 1/h$, this is

$$\leq s^2 I^{-(1/h)-(4/(s-h+1))} N^{(s/4)-2},$$

and for large N it is

$$< N^{(c_4(s)-\varepsilon)\left(\frac{1}{h} + \frac{4}{s-h+1}\right)} N^{s-2} < N^{-c_4(s)+\varepsilon}.$$

4. Davenport's Lemma

LEMMA 3. Let L_1, \dots, L_s be real linear forms in $x = (x_1, \dots, x_s)$ and with a symmetric coefficient matrix. Let $N \geq 1$ and let M_1, \dots, M_s be the first s successive minima of the convex body described by

$$\begin{aligned} |L_j(x) - x_{s+j}| &< N^{-1} \\ |x_j| &< N \end{aligned} \quad (j = 1, \dots, s)$$

with respect to the lattice of integer points in $2s$ -dimensional space. Then the number of integer points $x = (x_1, \dots, x_s)$ satisfying the simultaneous inequalities

$$\begin{aligned} \|L_j(x)\| &< N^{-1} \\ |x_j| &< N \end{aligned} \quad (j = 1, \dots, s)$$

is $\ll (M_1 \cdot \dots \cdot M_s)^{-1}$.

Here and in the sequel the constant implied by \ll depends only on s or on s and ε .

This is Lemma 3 of Davenport [3]. Put

$$S(k) = \sum_{(n_1, \dots, n_s) \in \mathfrak{R}} e(kQ(n_1, \dots, n_s)),$$

where $e(x) = e^{2\pi i x}$, k is natural and \mathfrak{R} is the set given by (4).

LEMMA 4 (Davenport's Alternative Lemma). Suppose that $1 \leq h \leq s$ and

$$(13) \quad Z \geq c_7(s) N^{(h-2)/h}.$$

Then either

(i) the estimate

$$|S(k)|^2 \leq c_8(s) Z^{s-h+1} N^{s+h-1} (\log N)^s$$

holds or

(ii) there exist h linearly independent integer vectors $r_\mu = (r_{1\mu}, \dots, r_{s\mu})$ ($\mu = 1, \dots, h$) with

$$\begin{aligned} \|2kL_j(r_\mu)\| &< Z^{-1}N^{-1} \\ |r_{j\mu}| &< Z^{-1}N. \end{aligned} \quad (1 \leq j \leq s, 1 \leq \mu \leq h)$$

This lemma was shown in the case $h = 5$ by Davenport [3]. The proof for general h follows along the same lines as that of Davenport.

Proof. Let M_1, \dots, M_s be the first s successive minima of the convex body described by

$$(14) \quad \begin{aligned} |2kL_j(x) - x_{s+j}| &< N^{-1} \\ |\omega_j| &< N \end{aligned} \quad (j = 1, \dots, s)$$

with respect to the lattice Z^{2s} , where $x = (x_1, \dots, x_s)$. Suppose at first that $M_h < Z^{-1}$. Then there exist h linearly independent integer points $R_\mu = (r_{1\mu}, \dots, r_{2s,\mu})$ ($\mu = 1, \dots, h$) with

$$(15) \quad \begin{aligned} |2kL_j(r_{1\mu}, \dots, r_{s\mu}) - r_{s+j,\mu}| &< Z^{-1}N^{-1} \\ |r_{j\mu}| &< Z^{-1}N. \end{aligned} \quad (1 \leq j \leq s, 1 \leq \mu \leq h)$$

We are going to show that the resulting s -dimensional vectors $r_\mu = (r_{1\mu}, \dots, r_{s\mu})$ ($\mu = 1, \dots, h$) are also linearly independent. Suppose this were not true. Then there would be integers u_1, \dots, u_h with

$$(16) \quad u_1 r_{11} + \dots + u_h r_{1h} = 0 \quad (i = 1, \dots, s)$$

and $(u_1, \dots, u_h) \neq (0, \dots, 0)$. By considering certain determinants of order $h-1$, and in view of (15), we may assume that

$$(17) \quad |u_i| \ll (Z^{-1}N)^{h-1} \quad (i = 1, \dots, h).$$

On the other hand (16) implies

$$u_1 L_j(r_1) + \dots + u_h L_j(r_h) = 0 \quad (j = 1, \dots, s)$$

so that by (15) and (17)

$$|u_1 r_{s+j,1} + \dots + u_h r_{s+j,h}| \ll Z^{-1}N^{-1} (Z^{-1}N)^{h-1} \quad (j = 1, \dots, s).$$

If the constant $c_7(s)$ in (13) is small enough this implies

$$u_1 r_{s+j,1} + \dots + u_h r_{s+j,h} = 0 \quad (j = 1, \dots, s)$$

which contradicts the linear independence of R_1, \dots, R_h . As a consequence, we obtain alternative (ii).

Now suppose that $M_h \geq Z^{-1}$. Let \mathcal{N} be the number of integer solutions (x_1, \dots, x_s) of the simultaneous inequalities

$$\begin{aligned} \|2kL_j(x_1, \dots, x_s)\| &< N^{-1} \\ |\omega_j| &< N \end{aligned} \quad (j = 1, \dots, s).$$

It is well known that

$$(18) \quad |S(k)|^2 \ll \mathcal{N} N^s (\log N)^s$$

(cf. Davenport [3], p. 117). By Lemma 3 we have

$$(19) \quad \mathcal{N} \ll (M_1 \cdots M_s)^{-1}.$$

Notice that $M_1 \geq N^{-1}$; this follows from the definition of the convex body (14). With (19) and $M_h \geq Z^{-1}$, we infer that

$$\mathcal{N} \ll N^{h-1} Z^{s-h+1}.$$

By virtue of (18), this implies (i).

5. Proof of the Alternative Lemma. Suppose that the first alternative in Lemma 2 does not hold. There is an interval \mathfrak{I} of length I , such that $\{Q(n_1, \dots, n_s)\} \notin \mathfrak{I}$ for s -tuples (n_1, \dots, n_s) in the set \mathfrak{R} given by (4). Choose $r > 1 + 10\epsilon^{-1}s$. By a lemma of Vinogradov ([8], Lemma 12; or see [6], § 3), there is a function $\psi(x)$, periodic with period 1, having $\psi(x) = 0$ unless x lies in an integer translate of \mathfrak{I} , and having a Fourier expansion

$$\psi(x) = \frac{1}{2}I + \sum_{q \neq 0} c_q e(qx),$$

where

$$|c_q| \ll I \min(1, (qI)^{-r}).$$

We have

$$\sum_{(n_1, \dots, n_s) \in \mathfrak{R}} \psi(Q(n_1, \dots, n_s)) = 0.$$

The Fourier expansion of ψ yields

$$(20) \quad \sum_{q \neq 0} |c_q| |S(q)| \ll N^s I.$$

Setting $K = [I^{-1}N^{s/10}]$ we obtain

$$\begin{aligned} \sum_{|q| \geq K} |c_q| |S(q)| &\ll N^s \sum_{|q| \geq K} |c_q| \ll N^s I \sum_{q=K}^{\infty} (qI)^{-r} \\ &\ll N^s (IK)^{1-r} \ll N^{s-(s/10)(r-1)} \ll 1 = o(N^s I), \end{aligned}$$



by our choice of r . Thus from (20), and since $|c_q| \ll I$ and $|S(-q)| = |S(q)|$, we have

$$\sum_{q=1}^K |S(q)| \gg N^s.$$

Hence there is a $q_0, 1 \leq q_0 \leq K \leq I^{-1}N^{s/10}$ with

$$(21) \quad |S(q_0)|^2 \gg N^{2s}K^{-2}.$$

Now let h be odd with $1 \leq h \leq (s+5)/3$. Then by the lower bound for I in the hypothesis of Lemma 2, the inequality (13) holds for

$$Z = N^{1-(s/(4(s-h+1)))} I^{2/(s-h+1)}.$$

With this choice of Z we have

$$Z^{s-h+1} N^{s+h-1} (\log N)^s \leq N^{2s-(s/4)} I^2 N^{s/20} = N^{2s-(s/5)} I^2 \leq N^{2s} K^{-2},$$

by the definition of K , if N is large enough. Hence by (21) the second alternative of Lemma 4 holds with $k = q_0$. This implies the second alternative of Lemma 2 with $m = 2q_0 = 2k$ for N large enough.

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On the differences of additive functions, II

by

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In memory of Professor P. Turán

An arithmetic function $f(n)$ is said to be additive if it satisfies the relation $f(ab) = f(a) + f(b)$ whenever a and b are coprime (positive) integers. Concerning real-valued additive functions we have:

THEOREM 1. *Let a be a positive integer. Then the following three conditions are equivalent:*

(i) *There is a constant B so that the inequality*

$$\sum_{n \leq x} |f(n+a) - f(n)|^2 \leq Bx$$

holds for all $x \geq 2$;

(ii) *There is a constant C so that the inequality*

$$\sum_{n \leq x} n^{-1} |f(n+a) - f(n)|^2 \leq C \log x$$

holds for all $x \geq 2$;

(iii) *There is a constant A so that the series*

$$\sum_p \sum_{k=1}^{\infty} p^{-k} |f(p^k) - A \log p^k|^2$$

converges.

As a companion to this result we have

THEOREM 2. *Let λ and μ be real numbers, $\lambda + \mu \neq 0$. Let a and b be integers. Then the following three conditions are equivalent:*

(i) *There is a constant B so that the inequality*

$$\sum_{n \leq x} |\lambda f(n+a) + \mu f(n+b)|^2 \leq Bx$$

holds for all $x \geq 2$;

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