

Elementary methods in the theory of  $L$ -functions, VI  
On the least prime quadratic residue (mod  $p$ )

by

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I. I. M. Vinogradov conjectured more than 50 years ago, that the least prime quadratic residue mod  $p$  ( $p$  is a prime)

$$(1.1) \quad P(p) < c(\varepsilon)p^\varepsilon$$

where  $\varepsilon$  is an arbitrary positive number and  $c(\varepsilon)$  a constant depending on  $\varepsilon$ .

Yu. V. Linnik and A. I. Vinogradov proved in 1964 [5], that

$$(1.2) \quad P(p) < c(\varepsilon)p^{1/4+\varepsilon} \quad (\varepsilon > 0).$$

The somewhat roughly outlined proof uses complex integration, Burgess's inequality [1], and Siegel's lower bound [7] for  $L(1, \chi)$ .

Conditional results connecting the hypothesis of I. M. Vinogradov mentioned above with the value of  $L(1, \chi_p)$  — where  $\chi_p(n) = (n/p)$  — were achieved by Linnik and Rényi [4], P. D. T. A. Elliott [2] and D. Wolke [8].

Linnik and Rényi showed that if  $P(p) > p^{1/k}$  then

$$(1.3) \quad L(1, \chi_p) = \sum_{n=1}^{\infty} \frac{\left(\frac{n}{p}\right)}{n} \ll 1.$$

On the same condition Elliott proved

$$(1.4) \quad L(1, \chi_p) \ll \frac{(\log \log p)^k}{\log p},$$

Wolke proved

$$(1.5) \quad L(1, \chi_p) \ll \frac{k^2}{\log p}.$$

The results of Elliott and Wolke were based on a lemma, which is the essential part of the work of Linnik and A. I. Vinogradov [5], mentioned above in which they proved the inequality

$$P(p) < c(\varepsilon)p^{1/4+\varepsilon}.$$

Besides this, Elliott uses a result of Hardy and Ramanujan [3], concerning the number of the natural numbers less than  $x$  and having exactly  $r$  distinct prime divisors. Wolke applies Brun's sieve method in order to prove

$$\sum_{\substack{n \leq x \\ p|n \rightarrow p \geq y}} 2^{r(n)} \ll \frac{x \log x}{\log^2 y}.$$

Now we shall demonstrate that one can derive Linnik and A. I. Vinogradov's [5] result from Burgess's inequality and Siegel's theorem in a simple elementary way (which, however, is somewhat similar to the non-elementary original proof [5]). We shall also give a simple, elementary proof for Wolke's result in which besides a lemma, proved in [6] in an elementary way, we use only the relation

$$(1.6) \quad \sum_{n \leq A} \frac{d(n)}{n} = \left(\frac{1}{2} + o(1)\right) \log^2 A.$$

So we state

**THEOREM 1.** For an arbitrary positive  $\varepsilon$  there is an ineffective constant  $p_0(\varepsilon)$ , depending only on  $\varepsilon$ , that the least prime quadratic residue  $P(p) \pmod{p}$  (where  $p$  is a prime)

$$(1.7) \quad P(p) < p^{1/4+\varepsilon} \quad \text{if} \quad p > p_0(\varepsilon).$$

**THEOREM 2.** If the least prime quadratic residue  $\pmod{p}$  (where  $p$  is a prime)

$$(1.8) \quad P(p) > p^\varepsilon \geq P_0$$

(where  $\varepsilon \leq \frac{1}{2}$ ,  $P_0$  is an absolute constant), then the inequality

$$(1.9) \quad L(1, \chi_p) = \sum_{n=1}^{\infty} \left(\frac{n}{p}\right) n^{-1} \leq \frac{2A}{\varepsilon^2 \log p}$$

holds.

2. To prove Theorem 1 we use Burgess's inequality [1], according to which if  $p$  is a prime,  $r$  an integer, and  $\chi(d) = (d/p)$  then the inequality

$$(2.1) \quad \left| \sum_{d=N+1}^{N+H} \chi(d) \right| \leq C(r) H^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} \log p$$

holds, where  $C(r)$  is a constant depending on  $r$ .

Further we use Siegel's theorem [7], which states, that for an arbitrary  $\eta > 0$  and for a real non-principal character  $\chi \pmod{D}$

$$(2.2) \quad L(1, \chi) > c(\eta) D^{-\eta}$$

with a constant  $c(\eta)$  depending only on  $\eta$ .

We shall assume that for  $0 < \varepsilon < \frac{1}{4}$

$$(2.3) \quad P(p) > x = p^{1/4+\varepsilon}$$

(where  $p > p_0(\varepsilon)$ ).

$$\text{Let } r = \left[ \frac{1}{2\varepsilon} \right],$$

$$(2.4) \quad g(n) = \sum_{d|n} \chi(d) = \prod_{p_i^{a_i}|n} (1 + \chi(p_i) + \dots + \chi^{a_i}(p_i))$$

and further

$$(2.5) \quad A = C(r) x^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} \log p \leq x, \quad y = \sqrt{Ax} \leq x$$

(if  $p > p_0(\varepsilon)$ ).

Then we see from (2.3) and (2.4), that for  $n \leq x$

$$(2.6) \quad g(n) = \begin{cases} 1, & \text{if } n = l^2, \\ 0, & \text{if } n \neq l^2. \end{cases}$$

So we have

$$(2.7) \quad [\sqrt{x}] = \sum_{n \leq x} g(n) = \sum_{d \leq x} \chi(d) \left[ \frac{x}{d} \right] = x \sum_{d \leq x} \frac{\chi(d)}{d} - \sum_{d \leq x} \chi(d) \left\{ \frac{x}{d} \right\}.$$

Here using (2.1) and Abel's inequality we get the inequality

$$(2.8) \quad \left| \sum_{d \leq x} \chi(d) \left\{ \frac{x}{d} \right\} \right| \leq \left| \sum_{d \leq y} \chi(d) \left\{ \frac{x}{d} \right\} \right| + \sum_{m \leq \frac{x}{y}} \left| \sum_{\substack{d \leq x \\ [d] = m}} \chi(d) \left\{ \frac{x}{d} \right\} \right| \\ \leq y + \frac{x}{y} A = \sqrt{Ax} + \sqrt{Ax} = 2\sqrt{Ax}.$$

On the other hand (2.1) gives

$$(2.9) \quad |S_x(u)| = \left| \sum_{x < d \leq u} \chi(d) \right| \leq C(r) u^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} \log p$$

and so by partial summation we get the inequality

$$(2.10) \quad \left| \sum_{d > x} \frac{\chi(d)}{d} \right| = \left| \int_x^\infty \frac{S_x(u)}{u^2} du \right| \leq \int_x^\infty \frac{u^{1-\frac{1}{r}}}{u^2} C(r) p^{\frac{r+1}{4r^2}} \log p du \\ = r C(r) x^{-\frac{1}{r}} p^{\frac{r+1}{4r^2}} \log p = r \frac{A}{x}.$$

Thus from (2.7), (2.8) and (2.10) follows

$$(2.11) \quad \sqrt{x} \geq \sum_{n \leq x} g(n) \geq xL(1, \chi) - rA - 2\sqrt{Ax}.$$

Hence as

$$A \leq x \quad \text{and} \quad \frac{1}{3\varepsilon} \leq r = \left\lfloor \frac{1}{2\varepsilon} \right\rfloor \leq \frac{1}{2\varepsilon}$$

we have

$$(2.12) \quad L(1, \chi) \leq (r+3) \sqrt{\frac{A}{x}} = (r+3) \sqrt{O(r) \log p} \sqrt{\frac{\frac{r+1}{p^{4r^2}}}{p^{\frac{1}{4r} + \frac{\varepsilon}{r}}}} \\ < O'(r) \sqrt{\log pp}^{-\frac{1}{2r} \left( s - \frac{1}{4r} \right)} < c(\varepsilon) \sqrt{\log pp}^{-\frac{\varepsilon}{4}},$$

which contradicts to Siegel's theorem (2.2) (with  $\eta = \varepsilon^2/5$ ) if  $p$  exceeds a certain ineffective constant  $p_0(\varepsilon)$ .

3. To prove Theorem 2 we use Lemma 1 of [6]:

LEMMA. If  $\chi$  is a real non-principal character mod  $D$ ,  $x \geq \sqrt{D} \log^2 D$ ,  $g(n) = \sum_{d|n} \chi(d)$ , then the equality

$$(3.1) \quad \sum_{n \leq x} \frac{g(n)}{n} = L'(1, \chi) + L(1, \chi)(\log x + c) + O\left(\sqrt{\frac{\sqrt{D} \log D \log x}{x}}\right)$$

holds, where  $c$  denotes Euler's constant.

If we use this for  $\chi(n) = \left(\frac{n}{p}\right)$  ( $D = p$ ) and for the values  $x_1 = p$ ,  $x_2 = p^2$ , subtracting the first equality from the second we have the equality

$$(3.2) \quad \sum_{p < n \leq p^2} \frac{g(n)}{n} = \log p \cdot L(1, \chi_p) + o(1).$$

On the other hand if  $P(p) > p^\varepsilon$  (where  $0 < \varepsilon \leq \frac{1}{2}$ ) we assert the inequality

$$(3.3) \quad \sum_{\substack{q \leq p^\varepsilon \\ \mu(q) \neq 0}} \frac{d(q)}{q} \sum_{p < n \leq p^2} \frac{g(n)}{n} \leq \sum_{p < m \leq p^{2+\varepsilon}} \frac{d(m)}{m}$$

where  $d(m)$  is the number of divisors of  $m$ .

To prove (3.3) first we show that an arbitrary integer  $m$ , for which  $p < m \leq p^{2+\varepsilon}$  can be written in at most one way in the form  $m = qn$ ,

where  $q \leq p^\varepsilon$ ,  $\mu(q) \neq 0$  and  $g(n) \neq 0$ . Indeed, if

$$(3.4) \quad g(n) = \prod_{p_i^{a_i} | n} (1 + \chi(p_i) + \dots + \chi^{a_i}(p_i)) \neq 0$$

then for all the prime factors  $p_i$  of  $n$  with the property  $\chi(p_i) = -1$  and so for all  $p_i \leq p^\varepsilon$ ,  $a_i$  must be even, so if  $m = l^2 t$  ( $\mu(t) \neq 0$ ) then necessarily

$$(3.5) \quad q = \prod_{\substack{p_i | l \\ p_i \leq p^\varepsilon}} p_i \quad \text{and} \quad n = l^2 \prod_{\substack{p_j | t \\ p_j > p^\varepsilon}} p_j.$$

We can also see from (3.4) that if  $n = ab$ , where  $p_i | a \rightarrow p_i > p^\varepsilon$  and  $p_i | b \rightarrow p_i \leq p^\varepsilon$ , then since  $g(n)$  is multiplicative and  $g(b) = 0$  or 1 (see (3.4)) we have

$$0 \leq g(n) = g(a)g(b) \leq g(a) \leq d(a)$$

and thus the inequality

$$d(q)g(n) \leq d(q)d(a) = d(aq) \leq d(nq) = d(m)$$

holds, which proves (3.3).

We shall further use the relation

$$(3.6) \quad \sum_{m=1}^{\infty} \frac{d(m^2)}{m^2} = \prod_p \left(1 + \frac{1}{p^2}\right) \left(\sum_{n=1}^{\infty} \frac{1}{n^2}\right) = c_0 < \frac{32}{7}.$$

Hence as  $d(uv) \leq d(u)d(v)$ , we have

$$(3.7) \quad c_0 \sum_{\substack{q \leq p^\varepsilon \\ \mu(q) \neq 0}} \frac{d(q)}{q} > \sum_{\substack{q \leq p^\varepsilon \\ \mu(q) \neq 0}} \frac{d(q)}{q} \sum_{m^2 \leq p^\varepsilon | q} \frac{d(m^2)}{m^2} > \sum_{r \leq p^\varepsilon} \frac{d(r)}{r}.$$

So using (1.6) from the formulae (3.2), (3.3), (3.6) and (3.7) we get the inequality

$$(3.8) \quad \log p \cdot L(1, \chi_p) + o(1) \\ = \sum_{p < n \leq p^2} \frac{g(n)}{n} \leq c_0 \left( \sum_{p < m \leq p^{2+\varepsilon}} \frac{d(m)}{m} \right) \left( \sum_{r \leq p^\varepsilon} \frac{d(r)}{r} \right)^{-1} \\ = \frac{c_0 \left( \frac{1}{2} [(2+\varepsilon)^2 - 1] + o(1) \right) \log^2 p}{\left( \frac{1}{2} + o(1) \right) \varepsilon^2 \log^2 p} \leq \frac{c_0 \left( \frac{21}{4} + o(1) \right)}{\varepsilon^2}.$$

Hence

$$(3.9) \quad L(1, \chi_p) \leq \frac{c_0 \left( \frac{21}{4} + o(1) \right)}{\varepsilon^2 \log p} < \frac{24}{\varepsilon^2 \log p}. \quad \blacksquare$$

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Received on 8. 9. 1975

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## Values of integer-valued multiplicative functions in residue classes

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1. An integer-valued arithmetical function  $f$  is said to be *weakly uniformly distributed* (mod  $N$ ) [WUD (mod  $N$ )] provided the set  $\{n: (f(n), N) = 1\}$  is infinite and the values of  $f$  prime to  $N$  are asymptotically uniformly distributed in residue classes (mod  $N$ ) prime to  $N$ . This notion was studied in [2] in the case of polynomial-like multiplicative functions (i.e. functions  $f$  satisfying the condition  $f(p^k) = W_k(p)$  for every prime  $p$ ,  $k = 1, 2, \dots$  with suitable  $W_k(x) \in \mathcal{Z}[x]$ ) and a necessary and sufficient condition for such a function to be WUD (mod  $N$ ) was found. This condition makes sense for arbitrary integer-valued multiplicative functions and it was shown in [3] that it is equivalent to the Dirichlet-weakly uniform distribution (mod  $N$ ) of  $f$ , which seems to be essentially weaker than WUD (mod  $N$ ).

The purpose of this note is to show that for an important class of multiplicative functions WUD (mod  $N$ ) and Dirichlet-WUD (mod  $N$ ) coincide and so in view of [3] a necessary and sufficient condition for  $f$  from that class to be WUD (mod  $N$ ) results.

2. We shall consider integer-valued multiplicative functions  $f$  from the class  $F_N$  consisting of all functions of this type for which the series

$$\sum_{(f(n), N) > 1} \frac{1}{p}$$

converges.

We need a lemma, which for  $r = 1$  is a special case of Theorem 1. of [1] whose proof carries without any change to our case, being a simple application of a theorem of E. Wirsing [4]:

LEMMA. Let for  $k = 1, 2, \dots, r$   $f_k$  be an integer-valued additive function,  $N_k \geq 2$  an integer and  $j_k$  an integer prime to  $N_k$ . Let  $S = S(f_1, \dots, f_r; N_1, \dots, N_r; j_1, \dots, j_r)$  be the set of all integers  $n \geq 1$  for which

$$f_k(n) \equiv j_k \pmod{N_k}$$