

On additive bases

by

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1. Let $A = \{a_1, a_2, \dots\}$ (where $a_1 = 0 < a_2 < \dots < a_n < \dots$) be an infinite sequence of non-negative integers. The sequence of numbers, which can be written in the form $a_{i_1} + a_{i_2} + \dots + a_{i_h}$, is denoted by hA (for $h = 1, 2, \dots$). Furthermore, let $A^k = \{a_1^k, a_2^k, \dots, a_n^k, \dots\}$ (for $k = 1, 2, \dots$).

If there exists a number k such that

$$(1) \quad kA = \{0, 1, 2, \dots, n, \dots\}$$

holds then A is called a *basis* (more exactly: an additive basis of finite order), and the least k , satisfying (1), is called the *order* of the basis A .

F. Dress raised the problem whether there existed sequences B, C such that B is a basis but B^2 is not a basis, while on the other hand, C is not a basis but C^2 is a basis?

The purpose of this paper is to construct such sequences B, C .

In the second section, we shall give two lemmas implying that a sequence is not a basis; it should be noticed that the basic idea of the two criteria is the same one: if a sequence A is such that for some irrational number α (resp. for an infinity of convenient rationals α) the sequence $\alpha A = \{\alpha a_1, \alpha a_2, \dots\}$ is badly distributed mod 1, then A is not a basis. Note that one can find a larger list of similar criteria in Stöhr [3].

Both criteria may be used to construct sequences B and C with the required properties, but we shall use the "analytic" criterion (Lemma 2) in the third section, in order to construct the sequence B since it gives a fairly explicit result, and the "arithmetic" criterion (Lemma 1) in the fourth section since the construction of the sequence C is altogether elementary.

For a real number θ , we shall write: $e(\theta) = \exp(2i\pi\theta)$, $\{\theta\}$ for the fractional part of θ , and $\|\theta\| = \inf(\{\theta\}, 1 - \{\theta\})$.

One more notation:

Let a, m be integers, $m > 0$. The integer r , uniquely determined by the conditions

$$a \equiv r \pmod{m},$$

$$\left[\frac{m}{2} \right] - m < r \leq \left[\frac{m}{2} \right]$$

(i.e. the absolute least residue of r modulo m), will be denoted by $r(a, m)$. Clearly, for any non-negative integer a and any positive integer m

$$(2) \quad |r(a, m)| \leq a \quad \text{for } a \geq 0$$

holds, furthermore, for any integers a, b, m ($m > 0$),

$$(3) \quad |r(a \pm b, m)| \leq |r(a, m)| + |r(b, m)|$$

and

$$(4) \quad |r(a - b, m)| \geq |r(a, m)| - |r(b, m)|.$$

The last definition: let A be a sequence of non-negative integers, m be a positive integer, n, ε be non-negative real numbers. A is said to have property $P(n, \varepsilon, m)$ if $a \in A$, $a \geq n$ imply that $|r(a, m)| < \varepsilon m$.

2. In this section, we are going to prove two lemmas that we need in the construction of both sequences B and C .

LEMMA 1. Let A be a given sequence of non-negative integers. Let us suppose that there exists an infinite sequence $p_1 < p_2 < \dots < p_k < \dots$ of natural numbers greater than one, and an infinite sequence $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k, \dots$ of positive real numbers with

$$(5) \quad \lim_{k \rightarrow +\infty} \varepsilon_k = 0$$

such that, for some infinite sequence $n_1, n_2, \dots, n_k, \dots$ of non-negative real numbers, A has property $P(n_k, \varepsilon_k, p_k)$ for $k = 1, 2, \dots$. Then A is not a basis.

Proof. Let us argue indirectly and suppose that there exists a positive integer l for which

$$(6) \quad lA = \{0, 1, 2, \dots, n, \dots\}.$$

By (5), clearly, there exists a subsequence $p_{i_1} < p_{i_2} < \dots < p_{i_{l+1}}$ of the sequence $p_1, p_2, \dots, p_k, \dots$ such that

$$(7) \quad \varepsilon_{i_j} < \frac{1}{8l} \quad \text{for } j = 1, 2, \dots, l+1$$

and

$$(8) \quad \frac{p_{i_{j+1}}}{8l} > \max\{n_{i_1}, n_{i_2}, \dots, n_{i_j}\} \quad \text{for } j = 1, 2, \dots, l.$$

(To find such a subsequence $p_{i_1}, p_{i_2}, \dots, p_{i_{l+1}}$, all we have to do is to choose i_{j+1} to be sufficiently large depending on i_1, i_2, \dots, i_j , after beginning with an arbitrary i_1 such that $\varepsilon_{i_1} < 1/8l$.)

Let m be any integer satisfying

$$(9) \quad |r(m, p_{i_j})| = \left[\frac{p_{i_j}}{2} \right] \quad \text{for } j = 1, 2, \dots, l+1.$$

(6) implies the existence of integers $a_{i_1}, a_{i_2}, \dots, a_{i_l}$ such that

$$(10) \quad m = a_{i_1} + a_{i_2} + \dots + a_{i_l} \quad \text{and} \quad a_{i_j} \in A \quad \text{for } j = 1, 2, \dots, l.$$

We may suppose that

$$(11) \quad a_{i_1} \geq a_{i_2} \geq \dots \geq a_{i_l}.$$

We shall prove by induction that, for $j = 0, 1, 2, \dots, l$,

$$(12) \quad m - \sum_{v=1}^j a_{i_v} > \frac{p_{i_{l-j+1}}}{8}.$$

In this way, we obtain a contradiction. Namely, the difference on the left-hand side of (12) is positive also for $j = l$ by (12), while, on the other hand, the same difference must be equal to 0 by (10). Thus to complete the proof, we have to prove (12).

For $j = 0$, (12) asserts that

$$m > \frac{p_{i_{l+1}}}{8}.$$

Indeed, by (2) and (9),

$$m \geq |r(m, p_{i_{l+1}})| = \left[\frac{p_{i_{l+1}}}{2} \right] > \frac{p_{i_{l+1}}}{4} > \frac{p_{i_{l+1}}}{8}.$$

Let us suppose now that (12) holds for some j ($0 \leq j \leq l-1$); we have to show that this implies that (12) holds also for $j+1$, i.e.

$$(13) \quad m - \sum_{v=1}^{j+1} a_{i_v} > \frac{p_{i_{l-j}}}{8}.$$

(10) and (12) imply that

$$\sum_{v=j+1}^l a_{i_v} = m - \sum_{v=1}^j a_{i_v} > \frac{p_{i_{l-j+1}}}{8}.$$

Thus, by (11),

$$(14) \quad a_{i_{j+1}} = \max_{v=j+1, \dots, l} a_{i_v} \geq \frac{\sum_{v=j+1}^l a_{i_v}}{l-j} > \frac{p_{i_{l-j+1}}}{8(l-j)} \geq \frac{p_{i_{l-j+1}}}{8l}.$$

(8), (11) and (14) give that

$$(15) \quad a_{i_1} \geq a_{i_2} \geq \dots \geq a_{i_{j+1}} > \frac{p_{i_{l-j+1}}}{8l} > n_{i_{l-j}}.$$

By our assumption, A has property $P(n_{i_{l-j}}, \varepsilon_{i_{l-j}}, p_{i_{l-j}})$; thus (7) and (15) imply that

$$(16) \quad |r(a_{i_v}, p_{i_{l-j}})| \leq \varepsilon_{i_{l-j}} p_{i_{l-j}} < \frac{p_{i_{l-j}}}{8l}, \quad v = 1, \dots, j-1.$$

We obtain from (2), (3), (4), (9), (10) and (16) that

$$\begin{aligned} m - \sum_{v=1}^{j+1} a_{i_v} &\geq \left| r\left(m - \sum_{v=1}^{j+1} a_{i_v}, p_{i_{l-j}}\right) \right| \\ &\geq |r(m, p_{i_{l-j}})| - \sum_{v=1}^{j+1} |r(a_{i_v}, p_{i_{l-j}})| > \left[\frac{p_{i_{l-j}}}{2} \right] - (j+1) \frac{p_{i_{l-j}}}{8l} \\ &> \frac{p_{i_{l-j}}}{4} - l \frac{p_{i_{l-j}}}{8l} = \frac{p_{i_{l-j}}}{8}. \end{aligned}$$

Thus (13) and also Lemma 1 is proved.

LEMMA 2. *Let A be a sequence of non-negative integers, and let us suppose that there exists an irrational number α such that the set of the fractional parts of the elements αa (where a belongs to A) has only a finite number of limit points.*

Then A is not a basis.

Proof. Let x_1, x_2, \dots, x_k be the set of limit points of the set of the fractional parts of the αa 's, and let ε be a positive real number; we write:

$$(17) \quad A'_\varepsilon = \{a \in A \mid \forall j \in [1, k]: \|\alpha a - x_j\| > \varepsilon\},$$

$$(18) \quad A_{\varepsilon, j} = \{a \in A \mid \|\alpha a - x_j\| \leq \varepsilon\} \quad \text{for } j = 1, \dots, k,$$

$$(19) \quad A_\varepsilon = \bigcup_{j=1}^k A_{\varepsilon, j}.$$

(i) By (17), (18) and (19) it is clear that A is the union of A'_ε and A_ε . By hypothesis, A_ε is a finite set, and the sequence A_ε has upper asymptotic density

$$\bar{d}A_\varepsilon = \limsup_{N \rightarrow \infty} \#\{a \leq N \mid a \in A_\varepsilon\} / N$$

which does not exceed $2\varepsilon k$, because the sequence $(\alpha n)_{n \in \mathbb{N}}$ is equidistributed mod 1. This is true for all ε , so that

$$\bar{d}A = 0.$$

(ii) Suppose now that, for some positive integer h , $\bar{d}hA = 0$. Clearly, we have

$$(20) \quad (h+1)A = (A'_\varepsilon + hA) \cup (h+1)A_\varepsilon.$$

The sequence $A'_\varepsilon + hA$ is a finite union of sequences which are obtained by translating hA , and so we have

$$(21) \quad \bar{d}(A'_\varepsilon + hA) = 0.$$

Let E_h be the set of the fractional parts of all the sums $a_{i_1} + \dots + a_{i_{h+1}}$; E_h is a finite set with at most $k^{(h+1)}$ elements. The sequence $(h+1)A_\varepsilon$ is included in the set of the integers m for which there exists a x in E_h such that:

$$\|am - x\| \leq (h+1)\varepsilon.$$

From the equidistribution mod 1 of the sequence $(am)_{m \in \mathbb{N}}$, we get

$$(22) \quad \bar{d}((h+1)A_\varepsilon) \leq 2k^{(h+1)}(h+1)\varepsilon.$$

From (20), (21) and (22) we deduce:

$$(23) \quad \bar{d}((h+1)A) \leq 2k^{(h+1)}(h+1)\varepsilon.$$

Since (23) is true for all ε , $\bar{d}((h+1)A)$ equals 0.

(iii) By induction, we see that for every positive integer h , the sequence hA has a zero upper asymptotic density, and so A cannot be a basis.

(Note that we shall use only a special case of this lemma, where $k = 1$ and $x_1 = 0$, i.e. $\lim_{\substack{a \in A \\ a \rightarrow \infty}} \{aa\} = 0$.)

3. In this section, we shall construct a sequence B having the desired properties. From now on, we write $\varrho = (1 + \sqrt{5})/2$. We need two more lemmas:

LEMMA 3. *Let P be a positive integer, h a rational integer with absolute value less than $0.75P^{1/2}$, u and v two arbitrary integers and a a real number; we have:*

$$(24) \quad \left| \sum_{n=1}^P e(\varrho h n^2 + an) \right| \leq 7P^{1/2}(1 + |h|^{1/2})$$

and

$$(25) \quad \left| \sum_{n_1=u+1}^{u+P} \sum_{n_2=v+1}^{v+P} e(2\varrho h n_1 n_2) \right| \leq 7P^{3/2}(1 + |h|^{1/2}).$$

Proof. (24) is obtained by combining the so-called fundamental inequality of van der Corput (cf. [1]), and Lemma 8a of Vinogradov (cf. [4], p. 24).

(25) is a trivial corollary of Lemma 10b of Vinogradov (cf. [4], p. 29).

LEMMA 4 (J. F. Koksma, cf. [2]). Let a and b be two positive integers ($a < b$), and θ a positive real number not exceeding 1, M an integer greater than 200, f_1, f_2, f_3 three functions from $[a, b[\times [a, b[$ into \mathbf{R} ; we write:

$$S = S(a, b, \theta) = \#\{(n_1, n_2) \mid a \leq n_i < b, \{f_j(n_1, n_2)\} \leq \theta \ (j = 1, 2, 3)\},$$

$$p_h = \begin{cases} 30|h^{-1}| & \text{if } h \neq 0, \\ 2 & \text{if } h = 0, \end{cases}$$

$$T = \sum'_{h_1, h_2, h_3} \left| \sum_{n_1=a}^{b-1} \sum_{n_2=a}^{b-1} e\left(\sum_{j=1}^3 h_j f_j(n_1, n_2)\right) \right| p_{h_1} p_{h_2} p_{h_3},$$

where the first summation is taken over the triples (h_1, h_2, h_3) such that:

$$0 \leq |h_j| \leq M \quad (j = 1, 2, 3) \quad \text{and} \quad h_1^2 + h_2^2 + h_3^2 \neq 0.$$

We have

$$(26) \quad |S - \theta^3(b-a)^2| \leq T + (b-a)^2 \frac{1200}{M}.$$

We are now in a position to prove

THEOREM 1. Let

$$B = \{n \in \mathbf{N} \mid \{\varrho n^3\} \leq 193n^{-1/12}\}, \quad \text{where} \quad \varrho = (1 + \sqrt{5})/2;$$

the sequence B is a basis of order at most 3, whereas B^2 is not a basis.

Proof. It is clear from Lemma 2 and from the definition of B that B^2 is not a basis.

Remark first that all the integers which are less than 3.193^{12} are in $3B$; thus it suffices to prove that any integer N greater than 2.160^{12} is in $3B$. Let

$$(27) \quad \theta = 193N^{-1/12}$$

and

$$(28) \quad P = [N/2].$$

It suffices to show that there exist two integers n_1 and n_2 satisfying the conditions:

$$1 \leq n_1 \leq P, \quad 1 \leq n_2 \leq P, \\ \{\varrho n_1^3\} \leq \theta, \quad \{\varrho n_2^3\} \leq \theta, \quad \{\varrho(N - n_1 - n_2)^3\} \leq \theta,$$

since then n_1, n_2 and $N - n_1 - n_2$ are elements of B .

We shall use Lemma 4 with the following notations:

$$a := 1, \quad b := P+1, \quad M := [P^{1/4}],$$

$$f_1(n_1, n_2) := \varrho n_1^3, \quad f_2(n_1, n_2) := \varrho n_2^3, \quad f_3(n_1, n_2) := \varrho(N - n_1 - n_2)^3$$

We have to evaluate the sums

$$(29) \quad U(h_1, h_2, h_3) = \left| \sum_{n_1=1}^P \sum_{n_2=1}^P e(\varrho(h_1 n_1^3 + h_2 n_2^3 + h_3(N - n_1 - n_2)^3)) \right|.$$

Let us consider three cases:

(i) $h_1 + h_3 \neq 0$; by (24), we have:

$$(30) \quad U(h_1, h_2, h_3) \leq \sum_{n_2=1}^P \left| \sum_{n_1=1}^P e(\varrho(h_1 + h_3)n_1^3 + \beta n_1) \right| \leq 7P^{3/2}(1 + (2M)^{1/2}).$$

(ii) $h_2 + h_3 \neq 0$; we obtain the same majorization in the same way.

(iii) $h_3 = -h_2 = -h_1$; by (25), we have

$$(31) \quad U(h_1, h_2, h_3) = \left| \sum_{n_1=1}^P \sum_{n_2=1}^P e(2\varrho h_3(n_1 - N)(n_2 - N)) \right| \leq 7P^{3/2}(1 + (2M)^{1/2}).$$

In order to apply Lemma 4, we require also the inequality

$$(32) \quad \sum'_{h_1, h_2, h_3} p_{h_1} p_{h_2} p_{h_3} = 8 \left(\sum_{h=1}^M \frac{30}{h} \right)^3 + 24 \left(\sum_{h=1}^M \frac{30}{h} \right)^2 + 24 \left(\sum_{h=1}^M \frac{30}{h} \right) \\ < 8 \left(1 + \sum_{h=1}^M \frac{30}{h} \right)^3 \leq 250\,000 (\text{Log } M)^3.$$

With the notations of Lemma 3, (26) becomes, in view of (29), (30), (31) and (32),

$$(33) \quad |S - \theta^3 P^2| \leq 7P^{3/2}(1 + \sqrt{2}P^{1/8}) 250\,000 \cdot 4^{-3} (\text{Log } P)^3 + 1201P^{7/4}.$$

Since P is greater than 160^{12} , $\text{Log } P$ is less than $4.82P^{1/24}$, and (33) becomes

$$(34) \quad |S - \theta^3 P^2| \leq 6.16 \cdot 10^6 P^{2-1/4} \leq 7.34 \cdot 10^6 N^{-1/4} P^2.$$

By (27) and (28), we have

$$(35) \quad \theta^3 P^2 > 7.34 \cdot 10^6 N^{-1/4} P^2.$$

Comparing (34) and (35), we see that S is positive, and the proof of Theorem 1 is now complete.

4. In this section, we will construct a sequence C such that C is not a basis but C^2 is a basis (of order at most 6). We need one more lemma.

LEMMA 5. Let p be any odd prime number, a any integer. Then there exist integers x, y, z such that

$$(36) \quad x^2 + y^2 + z^2 \equiv a \pmod{p^2}$$

and

$$(37) \quad |r(x, p)| < \sqrt{3p}, \quad |r(y, p)| < \sqrt{3p}, \quad |r(z, p)| < \sqrt{3p}.$$

Proof. If $p = 3$, the lemma is trivial, so we suppose $p > 3$. Since p^2 is congruent to 1 mod 8, we may write

$$(38) \quad a \equiv rp + s \pmod{p^2},$$

where r, s are integers, such that

$$(39) \quad 0 \leq r < p$$

and

$$(40) \quad 1 \leq s \leq 3p, \quad \text{and } s \text{ not congruent to } 0 \text{ or } 7 \pmod{8}.$$

By Legendre's theorem, there exist non-negative integers b, c, d such that

$$(41) \quad b^2 + c^2 + d^2 = s.$$

(40) and (41) imply that

$$(42) \quad 0 \leq b \leq \sqrt{s} \leq \sqrt{3p}, \quad 0 \leq c \leq \sqrt{s} \leq \sqrt{3p}, \quad 0 \leq d \leq \sqrt{s} \leq \sqrt{3p}.$$

By (40), at least one of the numbers b, c, d is positive; we may suppose that $b > 0$. Then

$$1 \leq b \leq \sqrt{3p}$$

which implies that $(b, p) = 1$. Thus also $(2b, p) = 1$ (p is odd); therefore there exists an integer v such that

$$(43) \quad 2vb \equiv r \pmod{p}$$

holds.

Let

$$x = vp + b, \quad y = c, \quad z = d.$$

Then we obtain from (38), (41) and (43) that

$$\begin{aligned} x^2 + y^2 + z^2 &= (vp + b)^2 + c^2 + d^2 = v^2 p^2 + 2vbp + b^2 + c^2 + d^2 \\ &= v^2 p^2 + 2vbp + s \equiv rp + s \equiv a \pmod{p^2}, \end{aligned}$$

whence (36) holds.

Furthermore, by (2) and (42),

$$|r(x, p)| = |r(vp + b, p)| = |r(b, p)| \leq b < \sqrt{3p}.$$

The other three inequalities in (37) follow immediately from (2) and (42). (Clearly we need not put equality signs in (37)).

THEOREM 2. There exists a sequence C such that C is not a basis but C^2 is a basis (of order at most 6).

Proof. Let p_k ($k = 1, 2, \dots$) denote the k th odd prime number: $p_1 = 3, p_2 = 5, p_3 = 7, \dots$ Let

$$(44) \quad n_k = 12(p_1 p_2 \dots p_k)^4 \quad \text{for } k = 1, 2, \dots$$

Let us define the sequence C in the following way: let

$$C \cap [0, n_1] = \{0, 1, 2, \dots, n_1\}.$$

If $n > n_1$, then for some positive integer $k, n_k < n \leq n_{k+1}$. Then $n \in C$ holds if and only if

$$(45) \quad |r(n, p_i)| < \sqrt{3p_i} \quad \text{for } i = 1, 2, \dots, k.$$

By our construction, the sequence C has property $P\left(n_k, \sqrt{\frac{3}{p_k}}, p_k\right)$

for $k = 1, 2, \dots$; thus C is not a basis by Lemma 1.

Thus we have to prove only that C^2 is a basis. We will show that C^2 is a basis of order at most 6, i.e., for any given non-negative integer m , there exist integers C_1, C_2, \dots, C_6 such that

$$(46) \quad m = \sum_{j=1}^6 C_j^2$$

and

$$(47) \quad C_j \in C \quad \text{for } j = 1, 2, \dots, 6.$$

For $m \leq n_1$, the existence of such numbers C_1, C_2, \dots, C_6 is trivial. Assume next $m > n_1$. Then

$$(48) \quad n_k < m \leq n_{k+1}$$

for some integer k .

Let us apply Lemma 5 with $a = m, p = p_i$ where $i = 1, 2, \dots, k$. We obtain that, for $i = 1, 2, \dots, k$, there exist integers x_i, y_i, z_i such that

$$x_i^2 + y_i^2 + z_i^2 \equiv m \pmod{p_i^2}$$

and

$$|r(x_i, p_i)| < \sqrt{3p_i}, \quad |r(y_i, p_i)| < \sqrt{3p_i}, \quad |r(z_i, p_i)| < \sqrt{3p_i}.$$

Let us denote the least non-negative solution of the congruence system

$$x \equiv x_i \pmod{p_i^2} \quad (i = 1, 2, \dots, k);$$

$$y \equiv y_i \pmod{p_i^2} \quad (i = 1, 2, \dots, k);$$

resp.

$$z \equiv z_i \pmod{p_i^2} \quad (i = 1, 2, \dots, k);$$

by C'_1, C'_2 , resp. C'_3 .

We may now choose $\lambda_1, \lambda_2, \lambda_3$ belonging to $\{0, 1\}$, such that:

$$\sum_{j=1}^3 (C'_j + \lambda_j p_1 p_2 \dots p_k)^2 \equiv m - 1 \pmod{4}.$$

Let $C_j = C'_j + \lambda_j p_1 \dots p_k$ ($j = 1, 2, 3$). Then clearly,

$$(49) \quad 0 \leq C_j < 2(p_1 p_2 \dots p_k)^2 \quad \text{for } j = 1, 2, 3.$$

By the definition of the x_i 's, y_i 's, z_i 's and C_j 's ($i = 1, 2, \dots, k$, $j = 1, 2, 3$),

$$(50) \quad C_1^2 + C_2^2 + C_3^2 \equiv m \pmod{(p_1 p_2 \dots p_k)^2}$$

and

$$(51) \quad |r(C_j, p_i)| < \sqrt{3p_i} \quad \text{for } j = 1, 2, 3, i = 1, 2, \dots, k.$$

(44) and (49) give that

$$(52) \quad 0 \leq C_j < n_k \quad \text{for } j = 1, 2, 3.$$

By the construction of the sequence C , (51) and (52) imply that

$$C_j \in C \quad \text{for } j = 1, 2, 3.$$

To complete the proof that C^2 is a basis of order at most 6, we have to show that the number

$$(53) \quad t = m - (C_1^2 + C_2^2 + C_3^2)$$

can be written in form

$$(54) \quad t = C_4^2 + C_5^2 + C_6^2$$

where

$$(55) \quad C_j \in C \quad (j = 4, 5, 6).$$

We obtain from (44), (48) and (52) that

$$t = m - (C_1^2 + C_2^2 + C_3^2) \leq m \leq n_{k+1}$$

and

$$t = m - (C_1^2 + C_2^2 + C_3^2) > n_k - 12(p_1 p_2 \dots p_k)^4 \geq 0,$$

thus

$$(56) \quad 0 \leq t \leq n_{k+1}.$$

Furthermore, it follows from (50) and the definition of t that $t \equiv 0 \pmod{(p_1 \dots p_k)^2}$. Let

$$(57) \quad t = q(p_1 p_2 \dots p_k)^2.$$

By Legendre's theorem, there exist non-negative integers q_1, q_2, q_3 such that

$$(58) \quad q = q_1^2 + q_2^2 + q_3^2$$

since $t \equiv 1 \pmod{4}$, and so $q \equiv 1 \pmod{4}$.

Let

$$C_j = q_{j-3} p_1 p_2 \dots p_k \quad (j = 4, 5, 6).$$

Then (57) and (58) give that

$$(59) \quad \sum_{j=4}^6 C_j^2 = \sum_{j=4}^6 (q_{j-3} p_1 p_2 \dots p_k)^2 = (p_1 p_2 \dots p_k)^2 (q_1^2 + q_2^2 + q_3^2) = q(p_1 p_2 \dots p_k)^2 = t;$$

thus (54) holds.

Furthermore, by (56) and (59),

$$(60) \quad 0 \leq C_j \leq \sqrt{t} \leq t \leq n_{k+1} \quad (j = 4, 5, 6)$$

and clearly,

$$(61) \quad |r(C_j, p_i)| = |r(q_{j-3} p_1 p_2 \dots p_k, p_i)| = 0 \quad (j = 4, 5, 6; i = 1, 2, \dots, k).$$

By the construction of the sequence C , (60) and (61) imply (55), and thus we have proved that C^2 is a basis of order at most 6.

5. It can be proved by a similar construction that, for any given positive integer k , there exist sequences D, E such that D is a basis but D^k is not a basis, while E is not a basis but E^k is a basis (only the computation becomes slightly longer). The same idea even could be applied to construct a sequence F such that F is a basis but $\sum_{k=2}^{+\infty} F^k$ is not a basis (but the construction would be even more complicated).

Furthermore, we remark that the sequence B constructed by us was a basis of order at most 3, while C^2 was a basis of order at most 6 (but neither B^2 nor C is a basis). We guess that there exist also sequences G, H such that G is a basis of order 2 but G^2 is not a basis, while H is not a basis but H^2 is a basis of order 4.

Finally let L be a set of positive integers; is it true that there exists a sequence A such that A^n is a basis if and only if n belongs to L ? The answer is yes if there is only a finite number of integers which do not lie in L .

Added in proof. The first named author and E. Fouvry proved in a paper which will appear in the J. London Math. Soc. that for any set L of positive integers there does exist a sequence A such that A^n is a basis if and only if n belongs to L ; it is clear from their proof that there exists also a sequence H which is not a basis such that H^2 is a basis of order at most 5.

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A sharper bound for the least pair of consecutive k -th power non-residues of non-principal characters (mod p) of order $k > 3$

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1. History of the problem. Let χ be a non-principal character (mod p) of fixed order k and let $n_2(k, p)$ denote the smallest positive integer satisfying

$$(1.1) \quad \chi(n_2(k, p)) \neq 0 \text{ or } 1, \quad \chi(n_2(k, p) + 1) \neq 0 \text{ or } 1.$$

The first significant success in providing an upper bound for $n_2(k, p)$ was that of P. D. T. A. Elliott ([3], p. 52) who showed that for real valued characters (mod p), i.e. Legendre symbols ($p > 2$), that

$$(1.2) \quad n_2(k, p) = O(p^{1/4+\varepsilon})$$

for each $\varepsilon > 0$ and $p \geq 5$.

Although (1.2) is a relatively easy consequence of D. A. Burgess's [1] deep and thoroughly remarkable character sum estimates, Elliott improved (1.2) when, in addressing the Number Theory Conference in Boulder, Colorado in 1972 [4], he showed that

$$(1.3) \quad n_2(k, p) = O(p^{\frac{1}{4}(1-\frac{\varepsilon-10}{2})+\varepsilon})$$

for each $\varepsilon > 0$ and $p \geq 5$.

2. A new bound for $n_2(k, p)$. An "alternative bound" for $n_2(k, p)$ was provided in [7] where I proved that

$$(2.1) \quad n_2(k, p) \leq (q_1(k, p) - 1) (q_2(k, p))$$

where $q_1(k, p)$ and $q_2(k, p)$ are, for each fixed k , respectively the smallest and the second smallest positive primes with $\chi(q_1(k, p)) \neq 0$ or 1, $\chi(q_2(k, p)) \neq 0$ or 1.

I asserted in [7] which was written in the Fall of 1973, and I announced when I spoke in Oberwolfach, Germany in January, 1974, that (2.1) leads to an improvement of (1.3) for all non-principal characters