

ON THE DECOMPOSITION OF QUADRATIC FORMS IN SIX VARIABLES.

(DEDICATED TO PROFESSOR L. J. MORDELL ON HIS FIFTIETH BIRTHDAY.)

By

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1. Let

$$f_n(x) = \sum_{i,j=1}^n a_{ij} x_i x_j \quad (a_{ij} = a_{ji})$$

be a positive definite quadratic form with integer coefficients (i. e. a_{ij} are integers) and $A_{ij}^{(n)}$ be the cofactor of the a_{ij} in the determinant $D_n = |a_{ij}|$. A well known result by Hermite¹⁾ states that f_n is equivalent to a reduced form for which

$$(1) \quad a_{11} \leq \gamma_n \sqrt[n]{D_n},$$

where γ_n is a number, e. g. $(4/3)^{\frac{1}{2}(n-1)}$ depending only on n . It is also known that

$$(2) \quad \gamma_2 = \sqrt{4/3}, \gamma_3 = \sqrt[3]{2}, \gamma_4 = \sqrt[4]{4}, \gamma_5 = \sqrt[5]{8^2}, \gamma_6 = \sqrt[6]{64/3^2}.$$

Prof. Mordell proved recently the following theorems⁴⁾:

THEOREM 1. A decomposition

$$f_n = X^2 + g(x),$$

where X is a linear form and $g(x)$ is a positive definite or semi-definite quadratic form in x_1, \dots, x_n both with integer coefficients, is possible if either $D_n > [\gamma_n \sqrt[n]{D_n^{n-1}}]$, (the square bracket denoting the integer part), or if its adjoint form represents an integer less than or equal to D_n .

THEOREM 1a. The form

$$(3) \quad \sum_{i=1}^6 x_i^2 + \left(\sum_{i=1}^6 x_i \right)^2 - 2x_1x_2 - 2x_1x_3$$

cannot be decomposed into a sum of two non-negative quadratic forms with integer coefficients.

For the decompositions of forms in six variables, I proved the⁵⁾

THEOREM 2. If $D_6 \equiv 0, 4, 7 \pmod{8}$, $(A_{11}^{(6)}, D_6) = 1$, and if $A_{11}^{(6)}$ is odd when $(A_{11}^{(6)}, D_6) = 1$, (the symbol being that of quadratic residuacity), and $D_6 \equiv 3 \pmod{8}$, then f_6 can be decomposed into a sum of eight linear squares with integer coefficients.

These results naturally suggest the problem whether there exist non-decomposable forms in six variables other than (3). As an answer to this question, I prove in the present note the

THEOREM 3. If f_6 is not equivalent to (3), then it can be decomposed into a sum of a linear square and a non-negative quadratic form both with integer coefficients.

A consequence of this theorem is that any form in six variables can be decomposed into either a sum of squares or a sum of squares and a Mordell's form (3). I conjecture that the numbers of squares required are at most nine and three respectively.

2. The proof of theorem 3 requires the known lemmas:

LEMMA 1⁵⁾. If $D_6 \equiv 0 \pmod{4}$, the transformation

$$x_i = y_i \quad (i=1, \dots, 5), \quad 2x_6 = y_6$$

carries a form $f_6' \sim f_6$ into a form with integer coefficients and determinant $D_6/4$.

LEMMA 2⁶⁾. All the forms in six variables with determinant ≤ 3 and not representing unity are equivalent to the form (3)

¹⁾ Bachmann, Die Arithmetik der quadratischen Formen II (1923), 250-255.

²⁾ Bachmann, II, 327-328.

³⁾ Hofreiter, Monatshefte für Mathematik und Physik, 40 (1933), 129-152. Blichfeldt, Mathematische Zeitschrift, 39 (1934), 1-15.

⁴⁾ Mordell, Annals of Mathematics, 38 (1937), 751-757.

⁵⁾ Ko, Quarterly Journal of Mathematics (Oxford), 8 (1937) 81-98.

⁶⁾ Ko, Journal of the London Mathematical Society, 13 (1938), 102-110.

LEMMA 3⁶⁾. All the forms in seven variables with determinant ≤ 3 and not representing unity are equivalent to the form of determinant 2

$$\sum_{i=1}^7 x_i^2 + \left(\sum_{i=1}^7 x_i \right)^2 - 2x_1x_2 - 2x_2x_7.$$

This form represents only even integers.

From (2), $\gamma_6 = \sqrt[6]{64 \cdot 3}$ and so $D_6 < [\gamma_6 \sqrt[6]{D_6^5}]$ requires $D_6 \leq 14$. By theorems 1, 1a and lemma 2, we need deal with only those forms with determinant greater than 3 and less than 15 and

$$(4) \quad A_{11}^{(6)} > D_6.$$

The form of determinants 4 and 8 are evidently ruled out by lemmas 1 and 2.

Let the adjoint form of f_6 be

$$F_6 = \sum_{i=1}^6 A_{ij}^{(6)} x_i x_j.$$

Then its determinant is D_6^5 . Suppose f_6 is replaced by an equivalent form for which F_6 is reduced, so that corresponding to (1)

$$(5) \quad A_{11}^{(6)} \leq \sqrt[6]{(64/3) D_6^5}.$$

It is easy to see that from (4) and (5), we need only treat the forms with determinants

$$(6) \quad D_6 = 5, 6, 7, 9, 10, 11, 12, 13, 14$$

and their corresponding minors

$$(6') \quad A_{11}^{(6)} = 6, 7, 8, 10, 11, 12, 13, 14, 15.$$

Examining the values of D_6 , $A_{11}^{(6)}$ in (6) and (6'), the only forms which possibly cannot be expressed as a sum of eight squares by theorem 2 are those having the determinants

$$D_6 = 7, 11, 12 \text{ with } A_{11}^{(6)} = 8, 12, 13; \text{ respectively.}$$

Now we shall deal with these forms separately in the following lemmas:

LEMMA 4. The forms having $D_6 = 7, 12$ and $A_{11}^{(6)} = 8, 13$, respectively,

can be decomposed into a sum of a linear square and a non-negative quadratic form with integer coefficients.

I. Suppose first for f_6 that $D_6 = 7$ and $A_{11}^{(6)} = 8$. We show now that there exist definite forms f_7 of determinant 3 in seven variables

$$f_7 = a_{77} a x_7^2 + 2a_{71} x_7 x_1 + f_6(x_1, \dots, x_6).$$

It suffices if

$$a_{77} D_6 - a_{71}^2 A_{11}^{(6)} = 3, \text{ i. e. } 7a_{77} - 8a_{71}^2 = 3$$

and so we may take $a_{71} = 2$, $a_{77} = 5$. By lemma 3, this form represents unity. Hence we have

$$f_7 = y_7^2 + \varphi_6(y_1, \dots, y_6),$$

where the y 's are linear forms in x_1, \dots, x_7 with integer coefficients and the determinant of φ_6 is 3. It is clear that y_7 cannot contain x_7 only, as 3 is not a divisor of 7. Now by putting $x_7 = 0$, we get the required decomposition for f_6 .

II. Similarly when in f_6 , $D_6 = 12$ and $A_{11}^{(6)} = 13$, since $12 \cdot 10 - 13 \cdot 3^2 = 3$, we have correspondingly

$$(7) \quad f_7 = 10x_7^2 + 6x_1x_7 + f_6 = y_7^2 + \psi_6(y_1, \dots, y_6),$$

where, by lemma 2, either

$$(8) \quad \psi_6 = y_6^2 + \psi_5(y_1, \dots, y_5),$$

when ψ_6 represents unity, or

$$(9) \quad \psi_6 = \sum_{i=1}^6 y_i^2 + \left(\sum_{i=1}^6 y_i \right)^2 - 2y_1y_2^2 - 2y_2y_6.$$

Suppose first (8) holds. Then y_7 and y_6 cannot be both zero, when we put $x_7 = 0$ in (7), since y_6, y_7 are not linearly dependent. Hence the required decomposition is evidently obtained in this case.

Suppose next (9) holds. Put $x_7 = 0$ in (7). The lemma is proved if $y_7 \neq 0$. Suppose then $y_7 = 0$ in (7) and let $y_i = \sum_{j=1}^6 b_{ij} x_j$ ($i = 1, \dots, 6$) and $|b_{ij}|$ be the determinant of the y 's. Then $|b_{ij}| = \pm 2$, since $3|b_{ij}|^2 = 12$.

Hence there exists a unimodular linear transformation T in the x 's, for which⁷⁾

$$y_k \rightarrow 2x_k + \sum_{j=k+1}^6 \rho_j x_j, \quad y_i \rightarrow x_i \quad (0 < i < 7, \quad i \neq k; \quad \rho_i = 0 \text{ or } 1).$$

Since the permutations of either y_3, y_6 or y_3, y_4, y_6 leaves ψ_0 unchanged; we need consider only the cases $k=1, 2, 3$.

Prof. Mordell has shown⁴⁾ that the adjoint form of ψ_0 in (9) is

$$\Psi_0 = 3 \left(\frac{1}{2} \sum_{i=1}^2 Y_i^2 + \sum_{i=3}^5 (Y_i - \frac{1}{2} Y_2 - \frac{1}{2} Y_1)^2 + \frac{1}{3} (Y_6 - \frac{1}{2} \sum_{i=3}^5 Y_i + \frac{3}{4} Y_2 + \frac{1}{4} Y_1)^2 \right).$$

Suppose first $k=1$. Then the adjoint form of ψ_0 after applying the transformation T (i. e. the adjoint form of an form which is equivalent to f_0) is

$$F_6 = 12 \left(\frac{1}{3} X_1^2 + \frac{1}{2} (X_2 - \frac{1}{2} \rho_2 X_1)^2 + \sum_{i=3}^5 (X_i - \frac{1}{2} X_2 - (\frac{1}{4} + \frac{1}{2} \rho_i - \frac{1}{4} \rho_2) X_1)^2 \right. \\ \left. + \frac{1}{3} (X_6 - \frac{1}{2} \sum_{i=3}^5 X_i + \frac{3}{4} X_2 + (\frac{1}{3} - \frac{1}{2} \rho_6 + \frac{1}{4} \sum_{i=3}^5 \rho_i - \frac{3}{4} \rho_2) X_1)^2 \right) = 12G,$$

say. This is easily obtained by transforming the form $2^2 \Psi_0$ with the inverse transposed transformation of T :

$$Y_1 = \frac{1}{2} X_1, \quad Y_i = X_i - \frac{1}{2} \rho_i X_1 \quad (i=2, \dots, 6).$$

Then by theorem 1 our lemma is proved if we can show that $G \leq 1$ for every set of the ρ 's.

a) Suppose first $\rho_2 = 0$. Then we have evidently

$$G \leq 1/8 + 1/16 + 1/16 + 1/16 + (4/3) (1/4) = 31/48 < 1,$$

by putting $X_1 = 1, X_2 = 0, X_i = \rho_i$ ($i=3, 4, 5$); and choosing X_6 such that to make the last term of F_6 within the square $\leq \frac{1}{2}$.

b) Suppose then $\rho_2 = 1$. Since $\rho_i = 0$ or 1, two of the ρ_3, ρ_4, ρ_5 , must be equal and so we need only consider the following two cases:

b1) Suppose two of the ρ_3, ρ_4, ρ_5 , are zero. Since they are symmetrical in F_6 , without loss of generality, we can assume $\rho_3 = \rho_4 = 0$. Then

$$G \leq 1/8 + 1/8 + 1/4 + (4/3) (1/4) = 5/6 < 1,$$

by putting $X_1 = 1, X_i = 0$ ($i=2, \dots, 5$) and choosing X_6 as above.

b2) Suppose now two of the ρ_3, ρ_4, ρ_5 are one, say $\rho_3 = \rho_4 = 1$, then we have also

$$G \leq 1/8 + 1/8 + 1/4 + (4/3) (1/4) = 5/6 < 1,$$

by putting $X_i = 1$ ($i=1, \dots, 4$), $X_5 = \rho_5$, and choosing X_6 as above.

Suppose next $k=2$. Then the inverse transposed transformation of T is

$$Y_1 = X_1, \quad Y_2 = \frac{1}{2} X_2, \quad Y_i = X_i - \frac{1}{2} \rho_i X_2 \quad (i=3, \dots, 6),$$

and so the adjoint form of ψ_0 after applying the substitution T becomes

$$F'_6 = 12 \left(\frac{1}{2} X_1^2 + \frac{1}{3} X_2^2 + \sum_{i=3}^5 (X_i - (\frac{1}{4} + \frac{1}{2} \rho_i) X_2 - \frac{1}{2} X_1)^2 \right. \\ \left. + \frac{1}{3} (X_6 - \frac{1}{2} \sum_{i=3}^5 X_i + (\frac{3}{3} - \frac{1}{2} \rho_6 + \frac{1}{4} \sum_{i=3}^5 \rho_i) X_2 + \frac{1}{4} X_1)^2 \right) = 12G',$$

say. It is easy to see that $G' < 1$ for $X_1 = 0, X_2 = 1, X_i = \rho_i$ ($i=3, 4, 5$) and X_6 being chosen in such a way to make the last square of $F'_6 \leq 1/4$.

Suppose finally $k=3$. Then the inverse transposed transformation of T is

$$Y_1 = X_1 \quad (i=1, 2), \quad Y_3 = \frac{1}{2} X_3, \quad Y_i = X_i - \frac{1}{2} \rho_i X_3 \quad (i=4, 5, 6),$$

and the adjoint form

$$F''_6 = 12 \left(\frac{1}{2} X_1^2 + \frac{1}{2} X_2^2 + \frac{1}{4} (X_3 - X_2 - X_1)^2 + \sum_{i=4}^5 (X_i - \frac{1}{2} \rho_i X_3 - \frac{1}{2} X_2 - \frac{1}{2} X_1)^2 \right. \\ \left. + \frac{1}{3} (X_6 - \frac{1}{2} \sum_{i=4}^5 X_i - (\frac{1}{4} + \frac{1}{2} \rho_6 - \frac{1}{4} \sum_{i=4}^5 \rho_i) X_3 + \frac{3}{4} X_2 + \frac{1}{4} X_1)^2 \right) = 12G'',$$

say. Putting $X_1 = X_2 = 0, X_3 = 1, X_i = \rho_i$ ($i=4, 5$), and $X_6 = 1$; clearly we get $G'' \leq 1$.

Hence by theorem 1, the lemma is completely proved.

⁷⁾ Bachman, Die Arithmetik der quadratischen Formen, I, 308—310.

LEMMA 5. The form f_6 with $D_6 = 11$ and $A_{11}^{(6)} = 12$ can be decomposed into a sum of a square and a positive definite quadratic form both with integer coefficients, if f_6 represents an odd integer.

We show first that there exist definite forms f_7 of determinant 2 in seven variables

$$f_7 = a_{77}x_7^2 + 2a_{71}x_7x_1 + f_6(x_1, \dots, x_6).$$

It suffices if

$$a_{77}D_6 - a_{71}^2 A_{11}^{(6)} = 2, \text{ i. e. } 11a_{77} - 12a_{71}^2 = 2$$

and so we may take $a_{77} = 10$, $a_{71} = 3$. If f_6 represents an odd integer, by lemma 3, we have

$$f_7 = y_7^2 + \varphi_6(y_1, \dots, y_6),$$

where the y 's are linear forms in x_1, \dots, x_7 with integer coefficients and φ_6 has the determinant 2.

Since $2 + 11$, y_7 cannot be zero when we put $x_i = 0$ in f_7 , and so a required decomposition is obtained.

Now there remains for the proof of theorem 3 to discuss all the forms f_6 with $D_6 = 11$, $A_{11}^{(6)} = 12$ and representing only even integers. To do this, we require lemma 6 due to Charve and lemma 7 of which I give a proof.

LEMMA 6⁸⁾. The reduced forms in four variables with $D_4 \leq 12$ and not representing odd integers are equivalent to one of the seven forms:

$$f_{4,1} = 2 \sum_{i=1}^3 (x_i - \frac{1}{2}x_4)^2 + \frac{1}{2}x_4^2,$$

$$f_{4,2} = 2(x_1 - \frac{1}{2}x_4)^2 + 2(x_2 - \frac{1}{2}x_3)^2 + \frac{3}{2}(x_3 - \frac{3}{2}x_4)^2 + \frac{1}{6}x_4^2,$$

$$f_{4,3} = 2x_1^2 + 2 \sum_{i=2}^3 (x_i - \frac{1}{2}x_4)^2 + x_4^2,$$

$$f_{4,4} = 2(x_1 - \frac{1}{2}x_2)^2 + \frac{3}{2}x_2^2 + 2(x_3 - \frac{1}{2}x_4)^2 + \frac{1}{2}x_4^2,$$

$$f_{4,5} = 2x_1 + 2x_2^2 + 2(x_3 - \frac{1}{2}x_4)^2 + \frac{3}{2}x_4^2,$$

⁸⁾ Charve, Comptes Rendus Paris, 96 (1883), 773.

$$f_{4,6} = 2 \sum_{i=1}^2 (x_i - \frac{1}{2}x_4)^2 + 4(x_3 - \frac{1}{4}x_4)^2 + \frac{3}{4}x_4^2,$$

$$f_{4,7} = 2(x_1 - \frac{1}{2}x_3)^2 + 4(x_2 - \frac{1}{4}x_3 - \frac{1}{2}x_4)^2 + \frac{3}{4}(x_3 - \frac{5}{8}x_4)^2 + \frac{1}{8}x_4^2.$$

LEMMA 7. The positive forms in five variables with $D_5 = 12$ not representing odd integers are equivalent to one of the three forms:

$$f_{5,1} = 2 \sum_{i=1}^2 (x_i - \frac{1}{2}x_4 - \frac{1}{2}x_5)^2 + 2(x_3 - \frac{1}{2}x_4)^2 + \frac{1}{2}x_4^2 + 3x_5^2,$$

$$f_{5,2} = 2(x_1 - \frac{1}{2}x_5)^2 + 2 \sum_{i=2}^3 (x_i - \frac{1}{2}x_4)^2 + x_4^2 + \frac{3}{2}x_5^2,$$

$$f_{5,3} = 2(x_1 - \frac{1}{2}x_3 - \frac{1}{2}x_5)^2 + 4(x_2 - \frac{1}{4}x_3 - \frac{1}{2}x_4)^2 + \frac{3}{4}(x_3 - \frac{5}{8}x_4 - \frac{3}{8}x_5)^2 + \frac{1}{8}(x_4 - \frac{1}{2}x_5)^2 + x_5^2.$$

Suppose f_5 with $D_5 = 12$ does not represent odd integers. Let the adjoint form of f_5 be

$$F_5 = \sum_{i=1}^5 A_{ij}^{(5)} x_i x_j.$$

Its determinant is 12^4 , so we can find a form $f'_5 \sim f_5$ such that in F'_5

$$A_{55}^{(5)} \leq [\gamma_5 \sqrt[5]{12^4}] = 12.$$

Hence

$$f_5 \sim a_{55}x_5^2 + 2 \sum_{i=2}^4 a_{5i}x_5x_i + f_4(x_1, \dots, x_4),$$

where f_4 is a form in four variables with determinant $D_4 = A_{55}^{(5)} \leq 12$. From lemma 6, f_4 is equivalent to one of the forms $f_{4,i}$ ($i = 1, \dots, 7$).

I. $f_4 \sim f_{4,1}$. We can write

$$(10) \quad f_5 \sim 2 \sum_{i=1}^3 (x_i - \frac{1}{2}x_4 + a_i x_5)^2 + \frac{1}{2}(x_4 + a_4 x_5)^2 + 3x_5^2,$$

where the a 's are rational numbers and $0 > a_i > -1$ on replacing if need be x_i by $x_i + a_i x_5$ ($i = 1, 2, 3, 4$), and the coefficients of x_5^2 is 3 since f_5 has

determinant 12. Hence from the coefficients of $x_i x_5$, we get the congruences:

$$(11) \quad 2a_1 \equiv 2a_2 \equiv 2a_3 \equiv -a_1 - a_2 - a_3 + \frac{1}{2}a_4 \equiv 0 \pmod{1},$$

$$\text{and} \quad Q_1 = 2a_1^2 + 2a_2^2 + 2a_3^2 + \frac{1}{2}a_4^2 \equiv 0 \pmod{1}.$$

Multiplying Q_1 by 2 and using (11), we get $a_4^2 \equiv 0 \pmod{1}$ and so $a_4 = 0$. From (11), we have

$$(12) \quad 2a_1 \equiv 2a_2 \equiv 2a_3 \equiv a_1 + a_2 + a_3 \equiv 0 \pmod{1}.$$

On observing the symmetry of the expressions involving x_1, x_2, x_3 in (10), we need only consider the two following sets of solutions from (12),

$$a_1 = a_2 = a_3 = 0 \quad \text{and} \quad a_1 = a_2 = -\frac{1}{2}, \quad a_3 = 0.$$

The first set gives (10) a form representing an odd integer 3. The second set gives $f_{5,1}$

II. $f_4 \sim f_{4,2}$. We can write.

$$(13) \quad f_5 \sim 2(x_1 - \frac{1}{2}x_4 + a_1x_5)^2 + 2(x_2 - \frac{1}{2}x_3 + a_2x_5)^2 + \frac{3}{2}(x_3 - \frac{2}{3}x_4 + a_3x_5)^2 \\ + \frac{5}{6}(x_4 + a_4x_5)^2 + \frac{12}{5}x_5^2.$$

Hence as above we have

$$(14) \quad 2a_1 \equiv 2a_2 \equiv \frac{3}{2}a_3 - a_2 \equiv 0 \pmod{1},$$

$$\text{and} \quad Q_2 = 2a_1^2 + 2a_2^2 + \frac{3}{2}a_3^2 + \frac{3}{2}a_4^2 \equiv \frac{3}{2} \pmod{1}.$$

Multiplying Q_2 by 6 and using (14), we get $5a_4^2 \equiv \frac{3}{2} \pmod{1}$, which gives no solution for rational a_4 . Hence (13) is impossible.

III. $f_5 \sim f_{4,3}$. We can write

$$(15) \quad f_5 \sim 2(x_1 + a_1x_5)^2 + 2 \sum_{i=2}^3 (x_i - \frac{1}{2}x_4 + a_ix_5)^2 + (x_4 + a_4x_5)^2 + \frac{3}{2}x_5^2.$$

Hence

$$(16) \quad 2a_1 \equiv 2a_2 \equiv 2a_3 \equiv -a_2 - a_3 + a_4 \equiv 0 \pmod{1},$$

$$\text{and} \quad Q_3 = 2a_1^2 + 2a_2^2 + 2a_3^2 + a_4^2 \equiv \frac{1}{2} \pmod{1}.$$

Multiplying Q_3 by 2 and using (16), we get $2a_4^2 \equiv 0 \pmod{1}$ and so $a_4 = 0$. From (16), a_i ($i = 1, 2, 3$) can only be 0 or $-\frac{1}{2}$. Since $Q_3 \equiv \frac{1}{2} \pmod{1}$, at

least one of the a_1, a_2, a_3 must be $-\frac{1}{2}$. From (16), we have either $a_1 = a_2 = a_3 = -\frac{1}{2}$ or $a_1 = -\frac{1}{2}, a_2 = a_3 = 0$. It is easy to see that the first set gives a form representing an odd integer 3 and the second set gives $f_{5,2}$.

IV. $f_4 \sim f_{4,4}$. We can write

$$(17) \quad f_5 \sim 2(x_1 - \frac{1}{2}x_2 + a_1x_5)^2 + \frac{3}{2}(x_2 + a_2x_5)^2 + 2(x_3 - \frac{1}{2}x_4 + a_3x_5)^2 \\ + \frac{3}{2}(x_4 + a_4x_5)^2 + \frac{4}{3}x_5^2.$$

Hence

$$(18) \quad 2a_1 \equiv \frac{3}{2}a_2 - a_1 \equiv 2a_3 \equiv \frac{3}{2}a_4 - a_3 \equiv 0 \pmod{1},$$

and

$$Q_4 = 2a_1^2 + \frac{3}{2}a_2^2 + 2a_3^2 + \frac{3}{2}a_4^2 \equiv \frac{3}{2} \pmod{1}.$$

Multiplying Q_4 by 2 and using (17), we get $3(a_2^2 + a_4^2) \equiv \frac{3}{2} \pmod{1}$. On observing the symmetry of the expressions involving x_2, x_4 , we need only consider the set of solutions $a_2 = 0, a_4 = -\frac{1}{3}$ or $-\frac{2}{3}$. We can take $a_4 = -\frac{1}{3}$, for if $a_4 = -\frac{2}{3}$ we need only replace x_3 by $-x_3$ and x_4 by $-x_4$. From (18), we get $a_1 = 0, a_3 = -\frac{1}{2}$ and (17) becomes

$$f_5 \sim 2(x_1 - \frac{1}{2}x_2)^2 + \frac{3}{2}x_2^2 + 2(x_3 - \frac{1}{2}x_4 - \frac{1}{2}x_5)^2 + \frac{3}{2}(x_4 - \frac{1}{3}x_5)^2 + \frac{4}{3}x_5^2,$$

which is easily verified to be equivalent to $f_{5,2}$ by the unimodular transformation

$$x_1 \rightarrow x'_1, \quad x_2 \rightarrow x'_5, \quad x_3 \rightarrow x'_4, \quad x_4 \rightarrow x'_3, \quad x_5 \rightarrow x'_2.$$

V. $f_4 \sim f_{4,5}$. We can write

$$(19) \quad f_5 \sim 2 \sum_{i=1}^2 (x_i + a_ix_5)^2 + 2(x_3 - \frac{1}{2}x_4 + a_3x_5)^2 + \frac{3}{2}(x_4 + a_4x_5)^2 + x_5^2.$$

Hence

$$(20) \quad 2a_1 \equiv 2a_2 \equiv 2a_3 \equiv \frac{3}{2}a_4 - a_3 \equiv 0 \pmod{1}$$

and

$$Q_5 = 2a_1^2 + 2a_2^2 + 2a_3^2 + \frac{3}{2}a_4^2 \equiv 0 \pmod{1}.$$

Multiplying Q_5 by 2 and using (20), we get $3a_4^2 \equiv 0 \pmod{1}$ and so $a_4 = 0$. From the fourth congruence of (20), we get $a_3 = 0$. Then (20) and $Q_5 \equiv 0 \pmod{1}$ give

$$2a_1 \equiv 2a_2 \equiv 2(a_1^2 + a_2^2) \equiv 0 \pmod{1}.$$

The solutions of this are evidently $a_1 = a_2 = 0$ and $a_1 = a_2 = -\frac{1}{2}$. The first

set of solutions gives (19) a form representing 1. The second set gives (19)

$$f_5 \sim 2 \sum_{i=1}^2 (x_i - \frac{1}{2}x_5)^2 + 2(x_3 - \frac{1}{2}x_4)^2 + \frac{3}{4}x_4^2 + x_5^2,$$

which is evidently equivalent to $f_{5,2}$.

VI. $f_4 \sim f_{4,6}$. We can write

$$(21) \quad f_5 \sim 2 \sum_{i=1}^2 (x_i - \frac{1}{2}x_4 + a_i x_5)^2 + 4(x_3 - \frac{1}{4}x_4 + a_3 x_5)^2 + \frac{3}{4}(x_4 + a_4 x_5)^2 + x_5^2.$$

Hence

$$(22) \quad 2a_1 \equiv 2a_2 \equiv 4a_3 \equiv \frac{3}{4}a_4 - a_3 - a_2 - a_1 \equiv 0 \pmod{1},$$

$$\text{and} \quad Q_6 = 2a_1^2 + 2a_2^2 + 4a_3^2 + \frac{3}{4}a_4^2 \equiv 0 \pmod{1}.$$

Multiplying Q_6 by 4 and using (22), we get $3a_4^2 \equiv 0 \pmod{1}$ and so $a_4 = 0$. From the last congruence of (22), we get $2a_3 \equiv -2a_1 - 2a_2 \equiv 0 \pmod{1}$. Substituting this value into $Q_6 \equiv 0 \pmod{1}$, we have $2(a_1^2 + a_2^2) \equiv 0 \pmod{1}$. Then we have

$$2a_1 \equiv 2a_2 \equiv 2a_3 \equiv a_1 + a_2 + a_3 \equiv 2a_1^2 + 2a_2^2 \equiv 0 \pmod{1}.$$

This evidently give only two sets of solutions, i. e. $a_1 = a_2 = a_3 = 0$ and $a_1 = a_2 = -\frac{1}{2}$, $a_3 = 0$. The first set gives the form (21) representing 1. The second set gives

$$f_5 \sim 2 \sum_{i=1}^2 (x_i - \frac{1}{2}x_4 - \frac{1}{2}x_5)^2 + 4(x_3 - \frac{1}{4}x_4)^2 + \frac{3}{4}x_4^2 + x_5^2,$$

which is easily verified to be equivalent to $f_{5,1}$ by the unimodular transformation

$$x_1 \rightarrow -x'_1, \quad x_2 \rightarrow -x'_2, \quad x_3 \rightarrow -x'_3, \quad x_4 \rightarrow x'_3 - x'_4 - x'_5, \quad x_5 \rightarrow -x'_3.$$

VII. $f_4 \sim f_{4,7}$. We can write

$$(23) \quad f_5 \sim 2(x_1 - \frac{1}{2}x_3 + a_1 x_5)^2 + 4(x_2 - \frac{1}{4}x_3 - \frac{1}{2}x_4 + a_2 x_5)^2 + \frac{3}{4}(x_3 - \frac{6}{5}x_4 + a_3 x_5)^2 + \frac{6}{5}(x_4 + a_4 x_5)^2 + x_5^2.$$

Hence

$$(24) \quad 2a_1 \equiv 4a_2 \equiv \frac{5}{4}a_3 - a_2 - a_1 \equiv \frac{6}{5}a_4 - \frac{3}{2}a_3 - 2a_2 \equiv 0 \pmod{1},$$

$$\text{and} \quad Q_7 = 2a_1^2 + 4a_2^2 + \frac{5}{4}a_3^2 + \frac{6}{5}a_4^2 \equiv 0 \pmod{1}.$$

Multiplying Q_7 by 20 and using (24), we get $24a_4^2 \equiv 0 \pmod{1}$ and so $a_4 = 0$ or $-\frac{1}{2}$.

Suppose first $a_4 = 0$. Multiplying Q_7 by 4, we get $5a_3^2 \equiv 0 \pmod{1}$ and so $a_3 = 0$. Then $2a_2 \equiv \frac{6}{5}a_4 - \frac{3}{2}a_3 \equiv 0 \pmod{1}$ and $Q_7 \equiv 0 \pmod{1}$ gives $2a_1^2 \equiv -(2a_2)^2 \equiv 0 \pmod{1}$. Hence $a_1 = 0$. From $a_2 \equiv \frac{3}{4}a_3 - a_1 \equiv 0 \pmod{1}$, we have $a_2 = 0$. This set of solutions $a_1 = a_2 = a_3 = a_4 = 0$ gives (23) a form representing 1.

Suppose next $a_4 = -\frac{1}{2}$. Multiplying Q_7 by 4, we get $5a_3^2 + \frac{1}{5} \equiv 0 \pmod{1}$, and so $a_3 = -\frac{3}{5}$ or $-\frac{2}{5}$. The first solution gives the last congruence of (24)

$$4a_2 \equiv 12a_4/5 - 3a_3 \equiv -1/5 + 4/5 \pmod{1},$$

which contradicts $4a_2 \equiv 0 \pmod{1}$. The second solution gives similarly

$$2a_2 \equiv 6a_4/5 - 3a_3/2 \equiv -3/5 + 3/5 \equiv 0 \pmod{1},$$

and so from $Q_7 \equiv 0 \pmod{1}$, we get $2a_1^2 \equiv -4a_2^2 - \frac{1}{4}a_3^2 - \frac{6}{5}a_4^2 \equiv -1/5 - 3/10 \equiv -\frac{1}{2} \pmod{1}$. Hence $a_1 = -\frac{1}{2}$. From $5a_3/4 - a_2 - a_1 \equiv 0 \pmod{1}$, we get $a_2 = 0$. This set of solutions $a_1 = -\frac{1}{2}$, $a_2 = 0$, $a_3 = -\frac{2}{5}$, $a_4 = -\frac{1}{2}$ gives the form $f_{5,3}$.

Hence lemma 7 is completely proved.

LEMMA 8. All the forms f_6 with $D_6 = 11$, $A_{11}^{(6)} = 12$ and not representing odd integers are equivalent to one of the three forms

$$f_{6,1} = 2(x_1 - \frac{1}{2}x_4 - \frac{1}{2}x_5 - \frac{1}{2}x_6)^2 + 2(x_2 - \frac{1}{2}x_4 - \frac{1}{2}x_5)^2 + 2(x_3 - \frac{1}{2}x_4 - \frac{1}{2}x_6)^2 + \frac{1}{2}x_4^2 + 3(x_5 - \frac{1}{6}x_6)^2 + \frac{1}{12}x_6^2,$$

$$f_{6,2} = 2(x_1 - \frac{1}{2}x_3 - \frac{1}{2}x_5)^2 + 4(x_2 - \frac{1}{4}x_3 - \frac{1}{2}x_4 - \frac{3}{4}x_6)^2 + \frac{3}{4}(x_3 - \frac{6}{5}x_4 - \frac{3}{5}x_5 - \frac{3}{5}x_6)^2 + \frac{6}{5}(x_4 - \frac{1}{2}x_5 - \frac{1}{2}x_6)^2 + (x_5 - \frac{1}{2}x_6)^2 + \frac{1}{12}x_6^2,$$

$$f_{6,3} = 2(x_1 - \frac{1}{2}x_3 - \frac{1}{2}x_5)^2 + 4(x_2 - \frac{1}{4}x_3 - \frac{1}{2}x_4)^2 + \frac{3}{4}(x_3 - \frac{6}{5}x_4 - \frac{3}{5}x_5 - \frac{3}{5}x_6)^2 + \frac{6}{5}(x_4 - \frac{1}{2}x_5 - \frac{1}{2}x_6)^2 + (x_5 - \frac{1}{2}x_6)^2 + \frac{1}{12}x_6^2,$$

and all of them can be decomposed into a sum of a square and a positive definite form both with integer coefficients.

By the same argument as in the proof of lemma 7 (with some interchanges of the variables, we can choose $A_{66}^{(6)} = 12$), we have

$$f_6 = a_{66}x_6^2 + 2 \sum_{i=1}^5 a_{6i}x_6x_i + f_5(x_1, \dots, x_5),$$

where f_5 is equivalent to one of the forms $f_{5,1}$, $f_{5,2}$, or $f_{5,3}$.

I. $f_5 \sim f_{5,1}$. We can write

$$(25) \quad f_6 \sim 2 \sum_{i=1}^2 (x_i - \frac{1}{2}x_4 - \frac{1}{2}x_5 + a_ix_6)^2 + 2(x_3 - \frac{1}{2}x_4 + a_3x_6)^2 + \frac{1}{2}(x_4 + a_4x_6)^2 \\ + 3(x_5 + a_5x_6)^2 + \frac{1}{2}x_6^2.$$

Hence

$$(26) \quad 2a_1 \equiv 2a_2 \equiv 2a_3 \equiv \frac{1}{2}a_4 - a_3 - a_2 - a_1 \equiv 3a_5 - a_2 - a_1 \equiv 0 \pmod{1}$$

$$\text{and} \quad R_1 = 2a_1^2 + 2a_2^2 + 2a_3^2 + \frac{1}{2}a_4^2 + 3a_5^2 \equiv 1/12 \pmod{1}.$$

Multiplying R_1 by 2 and using (26), we get $6a_5^2 \equiv \frac{1}{6} \pmod{1}$ and so $a_5 = -1/6$ or $5/6$. We can take $a_5 = -1/6$, for if $a_5 = 5/6$, we need only to replace x_i by $-x_i$ ($i = 1, 2, 3, 4$), x_5 by $-x_5 + x_6$. Substituting the value of a_5 in R_1 and multiplying it by 2, we get $a_4^2 \equiv 0 \pmod{1}$ and so $a_4 = 0$. From

$$\frac{1}{2}a_4 - a_3 - a_2 - a_1 \equiv 3a_5 - a_2 - a_1 \equiv 0 \pmod{1},$$

we get $a_3 = -1/2$. Since the expressions involving x_1, x_2 are symmetrical in (25), we can take $a_1 = -1/2, a_2 = 0$ for the solutions of the congruences

$$2a_1 \equiv 2a_2 \equiv \frac{1}{2} - a_1 - a_2 \equiv 0 \pmod{1}.$$

Substituting these values into (25), we get $f_{6,1}$, which can be decomposed into a sum of x_3^2 and a positive definite form, as $A_{59}^{(6)}$ in $f_{6,1}$ is 4.

II. $f_6 \sim f_{5,2}$. We can write

$$(27) \quad f_6 \sim 2(x_1 - \frac{1}{2}x_5 + a_1x_6)^2 + 2 \sum_{i=2}^3 (x_i - \frac{1}{2}x_4 + a_ix_6)^2 + (x_4 + a_4x_6)^2 \\ + \frac{3}{2}(x_5 + a_5x_6)^2 + \frac{11}{2}x_6^2.$$

Hence

$$(28) \quad 2a_1 \equiv 2a_2 \equiv 2a_3 \equiv a_4 - a_3 - a_2 \equiv \frac{3}{2}a_5 - a_1 \equiv 0 \pmod{1},$$

$$\text{and} \quad R_2 = 2a_1^2 + 2a_2^2 + 2a_3^2 + a_4^2 + \frac{3}{2}a_5^2 \equiv \frac{1}{12} \pmod{1}.$$

Multiplying R_2 by 4 and using (28), we get $6a_5^2 \equiv 1/3 \pmod{1}$, which gives no solution for rational a_5 . Hence (27) is impossible.

III. $f_5 \sim f_{5,3}$. We can write

$$(29) \quad f_6 \sim 2(x_1 - \frac{1}{2}x_3 - \frac{1}{2}x_5 + a_1x_6)^2 + 4(x_2 - \frac{1}{4}x_3 - \frac{1}{2}x_4 + a_2x_6)^2 + \frac{5}{4}(x_3 - \frac{1}{2}x_4 \\ - \frac{3}{2}x_5 + a_3x_6)^2 + \frac{6}{5}(x_4 - \frac{1}{2}x_5 + a_4x_6)^2 + (x_5 + a_5x_6)^2 + \frac{11}{12}x_6^2.$$

Hence

$$(30) \quad 2a_1 \equiv 4a_2 \equiv \frac{3}{4}a_3 - a_2 - a_1 \equiv \frac{5}{4}a_2 - \frac{3}{2}a_3 - 2a_2 \equiv a_5 - \frac{3}{5}a_4 - \frac{1}{2}a_3 - a_1 \equiv 0 \pmod{1}$$

$$\text{and} \quad R_3 = 2a_1^2 + 4a_2^2 + \frac{3}{4}a_3^2 + \frac{5}{4}a_4^2 + a_5^2 \equiv 1/12 \pmod{1}.$$

Since $120 R_3 \equiv 120a_5^2 \equiv 0 \pmod{1}$, we have $a_5 = 0$ or $-1/2$.

(A) Suppose first $a_5 = 0$. Since then $20R_3 \equiv 24a_4^2 \equiv 2/3 \pmod{1}$, we have $a_4 = -1/6, -1/3, -2/3$ or $-5/6$. We can take $a_4 = -1/3$ or $-1/6$, for if $a_4 = -2/3$ or $-5/6$, we need only to replace x_i by $-x_i + 2x_5$ ($i=1,3$), x_2 by $-x_2 + x_5$, x_4 by $-x_4 + x_5 + x_6$.

(A1) Let $a_4 = -1/3$. Substituting the values of a_4, a_5 into R_3 and multiplying it by 4, we get $5a_3^2 \equiv 4/5 \pmod{1}$ and so $a_3 = -2/5$ or $-3/5$. Since $a_5 = -2/5$ gives $2a_1 \equiv -6a_4/5 - a_3 \equiv 2/5 + 2/5 \pmod{1}$, which contradicts to $2a_1 \equiv 0 \pmod{1}$. Hence we have $a_3 = -3/5$. From (30), we have

$$a_1 \equiv -3a_4/5 - \frac{1}{2}a_3 \equiv -\frac{1}{2}, \quad a_2 \equiv 5a_3/4 - a_1 \equiv -1/4 \pmod{1}.$$

Hence $a_1 = -1/2, a_2 = -1/4, a_3 = -3/5, a_4 = -1/3, a_5 = 0$. But these values give $R_3 \equiv 1/3 \equiv 1/12 \pmod{1}$.

(A2) Let then $a_4 = -1/6$. Substituting it into R_3 and multiplying by 4, we get $5a_3^2 \equiv 1/5 \pmod{1}$ and so $a_3 = -1/5$ or $-4/5$. Since $a_5 = -1/5$, gives $2a_1 \equiv -6a_4/5 - a_3 \equiv 1/5 + 1/5 \pmod{1}$, which contradicts to $2a_1 \equiv 0 \pmod{1}$. Hence we have $a_3 = -4/5$. From (30), we get

$$a_1 \equiv -3a_4/5 - \frac{1}{2}a_3 \equiv 1/10 + 2/5 \equiv -\frac{1}{2}, \quad a_2 \equiv 5a_3/4 - a_1 \equiv -\frac{1}{2} \pmod{1}.$$

Hence $a_1 = -1/2, a_2 = -1/2, a_3 = -4/5, a_4 = -1/6, a_5 = 0$. But these values give $R_3 \equiv 1/3 \equiv 1/12 \pmod{1}$.

(B) Suppose next $a_5 = -1/2$. Then $20R_3 \equiv 24a_4^2 \equiv 2/3 \pmod{1}$. As above we can take $a_4 = -1/3$ or $-1/6$.

(B1) Let first $a_4 = 1/3$. Substituting it into R_3 and multiplying by 4, we get $5a_3^2 \equiv 4/5 \pmod{1}$, and so $a_3 = -2/5$ or $-3/5$. Since $a_3 = -2/5$ gives $2a_1 \equiv 2a_5 - 6a_4/5 - a_3 \equiv 4/5 \pmod{1}$, which contradicts $2a_1 \equiv 0 \pmod{1}$, we have $a_3 = -3/5$. Then from (30), we get

$$a_1 \equiv a_5 - 3a_4/5 - \frac{1}{2}a_3 \equiv 0, \quad a_2 \equiv -a_1 + 5a_3/4 \equiv -3/4 \pmod{1}$$

Hence we have $a_1 = 0$, $a_2 = -3/4$, $a_3 = -3/5$, $a_4 = -1/3$, $a_5 = -\frac{1}{2}$ and they give the form $f_{6,2}$.

It can be easily computed that the adjoint form of $f_{6,2}$ is

$$11(\frac{1}{2}x_1^2 + \frac{1}{4}x_2^2 + \frac{4}{5}(x_3 + \frac{1}{4}x_2 + \frac{1}{2}x_1)^2 + \frac{5}{8}(x_4 + \frac{3}{5}x_3 + \frac{4}{5}x_2 + \frac{3}{5}x_1)^2 + (x_5 + \frac{1}{2}x_4 + x_3 + \frac{1}{2}x_2 + x_1)^2 + \frac{1}{12}(x_6 + \frac{1}{2}x_5 + \frac{7}{12}x_4 + \frac{3}{2}x_3 + \frac{7}{12}x_2 + x_1)^2)$$

and it represents the value 4 for $x_1 = x_3 = x_5 = 0$, $x_2 = 1$, $x_4 = x_6 = -1$. Since $4 < 11$, the form $f_{6,2}$ can be decomposed into a sum of a square and a positive definite quadratic form both with integer coefficients.

(B2) Let then $a_4 = -\frac{1}{3}$. Substituting it into R_3 and multiplying by 4, we get $5a_3^2 \equiv 1/5 \pmod{1}$ and so $a_3 = -1/5$ or $-4/5$. Since $a_3 = -1/5$ gives $2a_1 \equiv -6a_4/5 - a_3 \equiv 1/5 + 1/5 \pmod{1}$, which contradicts to $2a_1 \equiv 0 \pmod{1}$. Hence we have $a_3 = -4/5$. From (30), we get

$$a_1 \equiv a_5 - 3a_4/5 - \frac{1}{2}a_3 \equiv 0, \quad a_2 \equiv 5a_3/4 - a_1 \equiv 0 \pmod{1}.$$

Hence $a_1 = a_2 = 0$, $a_3 = -4/5$, $a_4 = -1/6$, $a_5 = -\frac{1}{2}$. These values give the form $f_{6,3}$.

It can be easily computed that the adjoint form of $f_{6,3}$ is

$$11(\frac{1}{2}x_1^2 + \frac{1}{4}x_2^2 + \frac{4}{5}(x_3 + \frac{1}{4}x_2 + \frac{1}{2}x_1)^2 + \frac{5}{8}(x_4 + \frac{3}{5}x_3 + \frac{4}{5}x_2 + \frac{3}{5}x_1)^2 + (x_5 + \frac{1}{2}x_4 + x_3 + \frac{1}{2}x_2 + x_1)^2 + \frac{1}{12}(x_6 + \frac{1}{2}x_5 + \frac{5}{12}x_4 + \frac{3}{2}x_3 + \frac{7}{12}x_2 + x_1)^2),$$

which represents the value 4 for $x_1 = x_3 = x_5 = x_6 = 0$, $x_2 = 1$, $x_4 = -1$. Hence $f_{6,3}$ can be decomposed as 4 is less than the determinant value of $f_{6,3}$.

Here the proof of lemma 8 and so the theorem 3 is completed.

In closing, I should like to thank Prof. Mordell for his kind help with my manuscript.

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On the positive definite quadratic forms with determinant unity.

By

Chao Ko (Manchester).

It will make the results of this paper more intelligible to the reader if we commence by giving a brief resumé of a little of the theory of quadratic forms.

Let

$$f_n = \sum_{i,j=1}^n a_{ij}x_i x_j \quad (a_{ij} = a_{ji})$$

be a positive definite quadratic form with determinant D_n and integer coefficients a_{ij} . Denote the minor determinant of the matrix (a_{ij}) ($i, j = 1, \dots, n$) of f_n formed by the elements at the intersections of rows i_1, i_2, \dots, i_k and columns j_1, j_2, \dots, j_k by $A_{i_1, \dots, i_k; j_1, \dots, j_k}^{(k)}$, the greatest common divisor of all the minors of order $k = 1, \dots, n$ by d_k , so that $d_k \mid d_{k+1}$. Write $d_0 = 1$, $d_{n+1} = 0$. Denote the greatest common divisor of all the integers

$$A_{i_1, \dots, i_k; i_1, \dots, i_k}^{(k)} / d_k, \quad 2A_{i_1, \dots, i_k; j_1, \dots, j_k}^{(k)} / d_k,$$

by $\sigma_k = 1$ or 2 ($k = 1, \dots, n$), and write $\sigma_0 = 1$. Define the numbers, really integers

$$o_k = d_{k+1} d_{k-1} / d_k^2 \quad (k = 1, \dots, n),$$

so that