

The reciprocity theorem for Dedekind-Rademacher sums

by

L. CARLITZ (Durham, N.C.)*

1. Put

$$(1.1) \quad ((x)) = \begin{cases} x - [x] - \frac{1}{2} & (x \neq \text{integer}), \\ 0 & (x = \text{integer}). \end{cases}$$

The Dedekind sum $s(h, k)$ is defined by

$$(1.2) \quad s(h, k) = \sum_{r(\bmod k)} \left(\left(\frac{r}{k} \right) \right) \left(\left(\frac{hr}{k} \right) \right).$$

The sum satisfies the reciprocity relation

$$(1.3) \quad s(h, k) + s(k, h) = -\frac{1}{4} + \frac{1}{12} \left(\frac{h}{k} + \frac{1}{hk} + \frac{k}{h} \right),$$

where $(h, k) = 1$. For proofs and references see [4].

Rademacher [3] has defined the more general sum

$$(1.4) \quad s(h, k; y, x) = \sum_{r(\bmod k)} \left(\left(h \frac{r+x}{k} + y \right) \right) \left(\left(\frac{r+x}{k} \right) \right),$$

where x, y are arbitrary real numbers. Grosswald [4] has agreed that it is appropriate to call $s(h, k; y, x)$ a Dedekind-Rademacher sum. In the paper cited, Rademacher proved that $s(h, k; y, x)$ satisfies

$$(1.5) \quad s(h, k; y, x) + s(k, h; x, y) = ((x))((y)) + \frac{1}{2} \left\{ \frac{h}{k} \bar{B}_2(x) + \frac{1}{hk} \bar{B}_2(hx + ky) + \frac{k}{h} \bar{B}_2(y) \right\},$$

where $(h, k) = 1$, x, y are not both integers and $\bar{B}_2(x) = \bar{B}_2(x - [x])$, where

$$B_2(x) = x^2 - x + \frac{1}{6},$$

the Bernoulli polynomial of degree 2. The writer [1], [2] has proved a generalization of (1.5).

* Supported in part by NSF grant GP-37924XI.

Rademacher's proof of (1.5) is elegant but rather involved. In the present note we give a simplified proof of the result. The simplification is due mainly to using the function $x - [x] - \frac{1}{2}$ in place of $\{x\}$.

2. Put

$$(2.1) \quad \bar{B}_1(x) = x - [x] - \frac{1}{2} = B_1(x - [x]).$$

Then $\bar{B}_1(x+1) = \bar{B}_1(x)$ and

$$(2.2) \quad \bar{B}_1(kx) = \sum_{r \pmod{k}} \bar{B}_1\left(x + \frac{r}{k}\right).$$

We now define

$$(2.3) \quad \bar{s}(h, k; y, x) = \sum_{r \pmod{k}} \bar{B}_1\left(h \frac{r+x}{k} + y\right) \bar{B}_1\left(\frac{r+x}{k}\right).$$

Thus, using (2.2), we get

$$(2.4) \quad \bar{s}(h, k; y, x) = \sum_{r,s} \bar{B}_1\left(\frac{r+x}{k}\right) \bar{B}_1\left(\frac{r+x}{k} + \frac{s+y}{h}\right),$$

where r, s run through complete residue systems modulo h, k respectively. It is convenient to put

$$(2.5) \quad \xi = \frac{r+x}{k}, \quad \eta = \frac{s+y}{h},$$

so that (2.4) becomes

$$(2.6) \quad \bar{s}(h, k; y, x) = \sum_{r,s} \bar{B}_1(\xi) \bar{B}_1(\xi + \eta).$$

Hence

$$(2.7) \quad \bar{S} \equiv \bar{s}(h, k; y, x) + \bar{s}(k, h; x, y) = \sum_{r,s} (\bar{B}_1(\xi) + \bar{B}_1(\eta)) \bar{B}_1(\xi + \eta).$$

There is no loss in generality in assuming that

$$(2.8) \quad 0 \leq x < 1, \quad 0 \leq y < 1$$

and that

$$(2.9) \quad 0 \leq r < k, \quad 0 \leq s < h.$$

Thus (2.7) becomes

$$(2.10) \quad \bar{S} = \sum_{r,s} (\xi + \eta - 1) \bar{B}_1(\xi + \eta).$$

Put

$$(2.11) \quad T = \sum_{r,s} (\xi + \eta - 1 - \bar{B}_1(\xi + \eta))^2 = S_1 - 2\bar{S} + S_2,$$

where

$$(2.12) \quad S_1 = \sum_{r,s} (\xi + \eta - 1)^2, \quad S_2 = \sum_{r,s} \bar{B}_1^2(\xi + \eta).$$

By direct computation

$$(2.13) \quad S_1 = \frac{z^2}{hk} - \left(\frac{1}{h} + \frac{1}{k}\right)z + \frac{1}{6}hk + \frac{h}{6k} + \frac{k}{6h} + \frac{1}{2}.$$

As for S_2 , we have

$$\begin{aligned} S_2 &= \sum_{t \pmod{hk}} \bar{B}_1^2\left(\frac{t+z}{hk}\right) \quad (z = hx + ky) \\ &= \sum_{t=0}^{hk-1} \bar{B}_1^2\left(\frac{t+z_0}{hk}\right) \quad (z_0 = z - [z]) \\ &= \sum_{t=0}^{hk-1} \left(\frac{t+z_0}{hk} - \frac{1}{2}\right)^2, \end{aligned}$$

so that

$$(2.14) \quad S_2 = \frac{1}{6hk} (hk-1)(2hk-1) + (hk-1) \left(\frac{z_0}{hk} - \frac{1}{2}\right) + hk \left(\frac{z_0}{hk} - \frac{1}{2}\right)^2.$$

By (2.1) and (2.11)

$$T = \sum_{r,s} ([\xi + \eta] - \frac{1}{2})^2.$$

Since $[\xi + \eta] = 0$ or 1 , it follows at once that

$$(2.15) \quad T = \frac{1}{4}hk.$$

Substituting from (2.13), (2.14), (2.15) in (2.11), we get

$$(2.16) \quad \bar{S} = (x - \frac{1}{2})(y - 1) + \frac{1}{2} \left\{ \frac{h}{k} B_2(x) + \frac{1}{hk} B_2(z_0) + \frac{k}{h} B_2(y) \right\}.$$

Finally, removing the restriction (2.8), we state the following
THEOREM. The sum $\bar{s}(h, k; y, x)$ satisfies

$$(2.17) \quad \begin{aligned} \bar{s}(h, k; y, x) + \bar{s}(k, h; x, y) \\ = \bar{B}_1(x) \bar{B}_1(y) + \frac{1}{2} \left\{ \frac{h}{k} \bar{B}_2(x) + \frac{1}{hk} \bar{B}_2(hx + ky) + \frac{k}{h} \bar{B}_2(y) \right\}, \end{aligned}$$

where $(h, k) = 1$ and x, y are arbitrary real numbers.

3. For x, y both integral, it is evident that (2.17) reduces to (1.3). For $x = \text{integer}$, $y \neq \text{integer}$,

$$\bar{s}(h, k; y, x) = \sum_{r \pmod{k}} \bar{B}_1\left(\frac{hr}{k} + y\right) \bar{B}_1\left(\frac{r}{k}\right),$$

$$\bar{s}(k, h; x, y) = \sum_{s \pmod{h}} \bar{B}_1\left(k \frac{s+y}{h}\right) \bar{B}_1\left(\frac{s+y}{h}\right).$$

If for some pair of integers r_0, s_0 , we have

$$(3.1) \quad hr_0 + k(s_0 + y) = 0,$$

then clearly

$$\bar{B}_1\left(\frac{hr_0}{k} + y\right) = \bar{B}_1\left(k \frac{s_0 + y}{h}\right) = \bar{B}_1(0) = -\frac{1}{2},$$

while

$$\bar{B}_1\left(\frac{s_0 + y}{h}\right) = \bar{B}_1\left(-\frac{r_0}{k}\right) = -\bar{B}_1\left(\frac{r_0}{k}\right).$$

Hence, if we put

$$S = s(h, k; y, x) + s(k, h; x, y), \quad \bar{S} = \bar{s}(h, k; y, x) + \bar{s}(k, h; x, y),$$

we have

$$(3.2) \quad S - \bar{S} = \frac{1}{2} \bar{B}_1(y).$$

Moreover this holds even when (3.1) is not satisfied. It follows that (2.16) and (1.5) are in agreement in this case ($x = \text{integer}$, $y \neq \text{integer}$). By symmetry this holds also for $x \neq \text{integer}$, $y = \text{integer}$.

Finally assume

$$(3.3) \quad x \neq \text{integer}, \quad y = \text{integer}.$$

If for some pair of integers r_0, s_0 , we have

$$(3.4) \quad h(r_0 + x) + k(s_0 + y) = 0,$$

then

$$\bar{B}_1\left(h \frac{r_0 + x}{k} + y\right) = \bar{B}_1(-s_0) = -\frac{1}{2},$$

$$\bar{B}_1\left(k \frac{s_0 + y}{h} + x\right) = \bar{B}_1(-r_0) = -\frac{1}{2},$$

$$\bar{B}_1\left(\frac{s_0 + y}{h}\right) = \bar{B}_1\left(-\frac{r_0 + x}{k}\right) = -\bar{B}_1\left(\frac{r_0 + x}{k}\right),$$

so that

$$(3.5) \quad S - \bar{S} = 0.$$

Moreover (3.5) holds even when (3.4) is not satisfied. It follows again that (2.16) and (1.5) are in agreement in this case.

Thus (2.16) contains both (1.3) and (1.5)

References

- [1] L. Carlitz, *Generalized Dedekind sums*, Math. Zeitschr. 85 (1964), pp. 83-90.
- [2] — *A theorem on generalized Dedekind sums*, Acta Arith. 11 (1965), pp. 253-260.
- [3] H. Rademacher, *Some remarks on certain generalized Dedekind sums*, *ibid.* 9 (1964), pp. 97-105.
- [4] H. Rademacher and E. Grosswald, *Dedekind sums*, The Mathematical Association of America, 1972.

Received on 15. 10. 1974

(626)