

On linear dependence of roots

by

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In memory of Professor L. J. Mordell

L. J. Mordell [4] has proved in 1953 the following theorem. Let K be an algebraic number field, a_1, \dots, a_k elements of K , n_1, \dots, n_k positive integers, $\xi_i^{n_i} = a_i$ ($1 \leq i \leq k$). If $\prod_{i=1}^k \xi_i^{x_i} \in K$ implies $x_i \equiv 0 \pmod{n_i}$ and either the numbers ξ_i are real or K contains n_i th roots of unity ($1 \leq i \leq k$) then the degree of the extension $K(\xi_1, \dots, \xi_k)$ over K is $n_1 \dots n_k$. This theorem has been recently extended by C. L. Siegel [7] and M. Kneser [3].

The latter obtained the following purely algebraic result. Let K be any field, $K(\xi_1, \dots, \xi_k)$ a separable extension of K and $K^* \langle \xi_1, \dots, \xi_k \rangle$ the multiplicative group generated by ξ_1, \dots, ξ_k , all of finite order, over K^* . The degree $[K(\xi_1, \dots, \xi_k):K]$ is equal to the index $[K^* \langle \xi_1, \dots, \xi_k \rangle : K^*]$ if and only if for every prime p , $\zeta_p \in K^* \langle \xi_1, \dots, \xi_k \rangle$ implies $\zeta_p \in K$ and $1 + \zeta_q \in K^* \langle \xi_1, \dots, \xi_k \rangle$ implies $\zeta_q \in K$, where ζ_q is a primitive q th root of unity.

We shall use Kneser's theorem to get a necessary and sufficient condition for the field $K(\xi_1, \dots, \xi_k)$ to be of degree $n_1 \dots n_k$ over K .

THEOREM 1. *Let K be any field. Assume that the characteristic of K does not divide $n_1 \dots n_k$ and $\xi_i^{n_i} = a_i \in K^*$. $[K(\xi_1, \dots, \xi_k):K] = n_1 \dots n_k$ if and only if for all primes p $\prod_{p|n_i} a_i^{x_i} = \gamma^p$ implies $x_i \equiv 0 \pmod{p}$ ($p|n_i$) and*

$$\prod_{2|n_i} a_i^{x_i} = -1\gamma^4, \quad n_i x_i \equiv 0 \pmod{4} \quad (2|n_i) \text{ implies } x_i \equiv 0 \pmod{4} \quad (2|n_i)^{(1)}$$

The above theorem can be regarded as a generalization of Capelli's theorem which corresponds to the case $k = 1$. It should however be noted that Capelli's theorem holds without any condition on the characteristic of K (see [5], Theorem 428) while Theorem 1 does not, as it is shown by the example $K = \mathbb{Z}_2(t)$, $n_1 = n_2 = 2$, $a_1 = t$, $a_2 = t+1$.

⁽¹⁾ ($p|n_i$) means here "for all i such that $p|n_i$ ".

We have further

THEOREM 2. Assume that the characteristic of K does not divide $n_1 \dots n_k$. If either $\zeta_4 \in K$ or $n_i a_i \equiv 0 \pmod{4}$ ($2 \mid n_i$) implies $\prod_{2 \mid n_i} a_i^{2i} \neq -\gamma^4, -4\gamma^4$ then there exist elements ξ_1, \dots, ξ_k such that $\xi_i^{n_i} = a_i$ and

$$(1) \quad [K(\xi_1, \dots, \xi_k):K] = [K^* \langle \xi_1, \dots, \xi_k \rangle : K^*].$$

It follows from Kneser's theorem that if $\zeta_4 \notin K$ and for some a_i , $n_i a_i \equiv 0 \pmod{4}$ ($2 \mid n_i$), $\prod_{2 \mid n_i} a_i^{2i} = -4\gamma^4$ then for no choice of ξ_1, \dots, ξ_k satisfying $\xi_i^{n_i} = a_i$ the equality (1) holds. The example $K = Q$, $n_1 = n_2 = 8$, $a_1 = -1$, $a_2 = -16$ shows that the converse is not true. Indeed for any choice of ξ_1, ξ_2 we get

$$[K(\xi_1, \xi_2):K] = 8 < [K^* \langle \xi_1, \xi_2 \rangle : K^*] = 16.$$

It seems difficult to give a simple necessary and sufficient condition for the existence of ξ_1, \dots, ξ_k satisfying (1). On the other hand Theorem 1 combined with some results of [6] leads to a necessary and sufficient condition for the following phenomenon: each of the fields $K(\xi_1, \dots, \xi_k)$ contains at least one η with $\eta^n = \beta$ (β and n fixed, $n_i \mid n$). Condition given in [6] was necessary but not always sufficient. We shall prove even a more precise result.

THEOREM 3. Let τ be the largest integer such that $\zeta_{2^\tau} + \zeta_{2^\tau}^{-1} \in K$, if there are only finitely many of them, otherwise $\tau = \infty$. Let n_1, \dots, n_k be positive integers, a_1, \dots, a_k non-zero elements of K . There exist elements ξ_1, \dots, ξ_k with $\xi_i^{n_i} = a_i$ ($1 \leq i \leq k$) such that for all n divisible by n_1, \dots, n_k , but not by the characteristic of K and for all $\beta \in K$: if $K(\xi_1, \dots, \xi_k)$ contains at least one η with $\eta^n = \beta$ then at least one of the following three conditions is satisfied for suitable rational integers $l_1, \dots, l_k, q_1, \dots, q_k$ and suitable $\gamma, \delta \in K$.

- (i) $\beta \prod_{i=1}^k a_i^{\frac{q_i}{n_i}} = \gamma^n$,
- (ii) $n \not\equiv 0 \pmod{2^\tau}$, $\prod_{2 \mid n_i} a_i^{l_i} = -\delta^2$, $\beta \prod_{i=1}^k a_i^{\frac{q_i}{n_i}} = -\gamma^n$,
- (iii) $n \equiv 0 \pmod{2^\tau}$, $\prod_{2 \mid n_i} a_i^{l_i} = -\delta^2$, $\beta \prod_{i=1}^k a_i^{\frac{q_i}{n_i}} = (-1)^{n/2^\tau} (\zeta_{2^\tau} + \zeta_{2^\tau}^{-1} + 2)^{n/2} \gamma^n$.

Conversely if any of the above conditions is satisfied then each of the fields $K(\xi_1, \dots, \xi_k)$ where $\xi_i^{n_i} = a_i$ contains at least one η with $\eta^n = \beta$.

If $\zeta_4 \in K$ the conditions (ii), (iii) imply (i); if $\tau = 2$ (ii) implies (i) for not necessarily the same q_1, \dots, q_k and γ .

This theorem can be regarded as an extension of the classical result concerning Kummer fields ([2], p. 42).

Let us write for two irreducible polynomials f and g over K $f \sim g$ if $f(a_1) = 0$ and $g(a_2) = 0$ where $K(a_1) = K(a_2)$. The relation \sim introduced by Gerst [1] is reflexive, symmetric and transitive.

Theorem 3 implies

COROLLARY. Two polynomials $f(x) = x^n - a$ and $g(x) = x^n - \beta$ irreducible over K satisfy $f \sim g$ if and only if either $\beta a^\tau = \gamma^n$ or $n \equiv 0 \pmod{2^{\tau+1}}$, $a = -\delta_1^2$, $\beta = -\delta_2^2$ and $\beta a^\tau = (\zeta_{2^\tau} + \zeta_{2^\tau}^{-1} + 2)^{n/2} \gamma^n$ with $\gamma, \delta_1, \delta_2 \in K$.

This is a generalization of Theorem 5 of Gerst [1] corresponding to the case $K = Q$. (Note that the irreducibility of g implies $(r, n) = 1$.)

For the proof we need several lemmata.

LEMMA 1. If $(a_i, b_i) = 1$ and $b_i \mid m$ ($1 \leq i \leq k$) then

$$\left(m \frac{a_1}{b_1}, \dots, m \frac{a_k}{b_k} \right) = m \frac{(a_1, \dots, a_k)}{[b_1, \dots, b_k]}.$$

Proof (by induction with respect to k). For $k = 1$ the formula is obvious, for $k = 2$ we have

$$\left(m \frac{a_1}{b_1}, m \frac{a_2}{b_2} \right) = \frac{m}{b_1 b_2} (a_1 b_2, a_2 b_1) = \frac{m}{b_1 b_2} (a_1, a_2) (b_1, b_2) = m \frac{(a_1, a_2)}{[b_1, b_2]}.$$

Now assume that the lemma holds for k terms. Then if $b_i \mid m$ ($1 \leq i \leq k+1$) we have

$$\left(m \frac{a_1}{b_1}, \dots, m \frac{a_{k+1}}{b_{k+1}} \right) = \left(m \frac{(a_1, \dots, a_k)}{[b_1, \dots, b_k]}, m \frac{a_{k+1}}{b_{k+1}} \right) = m \frac{(a_1, \dots, a_k, a_{k+1})}{[b_1, \dots, b_{k+1}]},$$

and the proof is complete.

Proof of Theorem 1. Necessity. Suppose that for a certain prime p , a certain $\gamma \in K$ and some a_i , $\prod_{2 \mid n_i} a_i^{2i} = \gamma^p$, but for a certain i $p \nmid n_i$, $p \nmid a_i$.

Then for a suitable j

$$(2) \quad \prod_{2 \mid n_i} \xi_i^{\frac{2i}{p}} = \zeta_p^j \gamma,$$

$\zeta_p^j \in K^* \langle \xi_1, \dots, \xi_k \rangle$ and by Kneser's theorem either $\zeta_p^j \in K$ or $[K(\xi_1, \dots, \xi_k):K] < [K^* \langle \xi_1, \dots, \xi_k \rangle : K^*]$.

In the former case by (2) $[K^* \langle \xi_1, \dots, \xi_k \rangle : K^*] < n_1 \dots n_k$, in both cases $[K(\xi_1, \dots, \xi_k):K] < n_1 \dots n_k$.

Suppose now that for some a_i and a certain $\gamma \in K$ $\prod_{2 \mid n_i} a_i^{2i} = -4\gamma^4$, $n_i a_i \equiv 0 \pmod{4}$ ($2 \mid n_i$) but for a certain i $2 \mid n_i$, $4 \nmid a_i$. Then for a suitable j

$$(3) \quad \prod_{2 \mid n_i} \xi_i^{\frac{2i}{4}} = \zeta_4^j (1 + \zeta_4) \gamma,$$

$1 + \zeta_4 \in K \langle \xi_1, \dots, \xi_k \rangle$ (Note that $\zeta_4(1 + \zeta_4) = -2(1 + \zeta_4)^{-1}$.) and by Kneser's theorem either $\zeta_4 \in K$ or $[K(\xi_1, \dots, \xi_k):K] < [K^* \langle \xi_1, \dots, \xi_k \rangle : K^*]$.

In the former case by (3) $[K^* \langle \xi_1, \dots, \xi_k \rangle : K^*] < n_1 \dots n_k$, in both cases $[K \langle \xi_1, \dots, \xi_k \rangle : K] < n_1 n_2 \dots n_k$.

Sufficiency. Suppose that for a certain prime p and a $\gamma \in K$

$$\zeta_p = \gamma \prod_{i=1}^k \xi_i^{m_i}.$$

Let $m = [n_1/(n_1, a_1), \dots, n_k/(n_k, a_k)]$. If $p|m$ we get

$$\prod_{i=1}^k a_i^{\frac{m a_i}{n_i}} = (\gamma^{\frac{m}{p}})^p$$

and by the assumption $m a_i/n_i \equiv 0 \pmod p$ ($1 \leq i \leq k$). This gives by Lemma 1 $(a_1/(n_1, a_1), \dots, a_k/(n_k, a_k)) \equiv 0 \pmod p$, and for an $i \leq k$ $a_i/(n_i, a_i) \equiv n_i/(n_i, a_i) \equiv 0 \pmod p$, a contradiction.

If $p \nmid m$ we have

$$\zeta_p^m = \gamma^m \prod_{i=1}^k a_i^{\frac{m a_i}{n_i}} \in K, \quad \zeta_p \in K.$$

Suppose now that for a $\gamma \in K$

$$(4) \quad 1 + \zeta_4 = \gamma \prod_{i=1}^k \xi_i^{a_i}$$

and again $m = [n_1/(n_1, a_1), \dots, n_k/(n_k, a_k)]$. If $4|m$ then

$$(-4)^{\frac{m}{4}} = \gamma^m \prod_{i=1}^k a_i^{\frac{a_i m}{n_i}}$$

and by the assumption $a_i m/n_i \equiv 0 \pmod 2$ ($1 \leq i \leq k$). This gives by Lemma 1 $(a_1/(n_1, a_1), \dots, a_k/(n_k, a_k)) \equiv 0 \pmod 2$ and for an $i \leq k$:

$$\frac{a_i}{(n_i, a_i)} \equiv \frac{n_i}{(n_i, a_i)} \equiv 0 \pmod 2,$$

a contradiction.

If $4 \nmid m$ then (4) gives

$$(2\zeta_4)^{\frac{m}{(2,m)}} = \gamma^{[m,2]} \prod_{i=1}^k a_i^{\frac{[m,2]a_i}{n_i}} \in K; \quad \zeta_4 \in K.$$

Thus by Kneser's theorem $[K \langle \xi_1, \dots, \xi_k \rangle : K] = [K^* \langle \xi_1, \dots, \xi_k \rangle : K^*]$. Suppose now that

$$\prod_{i=1}^k \xi_i^{a_i} = \gamma \in K \quad \text{and} \quad m = [n_1/(n_1, a_1), \dots, n_k/(n_k, a_k)] \neq 1.$$

Then for a certain prime p , $p|m$ and

$$\prod_{i=1}^k a_i^{\frac{a_i m}{n_i}} = (\gamma^{\frac{m}{p}})^p$$

thus by the assumption $m a_i/n_i \equiv 0 \pmod p$ ($1 \leq i \leq k$). This as before leads to a contradiction. Therefore $m = 1$, $a_i \equiv 0 \pmod n_i$ and we infer that $[K^* \langle \xi_1, \dots, \xi_k \rangle : K^*] = n_1 \dots n_k$, which completes the proof.

LEMMA 2. Let g be 0 or a power of 2, \mathcal{G} a subgroup of K^* containing K^{*g} . If $n_i a_i \equiv 0 \pmod g$ ($1 \leq i \leq k$) implies $-\prod_{i=1}^k a_i^{a_i} \notin \mathcal{G}$ then there exist elements $\xi_1, \dots, \xi_k, \eta_1, \dots, \eta_l$ and positive integers m_1, \dots, m_l such that

$$\xi_i^{n_i} = a_i \quad (1 \leq i \leq k), \quad \eta_j^{m_j} = \beta_j \in K^* \quad (1 \leq j \leq l),$$

$$\langle \xi_1, \dots, \xi_k \rangle = \langle \eta_1, \dots, \eta_l \rangle,$$

(5)

$$[m_1, \dots, m_l] \mid [n_1, \dots, n_k],$$

(6)

$$\prod_{p \mid m_j} \beta_j^{a_j} = \gamma^p \text{ implies } a_j \equiv 0 \pmod p \quad (p \mid m_j)$$

for all primes p and

$$(7) \quad m_j y_j \equiv 0 \pmod g \quad (1 \leq j \leq l) \text{ implies } -\prod_{j=1}^l \beta_j^{y_j} \notin \mathcal{G}^{(2)}.$$

Proof. Assume first that all n_i are powers of the same prime g . Consider all systems $\eta_1, \dots, \eta_k, m_1, \dots, m_k$ satisfying the following conditions: for suitable ξ_i and integral e_{ij}

$$(8) \quad \xi_i^{n_i} = a_i, \quad \xi_i = \prod_{j=1}^k \eta_j^{e_{ij}}, \quad \eta_j^{m_j} = \beta_j \in K^*;$$

$$\det[e_{ij}] = \pm 1, \quad m_j \mid n_i e_{ij}$$

and

$$(9) \quad m_j y_j \equiv 0 \pmod g \quad (1 \leq j \leq k) \text{ implies } -\prod_{j=1}^k \beta_j^{y_j} \notin \mathcal{G}.$$

Such systems do exist, e.g. $\eta_j = \xi_j$, where $\xi_j^{n_j} = a_j$, $m_j = n_j$; we take one with the least product $m_1 \dots m_k$ and assert that it has the required property. We note that by (8)

$$m_j \mid \sum_{i=1}^k \frac{\max_{1 \leq t \leq k} n_t}{n_i} n_i e_{ij} E_{ij} = \pm \max_{1 \leq t \leq k} n_t,$$

(2) $a \equiv 0 \pmod 0$ means $a = 0$.

Thus $\eta'_1, \dots, \eta'_k, m'_1, \dots, m'_k$ satisfy all conditions imposed on $\eta_1, \dots, \eta_k, m_1, \dots, m_k$ and $m'_1 \dots m'_k < m_1 \dots m_k$, a contradiction.

Consider next the case $p = q > 2$. Let us choose a root of unity $\zeta_{m_s}^r$ so that

$$\eta'_s = \zeta_{m_s}^r \eta_s \prod_{j=1}^{s-1} \eta_j^{t_{sj} \frac{m_j}{m_s}} \text{ satisfies } \eta_s^{m_s/p} = \delta.$$

Set $m'_s = m_s/p$ and define η'_j, m'_j ($j \neq s$), e'_{ij}, ξ'_i by the formulae (12), (13), (14). We find as before that $\xi_i^{m'_i} = a_i$ ($1 \leq i \leq k$), $\det[e'_{ij}] = \pm 1$ and $m'_j | n_i e'_{ij}$. If now $m'_j y_j \equiv 0 \pmod{g}$ ($1 \leq j \leq k$) then $y_j \equiv 0 \pmod{g}$ ($1 \leq j \leq k$) and since $K^{*g} \subset \mathcal{G}$, $-\prod_{j=1}^k \beta_j^{y_j} \in \mathcal{G}$ implies $-1 \in \mathcal{G}$ which is impossible by (9). Since $m'_1 \dots m'_k < m_1 \dots m_k$ we get a contradiction.

Consider now the general case. Let $n_i = \prod_{h=1}^H p_h^{r_{hi}}$ ($1 \leq i \leq k$), where p_1, \dots, p_H are distinct primes. By the already proved case of the lemma for each $h \leq H$ there exist ξ_{hi}, η_{hi} and m_{hi} ($1 \leq i \leq k$) such that

$$\xi_{hi}^{p_h^{r_{hi}}} = a_i, \quad \eta_{hi}^{m_{hi}} = \beta_{hi},$$

$$\langle \xi_{h1}, \dots, \xi_{hk} \rangle = \langle \eta_{h1}, \dots, \eta_{hk} \rangle,$$

$$(15) \quad [m_{h1}, \dots, m_{hk}] | p_h^{\max r_{hi}},$$

$$(16) \quad \prod_{i=1}^k \beta_{hi}^{y_i} = \gamma^{p_h} \text{ implies } a_i \equiv 0 \pmod{p_h} \ (p_h | m_{hi})$$

and

$$(17) \quad m_{hi} y_i \equiv 0 \pmod{g} \text{ implies } -\prod_{i=1}^k \beta_{hi}^{y_i} \notin \mathcal{G}.$$

We get

$$\langle \eta_{11}, \dots, \eta_{1k}, \eta_{21}, \dots, \eta_{2k}, \dots, \eta_{H1}, \dots, \eta_{Hk} \rangle = \langle \xi_{11}, \dots, \xi_{1k}, \xi_{21}, \dots, \xi_{2k}, \dots, \xi_{H1}, \dots, \xi_{Hk} \rangle,$$

$$[m_{11}, \dots, m_{1k}, m_{21}, \dots, m_{2k}, \dots, m_{H1}, \dots, m_{Hk}] | [n_1, \dots, n_k].$$

Let us choose integers t_{hi} so that $\frac{1}{n_i} = \sum_{h=1}^H \frac{t_{hi}}{p_h^{r_{hi}}}$. Then

$$\left(\prod_{h=1}^H \xi_{hi}^{t_{hi}} \right)^{n_i} = a_i, \quad \xi_{ji} = \left(\prod_{h=1}^H \xi_{hi}^{t_{hj}} \right)^{\frac{n_i}{p_h^{r_{hi}}}} \quad (1 \leq i \leq k),$$

hence

$$\langle \eta_1, \dots, \eta_{Hk} \rangle = \left\langle \prod_{h=1}^H \xi_{h1}^{t_{h1}}, \dots, \prod_{h=1}^H \xi_{hk}^{t_{hk}} \right\rangle.$$

Moreover

$$\prod_{i=1}^k \beta_{hi}^{y_i} = \gamma^{p_h}$$

implies by (15) and (16) $a_{hi} \equiv 0 \pmod{p}$ ($p | m_{hi}$). Finally $m_{hi} y_{hi} \equiv 0 \pmod{g}$ implies $y_{hi} \equiv 0 \pmod{g}$ unless $p_h = 2$. Since $\mathcal{G} \supset K^{*g}$ the conditions

$$m_{hi} y_{hi} \equiv 0 \pmod{g} \ (1 \leq h \leq H, 1 \leq i \leq k) \text{ and } -\prod_{h=1}^H \prod_{i=1}^k \beta_{hi}^{y_{hi}} \in \mathcal{G}$$

imply for $p_h = 2$

$$-\prod_{i=1}^k \beta_{hi}^{y_{hi}} \in \mathcal{G}$$

which contradicts (17). The proof is complete.

Remark. It is possible but not worthwhile to obtain $l = k$ in the general case.

Proof of Theorem 2. We apply Lemma 2 with $g = 0$, $\mathcal{G} = \{1\}$ if $\zeta_4 \in K$; with $g = 4$, $\mathcal{G} = K^{*4} \cup 4K^{*4}$ otherwise and find that for suitable $\xi_1, \dots, \xi_k, \eta_1, \dots, \eta_l$

$$\xi_i^{n_i} = a_i, \quad \eta_j^{m_j} = \beta_j \quad (1 \leq i \leq k, 1 \leq j \leq l), \quad \langle \xi_1, \dots, \xi_k \rangle = \langle \eta_1, \dots, \eta_l \rangle$$

$$(18) \quad \prod_{j=1}^l \beta_j^{y_j} = \gamma^{p^2} \text{ implies } a_j \equiv 0 \pmod{p} \ (p | m_j)$$

and if $\zeta_4 \notin K$

$$m_j y_j \equiv 0 \pmod{4} \ (1 \leq j \leq k) \text{ implies } \prod_{j=1}^k \beta_j^{y_j} \neq -\gamma^4, -4\gamma^4.$$

If $\zeta_4 \in K$ we see at once that the conditions of Theorem 1 are satisfied; if $\zeta_4 \notin K$ they are also satisfied since then by (18)

$$\prod_{i=1}^k \beta_i^{y_i} = -4\gamma^4, \ n_i a_i \equiv 0 \pmod{4} \ (2 | n_i) \text{ implies } \prod_{i=1}^k \beta_i^{y_i} = (2\zeta_4 \gamma^2)^2,$$

$$a_i \equiv 0 \pmod{2}, \prod_{i=1}^k \beta_i^{y_i} = \pm 2\zeta_4 \gamma^2 = ((1 \pm \zeta_4)\gamma)^2, \ a_i/2 \equiv 0 \pmod{2}, \ a_i \equiv 0 \pmod{4} \ (1 \leq i \leq k).$$

By Theorem 1 we have $[K(\eta_1, \dots, \eta_l):K] = m_1 \dots m_l = [K^* \langle \eta_1, \dots, \eta_l \rangle : K^*]$, hence the theorem.

LEMMA 3. If $\eta_1, \dots, \eta_l, m_1, \dots, m_l$ satisfy the conditions of Lemma 2 with $g = 2, \mathcal{G} = K^{*2}, \delta \in K^*$ and $\sqrt{\delta} \in K(\eta_1, \dots, \eta_l)$ then

$$\sqrt{\delta} \in K^* \langle \eta_1, \dots, \eta_l \rangle \quad \text{and} \quad \delta \neq -1.$$

Proof. If $\sqrt{\delta} \in K(\eta_1, \dots, \eta_l)$ but $\sqrt{\delta} \notin K^* \langle \eta_1, \dots, \eta_l \rangle$ then

$$[K^* \langle \sqrt{\delta}, \eta_1, \dots, \eta_l \rangle : K^*] > [K^* \langle \eta_1, \dots, \eta_l \rangle : K^*] \geq [K(\eta_1, \dots, \eta_l) : K] \\ = [K(\sqrt{\delta}, \eta_1, \dots, \eta_l) : K]$$

thus by Kneser's theorem we have for a certain prime p

$$\zeta_p \in K^* \langle \sqrt{\delta}, \eta_1, \dots, \eta_l \rangle, \quad \zeta_p \notin K$$

or

$$1 + \zeta_4 \in K^* \langle \sqrt{\delta}, \eta_1, \dots, \eta_l \rangle, \quad \zeta_4 \notin K.$$

However $\zeta_p = \gamma \sqrt{\delta}^{\alpha_0} \prod_{j=1}^l \eta_j^{\alpha_j}, \gamma \in K$, gives

$$\sqrt{\delta} \in K^* \langle \eta_1, \dots, \eta_l \rangle$$

unless $\alpha_0 \equiv 0 \pmod 2$. In the latter case let

$$m = [m_1/(m_1, \alpha_1), \dots, m_l/(m_l, \alpha_l)].$$

If $p \mid m$ we get

$$\prod_{j=1}^l \beta_j^{\frac{m\alpha_j}{m_j}} = (\gamma^{-\frac{m}{p}} \delta^{-\frac{m}{p} \frac{\alpha_0}{2}})^p$$

and by the assumption

$$\frac{m\alpha_j}{m_j} \equiv 0 \pmod p \quad (1 \leq j \leq l).$$

This gives by Lemma 1 $(\alpha_1/(m_1, \alpha_1), \dots, \alpha_l/(m_l, \alpha_l)) \equiv 0 \pmod p$ and for a $j \leq l, \alpha_j/(m_j, \alpha_j) \equiv m_j/(m_j, \alpha_j) \equiv 0 \pmod p$, a contradiction.

If $p \nmid m$ we have

$$\zeta_p^m = (\gamma \delta^{\frac{\alpha_0}{2}})^m \prod_{j=1}^l \beta_j^{\frac{m\alpha_j}{m_j}} \in K; \quad \zeta_p \in K.$$

Suppose now that $\gamma \in K$,

$$(19) \quad 1 + \zeta_4 = \gamma \sqrt{\delta}^{\alpha_0} \prod_{j=1}^l \eta_j^{\alpha_j} \quad \text{or} \quad \zeta_4 = \gamma \prod_{j=1}^l \eta_j^{\alpha_j}$$

and set again $m = [m_1/(m_1, \alpha_1), \dots, m_l/(m_l, \alpha_l)]$.

If $4 \mid m$ then

$$(-4)^{m/4} = \gamma^m \delta^{\frac{\alpha_0 m}{2}} \prod_{j=1}^l \beta_j^{\frac{\alpha_j m}{m_j}} \quad \text{or} \quad 1 = \gamma^m \prod_{j=1}^l \beta_j^{\frac{\alpha_j m}{m_j}}$$

and by the assumption $\alpha_j m/m_j \equiv 0 \pmod 2 (1 \leq j \leq l)$. This gives by Lemma 1 $(\alpha_1/(m_1, \alpha_1), \dots, \alpha_l/(m_l, \alpha_l)) \equiv 0 \pmod 2$ and for a $j \leq l$

$$\frac{\alpha_j}{(m_j, \alpha_j)} \equiv \frac{m_j}{(m_j, \alpha_j)} \equiv 0 \pmod 2,$$

a contradiction.

If $4 \nmid m$ then (19) gives

$$(2\zeta_4)^{\frac{m}{(m,2)}} = \gamma^{[m,2]} \delta^{\frac{\alpha_0 m}{(m,2)}} \prod_{j=1}^l \beta_j^{\frac{\alpha_j [m,2]}{m_j}} \in K; \quad \zeta_4 \in K$$

or

$$(-1)^{\frac{m}{(m,2)}} = \gamma^{[m,2]} \prod_{j=1}^l \beta_j^{\frac{\alpha_j [m,2]}{m_j}}; \quad \prod_{2 \mid m_j} \beta_j^{\frac{\alpha_j [m,2]}{m_j}} = -\delta_1^2.$$

The contradiction obtained completes the proof.

LEMMA 4. Let K be an arbitrary field, n a positive integer not divisible by the characteristic of K, m_j divisors of n and $\beta_1, \dots, \beta_l, \beta$ non-zero elements of K . If each of the fields $K(\eta_1, \dots, \eta_l)$, where $\eta_j^{m_j} = \beta_j (1 \leq j \leq l)$ contains at least one η with $\eta^n = \beta$ then for any choice of η_j and η and for suitable exponents r_0, r_1, \dots, r_l

$$\zeta_n^{r_0} \eta_1^{r_1} \dots \eta_l^{r_l} \in K(\zeta_4).$$

Proof. This is an immediate consequence of Lemma 6 of [6].

LEMMA 5. Let K be an arbitrary field of characteristic different from 2 and τ be defined as in Theorem 3. $\Theta \in K$ is of the form ϑ^n , where $\vartheta \in K(\zeta_4)$ if and only if at least one of the following three conditions is satisfied for a suitable $\gamma \in K$:

$$\Theta = \gamma^n,$$

$$n \not\equiv 0 \pmod{2^\tau}, \quad \Theta = -\gamma^n,$$

$$n \equiv 0 \pmod{2^\tau}, \quad \Theta = (-1)^{n/2^\tau} (\zeta_{2^\tau} + \zeta_{2^\tau}^{-1} + 2)^{n/2} \gamma^n.$$

If $\zeta_4 \in K$ the last two conditions imply the first.

Proof. Necessity follows at once from Lemma 7 of [6]. Sufficiency of the first condition is obvious. In order to prove sufficiency of the other two note that if $n \not\equiv 0 \pmod{2^\tau}$ and $qn \equiv 2^{\tau-1} \pmod{2^\tau}$ then

$$-1 = (\zeta_{2^\tau}^q)^n$$

and if $n \equiv 0 \pmod{2^r}$ then

$$(-1)^{n/2^r} (\zeta_{2^r} + \zeta_{2^r}^{-1} + 2)^{n/2} = (\zeta_{2^r} + 1)^n.$$

On the other hand since $\zeta_{2^r} + \zeta_{2^r}^{-1} \in K$,

$$\zeta_{2^r} = \frac{1}{2}(\zeta_{2^r} + \zeta_{2^r}^{-1}) \pm \frac{1}{2}\zeta_4(\zeta_{2^r}^{1-2^{r-2}} + \zeta_{2^r}^{-1+2^{r-2}}) \in K(\zeta_4).$$

The last assertion of the lemma is obvious.

Proof of Theorem 3. Let us assume first that for all l_i

$$(20) \quad \prod_{2|n_i} \alpha_i^{l_i} \neq -\delta^2.$$

Then by Lemma 2 applied with $g = 2$, $\mathcal{G} = K^{*2}$ there exist $\xi_1, \dots, \xi_k, \eta_1, \dots, \eta_l, m_1, \dots, m_l$ such that

$$\xi_i^{n_i} = \alpha_i \quad (1 \leq i \leq k), \quad \eta_j^{m_j} = \beta_j \in K \quad (1 \leq j \leq l),$$

$$(21) \quad \langle \xi_1, \dots, \xi_k \rangle = \langle \eta_1, \dots, \eta_l \rangle,$$

$$(22) \quad [m_1, \dots, m_l] | [n_1, \dots, n_k],$$

$$\prod_{p|m_j} \beta_j^{x_j} = \gamma^p \quad \text{implies} \quad p | w_j \quad (p | m_j)$$

for all primes p and

$$(23) \quad \prod_{2|m_j} \beta_j^{y_j} \neq -\gamma^2 \quad \text{for any choice of } y_j.$$

By Theorem 1 $[K(\eta_1, \dots, \eta_l):K] = m_1 \dots m_l$ and thus all fields $K(\eta_1, \dots, \eta_l)$, where $\eta_j^{m_j} = \beta_j$ are conjugate over K . If now $K(\xi_1, \dots, \xi_k) = K(\eta_1, \dots, \eta_l)$ contains an η with $\eta^n = \beta$ then each field $K(\eta_1, \dots, \eta_l)$ contains such an η and by Lemma 4, Lemma 5, (22) and (23) we have either

$$(24) \quad \beta \prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = \gamma^n$$

or

$$(25) \quad n \equiv 0 \pmod{2^{r+1}} \quad \text{and} \quad \beta \prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = (\zeta_{2^r} + \zeta_{2^r}^{-1} + 2)^{n/2} \gamma^n$$

for suitable integers r_1, \dots, r_l and a suitable $\gamma \in K$. Indeed, if $n \equiv 0 \pmod{2}$,

$$\beta \prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = -\gamma^n \quad \text{or} \quad n \equiv 2^r \pmod{2^{r+1}}, \quad \beta \prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = -(\zeta_{2^r} + \zeta_{2^r}^{-1} + 2)^{n/2} \gamma^n,$$

we get on taking square-roots $\zeta_4 \in K(\eta, \eta_1, \dots, \eta_l) = K(\eta_1, \dots, \eta_l)$ contrary to Lemma 3.

The condition (21) implies that

$$(26) \quad \prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = \prod_{i=1}^k \alpha_i^{q_i \frac{n}{n_i}}$$

for suitable integers q_1, \dots, q_k . Hence (24) leads to (i).

It remains to consider (25). If $L = K(\eta_1, \dots, \eta_l)$ contains an η with $\eta^n = \beta$ then by (25) it contains $\zeta_n \sqrt{\zeta_{2^r} + \zeta_{2^r}^{-1} + 2}$ for a certain r .

If $n/(n, 2^r) \equiv 1 \pmod{2}$ then L contains

$$\zeta_n^{\frac{m}{(n, 2^r)}} \sqrt{\zeta_{2^r} + \zeta_{2^r}^{-1} + 2} = \pm \sqrt{\zeta_{2^r} + \zeta_{2^r}^{-1} + 2};$$

if $n/(n, 2^r) \equiv 2 \pmod{4}$ then L contains

$$\zeta_n^{\frac{rn}{2(n, 2^r)}} \sqrt{\zeta_{2^r} + \zeta_{2^r}^{-1} + 2} = \pm \sqrt{-(\zeta_{2^r} + \zeta_{2^r}^{-1} + 2)};$$

if $n/(n, 2^r) \equiv 0 \pmod{4}$ then L contains $\zeta_n^{\frac{rn}{2(n, 2^r)}} = \pm \zeta_4$.

By Lemma 3 the last case is impossible and in the first two cases

$$\sqrt{\pm(\zeta_{2^r} + \zeta_{2^r}^{-1} + 2)} \in K^* \langle \eta_1, \dots, \eta_l \rangle = K^* \langle \xi_1, \dots, \xi_k \rangle.$$

Hence we obtain

$$(\zeta_{2^r} + \zeta_{2^r}^{-1} + 2)^{n/2} = \vartheta^n \prod_{i=1}^k \alpha_i^{q_i \frac{n}{n_i}}, \quad \vartheta \in K,$$

which together with (25) and (26) gives again (i).

Assume now that for some l_1, \dots, l_k

$$\prod_{2|n_i} \alpha_i^{l_i} = -\delta^2, \quad \delta \in K.$$

Then we apply Lemma 2 for the field $K(\zeta_4)$ with $g = 0$, $\mathcal{G} = \{1\}$ and we infer the existence of $\xi_1, \dots, \xi_k, \eta_1, \dots, \eta_l, m_1, \dots, m_l$ such that

$$\xi_i^{n_i} = \alpha_i \quad (1 \leq i \leq k), \quad \eta_j^{m_j} = \beta_j \in K(\zeta_4) \quad (1 \leq j \leq l),$$

$$(27) \quad \langle \xi_1, \dots, \xi_k \rangle = \langle \eta_1, \dots, \eta_l \rangle,$$

$$[m_1, \dots, m_l] | [n_1, \dots, n_k],$$

$$\prod_{p|m_j} \beta_j^{x_j} = \gamma^p, \quad \gamma \in K(\zeta_4) \quad \text{implies} \quad p | w_j \quad (p | m_j)$$

for all primes p .

By Theorem 1 $[K(\zeta_4, \eta_1, \dots, \eta_l):K(\zeta_4)] = m_1 \dots m_l$ (see the end of the proof of Theorem 2) and thus all fields $K(\zeta_4, \eta_1, \dots, \eta_l)$, where $\eta_j^{m_j} = \beta_j$ are conjugate over $K(\zeta_4)$.

If now $K(\xi_1, \dots, \xi_k) \subset K(\zeta_4, \eta_1, \dots, \eta_l)$ contains an η with $\eta^n = \beta$ then each field $K(\zeta_4, \eta_1, \dots, \eta_l)$ contains such an η and by Lemma 4 we have

$$\beta \prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = \vartheta^n, \quad \vartheta \in K(\zeta_4).$$

The condition (27) implies that

$$\prod_{j=1}^l \beta_j^{r_j \frac{n}{m_j}} = \prod_{i=1}^k \alpha_i^{q_i \frac{n}{n_i}}$$

for suitable integers q_1, \dots, q_k . Hence $\vartheta^n \in K$ and using Lemma 5 we get one of the cases (i)–(iii).

Conversely if (i) is satisfied then any field $K(\xi_1, \dots, \xi_k)$, where $\xi_i^{n_i} = \alpha_i$ ($1 \leq i \leq k$) contains $\eta = \gamma \prod_{i=1}^k \xi_i^{-q_i}$ with $\eta^n = \beta$.

If (ii) or (iii) is satisfied then by Lemma 5

$$\beta \prod_{i=1}^k \alpha_i^{q_i \frac{n}{n_i}} = \vartheta^n$$

where $\vartheta \in K(\zeta_4)$. On the other hand, the equality $\prod_{2|n_i} \alpha_i^{l_i} = -\delta^2$ implies $\zeta_4 = \pm \prod_{2|n_i} \xi_i^{n_i l_i / 2}$.

Thus $\vartheta \in K(\xi_1, \dots, \xi_k)$ and $K(\xi_1, \dots, \xi_k)$ contains $\eta = \vartheta \prod_{i=1}^k \xi_i^{-q_i}$ with $\eta^n = \beta$.

The last assertion of the Theorem if $\zeta_4 \in K$ follows from the last assertion of Lemma 5.

If $\tau = 2$ and $n \not\equiv 0 \pmod{2^\tau}$ we have either $n \equiv 1 \pmod{2}$, in which case $-\gamma^n = (-\gamma)^n$ or $n \equiv 2 \pmod{4}$. In the latter case we get from (ii)

$$\beta \prod_{i=1}^k \alpha_i^{q_i \frac{n}{n_i}} \prod_{2|n_i} \alpha_i^{l_i \frac{n}{2}} = (\gamma \delta)^n$$

which leads to (i). The proof is complete.

Proof of Corollary. If the irreducible polynomials $f(x) = x^n - a$ and $g(x) = x^n - \beta$ satisfy the relation $f \sim g$ we have by Theorem 3 the following five possibilities

- (28) $a \stackrel{n}{=} \beta^t, \quad \beta \stackrel{n}{=} a^s;$
- (29) $n \not\equiv 0 \pmod{2^\tau}, \quad a = -\delta^2 \stackrel{n}{=} \beta^t, \quad \beta \stackrel{n}{=} -a^s;$
- (30) $n \equiv 0 \pmod{2^\tau}, \quad a = -\delta^2 \stackrel{n}{=} \beta^t, \quad \beta \stackrel{n}{=} \varepsilon \omega a^s;$
- (31) $n \not\equiv 0 \pmod{2^\tau}, \quad a = -\delta_1^2 \stackrel{n}{=} -\beta^t, \quad \beta = -\delta_2^2 \stackrel{n}{=} a^s;$
- (32) $n \equiv 0 \pmod{2^\tau}, \quad a = -\delta_1^2 \stackrel{n}{=} \varepsilon \omega \beta^t, \quad \beta = -\delta_2^2 \stackrel{n}{=} \varepsilon \omega a^s,$

and two other possibilities obtained by the permutation of a and β in (29) and (30). Here $\gamma \stackrel{n}{=} \delta$ means that γ/δ is an n th power in K , $\varepsilon = (-1)^{n/2^\tau}$ and $\omega = (\zeta_{2^\tau} + \zeta_{2^\tau}^{-1} + 2)^{n/2}$.

Moreover in (29) to (32) it is assumed that $\zeta_4 \notin K$. Now, (29) gives $t \equiv 1 \pmod{2}$, $a \stackrel{n}{=} -\alpha^{st}$, $\alpha^{st-1} \stackrel{n}{=} -1$, $\beta \stackrel{n}{=} \alpha^{s+st-1}$.

(30) gives $t \equiv 1 \pmod{2}$, $a \stackrel{n}{=} \varepsilon \omega \alpha^{st}$, $\alpha^{st-1} \stackrel{n}{=} \varepsilon \omega$, $\beta \stackrel{n}{=} \alpha^{s+st-1}$.

(31) gives $s \equiv t \equiv 0 \pmod{2}$. Indeed, if for instance $t \equiv 1 \pmod{2}$ then

$$-\delta_1^2 = -\beta^t = \delta_2^{2t} \quad \text{and} \quad \zeta_4 \in K.$$

If $s \equiv t \equiv 0 \pmod{2}$ then

$$a \stackrel{n}{=} -\alpha^{st}, \quad \alpha^{st-1} \stackrel{n}{=} -1, \quad \beta \stackrel{n}{=} \alpha^{s+st-1}.$$

(32) with $\varepsilon = -1$ gives like (31) that $s \equiv t \equiv 0 \pmod{2}$. In that case

$$a \stackrel{n}{=} -\omega \alpha^{st}, \quad \alpha^{st-1} \stackrel{n}{=} -\omega, \quad \beta \stackrel{n}{=} \alpha^{s+st-1}.$$

Thus in any case we have either $\beta \stackrel{n}{=} a^r$ or $n \equiv 0 \pmod{2^{\tau+1}}$, $a = -\delta^2$, $\beta = \omega a^r$. On the other hand if at least one of these conditions is satisfied then by Theorem 3 each of the fields $K(\xi)$ with $f(\eta) = 0$ contains an η with $g(\eta) = 0$ and since f and g are irreducible and of the same degree $K(\xi) = K(\eta)$.

Note added in proof. Theorem 3 is incompatible with Theorem 2 of T. Nagell, *Bestimmung des Grades gewisser relativ-algebraischen Zahlen*, Monatsh. Math. Phys. 48 (1939), p. 63. However already the special case of the latter theorem given by Nagell as his Theorem 3 is not valid in general, as shown by the example $\Omega = Q$, $n = 8$, $a = -1$, $b = -16$ contained in Theorem 6 of Gerst [1].

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On twin almost primes

by

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Dedicated to the memory of my teacher, Giovanni Ricci

1. Introduction and results. Let p, P_k denote respectively a prime and an almost prime with at most k factors. We are interested here in counting solutions of the equation $P_k + 2 = p$, attaching suitable weights depending on the prime factors of P_k .

Let $A_k = A_k(n)$ be the generalized von Mangoldt function

$$(1.1) \quad A_k = \mu * L^k,$$

k integral ≥ 1 , where μ denotes the Möbius function, L denotes the arithmetical function $\log n$, and $*$ denotes the Dirichlet convolution. Clearly $A_1 = A$, the von Mangoldt function, and it is easily shown that

$$(1.2) \quad A_k = A_{k-1}L + A_{k-1} * A,$$

therefore

$$A_2 = AL + A * A,$$

$$A_3 = AL^2 + 3AL * A + A * A * A,$$

and so on. An easy induction on k now shows that

$A_k(n) = 0$ if n has more than k prime factors and thus A_k can be taken as a weighting function for k -almost primes. Thus the natural sum to study is

$$(1.3) \quad \sum_{n \leq x} A(n+2)A_k(n),$$

and our purpose in this paper is to show that for large k the sum (1.3) is quite near to the expected asymptotic value. We shall also obtain the asymptotic behaviour of (1.3) for $k \geq 2$, but assuming the still unproved Halberstam–Richert conjecture on the distribution of primes in arithmetic progressions.

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