

## Sums of sets of group elements

by

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*Dedicated to Henry B. Mann on his 70th birthday*

**1. Introduction.** Let  $S$  be a set of  $s$  distinct non-zero elements in a group  $G$  (written additively).

Recently Szemerédi [4] proved the conjecture of Erdős and Heilbronn that if  $G$  is an abelian group of order  $n$  and  $s \geq cn^{1/2}$  ( $c$  is an absolute constant), then the zero element has a representation as a sum  $0 = a_1 + \dots + a_t$  of distinct elements  $a_i$  in  $S$ . Earlier Erdős and Heilbronn [1] had shown that if  $G$  is the group of prime order  $p$  and  $s \geq cp^{1/2}$ , then every element in  $G$  occurs as a sum of distinct elements in  $S$ . The constant  $c = 3\sqrt{6}$  given by Erdős and Heilbronn was reduced to  $c = 2$  by the present author [3].

In this paper we investigate similar questions for an arbitrary group. We prove that if  $G$  has finite order  $n$  and  $s \geq 3n^{1/2}$ , then zero occurs as a sum of distinct elements in  $S$ . This will follow from the stronger result that (for any group  $G$ ) there is an arrangement  $a_1, \dots, a_s$  of the elements of  $S$  such that either the sums  $\varepsilon_1 a_1 + \dots + \varepsilon_s a_s$  ( $\varepsilon_i = 0$  or  $1$ ) represent at least  $cs^2$  elements, or no element is represented exactly once. We show also that if  $G$  has finite order  $n$ ,  $s \geq 3\sqrt{2}n^{1/2}$ , and not too many of the elements of  $S$  belong to a proper subgroup, then every  $g$  in  $G$  occurs as a sum of distinct elements in  $S$ .

**2. Notation and preliminaries.** If  $S$  is a subset of the group  $G$ , we shall denote by  $|S|$  the cardinality of  $S$ , by  $\bar{S}$  the complement of  $S$  in  $G$ , and by  $\langle S \rangle$  the subgroup generated by  $S$ . If  $A_1, \dots, A_n$  are subsets of  $G$ , let  $A_1 + \dots + A_n$  denote the set of all sums  $a_1 + \dots + a_n$ , where  $a_i \in A_i$ . Finally, if  $A$  is a subset of  $G$  and  $n$  is a positive integer, let  $nA = A + \dots + A$  ( $n$  times).

**THEOREM 2.1** (Kemperman, Wehn). *Let  $A$  and  $B$  be finite non-empty subsets of  $G$  and let*

$$|A+B| = |A| + |B| - k.$$

Then every element  $c \in A + B$  has at least  $k$  representations as a sum  $c = a + b$  with  $a \in A$ ,  $b \in B$ .

Theorem 2.1 goes back to results of L. Moser and P. Scherk in the case of abelian groups, and was proved for non-abelian groups by J. H. B. Kemperman and (independently) D. F. Wehn. For proof see Kemperman's paper [2]. We shall use this theorem in the proof of the following result which appears to be new.

**THEOREM 2.2.** *If  $A$  is a finite subset of  $G$ ,  $0 \in A$ , and  $n$  is a positive integer, then either  $nA = \langle A \rangle$  or*

$$|nA| \geq |A| + (n-1) \lceil \frac{1}{2}(|A|+1) \rceil.$$

(Here  $\lceil m \rceil$  denotes the greatest integer in  $m$ .)

*Proof.* It suffices to show that

$$(1) \quad |nA| \geq |(n-1)A| + \frac{1}{2}|A|,$$

assuming  $n > 1$  and  $nA \neq \langle A \rangle$ . Since  $0 \in A$ , we have  $nA \subseteq (n+1)A$ . Moreover,  $nA$  must be a proper subset of  $(n+1)A$  for, otherwise,  $nA = mA$  for all  $m > n$  which implies  $nA = \langle A \rangle$ , since  $nA$  is a finite set. Choose  $x \in (n+1)A$ ,  $x \notin nA$ . Hence  $x = a_0 + y$  where  $a_0 \in A$  and  $y \in nA$ . Now define  $k$  by

$$(2) \quad |nA| = |(n-1)A| + |A| - k.$$

Since  $y \in nA = (n-1)A + A$ ,  $y$  has, by Theorem 2.1, at least  $k$  representations as a sum  $y = z + a$ , with  $z \in (n-1)A$ ,  $a \in A$ . Hence the set  $A^* = \{a \in A \mid y - a \in (n-1)A\}$  is not empty and has size  $|A^*| \geq k$ . Since  $y - A^* \subseteq (n-1)A$ , we have

$$x - A^* = a_0 + y - A^* \subseteq a_0 + (n-1)A \subseteq nA.$$

Thus  $nA \supseteq (n-1)A \cup (x - A^*)$ . But the sets  $(n-1)A$  and  $x - A^*$  are disjoint since  $x \notin nA$ . Hence

$$|nA| \geq |(n-1)A| + |x - A^*| = |(n-1)A| + |A^*|,$$

and so

$$(3) \quad |nA| \geq |(n-1)A| + k.$$

The inequality (1) follows from (2) and (3).

We remark that equality may hold in Theorem 2.2. For example, suppose  $H$  is a finite subgroup of  $G$  and (assuming  $H$  is properly included in its normalizer in  $G$ ) let  $x + H = H + x$  for some  $x \in G$ ,  $x \notin H$ . Take  $A = H \cup (x + H)$ . Clearly, for each positive integer  $n$ , either  $nA = \langle A \rangle$  or  $|nA| = (n+1)|H| = \frac{1}{2}(n+1)|A|$ .

**3. Main theorems.** The results in this section depend on the following lemma whose proof we postpone until the next section.

**LEMMA 3.1.** *Let  $B$  be a non-empty proper subset of a group  $G$  such that either  $B$  or its complement  $\bar{B}$  in  $G$  is finite, and let  $k = \min\{|B|, |\bar{B}|\}$ . Let  $a_1, \dots, a_w$  be  $w$  distinct non-zero elements of  $G$ . Assume that the subgroup  $H = \langle a_1, \dots, a_w \rangle$  generated by  $a_1, \dots, a_w$  has size  $|H| \geq 2k$ . Then*

$$|(B + a_v) \cap \bar{B}| \geq \min\{\frac{1}{2}(k+1), \frac{1}{4}(w+2)\}$$

for at least one index  $1 \leq v \leq w$ .

*Remark.* In the lemma, the subgroup  $H$  may be infinite, in which case the condition  $|H| \geq 2k$  is satisfied.

If  $a_1, \dots, a_t$  is a sequence of group elements let  $\Sigma = \Sigma(a_1, \dots, a_t)$  denote the sum set

$$\Sigma = \{0, a_1\} + \{0, a_2\} + \dots + \{0, a_t\}.$$

Note that if  $G$  is not abelian, then  $\Sigma$  depends on the order in which the  $a_i$  are listed. If  $g \in \Sigma$ , then by the number of representations of  $g$  in  $\Sigma$  we shall mean the number of  $t$ -tuples  $(\varepsilon_1, \dots, \varepsilon_t)$ ,  $\varepsilon_i = 0$  or  $1$ , such that  $g = \varepsilon_1 a_1 + \dots + \varepsilon_t a_t$ .

**THEOREM 3.1.** *Let  $S$  be a set of  $s \geq 3$  distinct non-zero elements of  $G$  such that  $\langle S \rangle = G$ . Then there is an arrangement  $a_1, \dots, a_s$  of the elements of  $S$  and an index  $2 \leq q \leq s$  such that either  $\Sigma(a_1, \dots, a_{s-1}) = G$  or the following hold.*

(i) For all  $2 \leq t \leq q$

$$(4) \quad |\Sigma(a_1, \dots, a_t)| \geq 4 + \frac{1}{8}[(s-2)(s+3) - (s-t)(s-t+5)] - \Delta(s),$$

where  $O(s \log s) = \Delta(s) < s^2/72$ .

(ii) If  $q < s$ , then  $H = \langle a_{q+1}, \dots, a_s \rangle$  is a finite proper subgroup of  $G$  and  $|H| < 2 \min\{|\Sigma|, |\bar{\Sigma}|\}$ , where  $\Sigma = \Sigma(a_1, \dots, a_q)$  and  $\bar{\Sigma}$  is the complement of  $\Sigma$  in  $G$ .

*Proof.* We first give the arrangement of the  $a_i$  for which the theorem holds. Choose  $a_1 \in S$  arbitrarily and, having chosen  $a_1, \dots, a_{j-1}$ , choose  $a_j$  from among the rest so as to maximize the size of  $\Sigma(a_1, \dots, a_j)$ . Assume now that  $\Sigma(a_1, \dots, a_{s-1}) \neq G$ .

For each  $2 \leq t \leq s$ , let  $\sigma_t = |\Sigma(a_1, \dots, a_t)|$ . Since  $s \geq 3$  we must have  $\sigma_2 = 4$  (i.e.  $a_2 \neq -a_1$ ).

Fix an index  $2 < t \leq s$  and let  $B = \Sigma(a_1, \dots, a_{t-1})$ . Clearly

$$\Sigma(a_1, \dots, a_t) = B \cup [(B + a_t) \cap \bar{B}],$$

and hence

$$\sigma_t = \sigma_{t-1} + |(B + a_t) \cap \bar{B}|.$$

We now use Lemma 3.1. Let  $k = \min\{|B|, |\bar{B}|\}$  and  $H_t = \langle a_t, \dots, a_s \rangle$ . Assume, for the moment, that  $|H_t| \geq 2k$ . Then, by Lemma 3.1, there is an index  $t \leq v \leq s$  such that

$$(5) \quad |(B + a_v) \cap \bar{B}| \geq \min\{\frac{1}{2}(k+1), \frac{1}{4}(s-t+3)\}.$$

By the way the  $a_i$  were arranged, equation (5) holds with  $v = t$ , therefore

$$(6) \quad \sigma_t \geq \sigma_{t-1} + \min\{\frac{1}{2}(k+1), \frac{1}{4}(s-t+3)\}.$$

We show next that the inequality (6) remains valid if  $k$  is replaced by  $|B| = \sigma_{t-1}$ . Suppose not. Then  $k = |\bar{B}| < |B|$  and also  $s-t+3 > 2(k+1)$ . Hence  $G$  is a finite group and  $s-t+1 > 2k > |\bar{B}|$ . But the set  $C = \{0, a_t\} + \dots + \{0, a_{s-1}\}$  has size  $|C| \geq s-t+1 > |\bar{B}|$ , hence

$$(7) \quad |B| + |C| > |B| + |\bar{B}| = |G|.$$

It follows from (7) that  $B+C = G$ . Therefore  $\Sigma(a_1, \dots, a_{s-1}) = G$ , contrary to our assumption. Thus

$$(8) \quad \sigma_t \geq \sigma_{t-1} + \min\{\frac{1}{2}(\sigma_{t-1}+1), \frac{1}{4}(s-t+3)\},$$

provided  $|H_t| \geq 2k$ .

We now let  $q$  be the smallest index ( $2 \leq q$ ) such that

$$(9) \quad |\langle a_{q+1}, \dots, a_s \rangle| < 2 \min\{|\Sigma|, |\bar{\Sigma}|\},$$

where  $\Sigma = \Sigma(a_1, \dots, a_q)$ . (Take  $q = s$  if (9) never occurs.) Hence statement (ii) holds, and the inequality (8) holds for all  $2 < t \leq q$ .

The rest of the proof is a computation based on (8). Define numbers  $y_2, \dots, y_s$  by the recursion  $y_2 = 4$  and (for  $2 < t \leq s$ )

$$(10) \quad y_t = y_{t-1} + \min\{\frac{1}{2}(y_{t-1}+1), \frac{1}{4}(s-t+3)\}.$$

Since  $\sigma_t \geq y_t$  for all  $2 \leq t \leq q$ , it suffices to show

$$(11) \quad y_t \geq 4 + \frac{1}{8}[(s-2)(s+3) - (s-t)(s-t+5)] - \Delta(s),$$

where  $O(s \log s) = \Delta(s) < s^2/72$ .

If  $s \leq 10$ , equation (10) reduces to  $y_t = y_{t-1} + \frac{1}{4}(s-t+3)$ , and a simple computation shows that equality holds in (11) with  $\Delta(s) = 0$ .

For  $s \geq 11$ , let  $u = u(s)$  be the largest integer in the interval  $3 \leq u < s-1$  such that

$$\frac{1}{2}(y_{u-1}+1) < \frac{1}{4}(s-u+3).$$

Hence

$$(12) \quad 2y_{u-1} + u - 1 < s.$$

Equation (10) becomes

$$y_t = \begin{cases} \frac{1}{2}(3y_{t-1}+1) & \text{if } 3 \leq t \leq u, \\ y_{t-1} + \frac{1}{4}(s-t+3) & \text{if } u < t \leq s. \end{cases}$$

Hence

$$(13) \quad y_t = 5\left(\frac{3}{2}\right)^{t-2} - 1 \quad (\text{for } 2 \leq t \leq u).$$

From (12) and (13) we get

$$(14) \quad 10\left(\frac{3}{2}\right)^{u-3} + u - 3 < s.$$

For  $2 \leq t \leq s$  we have

$$y_t = 4 + \sum_{j=3}^t \frac{1}{4}(s-j+3) - \sum_{j=3}^r \frac{1}{4}(s-j+3) + \sum_{j=3}^r \frac{1}{2}(y_{j-1}+1),$$

where  $r = \min\{u, t\}$ . Hence

$$\begin{aligned} y_t &\geq 4 + \sum_{j=3}^t \frac{1}{4}(s-j+3) - \sum_{j=3}^u \frac{1}{4}(s-j+3) + \sum_{j=3}^u \frac{1}{2}(y_{j-1}+1) \\ &= 4 + \frac{1}{8}[(s-2)(s+3) - (s-t)(s-t+5)] - \Delta(s), \end{aligned}$$

where

$$\begin{aligned} \Delta(s) &= - \sum_{j=3}^u \frac{1}{2}(y_{j-1}+1) + \sum_{j=3}^u \frac{1}{4}(s-j+3) \\ &= -y_u + 4 + \frac{1}{8}[(s-2)(s+3) - (s-u)(s-u+5)]. \end{aligned}$$

Thus (11) holds with

$$(15) \quad \Delta(s) = \frac{1}{8}\{2s(u-2) + u(5-u) + 26 - 8y_u\}.$$

By (14)  $u = O(\log s)$ , hence by (15)  $\Delta(s) = O(s \log s)$ . If  $u \geq 10$ , then by (14)  $s > 18(u-2)$ , and so by (15)  $\Delta(s) < \frac{1}{4}s(u-2) < s^2/72$ . By computing the value of  $y_u$  from (13) for each  $u$  in the range  $3 \leq u \leq 9$ , it is easy to verify from (15) that  $\Delta(s) < s^2/72$ . This completes the proof.

**THEOREM 3.2.** Let  $S$  be a set of  $s$  distinct non-zero elements of  $G$ . Then there is an arrangement  $a_1, \dots, a_s$  of the elements of  $S$  such that either

(A) every element in  $\Sigma = \Sigma(a_1, \dots, a_s)$  has at least two representations in  $\Sigma$ , or

(B)  $|\Sigma| > 1 + cs^2$ , where  $c = \frac{1}{8} - O(\log s/s) > \frac{1}{9}$ .

**Proof.** The proof is by induction on  $s$ . Statement (B) holds trivially for small  $s$  (with  $c = \frac{1}{8}$ ), so assume  $s \geq 6$ . Our induction hypothesis is that, for smaller  $s$ , the theorem is true in the weaker form with  $c = \frac{1}{9}$ . We may assume without loss of generality that  $\langle S \rangle = G$ . Now let  $a_1, \dots, a_s$  be the arrangement and  $2 \leq q \leq s$  the index given by Theorem 3.1. We may assume that  $\Sigma(a_1, \dots, a_{s-1}) \neq G$ , since otherwise statement (A) holds. Hence, by Theorem 3.1,

$$(16) \quad |\Sigma(a_1, \dots, a_t)| > 3 + cs^2 - \frac{1}{8}(s-t)(s-t+5)$$

holds for all  $2 \leq t \leq q$ , where  $c = \frac{1}{8} - O(\log s/s) > \frac{1}{9}$ . If  $q = s$  we are done. Assume  $q < s$ . Thus  $H = \langle a_{q+1}, \dots, a_s \rangle$  is a proper subgroup of  $G$ . Now let  $1 \leq v \leq q$  be the largest index such that  $a_v \notin H$ . We now apply our

induction hypothesis to the set of  $s-v$  elements  $a_{v+1}, \dots, a_s$ . Thus after relabelling these elements, either

$$(17) \quad |\Sigma(a_{v+1}, \dots, a_s)| > 1 + \frac{1}{9}(s-v)^2,$$

or every element in  $\Sigma(a_{v+1}, \dots, a_s)$  has at least two representations. But the latter possibility implies that every element of  $\Sigma(a_1, \dots, a_s)$  has at least two representations. We may assume, therefore, that (17) holds. Since  $a_{v+1}, \dots, a_s \in H$  and  $a_v \notin H$ , the set  $\Sigma(a_v, a_{v+1}, \dots, a_s)$  contains twice as many elements as  $\Sigma(a_{v+1}, \dots, a_s)$ . Hence

$$(18) \quad |\Sigma(a_v, \dots, a_s)| > 2 + \frac{2}{9}(s-v)^2.$$

We may assume that  $v \geq 3$  since, if  $v = 1$  or  $2$  and  $s \geq 6$ , the right hand side of (18) exceeds  $1 + \frac{1}{9}s^2$ . Put  $A = \Sigma(a_1, \dots, a_{v-1})$  and  $B = \Sigma(a_v, \dots, a_s)$ . Clearly

$$\Sigma = \Sigma(a_1, \dots, a_s) = A + B.$$

We may assume that at least one element of  $\Sigma$  has a unique representation as a sum  $a + b$ ,  $a \in A$ ,  $b \in B$ , for otherwise every element of  $\Sigma$  has at least two representations in  $\Sigma$ . Hence, by Theorem 2.1,

$$(19) \quad |\Sigma| \geq |A| + |B| - 1.$$

Taking  $t = v-1$  in (16) we get

$$(20) \quad |A| > 3 + cs^2 - \frac{1}{8}(s-v+1)(s-v+6).$$

Hence, by (18), (19) and (20),

$$|\Sigma| > 4 + cs^2 + \frac{2}{9}(s-v)^2 - \frac{1}{8}(s-v+1)(s-v+6) > 1 + cs^2.$$

This proves the theorem.

Taking  $c = \frac{1}{9}$  in Theorem 3.2, we get as a direct consequence the following result for a finite group.

**COROLLARY 3.2.1.** *If  $S$  is a set of  $s$  distinct non-zero elements in a finite group of order  $n$  and  $s \geq 3n^{1/2}$ , then there is an arrangement  $a_1, \dots, a_s$  of the elements of  $S$  such that every element in  $\Sigma = \Sigma(a_1, \dots, a_s)$  has at least two representations in  $\Sigma$ . In particular,  $0$  occurs non-trivially in  $\Sigma$ .*

For the group of prime order  $p$  it is known (see [3]) that every element of the group can be written as a sum of distinct elements from a set  $S$  provided  $|S| > 2p^{1/2}$ . We next use Theorem 3.1 to prove a similar result for an arbitrary finite group.

**THEOREM 3.3.** *Let  $S$  be a set of  $s$  distinct non-zero elements in a finite group  $G$  of order  $n$ , where  $s \geq cn^{1/2}$  and  $c \geq 3\sqrt{2}$ . Assume that no proper subgroup  $H$  of  $G$  contains more than  $c|H|^{1/2}$  of the elements in  $S$ . Then there is an arrangement  $a_1, \dots, a_s$  of the elements of  $S$  such that  $\Sigma(a_1, \dots, a_s) = G$ , and every element of  $G$  has at least two representations in  $\Sigma(a_1, \dots, a_s)$ .*

**Remark.** The proof is such that the bound  $c \geq 3\sqrt{2}$  can be improved if the smallest prime divisor of  $n$  is greater than 2. In fact, we shall prove the theorem assuming

$$(21) \quad c \geq 3 \left( \frac{8p-2}{8p-9} \right)^{1/2},$$

where  $p$  is the smallest prime divisor of  $n$ .

**Proof.** Our hypothesis implies  $\langle S \rangle = G$ , so we may apply Theorem 3.1. Let  $q$  ( $2 \leq q \leq s$ ) be the index and  $a_1, \dots, a_s$  the arrangement given in Theorem 3.1. It suffices to show that  $\Sigma(a_1, \dots, a_{s-1}) = G$ . Suppose not. Then

$$(22) \quad |\Sigma(a_1, \dots, a_t)| \geq 4 + \frac{1}{8}[(s-2)(s+3) - (s-t)(s-t+5)] - s^2/72 > 1 + \frac{1}{9}s^2 - \frac{1}{8}(s-t)^2 - \frac{1}{2}(s-t),$$

for all  $2 \leq t \leq q$ . We must have  $q < s-1$ , since otherwise (22) implies

$$|\Sigma(a_1, \dots, a_{s-1})| > \frac{1}{9}s^2 > n,$$

which is impossible. Now let  $\Sigma = \Sigma(a_1, \dots, a_q)$  and let  $H = \langle a_{q+1}, \dots, a_s \rangle$ .  $H$  is a non-trivial proper subgroup of  $G$  satisfying  $|H| < 2|\Sigma| = 2(n - |\Sigma|)$ . Hence

$$(23) \quad n \left( \frac{2d-1}{2d} \right) > |\Sigma|,$$

where  $|H| = n/d$ . By (22) we have

$$(24) \quad |\Sigma| > \frac{1}{9}s^2 - \frac{1}{8}(s-q)^2 - \frac{1}{2}(s-q).$$

Hence, by (23) and (24),

$$(25) \quad n \left( \frac{2d-1}{2d} \right) > \frac{1}{9}s^2 - \frac{1}{8}(s-q)^2 - \frac{1}{2}(s-q).$$

Clearly  $\Sigma(a_1, \dots, a_{s-1}) = \Sigma + C$ , where  $C = \{0, a_{q+1}\} + \dots + \{0, a_{s-1}\}$ . The inequality  $|\Sigma| + |C| > n$  implies  $\Sigma + C = G$ , contrary to assumption. Therefore  $|\Sigma| + |C| \leq n$ . Since  $|C| \geq s-q$ , we have  $n \geq |\Sigma| + (s-q)$ , and therefore, by (24),

$$(26) \quad n > \frac{1}{9}s^2 - \frac{1}{8}(s-q)^2 + \frac{1}{2}(s-q).$$

Adding the inequalities (25) and (26), we get

$$(27) \quad n \left( \frac{4d-1}{4d} \right) > \frac{1}{9}s^2 - \frac{1}{8}(s-q)^2.$$

Now  $s \geq cn^{1/2}$  and, since  $H$  contains at most  $c(n/d)^{1/2}$  of the elements in  $S$ ,  $s-q \leq c(n/d)^{1/2}$ . Hence, by (27),

$$(28) \quad c^2 < 9 \left( \frac{8d-2}{8d-9} \right).$$

Since  $d \geq p$ , where  $p$  is the smallest prime divisor of  $n$ , the inequality (28) contradicts the bound on  $c$  given in (21). Thus  $\Sigma(a_1, \dots, a_{s-1}) = G$  and the theorem is proved.

Assuming  $s \geq cn^{1/2}$ , we may now strengthen the conclusion of Corollary 3.2.1. Again assume  $c$  satisfies (21), where  $p$  is the smallest prime divisor of  $n$ .

**COROLLARY 3.3.1.** *Let  $S$  be a set of non-zero elements from a finite group  $G$  of order  $n$  of size  $|S| \geq cn^{1/2}$ . Then  $G$  contains a subgroup  $H$ , and  $S$  contains  $t$  distinct elements  $a_1, \dots, a_t$ , such that  $\Sigma(a_1, \dots, a_t) = H$ ,  $t \geq c|H|^{1/2}$ , and every element of  $H$  has at least two representations in  $\Sigma(a_1, \dots, a_t)$ .*

**Proof.** Simply let  $H$  be a subgroup of  $G$  such that  $|S \cap H| \geq c|H|^{1/2}$ , but  $|S \cap K| \leq c|K|^{1/2}$  for all proper subgroups  $K$  of  $H$ . Then apply Theorem 3.3 to the set  $S \cap H$ .

Note that each theorem in this section asserts that there is an arrangement  $a_1, \dots, a_s$  of the elements of  $S$  such that something is true for  $\Sigma(a_1, \dots, a_s)$ . We leave open the question of what can be said about  $\Sigma(a_1, \dots, a_s)$  for an arbitrary arrangement  $a_1, \dots, a_s$ .

**4. Proof of Lemma 3.1.** To prove Lemma 3.1 we shall use Theorem 2.2 and a modification of the averaging process due to Erdős and Heilbronn [1].

First, we may assume without loss of generality that  $k = |B| \leq |\bar{B}|$ . This is because, for  $g \in G$ ,

$$(29) \quad |(B+g) \cap \bar{B}| = |(\bar{B}+g) \cap B|.$$

To prove (29) assume first that  $B$  is finite. Hence

$$\begin{aligned} |(B+g) \cap \bar{B}| &= |B+g| - |(B+g) \cap B| \\ &= |B-g| - |B \cap (B-g)| = |(B-g) \cap \bar{B}| = |B \cap (\bar{B}+g)|. \end{aligned}$$

By symmetry (29) holds if  $\bar{B}$  is finite.

Next, define a mapping  $\lambda$  from  $G$  to the non-negative integers by

$$\lambda(g) = |(B+g) \cap \bar{B}|.$$

The mapping  $\lambda$  is "sub-additive", i.e.

$$(30) \quad \lambda(x+y) \leq \lambda(x) + \lambda(y),$$

as shown by the simple calculation:

$$\begin{aligned} \lambda(x+y) &= |(B+x+y) \cap \bar{B}| = |(B+x) \cap (\bar{B}-y)| \\ &= |(B+x) \cap (\bar{B}-y) \cap \bar{B}| + |(B+x) \cap (\bar{B}-y) \cap B| \\ &\leq |(B+x) \cap \bar{B}| + |(\bar{B}-y) \cap B| = |(B+x) \cap \bar{B}| + |(B+y) \cap \bar{B}| \\ &= \lambda(x) + \lambda(y). \end{aligned}$$

Now let  $\alpha = \max\{\lambda(a_i) \mid 1 \leq i \leq w\}$ . We divide the proof into two cases.

Case 1:  $w \geq 2k-1$ . Let  $C = \{a_1, \dots, a_{2k-1}\}$ . Thus

$$(31) \quad \sum_{c \in C} \lambda(c) \leq (2k-1)\alpha.$$

On the other hand

$$\begin{aligned} \sum_{c \in C} \lambda(c) &= \sum_{c \in C} |(B+c) \cap \bar{B}| = \sum_{c \in C} [|B| - |(B+c) \cap B|] \\ &= |C||B| - \sum_{c \in C} |(B+c) \cap B| \geq |C||B| - \sum_{\substack{x \in G \\ x \neq 0}} |(B+x) \cap B| \\ &= |C||B| - |B|(|B|-1). \end{aligned}$$

Hence

$$(32) \quad \sum_{c \in C} \lambda(c) \geq k^2.$$

By (31) and (32),  $\alpha \geq k^2/(2k-1) > k/2$ . Hence  $\alpha \geq \frac{1}{2}(k+1)$  and the lemma is proved in this case.

Case 2:  $w \leq 2k-2$ . As in Case 1 we shall construct a set  $C$  of size  $|C| = 2k-1$ . Form the set  $A = \{0, a_1, \dots, a_w\}$  and let

$$u = [\frac{1}{2}(|A|+1)] = \begin{cases} \frac{1}{2}(w+2) & \text{if } w \text{ is even,} \\ \frac{1}{2}(w+1) & \text{if } w \text{ is odd.} \end{cases}$$

We now use Theorem 2.2. Since, by hypothesis, the group  $\langle A \rangle$  has order at least  $2k$ , we conclude from Theorem 2.2 that  $|nA| \geq 2k$  or  $|nA| \geq (w+1) + (n-1)u$ , for each positive integer  $n$ . Define integers  $r$  and  $q$  by

$$(33) \quad 2k = (w+1) + (r-2)u + q, \quad 0 \leq q < u.$$

Note that  $r \geq 2$  because  $w \leq 2k-2$ . Hence  $|rA| \geq 2k$  and

$$|nA| \geq (w+1) + (n-1)u \quad (1 \leq n \leq r-1).$$

Therefore  $rA$  has a subset  $C$  of non-zero elements of size  $|C| = 2k-1$  such that

$$|nA \cap C| \geq w + (n-1)u \quad (1 \leq n \leq r-1).$$

If  $c \in nA$ , then  $\lambda(c) \leq na$  by (30). Hence

$$\sum_{c \in C} \lambda(c) \leq wa + u2a + \dots + u(r-1)a + qra = a(w-u + \frac{1}{2}r^2u - \frac{1}{2}ru + rq).$$

Since  $r \geq 2$  and  $u \geq 1$ , we have  $\frac{1}{2}ru \geq \frac{1}{2}r + u - 1$ , hence

$$\begin{aligned} \sum_{c \in C} \lambda(c) &\leq a(1+w-2u + \frac{1}{2}r^2u - \frac{1}{2}r + rq) \\ &\leq a(\frac{1}{2}r^2u - \frac{1}{2}r + rq) = \frac{1}{2}ar(ru-1+2q). \end{aligned}$$



Using (33) to eliminate  $r$ , we get

$$\begin{aligned} \sum_{c \in C} \lambda(c) &\leq \frac{\alpha}{2u} (2k-1+2u-w-q)(2k-2+2u-w+q) \\ &\leq \frac{\alpha}{2u} (2k-1+2u-w)(2k-2+2u-w). \end{aligned}$$

Hence

$$\sum_{c \in C} \lambda(c) \leq \begin{cases} \frac{\alpha}{w+2} (2k+1)(2k) & \text{if } w \text{ is even,} \\ \frac{\alpha}{w+1} (2k)(2k-1) & \text{if } w \text{ is odd.} \end{cases}$$

Since  $w \leq 2k-2$ ,  $\frac{2k+1}{w+2} < \frac{2k}{w+1}$ , hence

$$\sum_{c \in C} \lambda(c) < \frac{4k^2 \alpha}{w+1}.$$

On the other hand  $\sum_{c \in C} \lambda(c) \geq k^2$ , exactly as in Case 1, so  $\alpha > \frac{1}{4}(w+1)$ .

Hence  $\alpha \geq \frac{1}{4}(w+2)$  and the lemma is proved.

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## A note on a cyclotomic diophantine equation

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**1. Introduction.** Let  $m \geq 3$  be a natural number,  $\zeta_m = \exp(2\pi i/m)$ , and let  $K_m = Q(\zeta_m)$  denote the cyclotomic field over the rationals  $Q$ . We shall prove the following result:

**THEOREM A.** *If  $q \geq 3$ ,  $\beta$  is a unit in  $K_m$ , and the equation*

$$(1) \quad \alpha^q = \beta + 1$$

*has a solution  $\alpha \in K_m$ , then  $\alpha = 0$  or  $\alpha$  is a root of unity.*

In the special case when  $m$  is a prime  $> 3$  and  $\alpha$  is required to be a unit in  $K_m$ , this result has been recently proved by Newman [5]. His proof depends on the following theorem (for prime values of  $m$ ):

**THEOREM B.** *If  $m$  is any integer  $\geq 4$ ,  $2 \leq g \leq m-2$ , and  $q \geq 2$ , then the only solution  $\alpha \in K_m$  of the equation*

$$(2) \quad 1 + \zeta_m + \zeta_m^2 + \dots + \zeta_m^{q-1} = \alpha^q$$

*is given by  $q = 2$ ,  $m = 12$ ,  $g = 7$ ,  $\alpha = \pm \zeta_m^5(1 - \zeta_m)^{-1}$ .*

In particular, if  $m$  is prime, then (2) does not have solutions with  $q \geq 2$ . This fact was stated as a conjecture by Newman [4] and was first proved by the author [1]. A very elegant proof of a more general result was given by Loxton [3]. The proof given by Newman [5] is incorrect. (The formula for  $\eta^q - \zeta$  on p. 87 is wrong.) In the general case Theorem B has been proved by the author [2].

Using the ideas of Newman we shall prove Theorem A directly without leaning on Theorem B. It is possible that the new method will cause a simplification in the proof of Theorem B which is extremely complicated.

**2. Proof of Theorem A.** We assume that (1) has a solution, where  $\alpha$  is nonzero and not a root of unity, and deduce a contradiction. Without loss of generality, we may assume that  $q = 4$  or that  $q$  is an odd prime. By extending the field  $K_m$  if necessary, we may also assume that  $q \mid m$ . We use the following well-known fact: If  $\gamma$  is any unit in  $K_m$ , then there