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Reçu le 30. 10. 1973

(48)

On some estimates in the theory of $\zeta(s, \chi)$ -functions

by

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1. Let K be an algebraic number field, ν and Δ the degree and the discriminant of the field K respectively (see [2]).

Denote by $\mathfrak{N}\alpha$ the norm of an ideal α of K , by \mathfrak{f} a given ideal of K and by \mathfrak{p} a prime ideal of K (see [2]).

Denote further by $\mathcal{H} \pmod{\mathfrak{f}}$ an ideal-class mod \mathfrak{f} ([3], Def. VIII), by $\mathcal{H}_0 \pmod{\mathfrak{f}}$ the principal class mod \mathfrak{f} and by $h(\mathfrak{f})$ the class-number.

Let $\chi(\mathcal{H})$ be a character of the abelian group of ideal-classes $\mathcal{H} \pmod{\mathfrak{f}}$, $\chi(\alpha)$ the extension of $\chi(\mathcal{H})$ ([3], Def. X) and χ_0 —the principal character mod \mathfrak{f} .

Denote by $\zeta_K(s)$ the Dedekind Zeta-function and by $\zeta(s, \chi)$ the Hecke-Landau Zeta-functions ([3], Def. XVII).

O_i , $i = 1, 2, 3, \dots$, are positive constants independent of K .

Denote further

$$(1.1) \quad \Delta(x, \mathcal{H}) = \sum_{n \leq x} \gamma(n) - \frac{x}{h(\mathfrak{f})},$$

$$(1.2) \quad \Delta(x, \mathcal{H}_0) = \sum_{n \leq x} g(n) - \frac{x}{h(\mathfrak{f})},$$

where

$$(1.3) \quad \gamma(n) = \sum_{\substack{(\mathfrak{p})^{m_1} \dots \\ \mathfrak{p}^{m_1} \in \mathcal{H} \pmod{\mathfrak{f}}}} \log \mathfrak{N}\mathfrak{p},$$

$$(1.4) \quad g(n) = \sum_{\substack{(\mathfrak{p})^{m_1} \dots \\ \mathfrak{p}^{m_1} \in \mathcal{H}_0 \pmod{\mathfrak{f}}}} \log \mathfrak{N}\mathfrak{p}$$

and

$$(1.5) \quad E_0 = E_0(\chi) = \begin{cases} 1 & \text{if } \chi = \chi_0, \\ 0 & \text{if } \chi \neq \chi_0. \end{cases}$$

The aim of this note is to determine the equivalence between the domain in which $\zeta(s, \chi) \neq 0$ and the upper estimate of $|\Delta(x, \mathcal{H}_0)|$. In the

case of the Riemann Zeta-function such equivalence was discovered and proved by P. Turán (see [8], p. 150). The case of the Dedekind Zeta-functions was the subject of our previous note (see [7]).

2. We will prove the following theorems.

THEOREM 1. Suppose that $\prod_x \zeta(s, \chi) \neq 0$ in the domain

$$(2.1) \quad \sigma > 1 - C_0 \eta(|t|), \quad C_0 \leq 1,$$

where C_0 is a constant depending on the ideal \mathfrak{f} and the field K , $\eta(t)$ is for $t \geq 0$ a decreasing function, having a continuous derivative $\eta'(t)$ and satisfying the conditions

- (a) $0 < \eta(t) \leq \frac{1}{2}$,
- (b) $\eta'(t) \rightarrow 0$ as $t \rightarrow \infty$,
- (c) $\frac{1}{\eta(t)} = O(\log t)$ as $t \rightarrow \infty$.

Let a be a fixed number satisfying $0 < a < 1$.

Then for every $\mathcal{H} \pmod{\mathfrak{f}}$

$$(2.2) \quad |\Delta(x, \mathcal{H})| < C_1 C_0^{-1} \nu \log(C_0^{-1} |\Delta| \mathfrak{N}\mathfrak{f} + 2) \cdot x \exp\left(-\frac{aC_0}{2} \omega(x)\right),$$

where $\omega(x) = \min_{t \geq 1} \{\eta(t) \log x + \log t\}$ and C_1 depends only on a and on the function $\eta(t)$.

THEOREM 2. Let $0 < a < 1$ and $\eta_1(t)$ be a function satisfying except

(a), (b), (c) also the additional condition

- (d) $\eta_1(t) \leq C_2$ for $t > C_3$,

where C_2 is a sufficiently small positive number and let

$$\omega_1(x) = \min_{t \geq 1} \{\eta_1(t) \log x + \log t\}.$$

Suppose further the estimate

$$(2.3) \quad |\Delta(x, \mathcal{H}_0)| < C_4 C_0^{-1} \nu \log(C_0^{-1} |\Delta| \mathfrak{N}\mathfrak{f} + 2) \cdot x \exp\left(-\frac{aC_0}{2} \omega_1(x)\right),$$

where $\mathcal{H}_0 \pmod{\mathfrak{f}}$ is the principal class mod \mathfrak{f} and the constant C_4 depends only on a and $\eta_1(t)$.

Then $\prod_x \zeta(s, \chi) \neq 0$ in the domain

$$(2.4) \quad \sigma > 1 - \frac{\log t}{400 \log \omega_1^{-1}(\log t^{4(aC_0)^{-1}})},$$

$$t > \max\left\{C_5, (C_0^{-1} h^2(\mathfrak{f}))^{\nu^2} \log^2(C_0^{-1} |\Delta| \mathfrak{N}\mathfrak{f} + 2)\right\}^5, \\ \eta_1^{-1}\left(\exp(-\nu^2 h^2(\mathfrak{f}))\right), (|\Delta| + 2) \mathfrak{N}\mathfrak{f}\},$$

where $\omega_1^{-1}(x)$, $\eta_1^{-1}(x)$ denote functions inverse to $\omega_1(x)$ and $\eta_1(x)$ respectively.

THEOREM 3. Under the conditions of Theorem 2, we have $\prod_x \zeta(s, \chi) \neq 0$ in the region

$$(2.5) \quad \sigma > 1 - \frac{aC_0}{(40)^2} \eta_1(t^{4(aC_0)^{-1}}), \\ t > \max\left\{C_5, (C_0^{-1} h^2(\mathfrak{f}))^{\nu^2} \log^2(C_0^{-1} |\Delta| \mathfrak{N}\mathfrak{f} + 2)\right\}^5, \\ \eta_1^{-1}\left(\exp(-\nu^2 h^2(\mathfrak{f}))\right), (|\Delta| + 2) \mathfrak{N}\mathfrak{f}\}.$$

Choosing $\eta_1(t) = \eta(t) = \frac{1}{\log^\nu(t+2)}$, $0 < \nu \leq 1$, we obtain from Theorems 1 and 2 the following

THEOREM 4. If γ_1 is the supremum of the numbers γ for which

$$(2.6) \quad \Delta(x, \mathcal{H}_0) = O\{x \exp(-C_6 \log^\gamma x)\}$$

and γ_2 is the infimum of the numbers γ' for which $\prod_x \zeta(s, \chi) \neq 0$ in the region

$$(2.7) \quad \sigma > 1 - \frac{C_7}{\log^{\gamma'} |t|}, \quad |t| \geq C_8,$$

then

$$\gamma_1 = 1/(1 + \gamma_2),$$

and the constants depend on γ , \mathfrak{f} and the field K .

3. The proofs of Theorems 1 and 2 will rest on the following lemmas.

LEMMA 1. Let z_1, z_2, \dots, z_n be complex numbers such that

$$|z_1| \geq |z_2| \geq \dots \geq |z_n|, \quad |z_1| \geq 1$$

and let b_1, b_2, \dots, b_n be any complex numbers. Then, if m is positive and $N \geq h$, there exists an integer ν such that $m \leq \nu \leq m + N$,

$$(3.1) \quad |b_1 z_1^\nu + b_2 z_2^\nu + \dots + b_n z_n^\nu| \geq \left(\frac{N}{48e^2(2N+m)}\right)^N \min_{1 \leq j \leq n} |b_j|.$$

This lemma is Turán's second main theorem (see [8], p. 52).

LEMMA 2. For $\sigma = 2$, $-\infty < t < +\infty$,

$$(3.2) \quad |\zeta(s, \chi)| > K_1,$$

where $K_1 = (6/\pi^2)^\nu$.

LEMMA 3. In the region $-1/100 \leq \sigma \leq 4$, $-\infty < t < +\infty$

$$(3.3) \quad |(s-1)\zeta(s, \chi)| \leq K_2 (|t|+1)^{K_3},$$

where

$$K_2 = C_9^\nu |\Delta|^{51/100} (\mathfrak{N}\mathfrak{f})^{152/100}, \quad K_3 = \frac{51}{100} \nu + 1$$

and C_9 is a numerical constant.

LEMMA 4. For $\sigma > 1$ it follows

$$-\frac{\zeta'}{\zeta}(s, \chi) = \sum_n \frac{G(n, \chi)}{n^s},$$

where

$$G(n, \chi) = \sum_{(np)^{m-n}} \chi(p^m) \log np$$

and

$$(3.4) \quad |G(n, \chi)| \leq K_4 \log^2 n$$

where $K_4 = v/\log 2$.

For the proofs of Lemmas 2-4 see [5].

LEMMA 5. If $s_0 = 1 + \mu + it$, $0 < \mu \leq 1/40$, $t \geq 10$ and N_1 stands for the number of roots of $\zeta(s, \chi)$ in the circle $|s - s_0| \leq 8\mu$, then

$$(3.5) \quad N_1 < C_{10} v \log(|\Delta| \mathfrak{N}(t)) \cdot \log^{-1}(8\mu)^{-1}.$$

This lemma follows from (3.3) by the use of Jensen's inequality (compare [8], p. 187).

LEMMA 6. Denote by $V(T)$ the number of zeros of $\zeta(s, \chi)$ in the rectangle $\sqrt{\delta} \leq \sigma \leq 1$, $T \leq t \leq T+1$ where $0 < \delta \leq (3/16)^2$. Then for $-\infty < T < +\infty$

$$(3.6) \quad V(T) < \frac{8}{3} \delta^{-5/6} \log \left(\frac{K_2}{K_1} (|T| + 4)^{K_3} \right).$$

LEMMA 7. There exists a broken line L in the vertical strip

$$\frac{4}{3}\sqrt{\delta} \leq \sigma \leq \frac{8}{3}\sqrt{\delta}, \quad 0 < \delta \leq (3/16)^2$$

consisting of horizontal and vertical segments alternately having the following property: if we denote by T_m the ordinates of horizontal segments, so for each integer m there exists only one T_m such that $m < T_m < m+1$ and

$$(3.7) \quad \left| \sum_x \frac{\zeta'}{\zeta}(s, \chi) \right| < 17\delta^{-11/6} h^2(f) \log^2 \left(\frac{K_2}{K_1} (|t| + 5)^{K_3} \right)$$

holds for $s \in L$.

If $\frac{4}{3}\sqrt{\delta} \leq \sigma \leq 3$, $t = T_m$, $|m| \geq 2$, then

$$(3.8) \quad \left| \sum_x \frac{\zeta'}{\zeta}(s, \chi) \right| < 15\delta^{-4/3} h^2(f) \log^2 \left(\frac{K_2}{K_1} (|T_m| + 5)^{K_3} \right).$$

For the proofs of Lemmas 6 and 7 see [4] and [5].

LEMMA 8. If $0 < \delta \leq (3/16)^2$, $1 < \sigma \leq 3/2$, $\xi > 1$ and $l \geq 2$ is a positive integer, then

$$(3.9) \quad \left| (-1)^l \sum_{n \geq \xi} \frac{g(n)}{n^s} \frac{\log^{l+1}(n/\xi)}{(l+1)!} + \frac{1}{h(f)} \left(\frac{\xi^{1-s}}{(1-s)^{l+2}} + \sum_c \frac{\xi^{c-s}}{(c-s)^{l+2}} \right) \right| < 171\delta^{-11/6} \frac{\xi^{\sigma_0 - \sigma} h(f) \log^2 \left(\frac{K_2}{K_1} (|t| + 6)^{K_3} \right)}{\min(1, (\sigma - \sigma_0)^{l+2})},$$

where $\sigma_0 = \frac{8}{3}\sqrt{\delta}$ and the sum is taken over all zeros of $\prod_x \zeta(s, \chi)$ lying to the right of the line L .

This lemma can be proved following *mutatis mutandis* the Appendix V of [8].

4. We pass over to the proof of Theorem 1. Similarly as in [1], pp. 60-62, we can prove that

$$(4.1) \quad \frac{\zeta'}{\zeta}(s, \chi) + \frac{E_0}{s-1} = O\{C_0^{-1} v \log(C_0^{-1} |\Delta| \mathfrak{N}(t+2)) \cdot \log(|t|+2)\}$$

in the region

$$(4.2) \quad \begin{aligned} 1 - aC_0 \eta(|t|) \leq \sigma \leq 1 + aC_0 \eta(|t|), \quad |t| \geq T_0, \\ 1 - aC_0 \eta(T_0) \leq \sigma \leq 1 + aC_0 \eta(|t|), \quad |t| \leq T_0, \end{aligned}$$

where T_0 depends on the function $\eta(t)$, and the constant implied by the O notation depends on $\eta(t)$ and a only.

Next we deduce the simple formula

$$(4.3) \quad \sum_{n \leq x} (x-n) \gamma(n) = \frac{x^2}{2\pi h(f) i} \sum_x \frac{1}{\chi(\mathcal{H})} \int_{C-i\infty}^{C+i\infty} \frac{x^{s-1}}{s(s+1)} \left(-\frac{\zeta'}{\zeta}(s, \chi) \right) ds$$

where $C > 1$.

From Lemma 4 and (4.1)-(4.3), by contour integration we get

$$(4.4) \quad \begin{aligned} \sum_{n \leq x} (x-n) \gamma(n) \\ = \frac{x^2}{2h(f)} + O\{C_0^{-1} v x^2 \log(C_0^{-1} |\Delta| \mathfrak{N}(t+2)) \cdot \exp(-aC_0 \omega(x))\}. \end{aligned}$$

The constant in (4.3) depends on a and $\eta(t)$ only.

Using the relation between $\sum_{n \leq x} (x-n) \gamma(n)$ and $\sum_{n \leq x} \gamma(n)$ we get similarly as in [1], p. 64, the estimate (2.2).

5. Proof of Theorem 2 (compare [6]). Put $t \geq 2$. By (1.2) we have for $n > 1$

$$g(n) = \Delta(n, \mathcal{H}_0) - \Delta(n-1, \mathcal{H}_0) + \frac{1}{h(\mathfrak{f})}.$$

Hence

$$(5.1) \quad \left| \sum_{N_1 \leq n \leq N_2} g(n) \exp(-it \log n) \right| \leq \frac{1}{h(\mathfrak{f})} \left| \sum_{N_1 \leq n \leq N_2} \exp(-it \log n) \right| + \left| \sum_{N_1 \leq n \leq N_2} \{\Delta(n, \mathcal{H}_0) - \Delta(n-1, \mathcal{H}_0)\} \cdot \exp(-it \log n) \right| = \frac{1}{h(\mathfrak{f})} I_1 + I_2.$$

We choose N_1, N_2 so large, that

$$(5.2) \quad \omega_1^{-1}(\log t^{4(aC_0)^{-1}}) \leq N/2 < N_1 < N_2 \leq N.$$

Then by

$$(5.3) \quad \omega_1(1+t^2) < \log(1+t^2) < \log t^{4(aC_0)^{-1}}$$

and the estimate (2.3), we get

$$(5.4) \quad I_2 < C_{11} M N t^{-1},$$

where $M = C_0^{-1} \nu \log(C_0^{-1} |\Delta| \mathfrak{N} \mathfrak{f} + 2)$ and C_{11} depends on a and $\eta_1(t)$ only (see [6]).

From the estimate of I_1 (see [8], p. 153) and from (5.4) we get

$$(5.5) \quad \left| \sum_{N_1 \leq n \leq N_2} g(n) \exp(-it \log n) \right| \leq C_{12} M N t^{-1}.$$

Suppose

$$(5.6) \quad 1 < \sigma \leq 3/2.$$

By partial summation and (5.5) we have

$$(5.7) \quad \left| \sum_{N_1 \leq n \leq N_2} g(n) n^{-s} \right| \leq C_{13} M N t^{-1}.$$

We choose

$$(5.8) \quad \eta \geq \omega_1^{-1}(\log t^{4(aC_0)^{-1}})$$

and apply the inequality (5.7) for

$$N_1^j = \eta \cdot 2^j, \quad N_2^j = \eta \cdot 2^{j+1}, \quad j = 0, 1, 2, \dots$$

Hence

$$(5.9) \quad \left| \sum_{n \geq \eta} \frac{g(n)}{n^s} \right| \leq C_{14} M \frac{\eta^{1-\sigma}}{t(\sigma-1)}.$$

We choose further

$$(5.10) \quad \xi \geq \omega_1^{-1}(\log t^{4(aC_0)^{-1}}).$$

Denoting by l a positive integer and following [8], p. 154, we get, by (5.9),

$$(5.11) \quad \left| \sum_{n \geq \xi} \frac{g(n)}{n^s} \log^{l+1} \left(\frac{n}{\xi} \right) \right| < C_{15} M \frac{(l+1)! \xi^{1-\sigma}}{t(\sigma-1)^{l+2}}.$$

Hence by Lemma 8 with $\delta = (3/16)^2$ and by (5.3) we get the estimate

$$(5.12) \quad \left| \sum_{\rho} \frac{\xi^{\rho-s}}{(\rho-s)^{l+2}} \right| < C_{16} \left\{ \xi^{1/2-\sigma} h^2(\mathfrak{f}) \frac{\nu^2 \log^2(|\Delta| \mathfrak{N} \mathfrak{f})}{(\sigma-1/2)^{l+2}} + M \frac{\xi^{1-\sigma}}{t(\sigma-1)^{l+2}} \right\} < C_{17} M_1 \frac{\xi^{1-\sigma}}{t(\sigma-1)^{l+2}} \log^2 t,$$

where $M_1 = C_0 h^2(\mathfrak{f}) M^2$.

Let us suppose now that our theorem is not true. Hence there exist such zeros

$$\rho^* = \sigma^* + it^*, \quad t^* \rightarrow \infty$$

that

$$(5.13) \quad \sigma^* > 1 - \frac{\log t^*}{400 \log \omega_1^{-1}(\log t^{*4(aC_0)^{-1}})},$$

$$(5.14) \quad t^* > \max \left\{ e^{2a}, (C_0^{-1} h^2(\mathfrak{f}) \nu^2 \log^2(C_0^{-1} |\Delta| \mathfrak{N} \mathfrak{f} + 2))^2, \eta_1^{-1}(\exp(-\nu^2 h^2(\mathfrak{f}))), (|\Delta| + 2) \mathfrak{N} \mathfrak{f} \right\}.$$

Putting in the estimate (5.12)

$$(5.15) \quad S = s_1 = 1 + \frac{1}{10} \frac{\log t^*}{\log \omega_1^{-1}(\log t^{*4(aC_0)^{-1}})} + it^* = \sigma_1 + it^*,$$

$$(5.16) \quad \xi = \exp((l+2)\lambda),$$

where

$$(5.17) \quad \log t^* \leq l+2 \leq \frac{5}{4} \log t^*,$$

$$(5.18) \quad \lambda = \frac{\log \omega_1^{-1}(\log t^{*4(aC_0)^{-1}})}{\log t^*},$$

we may without difficulty verify that then (5.6) and (5.10) are satisfied. Multiplying both sides of (5.12) by

$$|\xi^{s_1-\rho^*} (s_1-\rho^*)^{l+2}| = \xi^{\sigma_1-\sigma^*} (\sigma_1-\sigma^*)^{l+2}$$

we have

$$(5.19) \quad \left| \sum_{\rho} \xi^{\rho-\sigma^*} \left(\frac{s_1-\rho^*}{s_1-\rho} \right)^{l+2} \right| < C_{18} M_1 \frac{\xi^{1-\sigma^*}}{t^*} \left(\frac{\sigma_1-\sigma^*}{\sigma_1-1} \right)^{l+2} \log^2 t^*.$$

In virtue of (5.13), (5.14) and (5.17) it follows

$$\left(\frac{\sigma_1 - \sigma^*}{\sigma_1 - 1}\right)^{l+2} = \left(1 + \frac{1 - \sigma^*}{\sigma_1 - 1}\right)^{l+2} \leq t^{*1/32}.$$

Hence from (5.19) we get

$$(5.20) \quad \left| \sum_{\varrho} \xi^{\varrho - \varrho^*} \left(\frac{s_1 - \varrho^*}{s_1 - \varrho}\right)^{l+2} \right| < t^{*-2/3} \xi^{1-\sigma^*}$$

if (5.14) is satisfied and $t^* > C_{19}(O_4)$.

By virtue of Lemma 6 we get similarly to [8], p. 156, the estimates

$$\begin{aligned} \left| \sum_{\substack{\varrho \\ t_{\varrho} \geq t^* + 6(\sigma_1 - \sigma^*)}} \xi^{\varrho - \varrho^*} \left(\frac{s_1 - \varrho^*}{s_1 - \varrho}\right)^{l+2} \right| &< C_{20} t^{*-1} \xi^{1-\sigma^*}, \\ \left| \sum_{\substack{\varrho \\ t_{\varrho} \leq t^* - 6(\sigma_1 - \sigma^*)}} \xi^{\varrho - \varrho^*} \left(\frac{s_1 - \varrho^*}{s_1 - \varrho}\right)^{l+2} \right| &< C_{21} t^{*-1} \xi^{1-\sigma^*}, \\ \left| \sum_{\substack{\varrho \\ |t_{\varrho} - t^*| < 6(\sigma_1 - \sigma^*) \\ \sigma_{\varrho} < 1 - 3(\sigma_1 - \sigma_0)}} \xi^{\varrho - \varrho^*} \left(\frac{s_1 - \varrho^*}{s_1 - \varrho}\right)^{l+2} \right| &< C_{22} t^{*-1} \xi^{1-\sigma^*}. \end{aligned}$$

From the above estimates and (5.20) it follows, for $t^* > C_{23}(O_4)$

$$(5.21) \quad V = \left| \sum_{\substack{\varrho \\ |t_{\varrho} - t^*| \leq 6(\sigma_1 - \sigma^*) \\ \sigma_{\varrho} \geq 1 - 3(\sigma_1 - \sigma^*)}} \left(e^{i(\varrho - \varrho^*)} \frac{s_1 - \varrho^*}{s_1 - \varrho} \right)^{l+2} \right| < \xi^{1-\sigma^*} t^{*-2/3}.$$

We estimate the sum V from below by the use of Lemma 1. We choose

$$z_j = \frac{s_1 - \varrho^*}{s_1 - \varrho} \exp(\lambda(\varrho - \varrho^*))$$

and

$$(5.22) \quad m = \log t^*.$$

We have now to determine the number N . The region

$$1 - 3(\sigma_1 - \sigma^*) \leq \sigma < 1, \quad |t - t^*| \leq 6(\sigma_1 - \sigma^*)$$

is contained in the circle $|s - s_1| \leq 8(\sigma_1 - 1)$. Hence denoting by N_1 the number of roots of $\prod \zeta(s, \chi)$ in the circle, and using Lemma 5 with

$C_2 = \exp(-(28C_{10})^2)$ we have for

$$s_0 = s_1 = \sigma_1 + it^*, \quad \mu = \frac{\log t^*}{10 \log \omega_1^{-1} (\log t^{*4(aC_0)^{-1}})}$$

and under (5.14) and $t^* > C_{24}$ the estimate

$$N_1 < \log t^*/14.$$

In virtue of Lemma 1 there exists an exponent $l+2$ such that then

$$(5.23) \quad V > t^{*-0.66}.$$

From (5.21) and (5.23) it follows

$$1 - \sigma^* > \frac{1}{400} \frac{\log t^*}{\log \omega_1^{-1} (\log t^{*4(aC_0)^{-1}})}$$

and this is a contradiction to (5.13).

This completes the proof of Theorem 2.

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Received on 6. 11. 1973

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