

If  $a \geq 2$ , then as  $x \rightarrow \infty$ ,

$$N(n \leq x: 2^a \nmid \sigma_v(n, \chi)) \sim B_2 x (\log \log x)^{a-2} (\log x)^{-1},$$

where  $B_2 > 0$ .

From Theorem 1 of [10], it follows that in fact

$$B_2 = \frac{\pi^2}{2^{a+1}(a-2)!} \prod_{p|Q} \frac{p+1}{p},$$

where  $Q$  is defined by (37) with  $d = 2^a$ , and furthermore that

$$N(n \leq x: 2 \nmid \sigma_v(n, \chi)) \sim \prod_{p|2Q} (1 + p^{-1/2}) x^{1/2}.$$

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(238)

## On Waring's Problem in $p$ -adic fields

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In this paper, which is a sequel to [5], we show that for a large enough exponent  $k$ , any  $p$ -adic integer can be represented non-trivially as a sum of less than  $k^{7/8}$   $k$ th powers of integers in any  $p$ -adic field  $\mathcal{O}_p$  with  $(k, p-1) < \frac{1}{2}(p-1)$ . As is well known the problem of representing any  $p$ -adic integer by a sum of  $s$   $k$ th powers of  $p$ -adic integers is equivalent to finding a primitive solution of the congruence

$$(1) \quad x_1^k + \dots + x_s^k \equiv N \pmod{p^\gamma}$$

for any rational integer  $N$ , where  $\gamma = \tau + 1$  and where  $\tau$  is the exact power of the prime  $p$  which divides  $2k$ . In fact, as is also well known, a primitive solution of (1) implies that the congruence

$$(2) \quad x_1^k + \dots + x_s^k \equiv N \pmod{p^n}$$

has a primitive solution for every integer  $n \geq 1$ . The number  $\Gamma(k, p^n)$  is defined to be the least  $s$  such that the congruence (2) has a primitive solution for any integer  $N$  so that  $\Gamma(k, p^n) \leq \Gamma(k, p^\nu)$  for every  $n \geq 1$ , i.e.

$$\Gamma(k, p^n) = \max_n \Gamma(k, p^n),$$

where the maximum is taken over all positive integers. Also plainly if  $s \geq \Gamma(k, p^\nu)$  then every  $p$ -adic integer can be represented as a non-trivial sum of  $s$   $k$ th powers of  $p$ -adic integers.

The number  $\Gamma(k, p^\nu)$  was introduced by Hardy and Littlewood in their work on Waring's Problem ([7]) though from a different point of view and with a different notation, namely  $\gamma_p$ , and they proved ([8], p. 533, Theorem 4) that if  $d < \frac{1}{2}(p-1)$  then  $\Gamma(k, p^\nu) \leq k$ , where as always  $d = (k, p-1)$ , the highest common factor of  $k$  and  $p-1$ . I. Chowla ([3], p. 197, Theorem 4) showed that if  $k$  is sufficiently large, then for all primes  $p$  with  $d < \frac{1}{2}(p-1)$  we have for all sufficiently large  $k$ ,

$$\Gamma(k, p^\nu) < k^{1-c+\epsilon},$$

i.e. for all integers  $n \geq 1$ ,

$$\Gamma(k, p^n) < k^{1-c+\epsilon},$$

where  $\varepsilon$  is any positive number and  $c = (103 - 3\sqrt{641})/220$ , a result which for large  $k$  is much stronger than Hardy and Littlewood's.

Now in [5] where we considered the solubility of the congruence (2) with  $n = 1$ , we proved<sup>(1)</sup> that if  $k$  were sufficiently large and  $d < \frac{1}{2}(p-1)$  then

$$\Gamma(k, p) < k^{7/8-\eta} < k^{7/8}$$

where  $\eta$  is, as always in this paper, a sufficiently small positive number. Here we prove under the same hypotheses that

$$\Gamma(k, p^n) < k^{7/8}, \quad \text{i.e.} \quad \Gamma(k, p^n) < k^{7/8}$$

for all positive integers  $n$ .

Of course if the odd prime  $p$  does not divide  $k$  then  $\gamma = 1$  and  $\Gamma(k, p^n) \leq \Gamma(k, p)$  for all positive integers  $n$ , so that here we are really only concerned with those primes which divide  $k$ . The arguments and results we use are in the main due to I. Chowla [3] and we simply verify that the improvement obtained in [5] can be maintained in the  $p$ -adic case when the prime  $p$  divides  $k$ . However as we have pointed out in [5], I. Chowla's paper is not easily obtainable and contains numerous misprints and obscurities and for these reasons and to keep this paper reasonably self-contained we repeat his work in some detail.

From now on we shall take  $k$  to be a sufficiently large positive integer and  $p$  to be a prime dividing  $k$  (so that  $\tau \geq 1$ ) and such that  $d = (k, p-1) < \frac{1}{2}(p-1)$  so that  $p$  is necessarily at least 5 and  $p^\tau$  exactly divides  $k$ . The cases  $d = p-1$  and  $d = \frac{1}{2}(p-1)$  are exceptional in that  $\Gamma(k, p^\tau) = \Gamma(k, p^{\tau+1})$  can be determined in these cases and that in general the results of this paper cannot hold ([8], p. 524, Lemma 7). For example when  $k = p^\tau(p-1)$ ,  $p > 2$ , then

$$\Gamma(k, p^{\tau+1}) = p^{\tau+1} = \frac{p}{p-1} \cdot k$$

and if  $k = p^\tau \left( \frac{p-1}{2} \right)$ ,  $p > 3$ , then

$$\Gamma(k, p^{\tau+1}) = \frac{1}{2}(p^{\tau+1} - 1) = \frac{1 - p^{-(\tau+1)}}{1 - p^{-1}} \cdot k.$$

We need to make some of the earlier notation more explicit: we denote by

$$\Gamma(k, p^n, N)$$

(1) Note added in proof. Recently A. Tietavainen has shown that the exponent 7/8 can be reduced to  $3/5 + \varepsilon$  (private communication 14. 9. 72).

the least  $s$  such that the congruence (2) has a primitive solution for the particular prime power modulus  $p^n$  and the particular integer  $N$ . Then plainly

$$\Gamma(k, p^n) = \text{Max}_{0 \leq N < p^n} \Gamma(k, p^n, N).$$

We also need some notation connected with the easier Waring Problem: we denote by

$$\Delta(k, p^n, N)$$

the least  $s$  such that the congruence

$$(3) \quad \varepsilon_1 x_1^k + \dots + \varepsilon_s x_s^k \equiv N \pmod{p^n},$$

where each coefficient  $\varepsilon_i$ ,  $i = 1, \dots, s$ , can assume the values  $+1$  or  $-1$ , has a primitive solution. It is plain that

$$\Delta(k, p^n) = \text{Max}_{0 \leq N < p^n} \Delta(k, p^n, N)$$

is the least  $s$  such that the congruence (3) has a primitive solution for every integer  $N$ .

We shall always take  $t = \frac{p-1}{d}$ , so that  $t$  necessarily divides  $p-1$

and the restriction  $d < \frac{1}{2}(p-1)$  implies that  $t > 2$ .

Now it is well known that the reduced residue classes  $(\text{mod } p^n)$  form a cyclic group of order  $\varphi(p^n) = p^{n-1}(p-1)$ . As is appropriate for work on Waring's Problem, we write  $k$  in the form

$$k = p^\tau dm$$

where here  $p^\tau$  exactly divides  $k$ , so that  $(m, p) = 1 = (m, t)$ . It follows that the values assumed by  $x^k$ , for given  $k$  and arbitrary  $x$ , are the same as those assumed by  $x^{p^\tau d} \pmod{p^{\tau+1}}$ . Thus

$$(4) \quad \Gamma(k, p^{\tau+1}, N) = \Gamma(p^\tau d, p^{\tau+1}, N)$$

and

$$(5) \quad \Delta(k, p^{\tau+1}, N) = \Delta(p^\tau d, p^{\tau+1}, N),$$

whence if  $k = p^\tau dm$ , we can take  $m = 1$  without loss of generality.

Plainly if  $-1$  is a  $k$ th power residue  $(\text{mod } p^{\tau+1})$ , i.e. if  $d$  divides  $\frac{1}{2}(p-1)$ , then  $\Gamma(k, p^{\tau+1}) = \Delta(k, p^{\tau+1})$ , and it is clear that more generally we have

$$(6) \quad \Gamma(k, p^{\tau+1}) \leq \Gamma(k, p^{\tau+1}, -1) \cdot \Delta(k, p^{\tau+1}).$$

Moreover

$$\Gamma(k, p^{\tau+1}, -1) \leq t-1,$$



for by Euler's theorem

$$x^{p(p^{r+1})} - 1 = x^{p^r(p-1)} - 1 \equiv 0 \pmod{p^{r+1}},$$

i.e.

$$(x^{p^r d})^t - 1 \equiv 0 \pmod{p^{r+1}}$$

and so the sum of the  $t$  distinct values of  $x^{p^r d} \pmod{p^{r+1}}$  which are prime to  $p$ ,  $x_1^{p^r d}, \dots, x_t^{p^r d}$ , say, is congruent to 0  $\pmod{p^{r+1}}$ . Hence

$$-1 \equiv (x_1^{-1} x_2)^{p^r d} + \dots + (x_1^{-1} x_t)^{p^r d} \pmod{p^{r+1}},$$

i.e.

$$\Gamma(p^r d, p^{r+1}, -1) \leq t - 1,$$

and the assertion follows from (6), and we deduce that

$$(7) \quad \Gamma(k, p^{r+1}) \leq (t-1) \Delta(k, p^{r+1}).$$

(This estimate can also be established using sums of primitive roots.)

Thus when  $t$  is small we can work with the more tractable number  $\Delta(k, p^{r+1})$  and plainly if  $t$  is less than some absolute constant, then (7) supplies us with a simple and effective estimate for  $\Gamma(k, p^{r+1})$  in terms of  $\Delta(k, p^{r+1})$ . In particular if the prime  $p$  is less than an absolute constant, then certainly so is  $t \leq p - 1$ , and we shall make use of this observation subsequently. If, on the other hand,  $t$  is large, then  $d$  is small and we exploit that this implies that  $\Gamma(k, p)$  is small.

We now proceed to obtain an estimate for  $\Delta(k, p^{r+1})$ . The first result has some similarities with Lemma 2 of [5].

LEMMA 1. Let  $p \geq 5$  and  $k = p^r dm$ . Then there is an integer  $l$  divisible by  $p$  but not by  $p^{r+1}$  such that

$$\Delta(k, p^{r+1}, l) \leq 2[p^{1/2}],$$

where  $[p^{1/2}]$  is the integer part of  $p^{1/2}$ .

Proof. First we show that we can find a  $k$ th power residue  $\pmod{p^{r+1}}$ ,  $R$  say, prime to  $p$  and not congruent to  $\pm 1 \pmod{p^{r+1}}$  such that

$$(8) \quad R \equiv xy^{-1} \pmod{p^{r+1}},$$

where  $(x, y) = 1$ ,  $1 \leq y < p$ ,  $p^{1/2} < |x| \leq p^r$  and  $|x| > y$ . Since  $t > 2$  we can certainly find a  $k$ th power residue  $\pmod{p^{r+1}}$ ,  $R_1$  say, which is prime to  $p$  and not congruent to  $\pm 1 \pmod{p^{r+1}}$ . Now the least positive residues  $\pmod{p^{r+1}}$  of the  $p - 1$  numbers

$$R_1, 2R_1, \dots, (p-1)R_1,$$

say

$$u_1, u_2, \dots, u_{p-1},$$

together with 0 and  $p^{r+1}$  define  $p + 1$  distinct points distributed amongst the  $p$  half-open intervals

$$r(p^r + 1) \leq \xi < (r+1)(p^r + 1), \quad r = 0, 1, \dots, p-1,$$

each of length  $p^r + 1$ . At least one interval contains two such points and so there exist integers  $x_1$  and  $y_1$  say, satisfying

$$1 \leq y_1 \leq p-1, \quad 1 \leq |x_1| \leq p^r$$

with

$$y_1 R_1 \equiv x_1 \pmod{p^{r+1}}.$$

Moreover we can assume without loss of generality that  $|x_1| > y_1$  since otherwise we can simply replace  $R_1$  by  $R_1^{-1}$  in the above. Also  $p$  cannot divide  $y_1$  and  $x_1$  and so we can take  $x_1$  and  $y_1$  to be coprime.

Thus if  $|x_1| > p^{1/2}$ , the integers  $R_1, x_1$  and  $y_1$  fulfill the conditions required for the congruence (8). On the other hand, if  $|x_1| < p^{1/2}$  we can find a positive integer  $f$  such that

$$p^{1/2} < |x_1|^f < p$$

and it is easily verified that  $R_1^f, x_1^f$  and  $y_1^f$  satisfy the conditions required for (8).

The  $([p^{1/2}] + 1)^2$  integers of the form

$$(9) \quad m + nR, \quad 0 \leq m, n < p^{1/2}$$

where  $R$  satisfies (8), are incongruent  $\pmod{p^{r+1}}$ , for if

$$m_1 + n_1 R \equiv m_2 + n_2 R \pmod{p^{r+1}}$$

then

$$x(n_1 - n_2) \equiv y(m_2 - m_1) \pmod{p^{r+1}},$$

i.e. since  $|x(n_1 - n_2) + y(m_1 - m_2)| < p^r \cdot p^{1/2} + (p-1)p^{1/2} < p^{r+1}$ , we have

$$x(n_1 - n_2) = y(m_2 - m_1).$$

But  $(x, y) = 1$  so  $x$  must divide  $m_1 - m_2$  which because  $|m_2 - m_1| < p^{1/2} < |x|$ , implies  $m_1 = m_2$  and consequently that  $n_1 = n_2$ . Now since  $([p^{1/2}] + 1)^2 > p$ , it follows that there are two such integers congruent  $\pmod{p}$  and hence their difference  $l$  say which is representable as  $m - m' + (n - n')R \pmod{p^{r+1}}$  is divisible by  $p$  but not by  $p^{r+1}$ , and

$$\Delta(k, p^{r+1}, l) \leq 2[p^{1/2}],$$

as required.

This result is used to prove

LEMMA 2. Let  $k = p^r dm$  where  $d < \frac{1}{2}(p-1)$  and suppose that  $p$  satisfies

$$1 + 2[p^{1/2}] \leq p^{\delta}$$

where  $\delta > \frac{1}{2}$ . Then

$$\Delta(k, p^{\tau+1}) \leq p^{\delta\tau} \Gamma(k, p).$$

Proof. By (5) it suffices to prove the result for  $\Delta(p^\tau d, p^{\tau+1})$ . Now the inequality is clearly true for  $\tau = 0$ , and we assume inductively that for every natural number  $\sigma < \tau$

$$\Delta(p^\sigma d, p^{\sigma+1}) \leq p^{\delta\sigma} \Gamma(d, p).$$

By the preceding lemma we can find an integer  $l = hp^a$  say where  $p$  does not divide  $h$  and  $1 \leq a \leq \tau$  such that

$$\Delta(p^\tau d, p^{\tau+1}, hp^a) \leq 2[p^{1/2}].$$

Further it follows from the observation that

$$(10) \quad x^{p^\tau} \equiv x^{p^a} \pmod{p^{\tau+1}}$$

for any  $\nu \leq \tau$ , that we can solve non-trivially the congruence

$$\varepsilon_1 x_1^{p^\tau d} + \dots + \varepsilon_s x_s^{p^\tau d} \equiv h^{-1}n \pmod{p^{\tau-a+1}},$$

where as always the coefficients  $\varepsilon_1, \dots, \varepsilon_s$  can take the values  $+1$  or  $-1$ , for any integer  $n$ , providing  $s \geq \Delta(p^{\tau-a}d, p^{\tau-a+1})$ . Hence for all integers  $n$ ,

$$(11) \quad \Delta(p^\tau d, p^{\tau+1}, np^a) \leq \Delta(p^\tau d, p^{\tau+1}, hp^a) \cdot \Delta(p^{\tau-a}d, p^{\tau-a+1}).$$

Also it follows from (10) that for  $s \geq \Delta(p^{\tau-1}d, p^\tau)$ , the congruence

$$\varepsilon_1 x_1^{p^\tau d} + \dots + \varepsilon_s x_s^{p^\tau d} \equiv N \pmod{p^\tau}$$

has a non-trivial solution for every integer  $N$ , i.e.

$$(12) \quad \varepsilon_1 x_1^{p^\tau d} + \dots + \varepsilon_s x_s^{p^\tau d} \equiv N + N'p^\tau \pmod{p^{\tau+1}}$$

where without loss of generality  $p$  does not divide  $N'$ , has a non-trivial solution. We use (11) to get rid of the term  $N'p^\tau$ : indeed putting  $n = N'p^{\tau-a}$  we see that (11) implies we can solve

$$\varepsilon'_1 y_1^{p^\tau d} + \dots + \varepsilon'_s y_s^{p^\tau d} \equiv N'p^\tau \pmod{p^{\tau+1}}$$

if

$$s \geq \Delta(p^\tau d, p^{\tau+1}, hp^a) \cdot \Delta(p^{\tau-a}d, p^{\tau-a+1}).$$

Now from (12) and by the inductive hypothesis, we have

$$\begin{aligned} \Delta(k, p^{\tau+1}) &= \Delta(p^\tau d, p^{\tau+1}) \leq \Delta(p^{\tau-1}d, p^\tau) + \Delta(p^\tau d, p^{\tau+1}, N'p^\tau) \\ &\leq p^{\delta(\tau-1)} \Gamma(d, p) + 2[p^{1/2}] \cdot p^{\delta(\tau-a)} \cdot \Gamma(d, p) \\ &\leq p^{(\tau-1)\delta} (1 + 2[p^{1/2}]) \Gamma(d, p) \\ &\leq p^{\delta\tau} \Gamma(d, p), \end{aligned}$$

providing  $p^\delta \geq 1 + 2[p^{1/2}]$ , and the lemma is proved.

Starting with a  $k$ th power residue  $(\text{mod } p^{\tau+1})$ ,  $R$  say, satisfying (8), we use the addition of residue classes to obtain in a way similar to Lemma 2 of [5], but modified to deal with congruences to a prime power modulus, an estimate for  $\Gamma(k, p^2)$  which is effective when  $d$  is large. However we cannot use the Cauchy-Davenport Theorem to deal with addition of residue classes modulo a prime power and the following modified version is used:

LEMMA 3. Let  $n$  be a positive integer and let  $a_1, \dots, a_l$  be  $l$  distinct residue classes  $(\text{mod } n)$ . Let  $b_1, \dots, b_m$  be  $m$  distinct residue classes  $(\text{mod } n)$ , one of which is 0 and the remainder prime to  $n$ . Then the number of distinct residue classes representable as

$$a_i + b_j, \quad 1 \leq i \leq l, 1 \leq j \leq m,$$

is at least

$$\min(l + m - 1, n).$$

This is due to I. Chowla ([1]) but a more convenient reference is Halberstam and Roth ([6], p. 49, Theorem 15).

LEMMA 4. Let  $k = pdm$ , i.e. let  $\tau = 1$ . Then for  $p > 31$ ,

$$\text{Max}_n \Gamma(k, p^n) = \Gamma(k, p^2) < 54 p^{6/5}.$$

Proof. By the first part of Lemma 1 we know that there exists a  $k$ th power residue  $(\text{mod } p^2)$ ,  $R$  say, such that  $R$  is prime to  $p$  and not congruent to  $\pm 1 \pmod{p^2}$  and such that

$$R \equiv xy^{-1} \pmod{p^2}$$

where  $1 \leq y < p$ ,  $y < |x| < p$ ,  $(x, y) = 1$ .

We consider three separate cases:

$$(i) p^{4/5} < |x| < p, \quad (ii) p^{2/5} < |x| < p^{4/5}, \quad (iii) 1 < |x| < p^{2/5}.$$

It is straightforwardly verified along the lines of the preceding lemma or as in the case 1 of [5], Lemma 2, p. 150, that in case (i), the numbers of the form

$$m + nR, \quad 0 \leq m, n < \frac{1}{2}p^{4/5}$$

generate at least  $\frac{1}{2}p^{8/5}$  integers which are incongruent  $(\text{mod } p^2)$ . Moreover each of these numbers is a sum of at most  $p^{4/5}$   $k$ th powers  $(\text{mod } p^2)$  of which at least  $\frac{1}{2}p^{8/5} - p$  are prime to  $p$ . Hence by Lemma 3 the expression

$$m_1 + n_1 R + \dots + m_r + n_r R, \quad 0 \leq m_i, n_i < \frac{1}{2}p^{4/5} \quad (1 \leq i \leq r),$$

of at most  $r \cdot p^{4/5}$   $k$ th powers  $(\text{mod } p^2)$  represents at least

$$\min(\frac{1}{2}r p^{8/5} - (r-1)p, p^2)$$

distinct residue classes  $(\text{mod } p^2)$ . Therefore, provided  $p > 31$ ,

$$\Gamma(k, p^2) < 8p^{2/5} p^{4/5} = 8p^{6/5}.$$

In case (ii) we consider, as in case 2 of [5], Lemma 2, p. 150, numbers of the form

$$l + mR + nR^2, \quad 0 \leq l, m, n < \frac{1}{3}p^{2/5},$$

and it is straightforward to verify these generate at least  $\frac{1}{27}p^{6/5}$  numbers which are incongruent (mod  $p^2$ ). Each number is a sum of at most  $p^{2/5}$   $k$ th powers (mod  $p^2$ ) of which at least  $\frac{1}{27}p^{6/5} - p$  are prime to  $p$ . Then as in case (i), repeated application of Lemma 3 gives us that in this case

$$\Gamma(k, p^2) < 54p^{6/5}$$

for  $p > 31$ .

In the remaining case where  $1 < |x| < p^{2/5}$  we adopt a device similar to that employed in case 3 of Lemma 2 in [5], and we choose an integer  $f$  such that

$$p^{4/5} < |x|^f < p^{6/5}$$

and observe that  $R^f$  is a  $k$ th power (mod  $p^2$ ) and that

$$R^f \equiv x^f y^{-f} \pmod{p^2}$$

where  $1 < y^f < |x|^f < p$  and  $(x^f, y^f) = 1$ . Then it is readily checked that the numbers

$$m + nR^f, \quad 0 \leq m, n < \frac{1}{2}p^{4/5},$$

generate at least  $\frac{1}{4}p^{8/5}$  distinct residues (mod  $p^2$ ), each of which is a sum of less than  $p^{4/5}$   $k$ th powers (mod  $p^2$ ). Also at least  $\frac{1}{4}p^{8/5} - p$  of these numbers are prime to  $p$ . Thus, as in case (i), repeated application of Lemma 3 leads us to the conclusion that

$$\Gamma(k, p^2) < 8p^{6/5}$$

for  $p > 31$  and the lemma is proved.

LEMMA 5. If  $p \geq 7$  and  $d < \frac{1}{2}(p-1)$ , then for  $k$  sufficiently large

$$\Delta(k, p^{\tau+1}) < k^{\frac{7}{8}-\eta}$$

where  $\eta$  is a small enough positive number.

Proof. Since  $7^7 > 5^8$  and  $3^8 \cdot 11^3 > 7^8$ , and since for  $p \geq 11$ ,

$$p^{3/8} \geq 11^{3/8} > \frac{7}{3} = 2 + \frac{1}{3} > 2 + \frac{1}{p^{1/2}},$$

we have

$$p^{\frac{7}{8}-\eta} > 1 + 2 [p^{1/2}]$$

for all  $p \geq 7$ , provided  $\eta > 0$  is small enough.

Now it is straightforward to verify from [5], Theorems 1 and 2, that for  $d \geq d_0$ , where  $d_0$  is some constant depending only on  $\eta$ , that

$$\Gamma(d, p) < d^{\frac{7}{8}-\eta}.$$

Therefore choosing  $\delta = \frac{7}{8} - \eta$  in Lemma 2, we have that

$$\Delta(k, p^{\tau+1}) \leq p^{\left(\frac{7}{8}-\eta\right)\tau} d^{\frac{7}{8}-\eta} = k^{\frac{7}{8}-\eta}$$

providing  $d \geq d_0$ . Otherwise when  $d < d_0$ , we know that  $d < \frac{1}{2}(p-1)$  implies  $\Gamma(d, p) \leq d$  ([8], p. 533, Theorem 4), whence

$$\Delta(k, p^{\tau+1}) \leq p^{\left(\frac{7}{8}-\eta\right)\tau} d < p^{\left(\frac{7}{8}-\eta\right)\tau} d_0 < k^{\left(\frac{7}{8}-\eta\right)\tau}$$

for  $k$  sufficiently large, which completes the proof.

The following lemma is needed because the previous one fails to deal with  $p = 5$ .

LEMMA 6. If  $k$  is odd and  $5^r$  exactly divides  $k$ , then

$$\Gamma(k, 5^{\tau+1}) < 50k^{1/2}.$$

Proof. The hypothesis that  $k$  is odd ensures that  $d = (k, 5-1) = 1 < \frac{5-1}{2}$ , so that  $\Gamma(k, 5) = 2$ . Thus without loss of generality, we can take  $\tau = \gamma - 1 \geq 1$ . Let  $g$  be a primitive root (mod  $5^{\tau+1}$ ) and write

$$R = g^k$$

so that  $R$  is a  $k$ th power (mod  $5^{\tau+1}$ ) which is not congruent to  $+1$  or  $-1$  or divisible by 5. Also

$$R^2 = g^{2k} \equiv -1 \pmod{5^{\tau+1}}$$

since  $4 \cdot 5^r$  divides  $4k$ . The numbers

$$(13) \quad m + nR, \quad 0 \leq m, n < \frac{1}{2}5^{(\tau+1)/2}$$

generate  $([\frac{1}{2}5^{(\tau+1)/2}] + 1)^2 > \frac{1}{4}5^{\tau+1}$  integers which are all incongruent (mod  $5^{\tau+1}$ ). For if  $m + nR \equiv m' + n'R \pmod{5^{\tau+1}}$  then we would have

$$(m - m')^2 + (n - n')^2 \equiv 0 \pmod{5^{\tau+1}},$$

whence, because the left hand side of the last congruence is at most  $\frac{1}{2}5^{\tau+1}$ ,  $m = m'$  and  $n = n'$ .

Moreover each of the numbers (13) is a sum of at most  $5^{(\tau+1)/2}$   $k$ th powers (mod  $5^{\tau+1}$ ) and at least  $\frac{1}{4}5^{\tau+1} - 5 = \frac{1}{4}5^r$  of them are prime to 5. It follows from repeated application of Lemma 3 that

$$\Gamma(k, 5^{\tau+1}) < 20 \cdot 5^{(\tau+1)/2} < 50 \cdot 5^{r/2} \leq 50 \cdot k^{1/2},$$

as desired.

LEMMA 7. Let  $k = p^\tau dm$  where  $d < p^{2/5}$ . Then

$$\Gamma(k, p^{\tau+1}) \leq \text{Max}(10 \cdot 12^\tau, 10 \Delta(k, p^{\tau+1})).$$

Proof. It can be verified readily by using standard exponential sum techniques (see for example [4], § 2.4) that if  $d < p^{2/5}$  then  $\Gamma(k, p) \leq 10$ , whence in particular  $\Gamma(k, p, -1) \leq 10$ , or equivalently

$$(14) \quad -1 = y_1^k + \dots + y_{10}^k + p^g \cdot e$$

where  $g \geq 1$ ,  $p$  does not divide  $e$  and not all of the integers  $y_1, \dots, y_{10}$  are divisible by  $p$ .

Now if  $g \geq \tau + 1$  it follows by very definition that

$$\Gamma(k, p^{\tau+1}, -1) \leq 10$$

so (6) implies

$$\Gamma(k, p^{\tau+1}) \leq 10 \cdot \Delta(k, p^{\tau+1})$$

as required.

So suppose  $1 \leq g \leq \tau$ . We use an inductive argument similar to that of Lemma 2, and we assume inductively that for each non-negative  $\sigma < \tau$

$$(15) \quad \Gamma(k, p^{\sigma+1}) \leq 12^\sigma \Gamma(k, p) \leq 12^\sigma \cdot 10,$$

an assumption which certainly holds for  $\sigma = 0$ . A trivial rearrangement of (14) gives us that

$$y_1^k + \dots + y_{11}^k = e \cdot p^g$$

where  $1 \leq g \leq \tau$ ,  $p$  does not divide  $e$  and not all the variables  $y_1, \dots, y_{11}$  are divisible by  $p$ . By definition the congruence

$$z_1^k + \dots + z_\nu^k \equiv e^{-1} n \pmod{p^{\tau-\sigma+1}}$$

has a primitive solution for every integer  $n$ , where  $\nu = \Gamma(k, p^{\tau-\sigma+1})$ . Thus on multiplying by  $ep^g$  it follows that for every integer  $n$

$$\Gamma(k, p^{\tau+1}, np^g) \leq 11 \cdot \Gamma(k, p^{\tau-\sigma+1}),$$

whence, as in Lemma 2 and by the inductive hypothesis (15),

$$\begin{aligned} \Gamma(k, p^{\tau+1}) &\leq \Gamma(k, p^\tau) + \text{Max}_n \Gamma(k, p^{\tau+1}, np^g) \\ &\leq \Gamma(k, p^\tau) + 11 \cdot \Gamma(k, p^{\tau-\sigma+1}) \\ &\leq 12^{\tau-1} \Gamma(k, p) + 11 \cdot 12^{\tau-g} \Gamma(k, p) \\ &\leq 12^\tau \Gamma(k, p) \leq 12^\tau \cdot 10, \end{aligned}$$

and the induction is established.

The lemma follows on combining the two estimates for  $\Gamma(k, p^{\tau+1})$ .

We now use these lemmas to obtain the

THEOREM. Let  $d = (k, p-1) < \frac{1}{2}(p-1)$ . Then provided  $k$  is large enough,

$$\Gamma(k, p^n) < k^{7/8}$$

for all  $n \geq 1$ .

Proof. It suffices to establish the inequality for  $n = \gamma = \tau + 1$  where  $p^\tau$  exactly divides  $k$  and in view of [5], p. 166, Theorem 2, we need only consider the case  $\tau \geq 1$ . Also by Lemma 6, we can take  $p \geq 7$ . We consider various cases and begin by taking  $d$  large.

First suppose  $\tau = 1$ ,  $p > 31$  and  $d > p^{2/5}$  so that  $t < 2p^{3/5}$ . Then by Lemma 4,

$$\Gamma(k, p^2) < 54 p^{6/5} < 54 (p^{2/5+1})^{(7-\eta)}$$

providing  $\eta$  is small enough, and hence

$$\Gamma(k, p^2) < 54 (pd)^{7/8-\eta} < k^{7/8}$$

for  $k$  sufficiently large.

Next suppose  $\tau = 1$ ,  $d > p^{2/5}$  and  $7 \leq p \leq 31$ . Then  $t < 2p^{3/5} < 16$  and so by (7) and Lemma 5,

$$\Gamma(k, p^2) < 15 \cdot \Delta(k, p^2) < 15 \cdot k^{7/8-\eta} < k^{7/8}$$

for  $k$  sufficiently large.

We continue to take  $d > p^{2/5}$  but now suppose that  $\tau \geq 2$ . Then (providing  $\eta < \frac{1}{40}$ )  $\frac{3}{5} + \frac{11}{20}\tau < (\frac{7}{8}-\eta)\tau$ , and it is readily verified that if  $p > 2^{21}$  we have

$$p^{11/20} > 1 + 2 [p^{1/2}].$$

It follows from Lemma 2 with  $\delta = 11/20$  that

$$\Delta(k, p^{\tau+1}) \leq p^{(11/20)\tau} \Gamma(k, p)$$

and combining this inequality with (7) we get that

$$\Gamma(k, p^{\tau+1}) < 2p^{3/5} \cdot p^{(11/20)\tau} \cdot \Gamma(k, p) < 2(p^\tau d)^{7/8-\eta} < k^{7/8}$$

for  $k$  sufficiently large. As above the result when  $p < 2^{21}$  is an immediate consequence of (7) and Lemma 5: if  $7 \leq p \leq 2^{21}$ , then

$$\Gamma(k, p^{\tau+1}) < 2^{21} \cdot k^{7/8-\eta} < k^{7/8}$$

for  $k$  large enough.

There remains the case  $\tau \geq 1$  and  $d < p^{2/5}$ , when Lemma 7 applies and so, providing  $p > 12^{6/7}$ ,

$$\Gamma(k, p^{\tau+1}) \leq \text{Max}(10 \cdot p^{(7/8-\eta)\tau}, 10 \cdot \Delta(k, p^\tau)) < k^{7/8}$$

since  $k$  is sufficiently large.

Clearly as before, the inequality also holds for  $p < 12^{9/7}$ . All the various possibilities have now been exhausted and so the proof is complete.

It is evident that any improvement in the estimate for  $\Gamma(k, p)$  would lead to a corresponding improvement in  $\Gamma(k, p^{r+1})$ , and in fact the exponent can be reduced slightly ([5], p. 166, Theorem 2) but we retain  $\frac{7}{8}$  for simplicity as we are probably far from the final answer.

In conclusion, we define the familiar number  $\Gamma(k)$  by

$$\Gamma(k) = \text{Max}_p \Gamma(k, p^n)$$

where the maximum is taken over all primes  $p$ , so that  $\Gamma(k)$  is, as is well known, the least  $s$  such that the congruence (2) has a primitive solution for all integers  $N$  and all prime powers  $p^n$ . Hardy and Littlewood have shown that  $\Gamma(k) \leq 4k$  for all  $k$  ([7], p. 186, Theorem 12) and further that  $\Gamma(k) \leq k$  unless  $k$  belongs to certain special classes ([8], p. 533, Theorem 4), while I. Chowla ([2], p. 97, Theorem 1) proved that  $\Gamma(q) \leq 2 \lfloor q/3 \rfloor + 2$  for an infinity of primes  $q$ . Our results above enable us to show that  $\Gamma(q) < q^{7/8}$  for an infinity of primes  $q$  by exhibiting infinitely many primes  $q$  which are not of the form  $\frac{1}{2}(p-1)$  for any prime  $p > 3$ .

Indeed suppose the prime  $q$  is of the form  $q = 1 + 3l$  where  $l \geq 2$ , and suppose also that

$$q = \frac{p-1}{2}$$

for some prime  $p > 3$ . Then  $p = 2q + 1 \equiv 0 \pmod{3}$  contradicting the choice of  $p$  as a prime. Now in view of the trivial estimates  $\Gamma(k, 2) \leq 2$  and  $\Gamma(k, 3) \leq 3$  and of the above theorem, we have for  $q$  sufficiently large and for every positive integer  $n$ , that

$$\Gamma(q, p^n) < q^{7/8}$$

for all primes  $p$ , whence by definition

$$\Gamma(q) = \text{Max}_{\text{primes } p} \Gamma(q, p^n) < q^{7/8}.$$

But by Dirichlet's Theorem on primes in arithmetic progression, we know that there are infinitely many primes  $p \equiv 1 \pmod{3}$ , and so the assertion is established.

It can be proved in a similar fashion that

$$\Gamma(k) < k^{7/8}$$

for an infinity of even  $k$ . Here we take  $q$  to be a prime congruent to 1 (mod 15) and  $k = 2q$  and suppose that for some prime  $p > 5$ ,

$$k = 2q = \frac{p-1}{2}n,$$

so that  $q = (p-1)/2$  or  $2q = (p-1)/2$ , i.e.  $p = 2q+1$  or  $p = 4q+1$ . Hence either  $p \equiv 0 \pmod{3}$  or  $p \equiv 0 \pmod{5}$  respectively contradicting the choice of  $p$  as a prime and it follows that  $k$  is not divisible by  $\frac{1}{2}(p-1)$  for any prime  $p > 5$ . The trivial estimate  $\Gamma(k, 5) \leq 5$  together with the theorem above and the definition of  $\Gamma(k)$  gives us as before that for  $q$  sufficiently large,

$$\Gamma(2q) < (2q)^{7/8}$$

where  $q$  is a prime congruent to 1 (mod 15). Since there are infinitely many such primes we conclude that  $\Gamma(k) < k^{7/8}$  for an infinity of even  $k$ .

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(237)