

ninth powers. Hence by Lemma 30, all in  $K$  are represented by [6, 54]. Thus all in  $(E, E + 2 \cdot 2^n)$  are represented by [7, 54]. The values of  $G_3, \dots, G_{10}$  are 38, 13, 7, 5, 4, 3, 2, 2. Thus the  $R_i$  are 0, 1, 1, 0, 1, 0, 0 and the  $Q_i$  are 19, 6, 3, 2, 2, 1, 1, 1. Hence by Lemma 6 with  $m=10$ , all in  $(E, L_0 = E + 10^9)$  are represented by [14, 89]. Here  $F_0 = [36, 256]$ . We may take  $k = 86$  (since  $k_0 = 56$  and  $3k - 2 = 256$ ),  $z = .0000105$ . We get  $-\log b_4 < 3.363842 n^3$ ,  $\log C_1 < .4890613 n^3$ ,  $\log C_1/c < 3.4347593 n^3$ ,  $-J = .0241104$ ,  $\log P \geq 15.82892 n^4$ ,  $\log N \geq 15.8290 n^3$ . The final factor in (10) is .1107101. Hence  $t + T \geq 136$ . Take  $T = 22$ ,  $t = 114$ . Then  $[T + 14, t + 89] = [36, 203] < F_0$ .

In Lemma 8,  $f = g = 1$ ,  $d = 2$ , and all in  $(2^n q, 2^n q + 2^n)$  are represented by [3, 141]. By Lemma 6 with  $m = 7$ ,  $E = 2^n q$ , all  $(2^n q, 2^n q + 7^n)$  are represented by [8, 173] and hence by  $F_0$ .

This proves Theorem 2. The proof of Theorem 4 is omitted.

16. For  $a \leq n$ ,  $n \geq 11$ , we get  $\log N \geq .5335973 n^3$ .

17. **Case**  $a = 4$ . We take  $Y = y = 0$  since (25) and (28) are then positive. Take  $m = n + 3$ . We omit the final term in Lemma 27. In (29), we decrease each  $R_i$  to 0 and get  $E' = 4Z + \sum G_i + 37$ .

When  $n = 11$ , we get  $Z = 151$ ,  $X < 93$ ,  $E' < 734$ . The limits (32) are .35840 and .59546. But  $d(11)$  lies between them.

When  $n = 12$ ,  $Z = 171$ ,  $X = 110$ ,  $E' = 831$ . The limits (32) are .20288 and .76349. But  $d(12)$  and  $d(13)$  lie between them.

When  $n = 14$ ,  $Z = 213$ ,  $\sum_4 G_i = 130$ ,  $\sum_3 R_i = 25$ , and (29) gives  $E = 994$ ,

The limits (32) are .060669 and .921025. For  $15 \leq n \leq 28$ ,  $d(n)$  lies between them. The same holds for  $n \geq 29$  and the new limits.

Let  $n = 14$ . For  $E = 5^n + 5 \cdot 6^n + 7^n$  all integers in  $K = (E, E + 2^n)$  are sums of  $1079 + 7$  14-th powers.<sup>10)</sup> Hence by Lemma 30, all in  $K$  are represented by [19, 271]. Hence all in  $(E, E + a \cdot 2^n)$  are represented by [22, 271]. Then by Lemma 6, all in  $(E, E + 17^{14})$  are represented by [64, 370]. Since  $v = 1/n$  to the sixth decimal place, we may use  $Z = 213$  as above. Take  $T = 0$ . Then  $[T + 64, 370 + t] = [64, 583] \leq [64, 4151]$ , viz., (23) for  $Y = y = 2$ . By using an interval longer than  $2^n$  and the paper cited, we reach (72) and hence retain  $Y = 0$ .

(Received 5 August, 1936.)

## On Waring's problem for fourth and higher powers.

By

T. Estermann (London).

### 1. Introduction.

Let  $k$  be a positive integer. Then  $G(k)$  denotes the least number  $s$  such that every sufficiently large integer is a sum of  $s$   $k$ -th powers (of positive integers). This notation was introduced by Hardy and Littlewood<sup>1)</sup> and is now generally accepted. In my paper "Proof that every large integer is a sum of seventeen biquadrates"<sup>2)</sup>, hereafter quoted as I, I proved (simultaneously with Davenport and Heilbronn<sup>3)</sup>) that  $G(4) \leq 17$ , and conjectured that the same method could be applied to the case  $k > 4$  with the following result:

Let

$$(1) \quad m_0 = \left[ \frac{(k-2) \log 2 + \log(k-2) - \log k}{\log k - \log(k-1)} \right]$$

and

$$(2) \quad s = 2m_0 + 7 + [2^{k-1}(k-2)(1-k^{-1})^{m_0+1}],$$

where  $[x]$  denotes the integral part of  $x$ . Then

$$(3) \quad G(k) \leq s.$$

The object of the present paper is to prove this conjecture. When  $k = 4$ , it follows from (1) and (2) that  $s = 17$ , so that (3) is

<sup>10)</sup> Dickson, Monatshefte Math. Phys., vol. 43 (1936), p. 393, tablette A = 0.

<sup>1)</sup> Some problems of partitio numerorum I, Göttinger Nachrichten (1920), 33–54.

<sup>2)</sup> Proc. London Math. Soc. (2), 41 (1936), 126–142.

<sup>3)</sup> Ibid. 143–150.

true in this case, but it is no easier to prove (3) for  $k > 4$  than on the wider assumption

$$(4) \quad k \geq 4,$$

which will be made throughout this paper.

2. Notation.

In what follows,  $h, m,$  and  $r$  are integers;  $l, n, q,$  and  $v$  are positive integers;  $t, x, y, \theta,$  and  $\vartheta$  are real numbers;  $u$  is a number greater than 1.

$\delta$  is a sufficiently small positive number, depending only on  $k$ . The precise meaning of "sufficiently small" will become clear from the context.

$\varepsilon$  is, as usual, a positive number which may be taken as small as we please.

$B_1, B_2, \dots, C_1, C_2,$  are suitable (sufficiently large) positive numbers, the  $B$ 's depending at most on  $k$ , the  $C$ 's at most on  $k$  and  $\varepsilon$ .

3. In order to prove (3), it is sufficient to show that, if  $n$  is large enough, then the equation

$$(5) \quad \sum_{v=1}^s r_v^k = n$$

has at least one solution in positive integers  $r_1, r_2, \dots, r_s$ . To this end, I shall obtain an asymptotic formula for the number of those solutions of (5) which satisfy the inequalities

$$(6) \quad n^{1/k-\delta} \leq r_v < n^{1/k} \quad (v = 1, 2),$$

$$(7) \quad \frac{1}{2} u_m \leq r_v < u_m \quad (v = 2m + 1, 2m + 2; m = 1, 2, \dots, m_0),$$

and

$$(8) \quad r_v < u_{m_v+1} \quad (v = 2m_0 + 3, 2m_0 + 4, 2m_0 + 5, 2m_0 + 6),$$

where

$$(9) \quad u_m = n^{k^{-1}(1-k^{-1})m},$$

These inequalities do not restrict  $r_v$  for  $2m_0 + 7 \leq v \leq s$ , but (5) clearly implies

$$(10) \quad r_v < n^{1/k}.$$

4. I put (cf. I, 1)

$$(11) \quad \xi_q = e^{2\pi i/q},$$



$$(12) \quad f(\theta, u) = \sum_{v < u} e^{2\pi i(\theta v)^k},$$

$$(13) \quad g(\vartheta, u) = \int_0^u e^{2\pi i \vartheta y^k} dy,$$

$$(14) \quad S_{h,q} = \sum_{v=1}^q \xi_q^{hv^k},$$

$$(15) \quad A_q = q^{-s} \sum_h S_{h,q}^s \xi_q^{-nh},$$

where the accent stands for the two conditions  $0 < h \leq q$  and

$$(16) \quad (h, q) = 1,$$

the latter of which will be assumed throughout this paper, and

$$(17) \quad S = \sum_{q=1}^{\infty} A_q.$$

5. We have

$$(18) \quad |S_{h,q}| \leq B_1 q^{1-1/k}$$

(Hardy and Littlewood<sup>4</sup>), Lemma 3), and it easily follows from (13) that

$$(19) \quad |g(\vartheta, u)| \leq \min(u, B_2 |\vartheta|^{-1/k}) \leq B_3 (u^{-k} + |\vartheta|)^{-1/k}.$$

6. If

$$(20) \quad \theta = h/q + \vartheta$$

and

$$(21) \quad q \leq u^{1-\varepsilon}, \quad |\vartheta| \leq q^{-1} u^{1-k-\varepsilon},$$

then

$$(22) \quad |f(\theta, u) - S_{h,q} q^{-1} g(\vartheta, u)| \leq C_1 q^{1-2^{1-k}+\varepsilon}.$$

This is a straightforward generalization of I, (2, 71).

7. If  $u$  and  $\theta$  are such that the conditions (16), (20), and

$$(23) \quad q \leq u^{2^{1-k}k}, \quad |\vartheta| \leq q^{-1} u^{-k+2^{1-k}k}$$

are not simultaneously satisfied by any values of  $h, q,$  and  $\vartheta,$  then

<sup>4</sup> Some problems of partitio numerorum IV, Math. Zeitschrift, 12 (1922), 161-188.

$$(24) \quad |f(\theta, u)| \leq C_2 u^{1-2^{1-k}+\epsilon}.$$

Proof. It is known that, corresponding to any  $y \geq 1$ , there exist numbers  $h, q$ , and  $\vartheta$  such that

$$\theta = h/q + \vartheta, \quad (h, q) = 1, \quad q \leq y, \quad \text{and} \quad |\vartheta| \leq (qy)^{-1}.$$

In particular, we can choose  $h, q$ , and  $\vartheta$  so that (20) and (16) hold and

$$(25) \quad q \leq u^{k-1+\epsilon}, \quad |\vartheta| \leq q^{-1} u^{1-k-\epsilon}.$$

Then, since (23) does not hold, at least one of the following three conditions is satisfied:

$$(i) \quad u^{1-\epsilon} < q \leq u^{k-1+\epsilon},$$

$$(ii) \quad u^{2^{1-k}} < q \leq u^{1-\epsilon},$$

$$(iii) \quad q \leq u^{1-\epsilon}, \quad |\vartheta| > q^{-1} u^{-k+2^{1-k}h}.$$

Suppose, first, that (i) holds. Then, putting

$$(26) \quad s_\nu = \sum_{m=1}^{\nu} \xi_q^{hm^k},$$

and defining  $\lambda$  as the greatest integer less than  $u$ , we have, by (12), (20), and (11),

$$\begin{aligned} f(\theta, u) &= \sum_{r=1}^{\lambda} \xi_q^{hr^k} e^{2\pi i \vartheta r^k} = s_1 e^{2\pi i \vartheta} + \sum_{r=2}^{\lambda} (s_r - s_{r-1}) e^{2\pi i \vartheta r^k} \\ &= \sum_{\nu=1}^{\lambda-1} s_\nu (e^{2\pi i \vartheta \nu^k} - e^{2\pi i \vartheta (\nu+1)^k}) + s_\lambda e^{2\pi i \vartheta \lambda^k}, \end{aligned}$$

so that

$$(27) \quad |f(\theta, u)| \leq \sum_{\nu=1}^{\lambda-1} |s_\nu| 2\pi |\vartheta| \{(\nu+1)^k - \nu^k\} + |s_\lambda|.$$

Now, by (26), (11), (16), and Weyl's inequality<sup>5)</sup>,

$$|s_\nu|^{2^{k-1}} \leq C_3 q^\epsilon \nu^\epsilon (\nu^{2^{k-1}} - 1 + \nu^{2^{k-1}-k} q + \nu^{2^{k-1}} q^{-1}).$$

Hence, by (i),

$$|s_\nu| \leq C_4 u^{1-2^{1-k}+\epsilon} \quad (\nu < u),$$

and, by (25) and (i),  $|\vartheta| < u^{-k}$ . Hence, by (27) and the definition of  $\lambda$ ,

$$\begin{aligned} |f(\theta, u)| &\leq C_4 u^{1-2^{1-k}+\epsilon} \left( 2\pi u^{-k} \sum_{\nu=1}^{\lambda-1} \{(\nu+1)^k - \nu^k\} + 1 \right) \\ &< C_4 u^{1-2^{1-k}+\epsilon} (2\pi + 1), \end{aligned}$$

which implies (24).

Next suppose that (ii) holds. Then, by 6, (18), and (19),

$$|f(\theta, u)| \leq B_1 q^{-1/k} u + C_1 q^{1-2^{1-k}+\epsilon},$$

which, together with (ii), proves (24).

Finally suppose that (iii) holds. Then, by 6, (18), and (19),

$$|f(\theta, u)| \leq B_1 q^{-1/k} B_2 |\vartheta|^{-1/k} + C_1 q^{1-2^{1-k}+\epsilon},$$

which, together with (iii), again proves (24).

8. On the other hand, if (16), (20), and (23) are satisfied, then

$$(28) \quad |f(\theta, u)| \leq B_4 q^{-1/k} (u^{-k} + |\vartheta|)^{-1/k}.$$

This follows easily from 6, (18), and (19).

9. I put

$$(29) \quad f_0(\theta) = f(\theta, n^{1/k}) - f(\theta, n^{1/k-\nu}),$$

$$(30) \quad f_m(\theta) = f(\theta, u_m) - f(\theta, \frac{1}{2} u_m) \quad (m \geq 1),$$

$$(31) \quad F_1(\theta) = f^2(\theta, u_{m_r+1}) \prod_{m=1}^{m_r} f_m(\theta),$$

$$(32) \quad F_2(\theta) = f_0(\theta) F_1(\theta),$$

and

$$(33) \quad F_3(\theta) = F_2^2(\theta) f^{s-2m_r-6}(\theta, n^{1/k}).$$

Then, by (12),

$$(34) \quad F_3(\theta) = \sum_{r=1}^{sH} a_r e^{2\pi i f_r \theta},$$

where  $a_r$  is the number of solutions of the equation

$$\sum_{\nu=1}^s r_\nu^k = r$$

<sup>5)</sup> See, e. g., Landau, Vorlesungen über Zahlentheorie, 1 (Leipzig, 1927), Theorem 267.

in positive integers  $r_1, r_2, \dots, r_s$  satisfying the inequalities (6), (7), (8), and (10). Hence (cf. 3) it is sufficient to show that, if  $n$  is large enough, then  $a_n \neq 0$ .

10. By (12), (29), (30), (31), and (32),

$$(35) \quad F_2(\theta) = \sum_{r=1}^{sn} b_r e^{2\pi i \theta r},$$

where  $b_r$  is the number of solutions of the equation

$$\sum_{m=0}^{m_0+2} r_m^k = r$$

in positive integers  $r_0, r_1, \dots, r_{m_0+2}$  satisfying the inequalities

$$n^{1/k-\delta} \leq r_0 < n^{1/k},$$

$$\frac{1}{2} u_m \leq r_m < u_m \quad (m = 1, 2, \dots, m_0),$$

and

$$r_m < u_{m_0+1} \quad (m = m_0 + 1, m_0 + 2).$$

It easily follows (cf. I, (3.14)) that

$$0 \leq b_r \leq C_5 n^{(k-1)\delta+\epsilon},$$

which implies

$$0 \leq b_r \leq B_5 n^{k\delta}.$$

From this and (35) it follows that

$$(36) \quad \int_0^1 |F_2(\theta)|^2 d\theta = \sum_{r=1}^{sn} b_r^2 \leq B_5 n^{k\delta} \sum_{r=1}^{sn} b_r = B_5 n^{k\delta} F_2(0).$$

Now, by (12),

$$(37) \quad |f(\theta, u)| < u,$$

and, by (12), (29), and (30),

$$(38) \quad |f_m(\theta)| < u_m \quad (m = 0, 1, \dots),$$

where  $u_0 = n^{1/k}$ , so that (9) holds also for  $m=0$ . Hence, by (31) and (32),

$$(39) \quad |F_2(\theta)| < n_{m_0+1}^2 \prod_{m=0}^{m_0} u_m.$$

Putting

$$(40) \quad \alpha = 2k^{-1}(1-k^{-1})^{m_0+1} + k^{-1} \sum_{m=0}^{m_0} (1-k^{-1})^m,$$

so that

$$(41) \quad \alpha = 1 - (1-2k^{-1})(1-k^{-1})^{m_0+1},$$

we have, by (39) and (9),

$$|F_2(\theta)| < n^\alpha,$$

and hence, by (36),

$$(42) \quad \int_0^1 |F_2(\theta)|^2 d\theta < B_5 n^{\alpha+k\delta}.$$

11. Let  $E$  denote the set of those numbers  $\theta$  between 0 and 1 for which the conditions (20), (16), and

$$(43) \quad q \leq n^{2^{1-k}}, \quad |\vartheta| \leq q^{-1} n^{-1+2^{1-k}}$$

are not satisfied by any values of  $h, q$ , and  $\vartheta$ . Then, by (34),

$$(44) \quad a_n = \int_{t_0/n}^{1+t_0/n} F_3(\theta) e^{-2\pi i \theta n} d\theta \\ = \int_E F_3(\theta) e^{-2\pi i \theta n} d\theta + \sum_{q \leq t_0} \sum_h' \int_{h/q-\vartheta_0}^{h/q+\vartheta_0} F_3(\theta) e^{-2\pi i \theta n} d\theta,$$

where

$$t_0 = n^{2^{1-k}}, \quad \vartheta_0 = q^{-1} n^{-1+2^{1-k}} = t_0 (qn)^{-1}$$

(for the meaning of the accent see 4). Also, by 7,

$$|f(\theta, n^{1/k})| \leq C_2 n^{k^{-1}(1-2^{1-k+\epsilon})} \quad (\theta \in E),$$

so that, by (33) and (42),

$$\left| \int_E F_3(\theta) e^{-2\pi i \theta n} d\theta \right| \leq C_6 n^{(s-2m_0-6)k^{-1}(1-2^{1-k+\epsilon})+\alpha+k\delta},$$

which implies

$$(45) \quad \left| \int_E F_3(\theta) e^{-2\pi i \theta n} d\theta \right| \leq B_6 n^{(s-2m_0-6)k^{-1}(1-2^{1-k})+\alpha+2k\delta},$$

Now let

$$(46) \quad \beta = 2\alpha - 1 + (s - 2m_0 - 6)/k.$$

Then, by (41) and (2),

$$(s - 2m_0 - 6)k^{-1}(1 - 2^{1-k}) + \alpha < \beta,$$

and so, since  $\delta$  is „sufficiently“ small, it follows from (45) that

$$(47) \quad \left| \int_E F_3(\theta) e^{-2\pi i \theta n} d\theta \right| \leq B_0 n^{\beta - \delta}.$$

12. Putting

$$(48) \quad J(h, q) = \int_{h/q - \theta_0}^{h/q + \theta_0} F_3(\theta) e^{-2\pi i \theta n} d\theta,$$

we have, by (44) and (47),

$$(49) \quad \left| a_n - \sum_{q \leq I_0} \sum'_h J(h, q) \right| \leq B_0 n^{\beta - \delta}.$$

On the assumption that (20) and (43) hold, we have, by 8,

$$(50) \quad |f(\theta, n^{1/k})| \leq B_4 q^{-1/k} (n^{-1} + |\theta|)^{-1/k},$$

and it easily follows from 6, (18), and (19) that

$$|f(\theta, n^{1/k-1})| \leq B_7 q^{-1/k} (n^{-1} + |\theta|)^{-1/k},$$

so that, by (29),

$$(51) \quad |f_0(\theta)| \leq (B_4 + B_7) q^{-1/k} (n^{-1} + |\theta|)^{-1/k}.$$

From this and (50) it follows that

$$(52) \quad |f_0^2(\theta) f^{s-2m_0-6}(\theta, n^{1/k})| \leq B_8 \{q(n^{-1} + |\theta|)\}^{-(s-2m_0-4)/k}.$$

Now, by (31), (37), (38), (9), and (40),

$$(53) \quad |F_1(\theta)| < u_{m_0+1}^2 \prod_{m=1}^{m_0} u_m = n^{\alpha-1/k},$$

and hence, by (33), (32), and (52),

$$(54) \quad |F_3(\theta)| < B_8 n^{2\alpha-2/k} \{q(n^{-1} + |\theta|)\}^{-(s-2m_0-4)/k}.$$

13. By (2),

$$s - 2m_0 - 4 > 2 + 2^{k-1}(k-2)(1-k^{-1})^{m_0+1},$$

and, by (1),

$$(1 - k^{-1})^{m_0} \geq 2^{2-k} k / (k - 2).$$

Hence

$$(55) \quad s - 2m_0 - 4 > 2k.$$

14. By (48),

$$|J(h, q)| \leq \int_{-\theta_0}^{\theta_0} \left| F_3\left(\frac{h}{q} + \theta\right) \right| d\theta.$$

Hence, by (20), (54), (55), and (46),

$$|J(h, q)| \leq B_8 n^{2\alpha-2/k} q^{-(2k+1)/k} \int_{-\infty}^{\infty} (n^{-1} + |\theta|)^{-(s-2m_0-4)/k} d\theta = B_9 n^{\beta} q^{-2-1/k},$$

and hence

$$\left| \sum_{n^{k^2} < q \leq I_0} \sum'_h J(h, q) \right| < B_9 n^{\beta} \sum_{q > n^{k^2}} q^{-1-1/k} < B_{10} n^{\beta-\delta}.$$

From this and (49) it follows that

$$(56) \quad \left| a_n - \sum_{q \leq n^{k^2}} \sum'_h J(h, q) \right| \leq B_{11} n^{\beta-\delta}.$$

15. Until further notice let

$$(57) \quad q \leq n^{k^2}.$$

Putting

$$(58) \quad J_1(h, q) = \int_{h/q - \theta_1}^{h/q + \theta_1} F_3(\theta) e^{-2\pi i \theta n} d\theta,$$

where

$$(59) \quad \theta_1 = q^{-1} n^{-1+k^2},$$

we have, by (48),

$$|J(h, q) - J_1(h, q)| \leq \int_{-\theta_0}^{-\theta_1} \left| F_3\left(\frac{h}{q} + \theta\right) \right| d\theta + \int_{\theta_1}^{\theta_0} \left| F_3\left(\frac{h}{q} + \theta\right) \right| d\theta,$$

and hence, by (20), (54), (46), and (55),

$$|J(h, q) - J_1(h, q)| \leq 2 B_8 n^{2\alpha-2/k} \int_{\theta_1}^{\infty} (q\theta)^{-(s-2m_0-4)/k} d\theta$$

$$= B_{12} n^{\beta - (s-2m_\sigma-4-k)\delta} q^{-1} \leq B_{12} n^{\beta - (k+1)\delta} q^{-1},$$

so that

$$\left| \sum_{q \leq n^{k\delta}} \sum_h' \{ J(h, q) - J_1(h, q) \} \right| \leq B_{12} n^{\beta - \delta}.$$

From this and (56) it follows that

$$(60) \quad \left| a_n - \sum_{q \leq n^{k\delta}} \sum_h' J_1(h, q) \right| \leq B_{13} n^{\beta - \delta}.$$

16. Until further notice suppose also

$$(61) \quad |\vartheta| \leq q^{-1} n^{-1+k\delta}.$$

Putting

$$(62) \quad g_0(\vartheta) = S_{h,q} q^{-1} g(\vartheta, n^{1/k}),$$

we have, by (18) and (19),

$$(63) \quad |g_0(\vartheta)| \leq B_{14} q^{-1/k} (n^{-1} + |\vartheta|)^{-1/k},$$

and, by 6 and (57),

$$(64) \quad |f(\theta, n^{1/k}) - g_0(\vartheta)| \leq B_{15} n^{k\delta}.$$

Similarly

$$|f(\theta, n^{1/k-\delta}) - S_{h,q} q^{-1} g(\vartheta, n^{1/k-\delta})| \leq B_{15} n^{k\delta},$$

and hence, by (18), (19), and (57),

$$(65) \quad |f(\theta, n^{1/k-\delta})| \leq B_{16} q^{-1/k} n^{1/k-\delta}.$$

By (50), (63), and (64),

$$|f^{s-2m_\sigma-6}(\theta, n^{1/k}) - g_0^{s-2m_\sigma-6}(\vartheta)| \leq B_{17} n^{k\delta} \{ q(n^{-1} + |\vartheta|) \}^{-(s-2m_\sigma-7)/k},$$

and hence, by (51),

$$(66) \quad |f_0^s(\theta) f^{s-2m_\sigma-6}(\theta, n^{1/k}) - f_0^s(\theta) g_0^{s-2m_\sigma-6}(\vartheta)| \\ \leq B_{18} n^{k\delta} \{ q(n^{-1} + |\vartheta|) \}^{-(s-2m_\sigma-5)/k}.$$

By (29), (64), (65), and (57),

$$|f_0(\theta) - g_0(\vartheta)| \leq B_{15} n^{k\delta} + B_{16} q^{-1/k} n^{1/k-\delta} \leq B_{10} q^{-1/k} n^{1/k-\delta}.$$

Hence, by (51) and (63),

$$|f_0^s(\theta) - g_0^s(\vartheta)| \leq B_{20} n^{1/k-\delta} q^{-2/k} (n^{-1} + |\vartheta|)^{-1/k};$$

from this and (63) it follows that

$$|f_0^s(\theta) g_0^{s-2m_\sigma-6}(\vartheta) - g_0^{s-2m_\sigma-4}(\vartheta)| \leq B_{21} n^{1/k-\delta} q^{-(s-2m_\sigma-4)/k} (n^{-1} + |\vartheta|)^{-(s-2m_\sigma-5)/k},$$

and hence, by (66) and (57),

$$|f_0^s(\theta) f^{s-2m_\sigma-6}(\theta, n^{1/k}) - g_0^{s-2m_\sigma-4}(\vartheta)| \\ \leq B_{22} n^{1/k-\delta} q^{-(s-2m_\sigma-4)/k} (n^{-1} + |\vartheta|)^{-(s-2m_\sigma-5)/k}.$$

From this and (32), (33), (53), and (55) we obtain

$$(67) \quad |F_3(\theta) - F_1^s(\theta) g_0^{s-2m_\sigma-4}(\vartheta)| \leq B_{23} n^{2\alpha-1/k-\delta} q^{-(2k+1)/k} (n^{-1} + |\vartheta|)^{-(s-2m_\sigma-5)/k}.$$

17. It easily follows from (31), (30), (12), and (9) that

$$F_1^s(\theta) = \sum_{r=1}^{r_0} c_r e^{2\pi i \theta r},$$

where  $c_1, c_2, \dots$  are certain integers, positive or zero, and

$$r_0 = (2m_0 + 4) [n^{1-1/k}].$$

Hence

$$\left| F_1^s(\theta_1) - F_1^s(\theta_2) \right| \leq \sum_{r=1}^{r_0} c_r \left| e^{2\pi i \theta_1 r} - e^{2\pi i \theta_2 r} \right| \leq 2\pi \left| \theta_1 - \theta_2 \right| r_0 \sum_{r=1}^{r_0} c_r \\ = 2\pi \left| \theta_1 - \theta_2 \right| r_0 F_1^s(\theta) \leq B_{23} \left| \theta_1 - \theta_2 \right| n^{1-1/k} F_1^s(\theta),$$

and hence, by (20), (53), and (61),

$$\left| F_1^s(\theta) - F_1^s(h/q) \right| \leq B_{23} |\vartheta| n^{1+2\alpha-3/k} \leq B_{23} n^{2\alpha-3/k+k\delta} q^{-1}.$$

From this and (63) and (55) it follows that

$$\left| F_1^s(\theta) g_0^{s-2m_\sigma-4}(\vartheta) - F_1^s(h/q) g_0^{s-2m_\sigma-4}(\vartheta) \right| \\ \leq B_{24} n^{2\alpha-3/k+k\delta} q^{-3} (n^{-1} + |\vartheta|)^{-(s-2m_\sigma-4)/k} \\ \leq B_{24} n^{2\alpha-2/k+k\delta} q^{-3} (n^{-1} + |\vartheta|)^{-(s-2m_\sigma-5)/k},$$

and hence, by (67),

$$(68) \quad |F_3(\theta) - F_1^s(h/q) g_0^{s-2m_\sigma-4}(\vartheta)| \leq B_{25} n^{2\alpha-1/k-\delta} q^{-2-1/k} (n^{-1} + |\vartheta|)^{-(s-2m_\sigma-5)/k}.$$

18. Putting

$$(69) \quad J_2(h, q) = \int_{-\vartheta_1}^{\vartheta_1} F_1^s\left(\frac{h}{q}\right) g_0^{s-2m_\sigma-4}(\vartheta) e^{-2\pi i(h/q+\vartheta)n} d\vartheta,$$



we have, by (58), (20), (68), and (46),

$$(70) \quad |J_1(h, q) - J_2(h, q)| \leq B_{25} n^{2a-1/k-\delta} q^{-2-1/k} \int_{-\delta_1}^{\delta_1} (n^{-1} + |\vartheta|)^{-(s-2m_0-5)/k} d\vartheta$$

$$< 2 B_{25} n^{2a-1/k-\delta} q^{-2-1/k} \int_{1/n}^{\infty} x^{-(s-2m_0-5)/k} dx = B_{26} n^{\beta-\delta} q^{-2-1/k}.$$

19. I now drop the assumption (61), and put

$$(71) \quad J_3(h, q) = F_1^2\left(\frac{h}{q}\right) \xi_q^{-hn} \int_{-\infty}^{\infty} g_0^{s-2m_0-4}(\vartheta) e^{-2\pi i \vartheta n} d\vartheta.$$

Then, by (11), (69), (53), (63), (59), and (46),

$$|J_3(h, q) - J_2(h, q)| \leq B_{27} n^{2a-2/k} \int_{\delta_1}^{\infty} (q\vartheta)^{-(s-2m_0-4)/k} d\vartheta$$

$$= B_{28} n^{\beta-(s-2m_0-4-k)\delta} q^{-1}.$$

Hence, by (55),

$$|J_3(h, q) - J_2(h, q)| \leq B_{28} n^{\beta-(k+1)\delta} q^{-1},$$

and hence

$$(72) \quad \left| \sum_{q \leq n^{k\delta}} \sum'_h \{J_3(h, q) - J_2(h, q)\} \right| \leq B_{28} n^{\beta-\delta}.$$

By (70),

$$\left| \sum_{q \leq n^{k\delta}} \sum'_h \{J_1(h, q) - J_2(h, q)\} \right| \leq B_{29} n^{\beta-\delta}.$$

Hence, by (60) and (72),

$$(73) \quad \left| a_n - \sum_{q \leq n^{k\delta}} \sum'_h J_3(h, q) \right| \leq B_{30} n^{\beta-\delta}.$$

20. By (71) and (62),

$$(74) \quad J_3(h, q) = F_1^2\left(\frac{h}{q}\right) \xi_q^{-hn} (S_{h, q} q^{-1})^{s-2m_0-4} \int_{-\infty}^{\infty} g^{s-2m_0-4}(\vartheta, n^{1/k}) e^{-2\pi i \vartheta n} d\vartheta.$$

Now

$$\int_{-\infty}^{\infty} g^{s-2m_0-4}(\vartheta, n^{1/k}) e^{-2\pi i \vartheta n} d\vartheta$$

$$= n^{-1} \int_{-\infty}^{\infty} g^{s-2m_0-4}(x/n, n^{1/k}) e^{-2\pi i x} dx$$

and, by (13),

$$g(x/n, n^{1/k}) = \int_0^{n^{1/k}} e^{2\pi i x(n^{-1/k}y)^k} dy = n^{1/k} \Psi(x),$$

where

$$\Psi(x) = \int_0^1 e^{2\pi i x t^k} dt.$$

Hence

$$(75) \quad \int_{-\infty}^{\infty} g^{s-2m_0-4}(\vartheta, n^{1/k}) e^{-2\pi i \vartheta n} d\vartheta = L n^{-1+(s-2m_0-4)/k},$$

where

$$L = \int_{-\infty}^{\infty} \Psi^{s-2m_0-4}(x) e^{-2\pi i x} dx.$$

21. It easily follows from (12), (14), and (11) that

$$(76) \quad |f(h/q, u) - u S_{h, q} q^{-1}| \leq q,$$

and from (30), (12), (14), and (11) that

$$(77) \quad \left| f_m(h/q) - \frac{1}{2} u_m S_{h, q} q^{-1} \right| \leq q \quad (m \geq 1).$$

Put

$$U_{2m-1} = U_{2m} = f_m(h/q), \quad V_{2m-1} = V_{2m} = \frac{1}{2} u_m S_{h, q} q^{-1} \quad (m = 1, 2, \dots, m_0)$$

and

$$U_r = f(h/q, u_{m_r+1}), \quad V_r = u_{m_r+1} S_{h, q} q^{-1} \quad (r = 2m_0 + 1, 2m_0 + 2, 2m_0 + 3, 2m_0 + 4).$$

Then, by (77) and (76),

$$(78) \quad |U_r - V_r| \leq q \quad (r = 1, 2, \dots, 2m_0 + 4),$$

by (31),

$$(79) \quad F_1^2\left(\frac{h}{q}\right) = \prod_{r=1}^{2m_0+4} U_r,$$

and, by (9) and (40),

$$(80) \quad \prod_{r=1}^{2m_0+4} V_r = 2^{-2m_0} n^{2\alpha-2/k} (S_{h,q} q^{-1})^{2m_0+4}.$$

Also, by (38) and (37),

$$(81) \quad |U_{2m-1}| = |U_{2m}| < u_m \quad (m = 1, 2, \dots, m_0), \\ |U_r| < u_{m_0+1} \quad (r = 2m_0+1, 2m_0+2, 2m_0+3, 2m_0+4),$$

and it is trivial that

$$(82) \quad |V_{2m-1}| = |V_{2m}| < u_m \quad (m = 1, 2, \dots, m_0), \\ |V_r| \leq u_{m_0+1} \quad (r = 2m_0+1, 2m_0+2, 2m_0+3, 2m_0+4).$$

Now

$$\prod_{r=1}^{2m_0+4} U_r - \prod_{r=1}^{2m_0+4} V_r = \sum_{l=1}^{2m_0+4} \left\{ (U_l - V_l) \prod_{r=l+1}^{2m_0+4} U_r \prod_{r=1}^{l-1} V_r \right\},$$

any empty product  $\left( \prod_{r=1}^0 \right.$  or  $\left. \prod_{r=2m_0+5}^{2m_0+4} \right)$  meaning 1, and it follows from (81),

(82), (9), and (40) that

$$\left| \prod_{r=l+1}^{2m_0+4} U_r \prod_{r=1}^{l-1} V_r \right| \leq u_{m_0+1}^3 \prod_{m=1}^{m_0} u_m^2 = n^{2\alpha-2/k-k^{-1}(1-k^{-1})m_0+1} \\ \leq n^{2\alpha-2/k-4k^2}.$$

Hence, by (79), (80), and (78),

$$(83) \quad |F_1^3(h/q) - 2^{-2m_0} n^{2\alpha-2/k} (S_{h,q} q^{-1})^{2m_0+4}| \\ \leq (2m_0+4) q n^{2\alpha-2/k-4k^2}.$$

22. Since

$$|\xi_q^{-hn} (S_{h,q} q^{-1})^{s-2m_0-4}| \leq 1,$$

it follows from (74), (75), (83), and (46) that

$$|J_8(h, q) - 2^{-2m_0} L n^{\beta} \xi_q^{-hn} S_{h,q}^s q^{-s}| \leq B_{31} q n^{\beta-4k^2}.$$

Hence, by (15),

$$\left| \sum_n' J_8(h, q) - 2^{-2m_0} L n^{\beta} A_q \right| \leq B_{31} q^2 n^{\beta-4k^2},$$

and hence

$$(84) \quad \left| \sum_{q \leq n^{k^2}} \sum_n' J_8(h, q) - 2^{-2m_0} L n^{\beta} \sum_{q \leq n^{k^2}} A_q \right| \leq B_{31} n^{\beta-k^2}.$$

23. I now drop the assumption (57).

By (15), (18), and (55),

$$|A_q| \leq B_{32} q^{1-s/k} \leq B_{32} q^{-1-1/k}.$$

Hence, by (17),

$$\left| S - \sum_{q \leq n^{k^2}} A_q \right| \leq B_{33} n^{-\epsilon},$$

and hence, by (73) and (84),

$$(85) \quad |a_n - 2^{-2m_0} L n^{\beta} S| \leq B_{34} n^{\beta-\epsilon}.$$

24. It follows from (1) and (4) that  $m_0 \geq k-2$ . Hence, by (55)  $s > 4k$ , and hence<sup>6)</sup>

$$S \geq B_{35}^{-1}.$$

Also<sup>7)</sup>

$$L = \frac{\Gamma^{s-2m_0-4} (1+1/k)}{\Gamma \{ (s-2m_0-4)/k \}},$$

so that  $L > 0$ . It therefore follows from (85) that  $a_n \neq 0$  for any sufficiently large  $n$ , *q. e. d.*

University College, London.

(Received 30 August, 1936.)

<sup>6)</sup> Hardy and Littlewood, l. c. (footnote 4), Theorems 12 and 15.

<sup>7)</sup> Landau, Math. Zeitschrift, 31 (1930), 338