

Demgegenüber lässt sich angesichts der neuen Fragestellung $\{\Phi(\theta), \varphi(\theta, \beta)\}$ leicht beweisen, dass der normale Fall $(\Phi(\theta) > 0, \varphi(\theta, \beta) < +\infty)$ bei jedem n für fast alle θ vorliegt.

Bei der früheren Fragestellung bestand kein wesentlicher Unterschied zwischen dem ein- und dem mehrdimensionalen Fall; bei der neuen Fragestellung ist ein solcher bekanntlich vorhanden; indem nämlich im eindimensionalen Fall für alle irrationalen θ $\Phi(\theta) > 0$ und folglich $\varphi(\theta, \beta) < +\infty$ gilt⁷⁾ („normales“ Verhalten), gibt es bei jedem $n > 1$ ausser den trivialen θ mit linear abhängigen $\theta_1, \theta_2, \dots, \theta_n$ auch solche mit linear unabhängigen $\theta_1, \theta_2, \dots, \theta_n$ und mit $\Phi(\theta) = 0$ ⁸⁾.

Endlich sei bemerkt, dass ich bei der früheren Fragestellung als Zusatz beweisen konnte: *ist $\varphi(\theta) = 0$, so ist für fast alle β $\Phi(\theta, \beta) = +\infty$* ⁹⁾. Ob ein analoger Zusatz auch bei der neuen Fragestellung gilt, weiss ich nicht; ich vermute, dass eine solche Behauptung im allgemeinen falsch wäre; auf jeden Fall scheint die hier entwickelte Methode keinen Anhaltspunkt für die Begründung eines derartigen Zusatzes zu liefern.

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An arithmetical theorem on linear forms.

By

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Van der Corput¹⁾ has recently stated and proved the following exceedingly simple and general theorem:

„Ist M eine im n -dimensionalen Raum liegende Menge vom Volumen $V > k_1 k_2 \dots k_n$ ($k_1 > 0, \dots, k_n > 0$), und hat jedes zu M gehörige Punktepaar (x_1, \dots, x_n) und (y_1, \dots, y_n) die Eigenschaft, dass der Punkt $\left(\frac{x_1 - y_1}{k_1}, \dots, \frac{x_n - y_n}{k_n}\right)$ einer gewissen Menge N angehört, dann enthält N ausser dem Koordinatenursprung noch mindestens einen weiteren Gitterpunkt“.

The proof tacitly assumes that M is a simple set of points, i. e. that no point is reckoned more than once in calculating the volume. If M is not a simple set, the theorem is not true as the origin may be the only lattice point in N . It then depends upon the nature of M whether or not the result is trivial. As an illustration, I give a result on linear forms not included in his theorem, and so also not in Minkowski's famous theorem on homogeneous linear forms which it includes.

Let

$$L_r(x) = \sum_{s=1}^n a_{rs} x_s \quad (r = 1, 2, \dots, n)$$

⁷⁾ K. Kap. III Satz 24 und Kap. VI Satz I.

⁸⁾ K. Kap. V Satz 8.

⁹⁾ K. Kap. VII Satz 2.

¹⁾ Van der Corput. Verallgemeinerung einer Mordellschen Beweismethode in der Geometrie der Zahlen. Acta Arithmetica, 1. (1935), 62-66.

or say $L(x)$, be n linear forms with real coefficients in the n variables x_1, x_2, \dots, x_n , or say x , with determinant $\Delta > 0$. Let $\mu_1, \mu_2, \dots, \mu_n, \nu_1, \nu_2, \dots, \nu_n$ or say μ, ν be two sets of n non negative numbers for which

$$\mu_1 \mu_2 \dots \mu_n + \nu_1 \nu_2 \dots \nu_n \geq \Delta$$

or say

$$\Pi \mu + \Pi \nu \geq \Delta; \quad (1)$$

and let c_1, c_2, \dots, c_n , say c , be any set of n real numbers.

Then at least one of the three sets of inequalities

$$|L_r(x)| \leq \mu_r \quad (r = 1, 2, \dots, n),$$

or say

$$|L(x)| \leq \mu, \quad (2)$$

$$|L(x)| \leq \nu, \quad (3)$$

$$|L(x) + c| \leq \frac{1}{2}(\mu + \nu), \quad (4)$$

has a solution in integers x besides the trivial solution $x = 0$ of (2) and (3).

A possible solution $x = 0$ of (4) is not trivial; and the theorem moreover is not true unless $x = 0$ is admitted as is clear

from the case when the c 's are small, the μ, ν are all $\sqrt[n]{\frac{1}{2}}$ and $L(x)$ is taken to be x .

It suffices to prove the theorem for integer values of the constants a, c, μ, ν . For it then holds for rational values of a, c, μ, ν on considering the linear forms $kL(x)$ and constants $k\mu, k\nu, kc$ where k is the greatest common denominator of a, c, μ, ν . This shows also that we may assume all the μ and ν to be even integers. The case of irrational a, c, μ, ν follows by the usual easy limiting process on replacing a, c, μ, ν by sufficiently close rational approximations and noting that the sets of x 's thus given are infinite in number and are bounded in value.

The idea in the proof is of the same kind as in my recent arithmetic proof of Minkowski's theorem²⁾. It depends upon an old result of H. J. S. Smith stated here as follows:

²⁾ Minkowski's Theorem on Homogeneous Linear Forms, Journ. London Math. Soc., 8 (1933), 179 — 182.

If the a 's are integers, there are exactly Δ^{n-1} sets of residues, say possible residues $p_1, p_2, \dots, p_n \pmod{\Delta}$, such that the system of congruences $L(x) \equiv p \pmod{\Delta}$ admits of a solution. Hence there are exactly $\Delta^n - \Delta^{n-1}$ sets of residues, say impossible residues $i_1, i_2, \dots, i_n \pmod{\Delta}$ such that the system $L(x) \equiv i \pmod{\Delta}$ has no solution.

It is obvious that if p, p' are two possible sets, so are $p \pm p'$, and hence $p + i$ is an impossible set. It is also clear that a set of integers x exist such that $L(x) = p$ since the system $L(x) = k\Delta$, where k is any given set of integers, is obviously satisfied by an integer set x .

We may suppose that the μ, ν are all even, and that the inequalities $|L(x)| \leq \frac{1}{2}\mu$ have only the solution $x = 0$, and that the system

$|L(x) + c| \leq \frac{1}{2}\nu$ has no solution, as otherwise there is nothing to prove. The first set of inequalities shows the existence of $(\mu_1 + 1)(\mu_2 + 1) \dots (\mu_n + 1) - 1$ different sets of integers i , where $-\frac{1}{2}\mu \leq i \leq \frac{1}{2}\mu$,

which are non residues of the system $L(x)$, and hence from $i + p$ we have $\Delta^{n-1}(\Pi(\mu_i + 1) - 1)$ sets of impossible residues. The second inequality shows that there are no sets of integers x satisfying the inequalities

$$-c - \frac{1}{2}\nu \leq L(x) \leq -c + \frac{1}{2}\nu,$$

and so there exist $\Pi(\nu_i + 1)$ sets of impossible residues, say j , for which

$$-c - \frac{1}{2}\nu \leq j \leq -c + \frac{1}{2}\nu, \quad (5)$$

and hence $\Delta^{n-1} \Pi(\nu_i + 1)$ sets of impossible residues $p + j$.

But from (1)

$$\Delta^{n-1}(\Pi(\mu_i + 1) - 1) + \Delta^{n-1} \Pi(\nu_i + 1) > \Delta^n - \Delta^{n-1},$$

and so two of these impossible sets of residues must be congruent mod Δ . These two sets may arise in three different ways, i. e. from two i 's, two j 's, or an i and a j .

The first gives $p + i \equiv p' + i'$, or $p - p' \equiv i' - i$. Hence $i' - i$ is a possible set and so there is a set of integers x for which $|L(x)| \leq \mu$ since $-\mu \leq i' - i \leq \mu$. The x 's cannot all be zero since then $i' - i = 0$ and $p - p' \equiv 0 \pmod{\Delta}$, i. e. $p = p'$.

The second gives $p+j \equiv p'+j'$ or $p-p' \equiv j'-j$, and so the set of possible residues $j'-j$ gives rise to a set of integers x for which $|L(x)| \leq v$ from (5). As before all the x 's are not zero.

The third case gives $p+i \equiv p'+j$ or $p-p'+c \equiv j-i+c$. Hence the possible set $p-p'$ gives rise to a set of integers x such that $|L(x)+c| \leq \frac{1}{2} \mu + \frac{1}{2} v$ since $|j+c| \leq \frac{1}{2} v$, $|i| \leq \frac{1}{2} \mu$. We note now that the x 's may all be zero when $p=p'$, $i=j$.

This proves the theorem.

A sharper form of the theorem can be deduced by applying the theorem with μ_s (s arbitrary) replaced by $\mu_s(1+\epsilon)^n$, μ_r by $\mu_r/(1+\epsilon)$, $r \neq s$, v by $v/(1+\eta)$ and making $\epsilon > 0$, $\eta > 0$ tend to zero in such a way that

$$(1+\epsilon) \Pi \mu_s + (1+\eta)^{-n} \Pi v \geq \Pi \mu_s + \Pi v$$

or

$$\epsilon \Pi \mu_s \geq (1 - (1+\eta)^{-n}) \Pi v$$

We see then that if $\Pi \mu_s \neq 0$, all the \leq signs in (2), (3), (4) can be replaced by $<$ signs except the one corresponding to μ_s in (2) and the corresponding $\frac{1}{2}(\mu_s + v_s)$ of (4).

On making the $\mu \rightarrow 0$, we see that if $\Pi v = \Delta$ and the inequalities $|L(x)| < v$ have no solutions in integers x except $x=0$, then there is* for all c a solution of $|L(x)+c| \leq \frac{1}{2} v$, in integers x . There cannot be two solutions unless for at least one s these make $L_s(x) + c_s = \pm \frac{1}{2} v_s$ respectively, for otherwise, their difference would give a solution of $|L(x)| < v$. This is of course known in connection with Minkowski's limiting case.

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* This is a known result. See Radó, Journal of the London Math. Soc. 10 (1935) 115-116; Perron, Ibid. 275-277.

Universal forms $\sum a_i x_i^n$ and Waring's problem.

By

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1. Introduction and summary. A form F is called *universal* if every positive integer is represented by F with integral values ≥ 0 of all the variables. Write

$$3^n = 2^n q + r, \quad 0 < r < 2^n, \quad l = 2^n + q - 2.$$

THEOREM 1. If $n > 6$ and $r \leq 2^n - q - 3$, every positive integer is a sum of l n -th powers. Technically, $g(n) = l$.

The inequality holds when $4 \leq n \leq 400$. The theorem was recently proved by the writer.¹⁾ For $n > 8$, it is a corollary to the new theorem proved here:

THEOREM 2. Let $d = 1$ or 2 according as q is odd or even. If $9 \leq n \leq 400$, every positive integer is a sum of $4n + 2 - d$ n -th powers and the doubles of $P = \frac{1}{2}(2^n + q - 4n + d) - 2$ n -th powers. Here $4n + 2 - d + 2P = l$.

Expressed otherwise, in the ideal Waring Theorem 1, we may take $2P$ of the powers equal in pairs. While Theorem 1 states that $x_1^n + \dots + x_l^n$ is universal, Theorem 2 yields a universal form (with

¹⁾ Amer. Jour. Math., vol. 58 (1936), pp. 521-35. In case the inequality fails then $g(n) = l + f$ or $l + f - 1$, according as $2^n =$ or $< fq + f + q$, where $f = [(4/3)^n]$. Announced March 13 in Bull. Amer. Math. Soc., 1936, p. 341.

If the inequality fails for any $n > 400$, the decimal $r/2^n$ begins with fifty figures 9. But 157 and 163 are the only values ≤ 400 of n for which it begins with two figures 9 (neither with three figures 9).