

Note on a result of Siegel.

By

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Siegel¹⁾ has recently given a proof that, if L_1, \dots, L_n are n real homogeneous linear forms in $x = (x_1, \dots, x_n)$ with determinant 1, and c_1, \dots, c_n are n real numbers, then there exist integral values of x_1, \dots, x_n for which

$$(1) \quad \prod_i |L_i + c_i| \leq \gamma_n,$$

where γ_n depends only on n ²⁾. In this note, which I publish at Prof. Siegel's suggestion, I give a slightly different proof, using his ideas but expressing them in another form.

If $Q(x) = Q(x_1, \dots, x_n)$ is a positive definite quadratic form, the successive minima S_1^2, \dots, S_n^2 of Q are defined as follows. S_1^2 is the minimum for all (integral) $x \neq 0$, attained say for x_1 , S_2^2 is the minimum for all x not multiples of x_1 , attained say for x_2 , S_3^2 is the minimum for all x not linear integral combinations of x_1, x_2 , and so on. It is known³⁾ that

$$(2) \quad \sqrt{D} \leq S_1 \dots S_n \leq \frac{2^n \Gamma\left(1 + \frac{1}{2}n\right)}{\Gamma\left(\frac{1}{2}\right)^n} \sqrt{D},$$

where D is the determinant of Q .

¹⁾ In a letter of 10 October 1937 to Prof. Mordell.

²⁾ Minkowski conjectured that this holds with $\gamma_n = 2^{-n}$.

³⁾ Minkowski, *Geometrie der Zahlen* (1910), 198.

Take $Q \equiv L_1^2 + \dots + L_n^2$. It is plain from the definition of S_1^2, \dots, S_n^2 that the inequality $Q < S_i^2$ implies that L_1, \dots, L_n satisfy $n-i+1$ independent linear conditions, the coefficients in which depend only on x_1, \dots, x_n (which we suppose chosen once for all).

We order L_1, \dots, L_n in the following way. In the linear condition

$$(3) \quad A_1 L_1 + \dots + A_n L_n = 0$$

implied by $Q < S_n^2$, A_n is to be the largest coefficient in absolute value. In the additional linear relation implied by $Q < S_{n-1}^2$, which we can take in the form

$$(4) \quad B_1 L_1 + \dots + B_{n-1} L_{n-1} = 0,$$

B_{n-1} is to be the largest coefficient in absolute value, and so on.

Then, if L_1, \dots, L_n satisfy (3), we have

$$(A_n L_n)^2 \leq (A_1^2 + \dots + A_{n-1}^2) (L_1^2 + \dots + L_{n-1}^2),$$

and so

$$L_n^2 \leq (n-1) (L_1^2 + \dots + L_{n-1}^2),$$

whence

$$L_1^2 + \dots + L_{n-1}^2 \geq \frac{1}{n} (L_1^2 + \dots + L_n^2).$$

If L_1, \dots, L_n satisfy both (3) and (4), we have, similarly,

$$\begin{aligned} L_1^2 + \dots + L_{n-2}^2 &\geq \frac{1}{n-1} (L_1^2 + \dots + L_{n-1}^2) \\ &\geq \frac{1}{n(n-1)} (L_1^2 + \dots + L_n^2), \end{aligned}$$

and so on generally. It follows that for any $x \neq 0$ there is an i such that

$$(5) \quad L_1^2 + \dots + L_i^2 \geq \frac{1}{n(n-1) \dots (i+1)} S_i^2.$$

Now consider the quadratic form

$$R = \frac{L_1^2}{S_1^2} + \dots + \frac{L_n^2}{S_n^2}.$$

and denote its successive minima by T_1^2, \dots, T_n^2 . By (5),

$$T_1^2 \geq \frac{1}{n!}.$$

Hence

$$(6) \quad T_1 + \dots + T_n \leq n T_n \leq n (n!)^{\frac{n-1}{2}} T_1 \dots T_n.$$

By (2),

$$(7) \quad T_1 \dots T_n \leq \frac{2^n \Gamma\left(1 + \frac{n}{2}\right)}{\Gamma\left(\frac{1}{2}\right)^n} \frac{1}{S_1 \dots S_n},$$

since the determinant of R is $(S_1 \dots S_n)^{-2}$.

Let $r^{(j)}$ be a point at which $R = T_j^2$. Then

$$|L_i(r^{(j)})| \leq S_i T_j.$$

Let y_1, \dots, y_n be the integers nearest to η_1, \dots, η_n , the real solution of

$$\sum_j L_i(r^{(j)}) \eta_j + c_i = 0 \quad (i = 1, \dots, n).$$

Then

$$(8) \quad \left| \sum_j L_i(r^{(j)}) y_j + c_i \right| \leq \frac{1}{2} \sum_j |L_i(r^{(j)})| \leq \frac{1}{2} (T_1 + \dots + T_n) S_i.$$

Let x be defined by

$$x = \sum_j y_j r^{(j)},$$

then x_1, \dots, x_n are integers, and

$$(9) \quad L_i(x) = \sum_j L_i(r^{(j)}) y_j.$$

By (6), (7), (8), (9),

$$\prod_i |L_i(x) + c_i| \leq 2^{-n} (T_1 + \dots + T_n)^n S_1 \dots S_n \leq \left\{ \frac{n 2^{n-1} \Gamma\left(1 + \frac{1}{2} n\right) (n!)^{\frac{n-1}{2}}}{\Gamma\left(\frac{1}{2}\right)^n} \right\} \frac{1}{(S_1 \dots S_n)^{n-1}}$$

$$\leq \left\{ \frac{n 2^{n-1} \Gamma\left(1 + \frac{1}{2} n\right) (n!)^{\frac{n-1}{2}}}{\Gamma\left(\frac{1}{2}\right)^n} \right\}^n,$$

on using the first half of (2). This proves the result.

Other convex forms than the quadratic forms Q, R may be used in the proof, e. g. instead of Q the form

$$\text{Max} (|L_1|, \dots, |L_n|).$$

The consideration of the successive minima M_1, \dots, M_n of this form leads to a simple proof that there exist numbers N_1, \dots, N_n with $N_1 \dots N_n \geq \delta_n$, where δ_n depends only on n , such that the domain

$$|L_i| < N_i \quad (i = 1, \dots, n)$$

contains no lattice point other than the origin. After ordering the forms suitably one may take

$$N_i = \frac{M_i}{(n-1)(n-2)\dots i'}$$

and then ¹⁾

$$\delta_n = \frac{1}{1 \cdot 2^2 \cdot 3^3 \dots (n-1)^{n-1} n!}.$$

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¹⁾ $M_1 \dots M_n \geq (n!)^{-1}$ (Minkowski, *loc. cit.* 192).