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PRINTED IN POLAND

W R O CŁ A W S K A D R U K A R N I A N A U K O W A

## On the error term in the linear sieve

by

H. IWANIEC (Warszawa)

**§ 1. Introduction.** The aim of this paper is to improve certain results of Jurkat and Richert [4] concerning the linear sieve and obtained by Selberg's method. In the paper a variant of Brun's method is used, which was considered earlier in an unpublished manuscript of B. Rosser (see A. Selberg [6]). In order to formulate the theorem we introduce the following notation:

$M = \{a_1, \dots, a_n\}$  is a fixed set of integers,

$A_k(M; z)$  the number of elements of  $M$  not divisible by any prime  $p < z$ ,  $p \nmid k$ ,

$M_d$  the set of all elements of  $M$  divisible by  $d$ ,

$|M_d|$  the number of the elements of  $M_d$ ,

$$R_k(z) = \prod_{\substack{p < z \\ p \nmid k}} \left(1 - \frac{1}{p}\right), R_1(z) = R(z).$$

**THEOREM 1.** Let  $y > 1$ ,  $s < \frac{\log y}{(\log \log 3y)^{1/5}}$  and assume

$$(*) \quad \left| |M_d| - \frac{y}{d} \right| \leq 1 \quad \text{for } (d, k) = 1.$$

There exists an absolute constant  $c_0$  such that

$$(1.1) \quad A_k(M; y^{1/s})$$

$$< y R_k(y^{1/s}) \left\{ 1 + \frac{F(s)}{s} + c_0 \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s} \frac{\mathfrak{M}(s) \log \log 3k}{\log y} \right\} \quad \text{for } s \geq 3,$$

$$(1.2) \quad A_k(M; y^{1/s})$$

$$> y R_k(y^{1/s}) \left\{ 1 - \frac{f(s)}{s} - c_0 \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s} \frac{\mathfrak{M}(s) \log \log 3k}{\log y} \right\} \quad \text{for } s \geq 2,$$

where  $f(s)$ ,  $F(s)$ ,  $\mathfrak{M}(s)$  are defined in § 3 and satisfy the conditions

$$f(s) = s - 2e^y \log(s-1) \quad \text{for } 2 \leq s \leq 4,$$

$$F(s) = s - 6 + 2e^y \left( 1 - \int_1^{s-2} \frac{\log x}{x+1} dx \right) \quad \text{for } 3 \leq s \leq 5,$$

$$\mathfrak{M}(s) = \exp \left\{ -s \left( \log s + \log \log s - 1 + \frac{\log \log s}{\log s} \right) + O \left( \frac{s}{\log s} \right) \right\}$$

( $\gamma$  is Euler's constant).

COROLLARY. Let  $y > 1$  and assume (\*). Then

$$(1.3) \quad A_k(M; z) < y R_k(z) \left\{ 1 + \frac{F \left( \frac{\log y}{\log z} \right)}{\frac{\log y}{\log z}} + c_1 \frac{\log \log 3k}{\log y} \right\},$$

$$(1.4) \quad A_k(M; z) > y R_k(z) \left\{ 1 - \frac{f \left( \frac{\log y}{\log z} \right)}{\frac{\log y}{\log z}} - c_1 \frac{\log \log 3k}{\log y} \right\}.$$

The main terms of the bounds for  $A_k(M; z)$  given above coincide with those of Jurkat and Richert. On the other hand the error term occurring in their main Theorem 5 is not  $O \left( \frac{\log \log 3k}{\log y} \right)$  but  $O \left( \frac{\log \log 3k}{(\log y)^{1/14}} \right)$ . This difference has some significance in applications. It is a well known old problem to estimate for a given  $r$  the maximal length  $C_0(r)$  of the sequence of consecutive integers each divisible by one of the first  $r$  primes. Legendre gave for  $C_0(r)$  an upper bound which turned out to be false (cf. [1], p. 415). Then many authors gave lower bounds, the best at present due to Rankin [5] being

$$C_0(r) \geq e^{y-s} \frac{r \log^2 r \log \log \log r}{(\log \log r)^2}.$$

Jacobsthal (cf. [2]) has modified the problem considering the maximal length  $C(r)$  of a sequence of consecutive integers each divisible by one of  $r$  suitably chosen primes. He asked whether  $C(r) = C_0(r)$  and whether  $C(r) \ll r^2$ .

Corollary implies easily

THEOREM 2.

$$C_0(r) \ll r^2 \log^2 r.$$

The results of [4] imply only  $C_0(r) < r^2 \exp(\log r)^{13/14}$ .

From Theorem 1 one can easily deduce the following

THEOREM 3. Let  $y > 1$  and assume (\*). Then

$$(1.5) \quad A_k(M; y^{1/8}) = y R_k(y^{1/8}) \left\{ 1 + O \left( \frac{e}{s \log s} \right)^8 \right\} \quad \text{for } s < \frac{\log y}{(\log \log 3y)^6},$$

$$(1.6) \quad A_k(M; z) = y R_k(z) \left\{ 1 + O \left( \frac{1}{\log y} \right) \right\} \quad \text{for } \log z < \frac{\log y \log \log \log 16y}{2 \log \log 3y}.$$

This is an improvement of Theorem 3 of [4].

In the sequel  $c_0, c_1, \dots$  denote absolute constants.

## § 2. The general principles of sieve.

LEMMA 1. Let  $f_i(x_1, \dots, x_i)$  be a real function of  $i$  real variables. For every  $r \geq 1$  and every  $z \geq 2$  we have

$$(2.1) \quad A_k(M; z) \leq |M| - \sum_{p_1 < z} |M_{p_1}| + \sum_{p_2 < p_1 < z} |M_{p_1 p_2}| - \sum_{\substack{p_3 < p_2 < p_1 < z \\ p_3 < f_2(p_1, p_2)}} |M_{p_1 p_2 p_3}| + \dots + \sum_{\substack{p_{2t+1} < \dots < p_1 < z \\ p_{2t+1} < f_{2t+1}(p_1, \dots, p_{2t})}} |M_{p_1 \dots p_{2t+1}}|,$$

$$(2.2) \quad A_k(M; z) \geq |M| - \sum_{p_1 < z} |M_{p_1}| + \sum_{\substack{p_2 < p_1 < z \\ p_2 < f_1(p_1)}} |M_{p_1 p_2}| - \sum_{\substack{p_3 < p_2 < p_1 < z \\ p_3 < f_1(p_1)}} |M_{p_1 p_2 p_3}| + \dots - \sum_{\substack{p_{2r-1} < \dots < p_1 < z \\ p_{2r-1} < f_{2r-1}(p_1, \dots, p_{2r-1}) \\ i=1, \dots, r-1}} |M_{p_1 \dots p_{2r-1}}|,$$

where  $p_i \neq k$ ,  $1 \leq i \leq 2r$ .

Proof. We use the obvious identity

$$(2.3) \quad A_k(M; z) = |M| - \sum_{p < z, p \neq k} A_k(M_p; p).$$

Applying (2.3) to  $A_k(M_{p_1}; p_1)$  and then to  $A_k(M_{p_1 p_2}; p_2)$  we obtain

$$(2.4) \quad \begin{aligned} A_k(M; z) &= |M| - \sum_{p_1 < z} |M_{p_1}| + \sum_{p_2 < p_1 < z} A_k(M_{p_1 p_2}; p_2) \\ &= |M| - \sum_{p_1 < z} |M_{p_1}| + \sum_{p_2 < p_1 < z} |M_{p_1 p_2}| - \sum_{p_3 < p_2 < p_1 < z} A_k(M_{p_1 p_2 p_3}; p_3), \end{aligned}$$

where  $p_i \nmid k$ ,  $i = 1, 2, 3$ . Since  $A_k(M; z) \geq 0$ , (2.4) implies (2.1) and (2.2) for  $r = 1$ . For  $z_1 \leq z_2$   $A_k(M; z_2) \leq A_k(M; z_1)$ , thus

$$A_k(M_{p_1 p_2}; p_2) \leq A_k(M_{p_1 p_2}; \min(p_2, f_2(p_1, p_2))).$$

Applying to  $A_k(M_{p_1 p_2}; \min(p_2, f_2(p_1, p_2)))$  the inequality (2.1) with  $f_{2i}(p_3, \dots, p_{2i+2})$  replaced by  $f_{2i+2}(p_1, \dots, p_{2i+2})$  and then applying the obtained estimation for  $A_k(M_{p_1 p_2}; p_2)$  to the middle term of (2.4) we get (2.1) for  $r+1$ . Analogously  $A_k(M_{p_1}; p_1) \leq A_k(M_{p_1}; \min(p_1, f_1(p_1)))$ . Applying to  $A_k(M_{p_1}; \min(p_1, f_1(p_1)))$  the inequality (2.1) with  $f_{2i}(p_2, \dots, p_{2i+1})$  replaced by  $f_{2i+1}(p_1, \dots, p_{2i+1})$  and then applying the obtained estimation for  $A_k(M_{p_1}; p_1)$  to (2.3) we get (2.2) for  $r+1$ .

COROLLARY. For  $r \geq 1$  we have

$$(2.5) \quad A_k(M; z) \leq y \left( 1 - \sum_{p_1 < z} \frac{1}{p_1} + \sum_{p_2 < p_1 < z} \frac{1}{p_1 p_2} - \sum_{\substack{p_3 < p_2 < p_1 < z \\ p_3 < f_2(p_1, p_2)}} \frac{1}{p_1 p_2 p_3} + \right. \\ \left. + \dots + \sum_{\substack{p_{2r} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r-1}} \frac{1}{p_1 \dots p_{2r}} \right) + \sum_{\substack{d=p_1 \dots p_{2r} \\ p_l < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, [r/2]}} 1,$$

$$(2.6) \quad A_k(M; z) \geq y \left( 1 - \sum_{p_1 < z} \frac{1}{p_1} + \sum_{\substack{p_2 < p_1 < z \\ p_2 < f_1(p_1)}} \frac{1}{p_1 p_2} - \sum_{\substack{p_3 < p_2 < p_1 < z \\ p_2 < f_1(p_1)}} \frac{1}{p_1 p_2 p_3} + \right. \\ \left. + \dots - \sum_{\substack{p_{2r-1} < \dots < p_1 < z \\ p_{2i-1} < f_{2i-1}(p_1, \dots, p_{2i-1}) \\ i=1, \dots, r-1}} \frac{1}{p_1 \dots p_{2r-1}} \right) - \sum_{\substack{d=p_1 \dots p_{2r-1} \\ p_l < \dots < p_1 < z \\ p_{2i-1} < f_{2i-1}(p_1, \dots, p_{2i-1}) \\ i=1, \dots, [r/2]}} 1,$$

where  $p_i \nmid k$ ,  $1 \leq i \leq 2r$ .

We write (2.5), (2.6) in the shorter form

$$(2.7) \quad A_k(M; z) \leq yG_{r,k}(z) + \Sigma_1(z),$$

$$(2.8) \quad A_k(M; z) \geq yD_{r,k}(z) - \Sigma_2(z).$$

LEMMA 2. Let for  $r \geq 1$

$$(2.9) \quad S_{r,k}(z) = R_k(z) + \sum_{\substack{p_3 < p_2 < p_1 < z \\ f_2(p_1, p_2) \leq p_3}} \frac{R_k(p_3)}{p_1 p_2 p_3} + \sum_{\substack{p_5 < \dots < p_1 < z \\ f_2(p_1, p_2) \leq p_5 \\ f_4(p_1, \dots, p_4) \leq p_5}} \frac{R_k(p_5)}{p_1 p_2 p_3 p_4 p_5} + \\ + \dots + \sum_{\substack{p_{2r+1} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r-1 \\ f_{2r}(p_1, \dots, p_{2r}) \leq p_{2r+4}}} \frac{R_k(p_{2r+1})}{p_1 \dots p_{2r+1}} + \sum_{\substack{p_{2r+1} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{R_k(p_{2r+1})}{p_1 \dots p_{2r+1}},$$

$$(2.10) \quad T_{r,k}(z) = R_k(z) - \sum_{\substack{p_2 < p_1 < z \\ f_1(p_1) \leq p_2}} \frac{R_k(p_2)}{p_1 p_2} - \sum_{\substack{p_4 < p_3 < p_2 < p_1 < z \\ f_3(p_1, p_2, p_3) \leq p_4}} \frac{R_k(p_4)}{p_1 p_2 p_3 p_4} - \dots - \sum_{\substack{p_{2r} < \dots < p_1 < z \\ p_{2i-1}, \dots, r-1 \\ f_{2r-1}(p_1, \dots, p_{2r-1}) \leq p_{2r}}} \frac{R_k(p_{2r})}{p_1 \dots p_{2r}} - \sum_{\substack{p_{2r} < \dots < p_1 < z \\ p_{2i-1}, \dots, r-1 \\ f_{2r-1}(p_1, \dots, p_{2r-1}) \leq p_{2r}}} \frac{R_k(p_{2r})}{p_1 \dots p_{2r}}$$

where  $p_i \nmid k$ ,  $1 \leq i \leq 2r+1$ .

Then

$$G_{r,k}(z) = S_{r,k}(z), \quad D_{r,k}(z) = T_{r,k}(z).$$

Proof. We use the obvious identity

$$(2.11) \quad R_k(z) = 1 - \sum_{p < z, p \nmid k} \frac{R_k(p)}{p}$$

Applying (2.11) to  $R_k(p_1)$  and then to  $R_k(p_2)$  we get

$$R_k(z) = 1 - \sum_{p_1 < z} \frac{1}{p_1} + \sum_{p_2 < p_1 < z} \frac{R_k(p_2)}{p_1 p_2} \\ = 1 - \sum_{p_1 < z} \frac{1}{p_1} + \sum_{p_2 < p_1 < z} \frac{1}{p_1 p_2} - \sum_{p_3 < p_2 < p_1 < z} \frac{R_k(p_3)}{p_1 p_2 p_3},$$

which implies Lemma 2 for  $r = 1$ .

Assuming  $G_{r,k}(z) = S_{r,k}(z)$  we get

$$S_{r+1,k}(z) = S_{r,k}(z) + \sum_{\substack{p_{2r+3} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ f_{2r+2}(p_1, \dots, p_{2r+2}) \leq p_{2r+3}}} \frac{R_k(p_{2r+1})}{p_1 \dots p_{2r+1}} \\ + \sum_{\substack{p_{2r+3} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r+1}} \frac{R_k(p_{2r+3})}{p_1 \dots p_{2r+3}} \sum_{\substack{p_{2r+1} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{R_k(p_{2r+1})}{p_1 \dots p_{2r+1}} \\ = G_{r,k}(z) + \sum_{\substack{p_{2r+3} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{R_k(p_{2r+3})}{p_1 \dots p_{2r+3}} \\ - \sum_{\substack{p_{2r+2} < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{1}{p_{2r+2}} + \sum_{\substack{p_{2r+3} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{R_k(p_{2r+3})}{p_1 p_2 p_3 \dots p_{2r+1}}$$

$$= G_{r,k}(z) - \sum_{\substack{p_{2r+1} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{1}{p_1 \dots p_{2r+1}} + \sum_{\substack{p_{2r+2} < \dots < p_1 < z \\ p_{2i+1} < f_{2i}(p_1, \dots, p_{2i}) \\ i=1, \dots, r}} \frac{1}{p_1 \dots p_{2r+2}}$$

$$= G_{r+1,k}(z),$$

where  $p_i \nmid k$ ,  $1 \leq i \leq 2r+3$  and the identity  $G_{r,k}(z) = S_{r,k}(z)$  follows for all  $r$  by induction. The proof that  $T_{r,k}(z) = D_{r,k}(z)$  is analogous.

For  $a \leq b$  we have

$$R_k(a) = \frac{R_k(b)}{R(b)} R(a) \prod_{\substack{a \leq p < b \\ p \nmid k}} \left(1 - \frac{1}{p}\right) \leq \frac{R_k(b)}{R(b)} R(a),$$

thus

$$(2.12) \quad S_{r,k}(z) \leq \frac{R_k(z)}{R(z)} S_{r,1}(z),$$

$$(2.13) \quad T_{r,k}(z) \geq \frac{R_k(z)}{R(z)} T_{r,1}(z).$$

Let

$$f_i(x_1, \dots, x_i) = \left(\frac{y}{x_1 \dots x_i}\right)^{1/3}, \quad x_i > 0, \quad 1 \leq i \leq l.$$

If the meaning of  $p_i$  is clear from the context we shall write

$$y_i = \frac{y}{p_1 \dots p_i}, \quad \text{whence} \quad y_{i+1} = \frac{y_i}{p_{i+1}}, \quad f_i(p_1, \dots, p_i) = y_i^{1/3}.$$

We set further

$$d_{2,y}(s) = \sum_{\substack{y^{1/3} < p_2 < p_1 < y^{1/s} \\ p_1}} \frac{R(p_2)}{p_1 p_2} \quad \text{for} \quad s \geq 2,$$

$$d_{2n+2,y}(s) = \sum_{\substack{y^{1/3} < p_{2n+2} < \dots < p_1 < y^{1/s} \\ p_{2i} < y_{2i-1}^{1/3}, i=1, \dots, n}} \frac{R(p_{2n+2})}{p_1 \dots p_{2n+2}} \quad \text{for} \quad s \geq 2,$$

$$d_{2n+1,y}(s) = \begin{cases} \sum_{\substack{y^{1/3} < p_{2n+1} < \dots < p_1 < y^{1/s} \\ p_{2i+1} < y_{2i}^{1/3}, i=1, \dots, n-1}} \frac{R(p_{2n+1})}{p_1 \dots p_{2n+1}} & \text{for} \quad s \geq 3, \\ d_{2n+1,y}(3) & \text{for} \quad 1 \leq s \leq 3, \end{cases}$$

$n = 1, \dots, r$ .

The empty sums are 0. It follows

$$(2.14) \quad S_{r,1}(y^{1/s}) = R(y^{1/s}) + \sum_{i=1}^r d_{2i+1,y}(s) + \sum_{\substack{p_{2r+1} < \dots < p_1 < y^{1/s} \\ p_{2i+1} < y_{2i}^{1/3}, i=1, \dots, r}} \frac{R(p_{2r+1})}{p_1 \dots p_{2r+1}},$$

$$(2.15) \quad T_{r,1}(y^{1/s}) = R(y^{1/s}) - \sum_{i=1}^r d_{2i,y}(s) - \sum_{\substack{p_{2r} < \dots < p_1 < y^{1/s} \\ p_{2i} < y_{2i-1}^{1/3}, i=1, \dots, r}} \frac{R(p_{2r})}{p_1 \dots p_{2r}}.$$

### § 3. Functions $f(s)$ , $F(s)$ , $\tilde{f}(s)$ , $\tilde{F}(s)$ .

We set

$$(3.1) \quad g_2(s) = \begin{cases} 3 \log \frac{3}{s-1} + s - 4 & \text{if} \quad 2 \leq s \leq 4, \\ 0 & \text{if} \quad s \geq 4, \end{cases}$$

$$(3.2) \quad g_{2n+1}(s) = \begin{cases} \int_s^{3+2n} \frac{g_{2n}(t-1)}{t-1} dt & \text{if} \quad 3 \leq s, \\ g_{2n+1}(3) & \text{if} \quad 1 \leq s \leq 3, \end{cases}$$

$$(3.3) \quad g_{2n+2}(s) = \int_s^{4+2n} \frac{g_{2n+1}(t-1)}{t-1} dt \quad \text{if} \quad s \geq 2.$$

Remark. The upper limits of integration can be replaced by  $\infty$  since  $g_i(s) = 0$  for  $s \geq 2+i$ . The function  $g_2(s)$  is of class  $C^1$ , since  $\lim_{s \rightarrow 4+} g'_2(s) = 0$ ,

$$\lim_{s \rightarrow 4-} g'_2(s) = \lim_{s \rightarrow 4-} \left(1 - \frac{3}{s-1}\right) = 0.$$

The function  $g_{2n+1}(s)$  for  $s \geq 3$  and  $g_{2n+2}(s)$  for  $s \geq 2$  are clearly of class  $C^1$ . The functions  $g_i(s)$  are continuous, nonnegative, decreasing.

The following two lemmata serve to estimate  $g_t(s)$  from above.

LEMMA 3. Let  $\psi(s) = s^{-1} e^{s-3} \left(1 + 3 \log \frac{3}{s-1}\right)$ ,  $2 \leq s \leq 4$ . Then

$$\psi(s) \leq \psi(2) = 2e^{-1}(1 + 3 \log 3).$$

Proof. Let  $\varphi(s) = \frac{3s}{(s-1)^2} - \left(1 + 3 \log \frac{3}{s-1}\right)$ ,  $2 \leq s \leq 4$ . Then  $\varphi'(s) = 3s(s-3)/(s-1)^3$ , thus  $\varphi(s)$  has minimum for  $s = 3$ . It follows that  $\varphi(s) \geq \varphi(3) = 9/4 - (1 + 3 \log(3/2)) > 0$  and  $e^s \varphi'(s) = -\varphi(s) e^s (s-1) s^{-2} < 0$ , hence  $\psi(s)$  is decreasing.

LEMMA 4. Let  $a = \sup_{2 \leq s \leq 4} g_2(s) s^{-1} e^s$ ,  $A = \frac{e}{3} \psi(2) = \frac{1 + 3 \log 3}{6} < 0.72$ .

Then

$$(3.4) \quad g_{2n+1}(s) \leq a \frac{e}{3} A^{n-1} s e^{-s} \quad \text{for } s \geq 1, n \geq 1,$$

$$(3.5) \quad g_{2n+2}(s) \leq a A^n s e^{-s} \quad \text{for } s \geq 2, n \geq 0.$$

**Proof.** For  $n = 0$ , (3.5) follows from the definition of  $a$ . Assume (3.5) for  $n = m-1$ . If  $s \geq 3$ , then

$$\begin{aligned} g_{2m+1}(s) &= \int_s^\infty \frac{g_{2m}(t-1)}{t-1} dt \leq a A^{m-1} \int_s^\infty e^{-(t-1)} dt \\ &= a A^{m-1} e^{-(s-1)} \leq a \frac{e}{3} A^{m-1} s e^{-s}, \end{aligned}$$

if  $1 \leq s \leq 3$ , then

$$g_{2m+1}(s) = g_{2m+1}(3) \leq a \frac{e}{3} A^{m-1} s e^{-s},$$

i.e. (3.4) holds for  $n = m$ .

If  $s \geq 4$ , then

$$\begin{aligned} g_{2m+2}(s) &= \int_s^\infty \frac{g_{2m+1}(t-1)}{t-1} dt \leq a \frac{e}{3} A^{m-1} \int_s^\infty e^{-(t-1)} dt \\ &\leq a A^{m-1} \frac{e^2}{12} s e^{-s} \leq a A^m s e^{-s}, \end{aligned}$$

if  $2 \leq s \leq 4$ , then

$$\begin{aligned} g_{2m+2}(s) &= g_{2m+2}(4) + g_{2m+1}(3) \int_s^4 \frac{dt}{t-1} \leq a \frac{e}{3} A^{m-1} \left( e^{-3} + 3e^{-3} \log \frac{3}{s-1} \right) \\ &= a \frac{e}{3} A^{m-1} \psi(s) s e^{-s} \leq a A^m s e^{-s}, \end{aligned}$$

i.e. (3.5) holds for  $n = m$ . It follows by induction that (3.4) holds for all  $n \geq 1$  and  $s \geq 1$ , (3.5) holds for all  $n \geq 0$  and  $s \geq 2$ .

**COROLLARY.** The series

$$f(s) = \sum_{i=1}^{\infty} g_{2i}(s), \quad s \geq 2, \quad F(s) = \sum_{i=1}^{\infty} g_{2i+1}(s), \quad s \geq 1$$

are convergent and

$$(**) \quad 0 < f(s) = O(s e^{-s}) = F(s) > 0,$$

$f(s)$  is of class  $C^1$ ,  $F(s)$  is of class  $C^1$  for  $s \geq 3$ . It follows from the definition of  $f(s)$  and  $F(s)$  that

$$(3.6) \quad f(s) = \int_s^\infty \frac{F(t-1)}{t-1} dt + g_2(s) \quad \text{for } s \geq 2,$$

$$(3.7) \quad F(s) = \begin{cases} \int_s^\infty \frac{f(t-1)}{t-1} dt & \text{for } s \geq 3, \\ F(3) & \text{for } 1 \leq s \leq 3. \end{cases}$$

The computation of  $f(s)$  and  $F(s)$  in the intervals  $\langle 2, 4 \rangle$ ,  $\langle 1, 3 \rangle$  is thus reduced to the determination of  $f(2)$ ,  $F(3)$ . We shall show later that the equations (3.6), (3.7) and the condition  $(**)$  determine  $f(s)$  and  $F(s)$  uniquely for all  $s$ . The difficulty of the problem lies in the fact that  $f(s)$  and  $F(s)$  are subject to linear differential equations with shifted argument and with a boundary condition in infinity (and not in an interval of suitable length).

**LEMMA 5.**

$$f(s) = s - 2e^2 \log(s-1) \quad \text{for } 2 \leq s \leq 4,$$

$$F(s) = s - 6 + 2e^2 \left( 1 - \int_1^{s-2} \frac{\log x}{x-1} dx \right) \quad \text{for } 3 \leq s \leq 5.$$

**Proof.** Set  $M(s) = f(s) + F(s)$ ,  $W(s) = f(s) - F(s)$  for  $s \geq 3$ .  $M(s)$ ,  $W(s)$  are clearly of class  $C^1$ . It follows from (3.6), (3.7) that

$$(3.8) \quad M'(s) = -\frac{M(s-1)}{s-1}, \quad W'(s) = \frac{W(s-1)}{s-1} \quad \text{for } s \geq 4,$$

$$(3.9) \quad f(s) = f(4) + (F(3) + 3) \log \frac{3}{s-1} + s - 4 \quad \text{for } 2 \leq s \leq 4.$$

Hence

$$\begin{aligned} M(s) &= f(4) + (F(3) + 3) \log \frac{3}{s-1} + s - 4 + \int_s^\infty \frac{f(t-1)}{t-1} dt \\ &\quad \text{for } 3 \leq s \leq 4, \end{aligned}$$

$$\begin{aligned} W(s) &= f(4) + (F(3) + 3) \log \frac{3}{s-1} + s - 4 - \int_s^\infty \frac{f(t-1)}{t-1} dt \\ &\quad \text{for } 3 \leq s \leq 4. \end{aligned}$$

The equations (3.8) give a continuation of  $M(s)$ ,  $W(s)$  on the half-line  $s \geq 2$ . It is enough to put

$$M(s) = -s M'(s+1) = 3 + F(3) - s + f(s) \quad \text{for } 2 \leq s \leq 3,$$

$$W(s) = s W'(s+1) = -3 - F(3) + s + f(s) \quad \text{for } 2 \leq s \leq 3.$$

The extended functions  $M(s)$ ,  $W(s)$  are continuous. The equations (3.8) are now satisfied for  $s > 3$ . We have for  $s > 3$

$$(s-1)M'(s) = M(s) - M(s-1),$$

thus there exists a constant  $C$  such that

$$(s-1)M(s) = \int_{s-1}^s M(x) dx + C \quad \text{for } s \geq 3.$$

Since  $M(s) = O(se^{-s})$  (see the condition (\*\*)), it follows  $C = 0$ .

We have thus in particular

$$\begin{aligned} 2M(3) &= \int_2^3 M(x) dx = 2M(3) - M(2) - \int_2^3 (x-1)M'(x) dx \\ &= 2M(3) - M(2) - \int_2^3 (x-1)(f'(x)-1) dx \\ &= 2M(3) - M(2) + \int_2^3 (F(3)+3) dx \\ &= 2M(3) - 3 - F(3) + 2 - f(2) + 3 + F(3), \end{aligned}$$

hence

$$(3.10) \quad f(2) = 2.$$

The function  $W(s)$  is of class  $C^1$  for  $s \geq 2$ . Indeed,

$$\begin{aligned} \lim_{s \rightarrow 3^-} W'(s) &= f'(3)+1 = -\frac{F(3)+3}{2} + 1 + 1, \\ \lim_{s \rightarrow 3^+} W'(s) &= \frac{W(2)}{2} = 1 + \frac{f(2)}{2} - \frac{F(3)+3}{2}. \end{aligned}$$

The formula (3.8) gives a continuation  $W(s)$  on the half-line  $s \geq 1$ . Indeed, it is enough to put for  $1 \leq s < 2$

$$W(s) = sW'(s+1) = s(f'(s+1)+1) = s\left(2 - \frac{F(3)+3}{s}\right) = 2s - (F(3)+3).$$

The extended function  $W(s)$  is continuous since  $W(2-) = 4 - (F(3)+3) = W(2)$  and it satisfies the equation

$$W'(s) = W(s-1)/(s-1) \quad \text{for } s \geq 2.$$

Set  $w(s) = \frac{2s-W(s)}{s(3+F(3))}$ . It follows that  $w(s)$  is continuous and

$$\begin{cases} w(s) = 1/s & \text{if } 1 \leq s \leq 2, \\ (sw(s))' = w(s-1) & \text{if } s \geq 2, \end{cases}$$

hence  $w(\infty) = e^{-r}$  (see [4], p. 225). Since  $W(s) = O(se^{-s})$  we have  $W(\infty) = 0$  and  $F(3) = 2e^r - 3$ . (3.9) and (3.10) imply

$$\begin{aligned} f(s) &= f(s) - f(2) + 2 = s - (3 + F(3))\log(s-1) = s - 2e^r \log(s-1) \\ &\quad \text{for } 2 \leq s \leq 4, \end{aligned}$$

$$F(s) = s - 6 + 2e^r \left(1 - \int_3^{s-2} \frac{\log x}{x+1} dx\right) \quad \text{for } 3 \leq s \leq 5.$$

COROLLARY.  $M(s) = 2e^r(1 - \log(s-1))$  for  $2 \leq s \leq 3$ .

DEFINITION:

$$\begin{cases} \mathfrak{W}(s) = \frac{1}{s-1} - \log(s-1), & 2 \leq s \leq 3, \\ \mathfrak{W}'(s) = \frac{s}{(s-1)^2} \mathfrak{W}(s-1), & s > 3, \end{cases}$$

$$\begin{cases} \mathfrak{M}(s) = 2 + \frac{1}{s-1} - \log(s-1), & 2 \leq s \leq 3, \\ \mathfrak{M}'(s) = -\frac{s}{(s-1)^2} \mathfrak{M}(s-1), & s > 3. \end{cases}$$

Functions  $\mathfrak{W}(s)$  and  $\mathfrak{M}(s)$  are continuous.

We have for  $s > 3$

$$\left(\frac{\mathfrak{W}(s)}{s}\right)' = \frac{\mathfrak{W}(s-1)}{(s-1)^2} - \frac{\mathfrak{W}(s)}{s^2},$$

thus there exists a constant  $C$  such that

$$\frac{\mathfrak{W}(s)}{s} = - \int_{s-1}^s \frac{\mathfrak{W}(x)}{x^2} dx + C \quad \text{for } s \geq 3.$$

Since

$$\frac{\mathfrak{W}(3)}{3} = \frac{\frac{1}{2} - \log 2}{3} = - \int_2^3 \frac{1/(x-1) - \log(x-1)}{x^2} dx,$$

it follows  $C = 0$  and for  $s \geq 3$  we get

$$(3.11) \quad \mathfrak{W}(s) = -s \int_{s-1}^s \frac{\mathfrak{W}(x)}{x^2} dx,$$

hence  $\mathfrak{W}(s) = O(e^{-s})$ .

Let  $r(s) = (s-1)^2 - \frac{1}{2}$ , thus  $r(s)/s = r(s+1)s^{-2}$  and

$$\begin{aligned} \left(\frac{r(s)\mathfrak{M}(s)}{s}\right)' &= \frac{r(s)}{s}\mathfrak{M}'(s) + \left(\frac{r(s)}{s}\right)' \mathfrak{M}(s) \\ &= -\frac{r(s)}{(s-1)^2} \mathfrak{M}(s-1) + \frac{r(s+1)}{s^2} \mathfrak{M}(s) \quad \text{for } s \geq 3. \end{aligned}$$

Therefore, there exists a constant  $C$  such that

$$\frac{r(s)\mathfrak{M}(s)}{s} = \int_{s-1}^s \frac{r(x+1)\mathfrak{M}(x)}{x^2} dx + C \quad \text{for } s \geq 3.$$

Since

$$\frac{r(3)\mathfrak{M}(3)}{3} = \frac{3.5(2.5 - \log 2)}{3} = \int_2^3 \frac{x^2 - \frac{1}{2}}{x^2} \left(2 + \frac{1}{x-1} - \log(x-1)\right) dx,$$

it follows  $C = 0$ , thus for  $s \geq 3$  we get

$$(3.12) \quad \frac{(s-1)^2 - \frac{1}{2}}{s} \mathfrak{M}(s) = \int_{s-1}^s \left(1 - \frac{1}{2x^2}\right) \mathfrak{M}(x) dx.$$

It follows hence that  $0 < \mathfrak{M}(s) = O(e^{-s})$ , thus  $\mathfrak{M}(s)$  is decreasing. Set

$$\mathfrak{f}(s) = \frac{\mathfrak{M}(s) + \mathfrak{W}(s)}{2}, \quad \mathfrak{F}(s) = \frac{\mathfrak{M}(s) - \mathfrak{W}(s)}{2},$$

$\mathfrak{F}(s) = 1$  for  $1 \leq s \leq 2$ . It follows from the definition of  $\mathfrak{M}(s)$  and  $\mathfrak{W}(s)$  that

$$\mathfrak{f}(s) = 1 + \frac{1}{s-1} - \log(s-1) \quad \text{for } 2 \leq s \leq 3, \quad \mathfrak{F}(s) = 1 \quad \text{for } 1 \leq s \leq 3.$$

For  $s > 3$  we have

$$\mathfrak{F}'(s) = \frac{\mathfrak{M}'(s) - \mathfrak{W}'(s)}{2} = -\frac{s\mathfrak{f}(s-1)}{(s-1)^2},$$

thus in view of  $\mathfrak{F}(s) = O(e^{-s})$

$$\mathfrak{F}(s) = \int_s^\infty \frac{\mathfrak{f}(t-1)t}{(t-1)^2} dt.$$

Analogously

$$\mathfrak{f}(s) = \int_s^\infty \frac{\mathfrak{F}(t-1)t}{(t-1)^2} dt \quad \text{for } s \geq 3.$$

For  $2 \leq s \leq 3$  we have

$$\int_s^\infty \frac{\mathfrak{F}(t-1)t}{(t-1)^2} dt = \mathfrak{f}(3) + \mathfrak{F}(3) \int_s^3 \frac{t}{(t-1)^2} dt = 1 + \frac{1}{s-1} - \log(s-1) = \mathfrak{f}(s).$$

Eventually

$$(3.13) \quad \mathfrak{F}(s) = \begin{cases} \int_s^\infty \frac{\mathfrak{f}(t-1)t}{(t-1)^2} dt, & s \geq 3, \\ 1, & 1 \leq s \leq 3, \end{cases}$$

$$(3.14) \quad \mathfrak{f}(s) = \int_s^\infty \frac{\mathfrak{F}(t-1)t}{(t-1)^2} dt, \quad s \geq 2.$$

LEMMA 6.

$$|\mathfrak{W}(s)| \leq \frac{1}{3}\mathfrak{M}(s) \quad \text{for } s \geq 2.$$

Proof. It can easily be verified that

$$\left| \frac{\mathfrak{W}(s)}{\mathfrak{M}(s)} \right| \leq \left| \frac{\mathfrak{W}(2)}{\mathfrak{M}(2)} \right| = \frac{1}{3} \quad \text{for } 2 \leq s \leq 3.$$

Suppose that the set  $\{s \geq 2, |\mathfrak{W}(s)| > \frac{1}{3}\mathfrak{M}(s)\}$  is not empty and denote by  $a$  its infimum. It follows that  $a \geq 3$  and  $|\mathfrak{W}(a)| = \frac{1}{3}\mathfrak{M}(a)$ . Since  $x^2 - \frac{1}{2} > (x-1)^2 - \frac{1}{2}$  for  $x > a-1$  and  $\mathfrak{M}(x) > 0$ , we get

$$\begin{aligned} \left| \frac{\mathfrak{W}(a)}{a} \right| &\leq \int_{a-1}^a \frac{|\mathfrak{W}(x)|}{x^2} dx \leq \frac{1}{3} \int_{a-1}^a \frac{\mathfrak{M}(x)}{x^2} dx \\ &< \frac{1}{3((a-1)^2 - \frac{1}{2})} \int_{a-1}^a \frac{\mathfrak{M}(x)(x^2 - \frac{1}{2})}{x^2} dx = \frac{1}{3} \frac{\mathfrak{M}(a)}{a}. \end{aligned}$$

The contradiction obtained proves the lemma.

COROLLARY.

$$\begin{aligned} \frac{1}{3}\mathfrak{M}(s) &\leq \mathfrak{f}(s) \leq \frac{2}{3}\mathfrak{M}(s) \quad \text{for } s \geq 2, \\ \frac{1}{3}\mathfrak{M}(s) &\leq \mathfrak{F}(s) \leq \frac{2}{3}\mathfrak{M}(s) \quad \text{for } s \geq 2. \end{aligned}$$

Remark. The functions  $\mathfrak{F}(s)$ ,  $\mathfrak{f}(s)$  are continuous, positive, decreasing.

Let

$$\begin{aligned} f_r(s) &= \begin{cases} \mathfrak{f}(s) & \text{for } r \text{ even,} \\ F(s) & \text{for } r \text{ odd,} \end{cases} \\ G_r(s) &= \begin{cases} \mathfrak{f}(s) & \text{for } r \text{ even,} \\ \mathfrak{F}(s) & \text{for } r \text{ odd.} \end{cases} \end{aligned}$$

LEMMA 7.

$$f_r(s) < M(s) \leq 4\mathfrak{M}(s) \quad \text{for } s \geq 2.$$

Proof.

$$f_r(s) < \sum_{k=2}^{\infty} g_k(s) = \begin{cases} M(s) & \text{for } s \geq 3, \\ f(s) + F(3) \leq 3 + F(3) - s + f(s) = M(s) & \text{for } 2 \leq s \leq 3. \end{cases}$$

It can easily be verified that for  $2 \leq s \leq 3$

$$\frac{M(s)}{\mathfrak{M}(s)} = \frac{2e^r(1 - \log(s-1))}{2 + \frac{1}{s-1} - \log(s-1)} \leq 4.$$

Suppose that the set  $\{s; M(s) > 4\mathfrak{M}(s)\}$  is not empty and denote by  $a$  its infimum. It follows that  $a \geq 3$  and  $M(a) = 4\mathfrak{M}(a)$ . Since  $1 - \frac{1}{2x^2} > 1 - \frac{1}{2(a-1)^2}$ , for  $x > a-1$  and  $\mathfrak{M}(x) > 0$  we get

$$\begin{aligned} (a-1) M(a) &= \int_{a-1}^a M(x) dx \leq 4 \int_{a-1}^a \mathfrak{M}(x) dx \\ &< \frac{4}{1 - \frac{1}{2(a-1)^2}} \int_{a-1}^a \mathfrak{M}(x) \left(1 - \frac{1}{2x^2}\right) dx = 4(a-1) \mathfrak{M}(a). \end{aligned}$$

The contradiction obtained proves the lemma.

LEMMA 8.

$$\frac{\mathfrak{M}(s-1)}{\mathfrak{M}(s)} < 4s^2 \quad \text{for } s \geq 3.$$

Proof. For  $s \geq 3$

$$\begin{aligned} \mathfrak{M}(s) &= \frac{s}{(s-1)^2 - \frac{1}{2}} \int_{s-1}^s \mathfrak{M}(x) \left(1 - \frac{1}{2x^2}\right) dx \\ &> \frac{s}{(s-1)^2 - \frac{1}{2}} \int_{s-1}^{s-\frac{1}{2}} \mathfrak{M}(x) \left(1 - \frac{1}{2x^2}\right) dx \geq \frac{s}{2(s-1)^2} \mathfrak{M}(s-\frac{1}{2}), \end{aligned}$$

thus for  $s \geq 3.5$  we get

$$\mathfrak{M}(s) \geq \frac{s}{2(s-1)^2} \frac{s-\frac{1}{2}}{2(s-\frac{3}{2})^2} \mathfrak{M}(s-1) > \frac{\mathfrak{M}(s-1)}{4s^2}.$$

On the other hand, for  $3 \leq s \leq 3.5$  we have

$$\frac{\mathfrak{M}(s-1)}{4s^2 \mathfrak{M}(s)} \leq \frac{\mathfrak{M}(2)}{36 \mathfrak{M}(3.5)} < 1.$$

LEMMA 9. We have

$$G_r(s) \exp(s \log s + s \log \log s) \leq \exp(c_2 s).$$

Proof. By Corollary to Lemma 6

$$\frac{1}{3} \mathfrak{M}(s) \leq G_r(s) \leq \frac{2}{3} \mathfrak{M}(s).$$

For  $s \geq 3$

$$\begin{aligned} \mathfrak{M}(s) &= \frac{s}{(s-1)^2 - \frac{1}{2}} \int_{s-1}^s \left(1 - \frac{1}{2x^2}\right) \mathfrak{M}(x) dx < \frac{1}{s-2} \int_{s-1}^s \mathfrak{M}(x) dx, \\ \mathfrak{M}(s+2) &< \frac{1}{s} \int_{s-1}^s \mathfrak{M}(x+2) dx. \end{aligned}$$

Hence

$$\mathfrak{M}(s+2) \leq \exp \left\{ -s \left( \log s + \log \log s - 1 + \frac{\log \log s}{\log s} + O\left(\frac{1}{\log s}\right) \right) \right\}$$

(see [3]), which gives the upper bound for  $G_r(s)$ .

Let

$$\begin{cases} \varrho(s) = 1 & \text{if } 0 < s \leq 1, \\ s \varrho'(s) = -\varrho(s-1) & \text{if } s > 1. \end{cases}$$

It follows

$$\varrho(s) = \frac{1}{s} \int_{s-1}^s \varrho(x) dx \quad \text{for } s > 1.$$

Since  $\mathfrak{M}(s) > \frac{1}{s} \int_{s-1}^s \mathfrak{M}(x) dx$  for  $s \geq 3$ , we have  $\varrho(s) = O(\mathfrak{M}(s))$ . Since

$$\varrho(s) = \exp \left\{ -s \left( \log s + \log \log s - 1 + \frac{\log \log s}{\log s} + O\left(\frac{1}{\log s}\right) \right) \right\}$$

(see [3]), the proof is complete.

LEMMA 10. We have

$$\frac{\mathfrak{M}(t) t \log t}{\mathfrak{M}(t-1)} = O(1).$$

Proof. Let  $\eta(t) = \frac{\mathfrak{M}(t-1)}{\mathfrak{M}(t)}$ . We have for  $s > 4$

$$\begin{aligned} \log \eta(t) &= \log \frac{\mathfrak{M}(t-1)}{\mathfrak{M}(t)} = \int_{t-1}^t -(\log \mathfrak{M}(x))' dx = \int_{t-1}^t -\frac{\mathfrak{M}'(x)}{\mathfrak{M}(x)} dx \\ &= \int_{t-1}^t \frac{\mathfrak{M}(x-1)x}{\mathfrak{M}(x)(x-1)^2} dx = \int_{t-1}^t \eta(x) \frac{x}{(x-1)^2} dx < \frac{\eta(t)}{t-3}, \end{aligned}$$

thus

$$\frac{\eta(t)}{\log \eta(t)} > t - 3, \quad \frac{t \log t}{\eta(t)} = O(1).$$

COROLLARY. We have for  $t > (5 + (-1)^r)/2$

$$\frac{G_{r+1}(t) (t-1)^2 \log t}{G_r(t-1)t} < c_3.$$

Proof follows from Corollary to Lemma 6.

LEMMA 11. Let  $\xi = \frac{\log y}{(\log \log 3y)^{1/5}}$ . If  $e^{(120c_3)^{5/2}} = s_0 \leq s \leq \xi$ , then

$$(3.15) \quad \int_s^\xi \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5(t-1)} \frac{G_r(t-1)t}{(t-1)^2} dt < \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} G_{r+1}(s).$$

Proof. Let  $\tau(t) = \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5t} G_{r+1}(t)$ . In the interval  $\frac{5 + (-1)^r}{2} < t < \xi$ ,  $\tau(t)$  is differentiable and  $\tau'(t) = -\frac{G_r(t-1)t}{(t-1)^2}$ .

$$\begin{aligned} \tau'(t) &= \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5t} \frac{G_r(t-1)t}{(t-1)^2} \times \\ &\times \left\{1 + \frac{G_{r+1}(t)(t-1)^2}{G_r(t-1)t} \left[5 \log \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right) + \frac{5t(2t \log^5 t + 5t \log^4 t)}{t^2 \log^5 t + \log^2 y}\right]\right\} \\ &> \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5t} \frac{G_r(t-1)t}{(t-1)^2} \left[1 - 100 \frac{G_{r+1}(t)(t-1)^2 t^2 \log^5 t}{G_r(t-1)t \log^2 y}\right] \\ &> \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5t} \frac{G_r(t-1)t}{(t-1)^2} \left(1 - \frac{100c_3 t^2 \log^4 t}{\log^2 y}\right). \end{aligned}$$

Since

$$100c_3 \frac{t^2 \log^4 t}{\log^2 y} \leq 100c_3 \frac{\xi^2 \log^4 \xi}{\log^2 y} < 100c_3 \xi^{-2/5} < 1,$$

it follows

$$(3.16) \quad -\tau'(t) > \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5(t-1)} \frac{G_r(t-1)t}{(t-1)^2}$$

because

$$\begin{aligned} &\left(1 + \frac{5t^2 \log^5 t}{\log^2 y}\right) \left(1 - \frac{100c_3 t^2 \log^4 t}{\log^2 y}\right) \\ &= 1 + \frac{(5 \log t - 100c_3)t^2 \log^4 t}{\log^2 y} - \frac{500c_3 t^6 \log^9 t}{\log^4 y} \\ &\geq 1 + \frac{(5 \log^{3/5} t - 600c_3)t^2 \log^{23/5} t}{\log^2 y} \geq 1. \end{aligned}$$

On integrating the inequality (3.16) in the interval  $\langle s, \xi \rangle$  we get inequality (3.15).

LEMMA 12. Let  $\xi = \frac{\log y}{(\log \log 3y)^{1/5}}$ . The function

$$\mu(s) = \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5(s-1)} G_{r+1}(s)$$

is decreasing in the interval  $\langle s_0, \xi \rangle$ .

Proof. It follows from (3.16) that  $\tau(s)$  is decreasing in the interval in question. Since

$$\mu(s) = \tau(s) \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{-5},$$

$\mu(s)$  is also decreasing.

#### § 4. Estimation of $Q_{r,y}(s)$ , $A_{r,y}(s)$ .

LEMMA 13. Let  $A \geq B \geq 2$ ,  $b(x)$  be a nonnegative increasing function in the interval  $B \leq x \leq A$ . We have

$$\left| \sum_{B \leq p \leq A} \frac{b(p)}{p} - \int_B^A \frac{b(x)}{x \log x} dx \right| \leq c_4 b(A) \exp(-\sqrt{\log B}).$$

Proof. We can assume that  $A$  and  $B$  are integers since  $b(A)B^{-1} \ll b(A) \exp(-\sqrt{\log B})$ .

$$\left(1 + O\left(\frac{1}{n}\right)\right) \int_n^{n+1} \frac{b(x)}{x \log x} dx \geq \frac{b(n)}{n \log n} \geq \left(1 + O\left(\frac{1}{n}\right)\right) \int_{n-1}^n \frac{b(x)}{x \log x} dx,$$

$$\log \log n - \log \log(n-1) = \frac{1}{n \log n} + O\left(\frac{1}{n^2}\right),$$

$$\exp(-\sqrt{\log n}) - \exp(-\sqrt{\log(n-1)}) = O\left(\frac{\exp(-\sqrt{\log n})}{n \sqrt{\log n}}\right).$$

We have by the well known formula

$$S(x) = \sum_{p \leq x} \frac{1}{p} = \log \log x + c_5 + O(\exp(-\sqrt{\log x})).$$

It follows

$$\begin{aligned} \sum_{B \leq p \leq A} \frac{b(p)}{p} &= \sum_{B \leq n \leq A} (S(n) - S(n-1)) b(n) \\ &= \sum_{B \leq n \leq A-1} S(n)(b(n) - b(n-1)) + S(A)b(A) - S(B-1)b(B) \end{aligned}$$



$$\begin{aligned}
&= \sum_{B \leq n \leq A} (\log \log n + c_5) (b(n) - b(n+1)) + \\
&\quad + (\log \log A + c_5) b(A) - (\log \log(B-1) + c_5) b(B) + \\
&\quad + O\left(\sum_{B \leq n \leq A} \exp(-\sqrt{\log n}) (b(n) - b(n+1))\right) + O(b(A) \exp(-\sqrt{\log B})) \\
&= \sum_{B \leq n \leq A} b(n) (\log \log n - \log \log(n-1)) + \\
&\quad + O(\exp(-\sqrt{\log n}) - \exp(-\sqrt{\log(n-1)})) + O(b(A) \exp(-\sqrt{\log B})) \\
&= \sum_{B \leq n \leq A} \frac{b(n)}{n \log n} (1 + O(\exp(-\sqrt{\log n}) \sqrt{\log n})) + O(b(A) \exp(-\sqrt{\log B})) \\
&= \int_B^A \frac{b(x)}{x \log x} dx (1 + O(\exp(-\sqrt{\log x}) \sqrt{\log x})) + O(b(A) \exp(-\sqrt{\log B})) \\
&= \int_B^A \frac{b(x)}{x \log x} dx + O(b(A) \exp(-\sqrt{\log B})).
\end{aligned}$$

COROLLARY 1. Let  $\frac{5+(-1)^r}{2} \leq a \leq \beta \leq \frac{1}{2} \log y$ . Then

$$\sum_{y^{1/\beta} \leq p \leq y^{1/a}} \frac{f_r\left(\frac{\log y}{\log p} - 1\right)}{p \log \frac{y}{p}} \leq \int_{\beta}^a \frac{f_r(t-1)}{t-1} dt \log^{-1} y + c_4 \frac{f_r(a-1)}{\exp \sqrt{\log y^{1/\beta}}}.$$

Proof. Let

$$b(x) = \frac{f_r\left(\frac{\log y}{\log x} - 1\right)}{\log \frac{y}{x}}.$$

Hence

$$\int_{y^{1/\beta}}^{y^{1/\alpha}} \frac{b(x)}{x \log x} dx = \int_a^\beta \frac{f_r(t-1)}{t-1} dt \log^{-1} y.$$

Clearly  $b(x)$  is increasing and for  $A = y^{1/\alpha}$ ,  $B = y^{1/\beta}$  we get

$$b(A) \exp(-\sqrt{\log B}) \leq \frac{f_r(a-1)}{\exp \sqrt{\log y^{1/\beta}}}.$$

COROLLARY 2. Let  $2 \leq a \leq \beta \leq \frac{1}{2} \log y$ . Then

$$\left| \sum_{y^{1/\beta} \leq p \leq y^{1/a}} \frac{1}{p \log \frac{y}{p}} - \log \frac{\beta-1}{a-1} \log^{-1} y \right| \leq c_4 \exp(-\sqrt{\log y^{1/\beta}}).$$

Proof. It is enough to take in Lemma 13  $b(x) = \frac{1}{\log \frac{y}{x}}$  and to notice

that

$$\int_{y^{1/\beta}}^{y^{1/a}} \frac{dx}{x \log x \log \frac{y}{x}} = \log \frac{\beta-1}{a-1} \log^{-1} y.$$

COROLLARY 3. Let  $\xi = \log y / (\log \log 3y)^{11/5} > e^{(120c_3)^{5/2}} = s_0$ . If  $(3+(-1)^r)/2 \leq s \leq \xi$ ,  $s_1 = \max(s_0, s)$ , then

$$\begin{aligned}
&\sum_{y^{1/\beta} \leq p \leq y^{1/s}} \left(1 + \frac{\log^5 \left(\frac{\log y}{\log p}\right)}{\log^2 p}\right)^{5\left(\frac{\log y}{\log p} - 1\right)} \frac{G_r \left(\frac{\log y}{\log p} - 1\right)}{p \log^2 \frac{y}{p}} \\
&\leq \left(1 + \frac{s_1^2 \log^5 s_1}{\log^2 y}\right)^{s_1} \frac{G_{r+1}(s)}{\log^2 y} \left(1 + \frac{100c_4 \xi^2}{\exp \sqrt{\log y^{1/\xi}}}\right).
\end{aligned}$$

Proof. Let  $s_0 \leq s \leq \xi$ ,

$$b(x) = \left(1 + \frac{\log^5 \left(\frac{\log y}{\log x}\right)}{\log^2 x}\right)^{5\left(\frac{\log y}{\log x} - 1\right)} \frac{G_r \left(\frac{\log y}{\log x} - 1\right)}{\log^2 \frac{y}{x}}.$$

By Lemma 12,  $b(x)$  is decreasing in the interval  $y^{1/\xi} \leq x \leq y^{1/s}$ . It follows from Lemmata 11 and 13

$$\sum_{y^{1/\xi} \leq p \leq y^{1/s}} \left(1 + \frac{\log^5 \left(\frac{\log y}{\log p}\right)}{\log^2 p}\right)^{5\left(\frac{\log y}{\log p} - 1\right)} \frac{G_r \left(\frac{\log y}{\log p} - 1\right)}{p \log^2 \frac{y}{p}}$$

$$\begin{aligned}
 &< \int_{y^{1/\xi}}^{y^{1/s}} \frac{b(x)}{x \log x} dx + c_4 \frac{b(y^{1/s})}{\exp V \log y^{1/\xi}} \\
 &= \int_s^{\xi} \left(1 + \frac{t^2 \log^5 t}{\log^2 y}\right)^{5(t-1)} \frac{G_r(t-1)t}{(t-1)^2 \log^2 y} dt + \\
 &\quad + c_4 \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5(s-1)} \frac{G_r(s-1)s}{(s-1) \log^2 y} \exp(-V \log y^{1/\xi}) \\
 &< \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \frac{G_{r+1}(s)}{\log^2 y} \left(1 + \frac{20c_4 \xi^2}{\exp V \log y^{1/\xi}}\right).
 \end{aligned}$$

Let  $(3 + (-1)^r)/2 \leq s \leq s_0$

$$\begin{aligned}
 &\left| \sum_{y^{1/s_0} \leq p \leq y^{1/s}} \frac{G_r\left(\frac{\log y}{\log p} - 1\right)}{p \log^2 \frac{y}{p}} - \int_s^{s_0} \frac{G_r(t-1)t}{(t-1)^2} dt \log^{-2} y \right| \\
 &\leq c_4 \frac{s G_r(s-1)}{(s-1) \log^2 y} \exp(-V \log y^{1/s_0}) \\
 &\quad + \sum_{y^{1/\xi} \leq p \leq y^{1/s}} \left(1 + \frac{\log^5\left(\frac{\log y}{\log p}\right)}{\log^2 p}\right)^{5\left(\frac{\log y}{\log p} - 1\right)} \frac{G_r\left(\frac{\log y}{\log p} - 1\right)}{p \log^2 \frac{y}{p}} = \sum_{y^{1/s_0} \leq p \leq y^{1/s}} + \sum_{y^{1/\xi} \leq p \leq y^{1/s_0}} \\
 &< \left(1 + \frac{s_0^2 \log^5 s_0}{\log^2 y}\right)^{5s_0} \sum_{y^{1/s_0} \leq p \leq y^{1/s}} \frac{G_r\left(\frac{\log y}{\log p} - 1\right)}{p \log^2 \frac{y}{p}} + \\
 &\quad + \left(1 + \frac{s_0^2 \log^5 s_0}{\log^2 y}\right)^{5s_0} \frac{G_{r+1}(s_0)}{\log^2 y} \left(1 + \frac{20c_4 \xi^2}{\exp V \log y^{1/\xi}}\right) \\
 &\leq \left(1 + \frac{s_0^2 \log^5 s_0}{\log^2 y}\right)^{5s_0} \frac{1}{\log^2 y} \left[ \left(1 + \frac{20c_4 \xi^2}{\exp V \log y^{1/\xi}}\right) G_{r+1}(s_0) + \right. \\
 &\quad \left. + G_{r+1}(s) - G_{r+1}(s_0) + \frac{s}{s-1} G_r(s-1) c_4 \exp(-V \log y^{1/s_0}) \right] \\
 &\leq \left(1 + \frac{s_0^2 \log^5 s_0}{\log^2 y}\right)^{5s_0} \frac{G_{r+1}(s)}{\log^2 y} \left(1 + \frac{100c_4 \xi^2}{\exp V \log y^{1/\xi}}\right).
 \end{aligned}$$

LEMMA 14. Let  $a \geq b \geq 2$ . Then

$$\sum_{b \leq p \leq a} \frac{1}{p \log p} = \frac{1}{\log b} - \frac{1}{\log a} + O(\exp(-V \log b)).$$

Proof is analogous to the proof of Lemma 13.

LEMMA 15. We have

$$d_{2n+1,y}(s) = \sum_{y^{1/(2n+3)} \leq p_1 < y^{1/s}} \frac{d_{2n,y_1}\left(\frac{\log y_1}{\log p_1}\right)}{p_1} \quad \text{for } s \geq 3,$$

$$d_{2n+2,y}(s) = \sum_{y^{1/(2n+4)} \leq p_1 \leq y^{1/s}} \frac{d_{2n+1,y_1}\left(\frac{\log y_1}{\log p_1}\right)}{p_1} \quad \text{for } s \geq 2.$$

Proof. We have for  $s \geq 3$

$$\begin{aligned}
 d_{2n+1,y}(s) &= \sum_{\substack{y^{1/(2n+3)} \leq p_1 \leq y^{1/s} \\ y_{2n}^{1/3} \leq p_{2n+1} < \dots < p_1 = y_1^{(\log p_1 / \log y_1)} \\ p_{2i+1} < y_{2i}^{1/3}, i=1, \dots, n-1}} \frac{R(p_{2n+1})}{p_1 \dots p_{2n+1}} \\
 &= \sum_{y^{1/(2n+3)} \leq p_1 < y^{1/s}} \frac{d_{2n,y_1}\left(\frac{\log y_1}{\log p_1}\right)}{p_1}.
 \end{aligned}$$

Similarly, for  $s \geq 2$  we have

$$\begin{aligned}
 d_{2n+2,y}(s) &= \sum_{\substack{y^{1/(2n+4)} \leq p_1 < y^{1/s} \\ y_{2n+1}^{1/3} \leq p_{2n+2} < \dots < p_2 < \min(p_1, y_1^{1/3}) \\ p_{2i} < y_{2i-1}^{1/3}, i=1, \dots, n}} \frac{R(p_{2n+2})}{p_1 \dots p_{2n+2}} \\
 &= \sum_{y^{1/(2n+4)} \leq p_1 < y^{1/s}} \frac{d_{2n+1,y_1}\left(\frac{\log y_1}{\log \min(p_1, y_1^{1/3})}\right)}{p_1} = \sum_{y^{1/(2n+4)} \leq p_1 < y^{1/s}} \frac{d_{2n+1,y_1}\left(\frac{\log y_1}{\log p_1}\right)}{p_1}
 \end{aligned}$$

since

$$d_{2n+1,y_1}\left(\frac{\log y_1}{\log \min(p_1, y_1^{1/3})}\right) = d_{2n+1,y_1}\left(\frac{\log y_1}{\log p_1}\right).$$

COROLLARY. Let

$$Q_{2n+1,y}(s) = \sum_{k=1}^n d_{2k+1,y}(s) \quad \text{for } s \geq 1,$$

$$Q_{2n,y}(s) = \sum_{k=1}^n d_{2k,y}(s) \quad \text{for } s \geq 2.$$

Then

$$Q_{2n+1,y}(s) = \sum_{y^{1/(2n+1)} \leq p < y^{1/s}} \frac{Q_{2n,y/p} \left( \frac{\log y}{\log p} - 1 \right)}{p} \quad \text{for } s \geq 3,$$

$$Q_{2n+2,y}(s) = \sum_{y^{1/(2n+4)} \leq p < y^{1/s}} \frac{Q_{2n+1,y/p} \left( \frac{\log y}{\log p} - 1 \right)}{p} + d_{2,y}(s) \quad \text{for } s \geq 2.$$

LEMMA 16. If  $y > 1$ , we have

$$e^r d_{2,y}(s) < g_2(s) \log^{-1} y + c_6 \exp \left( - \sqrt{\frac{\log y}{6}} \right).$$

Proof. Using the well known estimate

$$R(z) = e^{-r} \log^{-1} z + O(\exp(-\sqrt{\log z})),$$

Lemma 14 and Corollary 2 to Lemma 13, we obtain for  $y > 1$ ,  $2 \leq s \leq 4$

$$\begin{aligned} d_{2,y}(s) &= \sum_{y_1^{1/3} \leq p_2 < p_1 < y^{1/s}} \frac{R(p_2)}{p_1 p_2} \\ &= \sum_{y_1^{1/3} \leq p_2 < p_1 < y^{1/s}} \frac{1}{p_1} \left( \frac{e^{-r}}{p_2 \log p_2} + O(\exp(-\sqrt{\log p_2})) \right) \\ &= e^{-r} \sum_{y^{1/4} \leq p_1 < y^{1/s}} \left\{ \frac{1}{p_1} \left( \frac{3}{\log y_1} - \frac{1}{\log p_1} \right) + O \left( \frac{1}{p_1} \exp \left( - \sqrt{\frac{\log y}{6}} \right) \right) \right\} \\ &= e^{-r} \left( 3 \log \frac{3}{s-1} + s-4 \right) \log^{-1} y + O \left( \exp \left( - \sqrt{\frac{\log y}{6}} \right) \right). \end{aligned}$$

THEOREM 4. If  $y > 1$ ,  $\xi = \frac{\log y}{(\log \log 3y)^{1/5}} \geq s \geq \frac{3+(-1)^r}{2}$ , we have

$$(4.1) \quad e^r Q_{r,y}(s) < \frac{f_r(s)}{\log y} + c_7 \frac{r}{r+1} \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s} \frac{G_r(s)}{\log^2 y}.$$

Proof. We begin by defining the constant  $c_7$ . There exists a constant  $c_8$  such that for  $y > c_8$

$$(4.2) \quad 200 \xi^2 \exp(-\sqrt{\log y^{1/\xi}}) < \log^{-2} y,$$

$$(4.3) \quad c_6 \exp \left( - \sqrt{\frac{\log y}{6}} \right) < c_4 \frac{G_r(4)}{\log^4 y},$$

$$(4.4) \quad \exp \left( - \frac{\xi}{2} \log \xi - \xi \log \log \xi - 2c_2 \xi \right) > \exp(-\xi \log \xi + \xi \log \log \xi + 2\xi),$$

$$(4.5) \quad \exp(-\xi \log \xi + 2\xi \log \log \xi - 3c_2 \xi) > \exp(-\xi \log \xi + \xi \log \log \xi + 2\xi),$$

$$(4.6) \quad \left( 1 - \frac{\log \log^2 y}{\log^2 y} \right) \left( 1 + \frac{4c_4}{\log^2 y} \right) \left( 1 + \frac{s_0^2 \log^5 s_0}{\log^2 y} \right)^{5s_0} < 1,$$

$$(4.7) \quad \frac{\log^2 y}{\sqrt{y}} < \exp(-\xi \log \xi - \xi \log \log \xi - 2c_2 \xi).$$

We set

$$c_7 = \frac{(1+\log c_8)^3}{\mathfrak{M}(2 \log c_8)} + 10c_6 \sup_{y \geq 1} \left\{ \log^2 y \exp \left( - \sqrt{\frac{\log y}{6}} \right) \right\} + c_4.$$

If  $y < c_8$  and  $Q_{r,y}(s) > 0$ , then  $2 \leq y^{1/s}$ ,  $s \leq 2 \log y < 2 \log c_8$  and

$$\begin{aligned} (4.8) \quad Q_{r,y}(s) &< \sum_{d \leq y} \frac{1}{d} \leq \sum_{d \leq c_8} \frac{1}{d} < 1 + \int_1^{c_8} \frac{du}{u} = 1 + \log c_8 \\ &< \frac{f_r(s)}{\log y} + c_7 \frac{r}{r+1} \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s} \frac{G_r(s)}{\log^2 y}. \end{aligned}$$

In virtue of Lemma 16 and (4.3) we get (4.1) for  $r = 2$ , and all  $y > 1$ , and for  $r = 2, 3, \dots$ , and each  $y < c_8$ . The further proof will proceed by induction with respect to  $r$ . For  $r = 2$ , (4.1) is true. Assume it is true for  $r = m$ . For  $y < c_8$ ,  $r = m+1$ , (4.1) has just been proved. Let  $y \geq c_8$ . If  $m+1 > \frac{2 \log y}{\log \log y}$ , then  $p_1 \dots p_{m-1} > y$  for  $y \geq c_8$  and any distinct primes  $p_1, \dots, p_{m-1}$ , thus  $d_{m+1,y}(s) = d_{m-1,y}(s) = 0$  and  $Q_{m+1,y}(s) = Q_{m-1,y}(s)$ . In this case, (4.1) for  $r = m+1$  follows from the inductive assumption.

It remains to consider the case  $y \geq c_8$ ,  $m+1 \leq \frac{2 \log y}{\log \log y}$ .

In virtue of Lemmata 13, 15, 8, 16, Corollary 3 to Lemma 13 and (4.3) we have for  $s \geq (5 + (-1)^m)/2$

$$\begin{aligned}
 & e^s Q_{m+1,y}(s) \\
 &= e^s Q_{m+1,y}(\xi - 1) + \sum_{y^{1/(\xi-1)} \leq p < y^{1/s}} \frac{e^s Q_{m,y/p} \left( \frac{\log y}{\log p} - 1 \right)}{p} + \frac{1 + (-1)^{m+1}}{2} e^s d_{2,y}(s) \\
 &< e^s Q_{m+1,y}(\xi - 1) + \sum_{y^{1/(\xi-1)} \leq p < y^{1/s}} \frac{f_m \left( \frac{\log y}{\log p} - 1 \right)}{p \log \frac{y}{p}} + \\
 &\quad + c_7 \frac{m}{m+1} \sum_{y^{1/(\xi-1)} \leq p < y^{1/s}} \left( 1 + \frac{\log^5 \left( \frac{\log y}{\log p} - 1 \right)}{\log^2 y} \right)^{5 \left( \frac{\log y}{\log p} - 1 \right)} G_m \left( \frac{\log y}{\log p} - 1 \right) + \\
 &\quad + \frac{1 + (-1)^{m+1}}{2} e^s d_{2,y}(s) \\
 &= e^s Q_{m+1,y}(\xi - 1) + \int_s^\xi \frac{f_m(t-1)}{t-1} dt \log^{-1} y + \\
 &\quad + c_7 \frac{m}{m+1} \left( 1 + \frac{s_1^2 \log^5 s_1}{\log^2 y} \right)^{5s_1} (1 + 100c_4 \xi^2 \exp(-\sqrt{\log y^{1/\xi}})) \frac{G_{m+1}(s)}{\log^2 y} + \\
 &\quad + c_4 \frac{f_m(s-1)}{\exp \sqrt{\log y^{1/\xi}}} + \frac{1 + (-1)^{m+1}}{2} e^s d_{2,y}(s) \\
 &< \frac{f_{m+1}(s)}{\log y} + \frac{1 - \operatorname{sgn}(s-4)}{2} c_6 \exp \left( -\sqrt{\frac{\log y}{6}} \right) + \\
 &\quad + c_7 \frac{m}{m+1} \frac{G_{m+1}(s)}{\log^2 y} \left( 1 + \frac{s_1^2 \log^5 s_1}{\log^2 y} \right)^{5s_1} (1 + 200c_4 \xi^2 \exp(-\sqrt{\log y^{1/\xi}})) + \\
 &\quad + e^s Q_{m+1,y}(\xi - 1), \\
 Q_{m+1,y}(\xi - 1) &\leq \sum_{2 \leq k \leq m+1} d_{k,y}(\xi - 1) = \sum_{\xi-3 \leq k \leq m+1} d_{k,y}(\xi - 1) < \sum_{k > \xi-3} \frac{(\sum 1/p)^k}{k!} \\
 &< \left( \frac{5 \log \log y}{\xi} \right)^\xi < \exp \{-\xi \log \xi + \xi \log \log \xi + 2\xi\}.
 \end{aligned}$$

Therefore, if  $s < \xi/2$ , we get from (4.4) and Lemma 9 that

$$\begin{aligned}
 (4.9) \quad \frac{G_{m+1}(s)}{\log^4 y} &> \frac{\exp \{-s \log s - s \log \log s - c_2 s\}}{\log^4 y} \\
 &> \exp \{-\frac{1}{2} \xi \log \xi - \xi \log \log \xi - 2c_2 \xi\} \\
 &> \exp \{-\xi \log \xi + \xi \log \log \xi + 2\xi\} > Q_{m+1,y}(\xi - 1),
 \end{aligned}$$

if, on the other hand,  $s \geq \xi/2$ , we obtain from (4.5) and Lemma 9

$$\begin{aligned}
 (4.10) \quad \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s} \frac{G_{m+1}(s)}{\log^4 y} &> (\log \log y)^{3s} \exp \{-s \log s - s \log \log s - 2c_2 s\} \\
 &> \exp \{-s \log s + 2s \log \log s - 3c_2 s\} \\
 &> \exp \{-\xi \log \xi + 2\xi \log \log \xi - 3c_2 \xi\} > Q_{m+1,y}(\xi - 1).
 \end{aligned}$$

It follows now from (4.2), (4.9), (4.10) that for  $s \geq (5 + (-1)^m)/2$

$$e^s Q_{m+1,y}(s) < \frac{f_{m+1}(s)}{\log y} + c_7 \frac{m}{m+1} \left( 1 + \frac{s_1^2 \log^5 s_1}{\log^2 y} \right)^{5s_1} \left( 1 + \frac{3c_4}{\log^2 y} \right) \frac{G_{m+1}(s)}{\log^2 y}.$$

If  $m$  is even and  $1 \leq s \leq 3$ , the last inequality is also true since its both sides are constant in the interval  $1 \leq s \leq 3$ . Since by (4.6)

$$\begin{aligned}
 & \frac{m}{m+1} \left( 1 + \frac{s_1^2 \log^5 s_1}{\log^2 y} \right)^{5s_1} \left( 1 + \frac{3c_4}{\log^2 y} \right) \\
 & < \frac{m+1}{m+2} \left( 1 - \frac{1}{(m+1)^2} \right) \left( 1 + \frac{s_0^2 \log^5 s_0}{\log^2 y} \right)^{5s_0} \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s} \left( 1 + \frac{3c_4}{\log^2 y} \right) \\
 & < \frac{m+1}{m+2} \left( 1 + \frac{s^2 \log^5 s}{\log^2 y} \right)^{5s},
 \end{aligned}$$

the proof of (4.1) is complete.

#### DEFINITION.

$$a_{l,y}(s) = \sum_{\substack{d=p_1 \dots p_l \\ p_l < \dots < p_1 < y^{1/s}, p_{2i+1} < y_{2i}^{1/3} \\ i=1, \dots, [l/2-1]}} 1 \quad \text{for } s \geq 3, l \geq 0,$$

$$a_{l,y}(s) = a_{l,y}(3) \quad \text{for } 1 \leq s \leq 3, l \geq 0,$$

$$b_{l,y}(s) = \sum_{\substack{d=p_1 \dots p_l \\ p_l < \dots < p_1 < y^{1/s}, p_{2i} < y_{2i-1}^{1/3} \\ i=1, \dots, [l/2]}} 1 \quad \text{for } s \geq 2, l \geq 0,$$

where for  $l = 0$ ,  $d = p_1 \dots p_l$  means  $d = 1$ .

Let

$$A_{2r,y}(s) = \sum_{l=0}^{2r} a_{l,y}(s), \quad A_{2r+1,y}(s) = \sum_{l=0}^{2r+1} b_{l,y}(s).$$

LEMMA 17. We have

$$A_{2r+1,y}(s) = \sum_{p < y^{1/s}} A_{2r,y/p} \left( \frac{\log y}{\log p} - 1 \right) + 1 \quad \text{for } s \geq 2,$$

$$A_{2r+2,y}(s) = \sum_{p < y^{1/s}} A_{2r+1,y/p} \left( \frac{\log y}{\log p} - 1 \right) \quad \text{for } s \geq 3.$$

Proof is analogous to the proof of Lemma 15.

THEOREM 5. If  $y > 1$ ,  $\frac{3 + (-1)^r}{2} \leq s \leq \xi$ , then

$$(4.11) \quad A_{r,y}(s) < c_7 \frac{r}{r+1} \left( 1 + \frac{s^2 \log^6 s}{\log^2 y} \right)^{5s} \frac{G_{r+1}(s)}{\log^2 y} y.$$

Proof. Since  $c_7 > \frac{6 \log^2 c_6}{M(\log c_6)}$ , it follows for  $y \leq c_6$ ,  $\frac{3 + (-1)^r}{2} \leq s \leq \xi$  and  $r = 1, 2, \dots$

$$A_{r,y}(s) < y < \frac{y}{2 \log^2 y} c_7 G_{r+1}(s).$$

The inequality (4.10) is satisfied in this case. The further proof will proceed by induction with respect to  $r$ .

For  $r = 1$  we have by Lemma 9 and (4.7)

$$\begin{aligned} A_{1,y}(s) &\leq A_{1,y}(2) = 1 + \sum_{p \leq y^{1/2}} 1 < y^{1/2} \\ &\leq \frac{2}{3} \frac{y}{\log^2 y} \exp\{-\xi \log \xi - \xi \log \log \xi - 2c_2 \xi\} \\ &< \frac{2}{3} \frac{y}{\log^2 y} G_2(\xi) \leq \frac{2y}{3 \log^2 y} G_2(s), \end{aligned}$$

thus (4.10) holds.

Assume that (4.11) holds for  $r = m$ . If  $y \leq c_6$ , (4.11) is satisfied for  $r = m+1$ . Let  $y \geq c_6$ . If  $m+1 > \frac{2 \log y}{\log \log y}$  and  $p_1, \dots, p_{m-1}$  are any distinct primes, then  $p_1 \dots p_{m-1} > y$ ,  $A_{m+1,y}(s) = A_{m-1,y}(s)$ , thus (4.11) holds for  $r = m+1$  by inductive assumption.

It remains to consider as in the proof of Theorem 4 the really important case

$$\frac{3 + (-1)^r}{2} \leq s \leq \xi, \quad m+1 \leq \frac{2 \log y}{\log \log y}.$$

We show in the same way as in the proof of Theorem 4 that

$$\begin{aligned} A_{m+1,y}(s) &= A_{m+1,y}(\xi-1) + \sum_{y^{1/(\xi-1)} \leq p < y^{1/s}} A_{m,y/p} \left( \frac{\log y}{\log p} - 1 \right) \\ &< A_{m+1,y}(\xi-1) + c_7 \frac{m}{m+1} \sum_{y^{1/(\xi-1)} \leq p < y^{1/s}} \left( 1 + \frac{\log^5 \left( \frac{\log y}{\log p} - 1 \right)}{\log^2 p} \right)^{5 \left( \frac{\log y}{\log p} - 1 \right)} \times \\ &\quad \times \frac{G_{m+1} \left( \frac{\log y}{\log p} - 1 \right)}{p \log^2 \frac{y}{p}} \\ &< A_{m+1,y}(\xi-1) + c_7 \frac{m}{m+1} \frac{y}{\log^2 y} G_{m+2}(s) \left( 1 + 3c_4 \log^{-2} y \right) \left( 1 + \frac{s_1^2 \log^5 s_1}{\log^2 y} \right)^{5s_1}. \end{aligned}$$

Let  $\xi_1 = \xi - \xi/\log \xi$ . We have

$$\begin{aligned} A_{m+1,y}(\xi-1) &\leq \sum_{1 \leq k \leq m+1} \{a_{k,y}(\xi-1) + b_{k,y}(\xi-1)\} = \sum_{k < \xi_1} + \sum_{\xi_1 \leq k \leq m+1}, \\ \sum_{k < \xi_1} &< 2 \sum_{k < \xi_1} \frac{(\pi(y^{1/(\xi-1)}))^k}{k!} < 6y^{\xi_1/(\xi-1)} < 6y^{1-1/\log^2 \xi} < y \exp(-\xi \log \xi + \xi \log \log \xi), \\ \sum_{\xi_1 \leq k \leq m+1} &< 2y \sum_{\substack{r(d) \geq \xi_1 \\ p|d \Rightarrow p < y}} d^{-1} < 6y \left( \frac{3 \log \log y}{\xi_1} \right)^{\xi_1} < y \exp(-\xi \log \xi + \xi \log \log \xi). \end{aligned}$$

Hence by (4.8) and (4.9) we get

$$\begin{aligned} A_{m+1,y}(\xi-1) &< y \exp(-\xi \log \xi + \xi \log \log \xi + 2\xi) \\ &< \left( 1 + \frac{s^2 \log^6 s}{\log^2 y} \right)^{5s} \frac{y}{\log^2 y} G_{m+2}(s). \end{aligned}$$

It follows

$$\begin{aligned} A_{m+1,y}(s) &< c_7 \frac{m}{m+1} \frac{y}{\log^2 y} G_{m+2}(s) \left( 1 + \frac{s_1^2 \log^5 s_1}{\log^2 y} \right)^{5s_1} \left( 1 + \frac{4c_4}{\log^2 y} \right) \\ &< c_7 \frac{m+1}{m+2} \frac{y}{\log^2 y} G_{m+2}(s) \left( 1 + \frac{s^2 \log^6 s}{\log^2 y} \right)^{5s} \end{aligned}$$

and the proof is complete.

I am unable to decide whether in Theorem 5 the right hand side can be replaced by  $o(y/\log^2 y)$ .

### § 5. Proof of Theorems 1, 2, 3.

**Proof of Theorem 1.** It follows from Theorem 5 that

$$\sum_1 (y^{1/s}) = A_{2r,y}(s) \ll y \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \frac{\mathfrak{F}(s)}{\log^2 y},$$

$$\sum_2 (y^{1/s}) = A_{2r-1,y}(s) \ll y \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \frac{\mathfrak{f}(s)}{\log^2 y}$$

for  $s < \frac{\log y}{(\log \log 3y)^{1/5}} = \xi$  and any integer  $r > 1$ .

In virtue of Mertens formula

$$\frac{1}{R(y^{1/s})} = \frac{e^s \log y}{s} + O(1),$$

$$\frac{1}{R_k(y^{1/s})} < \frac{k}{\varphi(k)} \frac{1}{R(y^{1/s})} \ll \frac{\log \log 3k \log y}{s}.$$

Using Corollary to Lemma 6 we get

$$\frac{\sum_i (y^{1/s})}{R_k(y^{1/s})} \ll \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \mathfrak{M}(s) \frac{\log \log 3k}{\log y} \quad \text{for } i = 1, 2, s < \xi.$$

Using Theorem 4, (2.12) and (2.13) we obtain for  $r = [\log y]$

$$\begin{aligned} \frac{S_{r,k}(y^{1/s})}{R_k(y^{1/s})} &\leq \frac{S_{r,1}(y^{1/s})}{R(y^{1/s})} = 1 + \frac{Q_{2r+1,y}(s)}{R(y^{1/s})} \\ &< 1 + \frac{e^s Q_{2r+1,y}(s) \log y}{s} + O(Q_{2r+1,y}(s)) \\ &< 1 + \frac{F(s)}{s} + O\left(\left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \frac{F(s)}{\log y}\right) \\ &= 1 + \frac{F(s)}{s} + O\left(\left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \frac{\mathfrak{M}(s)}{\log y}\right), \end{aligned}$$

since by Lemma 7 and Corollary to Lemma 6  $F(s) \ll \mathfrak{M}(s)$ .

Analogously

$$\begin{aligned} \frac{T_{r,k}(y^{1/s})}{R_k(y^{1/s})} &\geq \frac{T_{r,1}(y^{1/s})}{R(y^{1/s})} = 1 - \frac{Q_{2r,y}(s)}{R(y^{1/s})} \\ &> 1 - \frac{f(s)}{s} + O\left(\left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \frac{\mathfrak{M}(s)}{\log y}\right). \end{aligned}$$

The above estimates together with (2.7), (2.8) imply Theorem 1.

**Proof of Theorem 2.** The set  $M = \{n+1, \dots, n+y\}$  satisfies the assumption of Corollary to Theorem 1 since

$$\left| |M_d| - \frac{y}{d} \right| = \left| \left[ \frac{n+y}{d} \right] - \left[ \frac{n}{d} \right] - \frac{y}{d} \right| \leq 1.$$

For  $k = 1, 2 \leq s \leq 4$ , the inequality (1.4) implies

$$A_1(M; y^{1/s}) \geq y R(y^{1/s}) \left( \frac{2e^s \log(s-1)}{s} - \frac{c_1}{\log y} \right).$$

Let  $P_r$  be the  $r$ th prime,  $s = 2 + \frac{c_1}{\log P_r}$ ,  $y = P_r^s = e^{c_1} P_r^2$ . We get for  $r \geq r_0$

$$A_1(M; P_r) > \frac{y R(P_r)}{s} \left( 2e^s \log \left( 1 + \frac{c_1}{\log P_r} \right) - \frac{3c_1}{\log P_r} \right) > 0,$$

thus  $C_0(r) \ll r^2 \log^2 r$  which completes the proof.

**Proof of Theorem 3.** Let  $k = k_1 k_2$ , where  $k_1 | P(z) = \prod_{p \leq z} p$ ,  $(k_2, P(z)) = 1$ . Then

$$A_k(M; z) = A_{k_1}(M; z), \quad R_k(z) = R_{k_1}(z),$$

$$k_1 < [z+1]!, \quad \log \log 3k_1 \ll \log z,$$

hence

$$A_k(M; y^{1/s}) = y R_k(y^{1/s}) \left( 1 + O\left(\left(1 + \frac{s^2 \log^5 s}{\log^2 y} + 1\right)^{5s} \mathfrak{M}(s)\right) \right)$$

for  $s < \frac{\log y}{(\log \log 3y)^{1/5}}$ . We have, however

$$\begin{aligned} \left(1 + \frac{s^2 \log^5 s}{\log^2 y}\right)^{5s} \mathfrak{M}(s) &< \left(1 + \frac{1}{\log s}\right)^{5s} \mathfrak{M}(s) < \exp\left\{\frac{5s}{\log s}\right\} \mathfrak{M}(s) \\ &< \exp\left\{-s \log s - \log \log s + s - \frac{s \log \log s}{\log s} + O(s)\right\} = O\left(\frac{e}{s \log s}\right)^s \end{aligned}$$

for  $s < \frac{\log y}{(\log \log 3y)^6}$ , which implies the first part of Theorem 3.

If  $\log z < \frac{\log y \log \log \log 16y}{\log \log 3y}$ ,  $z = y^{1/s}$ , then  $s > \frac{2 \log \log 3y}{\log \log \log 16y}$ , thus

$$\left(\frac{e}{s \log s}\right)^s < O\left(\frac{1}{\log y}\right)$$

and the proof is complete.

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Received on 5. 1. 1970

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**A Kuzmin theorem for a class  
of number theoretic endomorphisms**

by

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Recently several papers ([3], [4], [5], [6], [7]) have been concerned with generalizations of a 1928 theorem of Kuzmin. His result gives a rate of  $e^{-\lambda \sqrt{n}}$  for the convergence of the iteration of an arbitrary function to the invariant measure for the continued fraction. The present paper gives a generalized Kuzmin theorem for a class of multi-dimensional  $F$ -expansions which includes the  $n$ -dimensional continued fraction. An earlier paper ([6]) presented such a theorem with a rate of  $(e^{-\lambda \sqrt{v}} + \sigma(\sqrt{v}))$ . Our present theorem improves the rate to  $\sigma(v)$ .

Our  $F$ -expansions were first considered in [6], and we include a short summary of notation and assumptions here. Let  $A$  be a fixed convex subset of  $R^n$ . Suppose  $F$  is a one-to-one continuous map of  $A$  onto  $(0, 1)^n$ . We assume  $J_F(\cdot)$ , the Jacobian of  $F$ , exists, the components of  $F$  have continuous first order partial derivatives, and  $J_F(x) \neq 0$  for almost all  $x \in A$ . Let  $D = F^{-1}$ ,  $T(x) = D(x) - [D(x)]$ , and  $a_v(x) = [D(T^{v-1}x)]$  (where  $[z] = ([z_1], [z_2], \dots, [z_n])$ ). We call  $a_v(x)$  the  $v$ -th coordinate of the  $F$ -expansion of  $x$ . Letting

$$(0, 1)_F^n := \{x \in (0, 1)^n : T^v(x) \in (0, 1)^n \text{ for all } v \geq 1\},$$

we impose the assumption  $m(0, 1)_F^n = 1$ , where  $m$  denotes  $n$ -dimensional Lebesgue measure. We will write  $F \in \mathcal{F}$  to indicate the satisfaction of these assumptions.

We define the cylinder of order  $v$  generated by a realizable set of coordinates  $k_1, k_2, \dots, k_v$  as

$$B_v = B_v(k_1, k_2, \dots, k_v) = \{x \in (0, 1)_F^n : a_i(x) = k_i, i = 1, \dots, v\},$$

and the cylinder of order  $v$  generated by  $x \in (0, 1)_F^n$  as

$$B_v = B_v(x) = \{y \in (0, 1)_F^n : a_i(y) = a_i(x), i = 1, \dots, v\}.$$