

A refinement of a theorem of Gerst on power residues

by

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Let n be a positive integer, a rational, $P(n, a)$ the set of primes p such that the congruence $x^n \equiv a \pmod{p}$ is soluble. Under the assumption that a, b are non-zero integers I. Gerst in the preceding paper [2] gave necessary and sufficient condition for the equality $P(n, a) = P(n, b)$ understood in the sense that the set $P(n, a) - P(n, b)$ has Dirichlet density zero. The following theorem gives a necessary and sufficient condition that $P(n, a) \setminus P(n, b)$ has density zero (the natural density exists).

THEOREM 1. *Let a, b non-zero rationals. $P(n, a) \setminus P(n, b)$ has density zero if and only if there exists an integer t such that either*

$$(i) \quad ba^t = d^n \text{ for some rational } d,$$

or

$$(ii) \quad n \equiv 0 \pmod{8}, \quad ba^t = 2^{n/2} d^n \text{ for some rational } d,$$

or

$$(iii) \quad n \equiv 4 \pmod{8}, \quad a = -c^2, \quad ba^t = -2^{n/2} d^n \text{ for some rational } c, d.$$

The deduction of Gerst theorem from the above result is mechanical and is left to the reader. It is only of interest that if we assume $P(n, a) = P(n, b)$ the case (iii) disappears. The proof of Theorem 1 is based on a result of Elliott [1] and the theory of cyclotomy. It would be preferable to have a proof which would generalize to algebraic number fields.

As an application we prove

THEOREM 2. *Let a, b non-zero rationals. If the congruence $a^x \equiv b \pmod{p}$ is soluble for almost all primes p then $b = a^k$ with integer k .*

This is a generalization of a theorem of the writer [4] concerning the case a, b integers, $a > 0$. The proof given in [4] extends to the general case but the paper abounds in misprints which make it difficult to understand. The case a integer, b rational has been treated but not completely disposed of by G. Jaeschke and E. Trost [3].

LEMMA 1. The density of primes $p \equiv 1 \pmod{m}$ such that each congruence $x^m \equiv a_i \pmod{p}$ ($a_i \neq 0, 1 \leq i \leq r$) is soluble equals

$$\bar{d}(m, a_1, \dots, a_r) = \frac{1}{\varphi(m) m^r} \sum_{\substack{r_1=1 \\ a_1^{r_1} \dots a_r^{r_1} = 1 \pmod{m}}}^m \dots \sum_{\substack{r_{r-1}=1 \\ a_1^{r_{r-1}} \dots a_r^{r_{r-1}} = 1 \pmod{m}}}^m 1.$$

Proof. This is a special case of Theorem 1 of Elliott [1].

LEMMA 2. The density of primes p such that $(p-1, n) = k$ and each congruence $x^n \equiv a_i \pmod{p}$, ($a_i \neq 0, 1 \leq i \leq r$) is soluble equals

$$\sum_{\substack{t|n \\ t \equiv k \pmod{n}}} \mu(t) \bar{d}(kt, a_1^t, \dots, a_r^t).$$

Proof. Let for a given $x, k|n$ and $u|n/k, f(u, x)$ be the number of primes $p \leq x$ such that $(p-1, n) = n/u$ and each congruence $x^k \equiv a_i \pmod{p}$ ($1 \leq i \leq r$) is soluble. Then for any $v|n/k$

$$\frac{\log x}{x} \sum_{u|v} f(u, x) = \bar{d}\left(\frac{n}{v}; a_1^{n/vk}, a_2^{n/vk}, \dots, a_r^{n/vk}\right) + o(1).$$

It follows by the Möbius inversion formula that for any $u|n/k$

$$\frac{\log x}{x} f(u, x) = \sum_{t|u} \mu(t) \bar{d}\left(\frac{nt}{u}; a_1^{nt/uk}, \dots, a_r^{nt/uk}\right) + o(1)$$

and in particular

$$\frac{\log x}{x} f\left(\frac{n}{k}, x\right) = \sum_{t|n/k} \mu(t) \bar{d}(kt; a_1^t, \dots, a_r^t) + o(1).$$

However, under the condition $(p-1, n) = k$ solubility of $x^k \equiv a_i \pmod{p}$ is equivalent to solubility of $x^n \equiv a_i \pmod{p}$ and the proof is complete.

LEMMA 3. Let d be a rational integer, $k(d)$ its square-free kernel. $\sqrt{d} \in Q(\zeta_m)$ if and only if $k(d)|m$ and either $m \not\equiv 0 \pmod{4}, k(d) \equiv 1 \pmod{4}$ or $m \equiv 4 \pmod{8}, k(d) \equiv 1 \pmod{2}$ or $m \equiv 0 \pmod{8}$.

Proof. This is an equivalent formulation of Lemma 5 of [2].

LEMMA 4. A number C is rational of the form γ^m with $\gamma \in Q(\zeta_m)$ if and only if either $m \equiv 1 \pmod{2}, C = c^m, c \in Q$ or $m \equiv 0 \pmod{2}, C = c^{m/2}, c \in Q, \sqrt{c} \in Q(\zeta_m)$ or $m \equiv 4 \pmod{8}, C = -2^{m/2} c^{m/2}, c \in Q, \sqrt{c} \in Q(\zeta_m)$.

Proof. In order to prove the necessity of the condition set $C = \eta C_1^t$, where $\eta = \pm 1, C_1$ is a positive integer, not a power. If $\eta = 1$, then

$|C_1^{t/m}| \in Q(\zeta_m)$ and since all the subfields of $Q(\zeta_m)$ are normal, $C_1^{t/m}$ has only real values and $m|(t, m) \leq 2$.

If $m \equiv 1 \pmod{2}, m|t$ and we take $c = C_1^{t/m}$, if $m \equiv 0 \pmod{2}$ we take $c = C_1^{2t/m}$. If $\eta = -1, \zeta_{2m} | C_1^{t/m} \in Q(\zeta_m)$. If $m \equiv 1 \pmod{2}, |C_1^{t/m}| \in Q(\zeta_m)$ and as before $m|t, c = -C_1^{t/m}$. If $m \equiv 0 \pmod{2}, |C_1^{t/m}| \notin Q(\zeta_m)$, since otherwise $\zeta_{2m} \in Q(\zeta_m)$. It follows that $m \nmid t$. On the other hand, $|C_1^{t/m}| \in Q(\zeta_{2m})$, thus $t \equiv m/2 \pmod{m}$. Therefore, we have $\sqrt{C_1} \notin Q(\zeta_m), \sqrt{C_1} \in Q(\zeta_{2m})$. By Lemma 3 either $m \equiv 2 \pmod{4}, k(C_1) \equiv 3 \pmod{4}$ or $m \equiv 4 \pmod{8}, k(C_1) \equiv 0 \pmod{2}$. In the former case $\sqrt{-C_1} \in Q(\zeta_m)$ and we take $c = -C_1^{2t/m}$, in the latter case $\sqrt{C_1/2} \in Q(\zeta_m)$ and we take $c = \frac{1}{2} C_1^{2t/m}$.

In order to show the sufficiency of the condition we take $\gamma = c, \gamma = \sqrt{c}$ or $\gamma = (1+i)\sqrt{c}$ in the first, second or third case, respectively.

LEMMA 5. Let $e_m(d)$ be the least positive exponent such that $d^e = \gamma^m$ with $\gamma \in Q(\zeta_m)$. If $a = \eta a_1^t$, where $\eta = \pm 1, a_1$ is a positive integer, not a power and $t \equiv 1 \pmod{2}$ then

$$e_m(a^t) = \frac{(m, t)}{(m, 2t)} e_m(a).$$

Proof (due to I. Gerst) ⁽¹⁾. Let $m_1 = \frac{m}{(m, 2t)}, l_1 = \frac{2t}{(m, 2t)}$. Then it is clear that

$$e_m(a) = m_1 \text{ or } 2m_1.$$

Indeed, if m is odd it follows from Lemma 4 immediately that $e_m(a) = m_1$, if m is even $\eta^e a_1^e = c^{m/2}$ or $-(2c)^{m/2}$ requires e to be a multiple of m_1 and $a^{2m_1} = (a_1^{2t})^{m_1/2}$ with $\sqrt{a_1^{2t}} \in Q(\zeta_m)$. Using the characterization of the rationals γ^m with $\gamma \in Q(\zeta_m)$ given in Lemma 4 we find easily

$$e_m(a) = \begin{cases} m_1 & \text{if (1) or (2) or (3) or (4),} \\ 2m_1 & \text{otherwise,} \end{cases}$$

where (1), (2), (3), (4) are the following conditions

- (1) $m \equiv 1 \pmod{2},$
- (2) $\eta^{m_1} = 1, \sqrt{a_1^{2t}} \in Q(\zeta_m),$
- (3) $m \equiv 2 \pmod{4}, \eta = -1, \sqrt{-a_1^{2t}} \in Q(\zeta_m),$
- (4) $m \equiv 4 \pmod{8}, \eta^{m_1} = -1, \sqrt{2a_1^{2t}} \in Q(\zeta_m).$

⁽¹⁾ The writer's original proof was rather involved.

Now if a is replaced by a^l (l odd), l is replaced by lt , η , a_1 and the parity of m_1 and l_1 are unchanged. Therefore the conditions (1)–(4) remain the same and we get

$$\frac{e_m(a^l)}{e_m(a)} = \frac{(m, 2l)}{(m, 2lt)} = \frac{(m, l)}{(m, lt)},$$

q.e.d.

Proof of Theorem 1. It is clearly sufficient to prove the theorem for a, b integers. Assume that $P(n, a) \setminus P(n, b)$ has density zero. It follows from Lemmata 1 and 2 that for each $k|n$

$$\sum_{\substack{t|n \\ t|k}} \frac{\mu(t)}{\varphi(kt)kt} \sum_{\substack{l=1 \\ a^{lt} = \gamma_1^{kt}, \gamma_1 \in Q(\zeta_{kt})}}^k 1 = \sum_{\substack{t|n \\ t|k}} \frac{\mu(t)}{\varphi(kt)(kt)^2} \sum_{\substack{l_1=1 \\ a^{l_1 t} b^{l_2 t} = \gamma_2^{kt}, \gamma_2 \in Q(\zeta_{kt})}}^{kt} \sum_{\substack{l_2=1 \\ \gamma_2 \in Q(\zeta_{kt})}}^{kt} 1.$$

Clearly, the inner sum on the left hand side equals

$$\frac{kt}{e_{kt}(a^l)}$$

and the inner (double) sum on the right hand side equals

$$\frac{(kt)^2}{e_{kt}(a^l) f_{kt}(a^l, b^l)},$$

where $f_m(d_1, d_2)$ is the least positive exponent e such that for suitable integer $v: d_1^e d_2^e = \gamma^m$ with $\gamma \in Q(\zeta_m)$. Thus we get for each $k|n$

$$(5) \quad \sum_{\substack{t|n \\ t|k}} \frac{\mu(t)}{\varphi(kt)} \cdot \frac{1}{e_{kt}(a^l)} \left(1 - \frac{1}{f_{kt}(a^l, b^l)} \right) = 0.$$

Applying (5) with $k = n$, we get

$$f_n(a, b) = 1,$$

that is for suitable v_0

$$a^{v_0} b = \gamma^n, \quad \gamma \in Q(\zeta_n).$$

By Lemma 4 we have either

$$n \text{ odd, } a^{v_0} b = c^n, \quad c \in Q$$

or

$$(6) \quad 2|n, \quad a^{v_0} b = c^{n/2}, \quad c \in Q$$

or

$$(7) \quad n \equiv 4 \pmod{8}, \quad a^{v_0} b = -2^{n/2} c^{n/2}, \quad c \in Q.$$

In the first case (i) holds. Consider the case (6). Clearly if $2 \nmid \frac{n}{k}$,

then

$$f_{kt}(a^l, b^l) = 1.$$

If $2 \nmid \frac{nt}{k}$, then

$$(8) \quad f_{kt}(a^l, b^l) = \begin{cases} 1 & \text{if for some } v: a^v c^{kt/2} = \gamma^{kt}, \gamma \in Q(\zeta_{kt}), \\ 2 & \text{otherwise.} \end{cases}$$

Indeed, if $a^v c^{kt/2} = \gamma^{kt}, \gamma \in Q(\zeta_{kt})$; then $a^{2v} = \gamma_1^{kt}, \gamma_1 \in Q(\zeta_{kt})$, hence $e_{kt}(a) | 2v$. Since $2 \nmid t$, we have $(t, e_{kt}(a)) | v$, thus there exist integers u and v such that $tu - e_{kt}(a)v = v$. Hence

$$a^v = a^{tu - e_{kt}(a)v} = a^{tu} \gamma_2^{-kt}, \quad \gamma_2 \in Q(\zeta_{kt}).$$

Similarly, by (6)

$$c^{kt/2} = c^{nt/2} (c^{-\frac{n}{2k} - \frac{1}{2}})^{-kt} = a^{v_0 t} b^t \gamma_3^{-kt}, \quad \gamma_3 \in Q(\zeta_{kt}),$$

thus

$$(a^l)^{u+v_0} b^l = (\gamma \gamma_2 \gamma_3)^{kt}, \quad \gamma \gamma_2 \gamma_3 \in Q(\zeta_{kt})$$

and $f_{kt}(a^l, b^l) = 1$. On the other hand, if $a^v c^{kt/2} \neq \gamma^{kt}$ for all integers v and all $\gamma \in Q(\zeta_{kt})$, then also

$$a^{v_0} b^l = a^{(v-v_0)t} c^{kt/2} (c^{\frac{n}{2k} - \frac{1}{2}})^{kt} \neq \gamma^{kt} \quad \text{and} \quad f_{kt}(a^l, b^l) \neq 1.$$

Since

$$a^{2v_0 t} b^{2l} = (c^{n/k})^{kt}, \quad f_{kt}(a^l, b^l) = 2.$$

The formula (8) follows and, if nt/k is odd, $f_{kt}(a^l, b^l) = f_{kt}(a, b)$. On substituting into (5) and using Lemma 5 we get for each k such that n/k is odd

$$(9) \quad \sum_{\substack{t|n \\ t|k}} \frac{\mu(t)}{\varphi(kt)} \cdot \frac{(kt, lt)}{e_{kt}(a)(kt, l)} \left(1 - \frac{1}{f_{kt}(a, b)} \right) = 0.$$

Let $n = 2^a n_1, n_1$ odd. We perform the summation over all k such that n/k is odd and we get

$$\sum_{k_1|n_1} \frac{k_1}{(2^a k_1, l)} \sum_{\substack{t|n_1 \\ t|k_1}} \frac{\mu(t)}{\varphi(2^a k_1 t)} \cdot \frac{(2^a k_1 t, lt)}{e_{2^a k_1 t}(a)(2^a k_1 t, l)} \left(1 - \frac{1}{f_{2^a k_1 t}(a, b)} \right) = 0.$$

The left hand side can be written alternatively as

$$\sum_{m_1|n_1} \frac{m_1}{\varphi(2^{a_1} m_1) e_{2^{a_1} m_1}(a) (2^{a_1} m_1, l)} \left(1 - \frac{1}{f_{2^{a_1} m_1}(a, b)}\right) \sum_{t|m_1} \mu(t) = \frac{1}{\varphi(2^a) e_{2^a}(a) (2^a, l)} \left(1 - \frac{1}{f_{2^a}(a, b)}\right).$$

It follows that $f_{2^a}(a, b) = 1$, thus by (8) for suitable ν

$$a^\nu e^{2^{a-1}} = \gamma^{2^a}, \quad \gamma \in Q(\zeta_{2^a}).$$

By Lemma 4 we have either $a^\nu e^{2^{a-1}} = c_1^{2^a-1}$ with $\sqrt{c_1} \in Q(\zeta_{2^a})$ or $a = 2$ and $a^\nu e^2 = -4c_2^2$ with $\sqrt{c_2} \in Q(\zeta_4)$. Therefore, by Lemma 3 there are the following possibilities:

- $a = 1, c_1 = d^2, a^\nu e = d^2, ba^{\nu_0+m_1} = d^n;$
- $a \geq 2, c_1 = \pm d^2, a^\nu e^{2^{a-1}} = d^{2^a}, ba^{\nu_0+m_1} = d^n;$
- $a > 2, c_1 = \pm 2d^2, a^\nu e^{2^{a-1}} = 2^{2^a-1} d^{2^a}, ba^{\nu_0+m_1} = 2^{n/2} d^n;$
- $a = 2, c_2 = \pm d^2, a^\nu e^2 = -4d^4, ba^{\nu_0+m_1} = -2^{n/2} d^n.$

In the last case, clearly ν is odd and $a = -e^2$, thus in each case (i), (ii) or (iii) holds.

Assume now (7). If n/k is odd we have like previously

$$f_{kt}(a^t, b^t) = f_{kt}(a, b) = \begin{cases} 1 & \text{if for some } \nu: -a^\nu (2c)^{kt/2} = \gamma^{kt}, \gamma \in Q(\zeta_{kt}), \\ 2 & \text{otherwise} \end{cases}$$

and (9) holds. It follows hence as before that $f_4(a, b) = 1$, thus for suitable ν

$$-a^\nu (2c)^2 = d^4 \quad \text{or} \quad -4d^4.$$

In virtue of (7) we obtain

$$ba^{\nu_0+r_1 n} = d^n \quad \text{or} \quad -2^{n/2} d^n,$$

respectively.

Consider now $k \equiv 2 \pmod{4}$. If t is even, $f_{kt}(a^t, b^t) = 1$. If t is odd

$$(10) \quad f_{kt}(a^t, b^t) = \begin{cases} 1 & \text{if for some } \nu: -a^\nu = \gamma^{kt}, \gamma \in Q(\zeta_{kt}), \\ 2 & \text{otherwise.} \end{cases}$$

Indeed, if for some $\nu: -a^\nu = \gamma^{kt}, \gamma \in Q(\zeta_{kt})$ then $e_{kt}(a) | 2\nu$. Since t is odd $(t, e_{kt}(a)) | \nu$ and there exist integers u, v such that $\nu = tu - e_{kt}(a)v$. Hence

$$a^\nu = a^{tu - e_{kt}(a)v} = a^{tu} \gamma_1^{-kt}, \quad \gamma_1 \in Q(\zeta_{kt}).$$

Thus by (7)

$$(a^t)^{\nu_0+r_0 t} b^t = (\gamma \gamma_1)^{kt} (2c)^{nt/2} = (\gamma \gamma_1 2^{n/2k} e^{n/2k})^{kt}$$

and $f_{kt}(a^t, b^t) = 1$. On the other hand, if $-a^\nu \neq \gamma^{kt}$ for all t integers ν and all $\gamma \in Q(\zeta_{kt})$ then also

$$a^{\nu t} b^t = -a^{(\nu_0+r_0)t} (2c)^{nt/2} = -a^{(\nu_0+r_0)t} (2^{n/2k} e^{n/2k})^{kt} \neq \gamma^{kt} \quad \text{and} \quad f_{kt}(a^t, b^t) \neq 1.$$

Since

$$a^{2\nu_0 t} b^{2t} = (2^{n/k} e^{n/k})^{kt}, \quad f_{kt}(a^t, b^t) = 2.$$

Thus (10) holds and for t odd $f_{kt}(a^t, b^t) = f_{kt}(a, b)$. The formula (9) follows as before. We perform the summation over all $k \equiv 2 \pmod{4}, k | n$ and we get

$$\sum_{k_1|n_1} \frac{k_1}{(2k_1, l)} \sum_{\substack{t|k_1 \\ t|n_1}} \frac{(2k_1 t, l)}{(2k_1 t, l) e_{2k_1 t}(a)} \left(1 - \frac{1}{f_{2k_1 t}(a, b)}\right) = 0.$$

The left hand side can be written alternatively, as

$$\sum_{m_1|n_1} \frac{m_1}{\varphi(2m_1) (2m_1, l) e_{2m_1}(a)} (1 - f_{2m_1}^{-1}(a, b)) \sum_{t|m_1} \mu(t) = \frac{1}{(2, l) e_2(a)} (1 - f_2^{-1}(a, b)).$$

It follows that $f_2(a, b) = 1$ and by (10): $-a = \gamma^2$ with $\gamma \in Q(\zeta_2)$. Since γ is rational, $a = -e^2$ and the necessity of alternative (i), (ii) or (iii) is proved. The sufficiency of the conditions (i) and (ii) follows immediately from the fact that $P(n, d^n)$ and for $n \equiv 0 \pmod{8}$ also $P(n, 2^{n/2} d^n)$ consists of all primes. As to (iii) note that by the condition $a = -c^2$, all but finitely many primes from $P(n, a)$ are of the form $4k+1$. For every such prime p the congruence $x^4 \equiv -4 \pmod{p}$ is soluble, thus $p \in P(n, -2^{n/2} d^n)$ and $P(n, a) \setminus P(n, b)$ is finite.

Proof of Theorem 2. Let $a = \varepsilon \prod_{i=1}^r p_i^{\alpha_i}, b = \eta \prod_{i=1}^r p_i^{\beta_i}$, where $\varepsilon = \pm 1, \eta = \pm 1, p_i$ are distinct primes, α_i, β_i are integers. If the congruence $a^x \equiv b \pmod{p}$ is soluble for almost all p then clearly for every positive integer n $P(n, a) \setminus P(n, b)$ has density zero. It follows hence by Theorem 1 with $n = 8m$ that for every positive integer m and suitable integers t_m, d_m

$$ba^{t_m} = d_m^{4m}.$$

The last equality implies

$$\eta \varepsilon^{t_m} = 1,$$

$$(11) \quad \beta_i + t_m \alpha_i \equiv 0 \pmod{4m} \quad (1 \leq i \leq r).$$

For all $i, j \leq r$ we have $\beta_i \alpha_j - \beta_j \alpha_i = 0$, since otherwise there is a contradiction for $m = |\beta_i \alpha_j - \beta_j \alpha_i|$. $\alpha_i = 0$ implies $\beta_i = 0$, otherwise there

is a contradiction for $m = |\beta_i|$. Thus we get for some rational q : $\beta_i = qa_i$ ($1 \leq i \leq r$). If $\alpha_i = 0$ ($1 \leq i \leq r$) then Theorem 2 holds with $k = t_1$. If for some i , $\alpha_i \neq 0$ then (11) with $m = |\alpha_i|$ implies q integer and $t_{|\alpha_i|} \equiv q \pmod{2}$. Hence $\eta = \varepsilon^q$ and Theorem 2 holds with $k = q$.

References

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On the probability that n and $f(n)$ are relatively prime

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It is a well-known theorem of Čebyšev that if n and m are randomly chosen positive integers, then $(n, m) = 1$ with probability $6/\pi^2$. One can expect this to remain true if $m = f(n)$ is a function of n , provided that $f(n)$ does not preserve arithmetic properties of n . Erdős and Lorentz [1] proved that this is so, in the case $f(n) = [f_1(n)]$, where $f_1(x)$ is a smooth function satisfying certain (weak) conditions.

The case $f_1(n) = \alpha n$ was considered by G. L. Watson [6]. For all α , the positive integers n for which $(n, f(n)) = 1$ have a density, and in particular, for irrational α this is $6/\pi^2$.

Suppose now that $f(n)$ is a multiplicative function of n . We set

$$T(x) = \sum_{\substack{n \leq x \\ (n, f(n))=1}} 1.$$

P. Erdős [2] proved that for $f(n) = \varphi(n)$ or $\sigma(n)$, we have

$$T(x) \sim \frac{x e^{-\gamma}}{\log \log \log x}.$$

The case $f = \varphi$ is of particular interest since $(n, \varphi(n)) = 1$ is a necessary and sufficient condition that there is only one group of order n .

In this paper we consider an additive function, namely the sum of the distinct prime factors of n . We denote this by $g(n)$, and the result is as follows.

THEOREM. Let $T(x)$ denote the number of integers $n \leq x$ for which $(n, g(n)) = 1$. Then

$$T(x) = \frac{6}{\pi^2} x + O\left(\frac{x}{(\log \log \log x)^{1/4} (\log \log \log \log x)^{3/4}}\right).$$

Thus Čebyšev's result holds in this case, as we might expect, for in general additive functions are more evenly distributed over the arithmetic progressions than multiplicative functions; moreover their prime factors, and other arithmetic properties, bear little relation to those of n itself, except when n is prime.